

Development and validation of the Adverse Inpatient Medication Event Model (AIME)

Nazanin Falconer¹, Michael Barras¹, Ahmad Abdel-Hafez², Sam Radburn², and Neil Cottrell¹

¹University of Queensland School of Pharmacy

²Princess Alexandra Hospital

June 8, 2020

Abstract

Background Medication harm has negative clinical and economic consequences, contributing to hospitalisation, morbidity and mortality. The incidence ranges from four to 14%, of which up to 50% of events may be preventable. A predictive model for identifying high-risk inpatients can guide a timely and systematic approach to prioritisation. Aim To develop and internally validate a risk prediction model, for prioritisation of hospitalised patients, at risk of medication harm. Methods A retrospective cohort study was conducted in general medical and geriatric specialties at an Australian hospital, over six months. Medication harm was identified using International Classification of Disease (ICD-10) codes and the hospital's incident database. Sixty-eight variables, including medications and laboratory results, were extracted from the hospital's databases. Multivariable logistic regression was used to develop the final risk model. Performance was evaluated using area under the receiver operative characteristic curve (AuROC) and clinical utility was determined using decision curve analysis. Results The study cohort included 1982 patients median age 74 years, of which 136 (7%) experienced [?]1 adverse medication event(s). The model included: length of stay, hospital re-admission within 12 months, venous or arterial thrombosis &/or embolism, [?] 8 medications, serum sodium < 126 mmol/L, INR > 3, anti-psychotic, antiarrhythmic and immunosuppressant medications, and history of medication allergy. Validation gave an AuROC of 0.70 (95% CI: 0.65-0.74). Decision curve analysis identified that the AIME may be clinically useful to help guide decision making in practice. Conclusion We have developed a risk prediction model with reasonable performance. Future steps include external validation.

Development and validation of the Adverse Inpatient Medication Event Model (AIME)

Running title: The Adverse Inpatient Medication Event Model (AIME) Study

Nazanin Falconer^{a,b,c*}, BPharm, Dip Grad Medical Ethics, PhD, Research Fellow, The University of Queensland, Research Pharmacist Princess Alexandra Hospital

Michael Barras^{a,b}, BPharm, Grad Dip Clin Pharm, PhD, Associate Professor School of Pharmacy, The University of Queensland, Director of Pharmacy, Princess Alexandra Hospital

Ahmad Abdel-Hafiz^b, BDS, MDS, PhD, Principal Data Analyst, Clinical Informatics, Princes Alexandra Hospital

Sam Radburn^b, Principal Data Analyst, Clinical Informatics, Princes Alexandra Hospital

Neil Cottrell ^{a,d}, BSc (Hons), MSc, PhD. Associate Professor School of Pharmacy, Director of Interprofessional Education, The University of Queensland

a School of Pharmacy, Pharmacy Australia Centre of Excellence, The University of Queensland, Brisbane, QLD 4102, Australia

b Princess Alexandra Hospital, Metro South Health, Brisbane, QLD 4102, Australia

c Centre for Health Services Research, Faculty of Medicine, The University of Queensland, The University of Queensland, Brisbane, QLD 4102, Australia

d Faculty of Health and Interprofessional Sciences, The University of Queensland, Brisbane, QLD 4102, Australia

*Corresponding Author: Nazanin Falconer, Pharmacy Australia Centre of Excellence (PACE), The University of Queensland, 20 Cornwall Street, Woolloongabba, Brisbane, QLD 4102, AUSTRALIA Cell Phone +614 1234 2551 Email n.falconer@uq.net.au

Key words: predictive risk model, risk prediction, medication harm, adverse drug events, adverse drug reactions, clinical pharmacy, clinical pharmacology

Word count summary: 250

Word count: (excludes tables and figures): 3968

Background

Medication harm has negative clinical and economic consequences, contributing to hospitalisation, morbidity and mortality. The incidence ranges from four to 14%, of which up to 50% of events may be preventable. A predictive model for identifying high-risk inpatients can guide a timely and systematic approach to prioritisation.

Aim

To develop and internally validate a risk prediction model, for prioritisation of hospitalised patients, at risk of medication harm.

Methods

A retrospective cohort study was conducted in general medical and geriatric specialties at an Australian hospital, over six months. Medication harm was identified using International Classification of Disease (ICD-10) codes and the hospital's incident database. Sixty-eight variables, including medications and laboratory results, were extracted from the hospital's databases. Multivariable logistic regression was used to develop the final risk model. Performance was evaluated using area under the receiver operative characteristic curve (AuROC) and clinical utility was determined using decision curve analysis.

Results

The study cohort included 1982 patients median age 74 years, of which 136 (7%) experienced [?]1 adverse medication event(s). The model included: length of stay, hospital re-admission within 12 months, venous or arterial thrombosis &/or embolism, [?] 8 medications, serum sodium < 126 mmol/L, INR > 3, anti-psychotic, antiarrhythmic and immunosuppressant medications, and history of medication allergy. Validation gave an AuROC of 0.70 (95% CI: 0.65-0.74). Decision curve analysis identified that the AIME may be clinically useful to help guide decision making in practice.

Conclusion

We have developed a predictive model with reasonable performance. Future steps include external validation and impact evaluation.

Key Messages

What is known on this subject:

- Medication harm poses a significant burden to patients globally.
- An emerging approach to identifying patients at high risk of medication harm in hospitals is the use of risk prediction models.

What this study adds:

- The Adverse Inpatient Medication Event (AIME) model has potential clinical utility and could assist with identifying high-risk inpatients for early and targeted health professional review.
- The AIME model includes novel laboratory variables including supra-therapeutic INR.
- The AIME model can be incorporated into hospital digital systems to identify and monitor high-risk patients in real-time, to reduce medication harm and improve patient outcomes

Introduction

Medications offer significant health benefits; however, their use can also result in patient harm [1-2]. Medication harm has negative clinical and economic outcomes, contributing to hospitalisations, increased length of stay (LOS), morbidity and mortality [3]. Annual costs are estimated at USD \$42 billion internationally, and \$1.2 billion in Australia [4-5]. The incidence of inpatient medication harm ranges from four to 14%, of which up to 50% of events are thought to be preventable [6-7]. Medication harm can cause physical, cognitive and emotional impairment to the patient [8]. The Australian healthcare system has introduced penalties for hospital-acquired complications (HACs) due to medications [9].

Two effective strategies to reduce medication harm include pharmacist-led medication reconciliation and a clinical evaluation of medications [10]. These services are resource intensive [10] and fiscal constraints, mean that pharmacists cannot provide extensive services to every inpatient. A suggested solution is to identify high-risk patients and prioritise them for early and targeted medication management [11-12]. However, there is currently no known standardised, evidence-based methods implemented in clinical practice.

An emerging approach are risk prediction models, which use statistical algorithms to quantify the probability that a patient will experience medication harm [13]. Predictive analytics plays a central role in medicine, by using influential factors to predict outcomes and facilitate timely, patient-specific interventions. Examples include the Framingham risk score for cardiovascular risk prediction [14], the CHA₂DS₂-VASc score for predicting risk of stroke [15], and the HAS-BLED score for anticoagulant-related bleeding risk, in patients with atrial fibrillation [16].

Several medication risk prediction models have been developed and validated for use in the hospital setting, none have been implemented in practice, and all have methodological and/or reporting limitations [17]. Models exist for predicting risk of medication errors, medication-related problems, or actual harm, with studies predominantly including small cohorts of older adults, in European hospital settings [17]. Given that the majority of medication errors do not have clinically significant consequences, models that do not predict patient harm are limited in their potential to guide clinical decisions [18]. To date, no models that predict medication harm have been developed or evaluated for use in an Australian inpatient setting. As population characteristics have an important role in risk assessment, this study aimed to develop, validate, and report a robust model, predicting actual medication harm, from data obtained in an acute medical and rehabilitation setting.

Aim

To develop and internally validate a risk prediction model, to identify and prioritise hospitalised patients, at risk of medication harm.

Methods

Study participants and design

The Adverse Inpatient Medication Event model (AIME) study was a retrospective cohort study, including adult patients, sequentially admitted over six months, from the 1st of July 2017 to 31st of December 2017, to the general medical and/or the Geriatric Assessment and Rehabilitation Unit, of a quaternary teaching hospital in Australia. Patients admitted for less than 24 hours were excluded. Ethical approval was obtained from the Human Research Ethics Committee at Metro Health South (HREC/17/QPAH/353).

Outcome measure

The outcome of interest in this study was inpatient medication harm, as defined by Edwards and Aronson [19], and later updated by Aronson and Ferner [20]; “An appreciably harmful or unpleasant reaction, resulting from an intervention related to the use of a medicinal product; adverse effects usually predict hazard from future administration and warrant prevention, or specific treatment, or alteration of the dosage regimen, or withdrawal of the product.” This included any negative inpatient outcome or injury resulting in clinical signs, symptoms or physiological abnormalities, related to the use of a medication during hospitalisation.

Medication harm was identified from the medical records of patients coded as having had an adverse medication event (Y-codes), using the Tenth Edition of The International Classification of Disease Codes, Australian Modified (ICD-10 AM). Additionally, the hospital incident reporting database (RISKMAN) was reviewed to identify cases where a medication incident had resulted in patient harm.

All medical records of any patients flagged with a potential medication event were comprehensively reviewed by an investigator (NF), a senior clinical pharmacist, to evaluate the medication harm events and establish causality, severity and preventability. Causality was ascertained using the Hallas criteria [21]. Definite, probable or possible events were included. Events classified as unlikely were excluded. Severity of harm was determined using the definitions described by Morimoto et al. [22]. Preventability was assessed as per Hallas et al. [21], and using the Schumock and Thornton criteria [23]. Where there was uncertainty in rating an event, this was discussed with senior colleagues. A randomly selected 30 cases were also assessed for causality, severity and preventability by two independent experts; a senior hospital pharmacist and a clinical pharmacologist. Where event ratings differed between investigators, these were discussed to resolve discrepancies, and reach consensus.

Selection and definitions of variables for model development

Variables for model development were identified using two methods. First, a systematic review of the literature was undertaken to identify established risk factors for medication harm, and significant variables in existing risk models [17]. Second, hospital pharmacist focus groups and a national survey of Australian clinical pharmacists helped identify key criteria routinely used to prioritise patients at high-risk of medication harm [24]. To ensure clinical relevance and minimise noise, we selected clinically useful variables that could be quantified from digital sources, during a patient’s hospitalisation. Some continuous variables, such as International Normalised Ratio (INR), were categorised according to clinically meaningful risk thresholds, identified from hospital guidelines and pharmacist prioritisation criteria [24].

The 68 selected variables were categorised into patient demographics, social risk factors, hospital utilisation data, medications used and pathology results. Variables were extracted from the hospital’s electronic medical records (EMR) and presented in reports developed and validated for the purpose of this study, in collaboration with the hospital’s Informatics team. Medications were grouped guided by the Australian Medicines

Handbook 2017 Edition [25]. Medications at admission were defined as the number of distinct medications, administered within the first 24 hours of hospitalisation.

Comorbidities were defined as per ICD-10 AM codes, which were used to group conditions. Laboratory tests were those measured within the first 24 hours of admission. These included full blood count, renal function, serum electrolyte levels, serum blood glucose levels and coagulation studies.

Renal function at admission was the estimated Glomerular Filtration Rate (eGFR) calculated using the Chronic Kidney Disease Epidemiology Collaborative (CKD-EPI) equation [26]. This was analysed as both a continuous variable and categorised at clinically informative thresholds [24]. Low serum sodium at admission was defined and categorised as sodium levels < 125 mmol/L. INR and aPTT were also categorised into two groups, with supratherapeutic levels defined as greater than 3, and greater than 100 seconds, respectively [24]. Thresholds were informed from a previous study [24].

Sample size

Sample size was estimated using an event per variable (EPV) ratio of 10. This was based on a medication harm rate of 7% using local data [6], and the estimated inclusion of up to 14 variables from univariable pre-selection, as guided by prior prognostic model development studies [17].

Data handling and modelling methods

Before modelling, the distributions of variables were examined using graphs and descriptive statistics. Model development involved two stages. Univariable analysis was used to identify significant relationships with the outcome; chi-squared tests or regression analyses determined which variables should be included in a multivariable logistic regression analysis. Continuous variables were first analysed as continuous and later categorised using thresholds previously described [24]. Modelling was undertaken with both continuous and dichotomised variables, and where categorisation improved model fit, the dichotomised variable was used. Log transformation was applied where it optimised model fit.

Polynomials and interaction terms

Polynomials were examined for continuous variables with potential curvilinearity. Clinically plausible interaction terms were also examined and included potential interactions between gender with heart disease, and diabetes with glucose levels.

Missing values

The number of missing values was identified for each variable and patterns of missingness were examined. There were ten patients with missing data for inpatient medications and so complete case analysis was used. Missing laboratory test results (except INR and aPTT) were imputed using the mean value. Given the small number of missing values for the majority of variables, single imputation was considered sufficient to obtain reasonable predictions [27].

There were a larger proportion of missing values for INR and aPTT, and missingness was not deemed to be random. As INR and aPTT are not routinely measured for all patients, only patients who clinically required a test had values reported. Therefore, INR and aPTT were categorised into two groups and the most clinically plausible values were used to impute variables. Patients without an INR test during hospitalisation were categorised into the lower INR group (< 3). Patients without an aPTT test were categorised into the lower aPTT group (< 100 seconds). A Clinical Pharmacologist and Biostatistician independent of this study were consulted regarding the imputation of these variables.

Selection of variables in multivariable analysis

Binomial logistic regression analysis was undertaken to identify the optimal combination of the most influential predictors in the AIME model. The alpha level to determine appropriate variable inclusion was set at $p < 0.10$ [28]. Using the above alpha level, significant variables from univariable analysis were included in the multivariable analysis.

The final model was selected using backward elimination as it is preferred to forward selection in predictive modelling [29]. Analysis was undertaken using R statistical software[®] version 5.3.1 [30], using both a manual method and also the automated stepAIC function from the R package ‘MASS’ [31]. The final model was determined by minimising the Akaike Information Criterion (AIC), and retaining variables with p -values < 0.10 , whilst ensuring a clinically plausible risk model.

Model Performance

Model performance was evaluated using three measures; discrimination, calibration, and variance, as recommended in the Transparent Reporting of a multivariable prediction model for Individual Prognosis Or Diagnosis (TRIPOD) Statement [13, 32]. Model discrimination was measured using area under the receiver operative characteristic (AuROC) curve, where an AuROC of 1 is considered a perfect model and 0.5 unsatisfactory [27].

Model calibration was assessed using plots and formal statistical testing, using the Hosmer-Lemeshow goodness of fit (GOF) test. The Nagelkerke R^2 was calculated as a measure of variability explained by the model [27] and the Youden’s index was used to identify the optimal threshold.

Model validation

Internal validation of the final model was undertaken using 10-fold cross validation with 200 replications. The performance measures for the optimism corrected, internally validated model, were reported.

Clinical usefulness of the AIME model

The clinical usefulness of the AIME model was evaluated using decision curve analysis (DCA). DCA adds to performance measures to identify the potential net benefits and harms of using a model in practice, using values of true positives and false positives, at different thresholds. Decision curves were constructed for the AIME model, and a simpler ‘Polypharmacy’ model. The Polypharmacy model, based on a common approach of ‘number of medications’ for patient prioritisation [33], was informed by the average number of medications administered to study participants within the first 24 hours of hospitalisation. The standardised net benefit (equation 1.1) of applying the models were calculated at different threshold probabilities to create the decision curves. The models demonstrated clinical usefulness where they had positive net benefit values [34]. In this study, the term ‘clinical usefulness’ was used to show where a model had greater net benefit than a “treat-all” (pharmacist intervention for all patients), or “treat-none” (no patient receives pharmacist intervention) approach. Using guidance from the literature we identified a probability threshold of greater than 5% risk as a suitable threshold for intervention [33]. This was based on consensus by an expert panel, with the assumption here being that it would be unlikely for a pharmacist to prioritise a patient for urgent review who had a probability of risk below this threshold. This was then compared with our findings from the decision curve.

Equation 1.: Net Benefit Calculation for Decision Curve [34]

$$Net\ Benefit = \frac{TruePositives}{n} - \frac{FalsePositives}{n} \left(\frac{Pt}{1 - Pt} \right)$$

Key: Pt is threshold probability

Results

Study participants

A total of 1982 patients were included in the study. The median (IQR) patient age was 74 (62-86) years, and 883 (45%) of patients were males. Key baseline characteristics of the participants are reported in Tables 1 and 2.

Insert Table 1

Insert Table 2

Study outcome (medication harm)

A total of 136 (7%) inpatients experienced one or more medication harm events. Some patients experienced multiple inpatient events, resulting in a total of 155 events. The causality assessment classified 20% of events as definite, 45% as probable, and 35% as possible. Events classified as unlikely to be medication related were excluded. Severity assessment showed that 12% of events were significant (defined as an adverse reaction that does not require a change in therapy, but may need supportive treatment), 70% were serious (requiring dose reduction or therapy cessation, some requiring additional therapeutic measures or specific treatment, and/or a minor increase in LOS). Eighteen percent of events were severe and potentially life-threatening (leading to severe or permanent harm, and causing a substantial increase in LOS [defined as greater than two days]). Examples of severe events included Heparin induced thrombocytopenia and thrombosis (HITT) resulting in pulmonary embolism, insulin related hypoglycaemia leading to seizure, anaphylaxis due to antibiotics, and gastrointestinal bleeding due to heparin. There were no fatal events.

Preventability assessment classified 28% of cases as definitely preventable, 31% as possibly preventable, and 41% as not preventable. Events classified as preventable predominantly comprised of incorrect medication choice, incorrect dose, inappropriate combinations of agents and/or inadequate patient review, deprescribing and surveillance, as well as 'lack of knowledge' errors. Greater focus on rationalisation of medications, for example older adults on multiple centrally acting or cardiovascular agents, education on best prescribing practices, and specialist involvement were identified by reviewers as strategies that may have mitigated events (for example, inappropriate conversion of opioids).

A total of 82% of events were classified as type A reactions (common, predictable and often dose-related), and 18% as type B reactions (uncommon, unpredictable and often immune mediated reactions)[19]. Adverse medication events, summarised by medication class and patient reactions are reported in Table 3. The top medication class implicated in medication harm were cardiovascular agents (in particular, beta-receptor blocking agents, digoxin, and diuretics).

Insert Table 3

Univariable preselection of variables

The twenty-one variables which had a statistically significant relationship with medication harm, at an alpha level of 10% ($p \leq 0.1$), are shown in Table 4. Renal function was not statistically significant ($p = 0.67$), but was included, given its clinical relevance and presence in prior risk models. The inclusion of 22 variables with 136 harm events gave an EPV of approximately 6.

Insert Table 4

Multivariable Logistic Regression Analysis

Length of stay (LOS) was log transformed and INR, serum sodium and number of medications were dichotomised. The final model consisted of 10 variables (Table 5). The variables in the final prediction model (and reference levels for coding) are shown in Table 5:

Insert Table 5

Model Performance

The AuROC curve for the model prior to cross validation was 0.72, 95% CI 0.67 – 0.76. The cross-validated model performance reduced to 0.70, 95% CI 0.65 – 0.74 (Figure 1).

Insert Figure 1

The AIME model was well calibrated, with a Hosmer-Lemeshow statistic of $p = 0.53$. The regression equation to calculate the probability of medication harm for hospitalised patients is shown as Equation 1.2. Youden’s index was used to identify the optimal probability threshold for obtaining a balance between sensitivity and specificity. At a threshold probability of 0.05 for identifying high-risk patients, the model had a sensitivity of 77% and specificity of 58%.

$$p = \frac{1}{1 + e^{-(-4.56 + \beta_1 X_1 + \beta_2 X_2 + \dots + \beta_{10} X_{10})}}$$
 Equation 1.: AIME model

where,

$$\begin{aligned} \beta_1 X_1 &= 0.35 \log LOS, \beta_2 X_2 = 0.51 \text{newadmission}, \beta_3 X_3 = 1.13 \text{INR} > 3, \beta_4 X_4 = 1.24 \text{sodium} \leq 125 \text{mEq/L}, \\ \beta_5 X_5 &= 0.50 \geq \text{eigh medications}, \beta_6 X_6 = 0.40 \text{antipsychotics}, \beta_7 X_7 = 0.45 \text{antiarrhythmics}, \beta_8 X_8 = \\ &0.87 \text{immunosuppressants}, \beta_9 X_9 = 0.37 \text{prior medication allergy}, \beta_{10} X_{10} = 0.86 \text{thrombosis or embolism} \end{aligned}$$

Evaluation of Clinical Usefulness

Figure 2 shows the decision curve, with the range of threshold probabilities for which the AIME model could be clinically useful. The grey lines indicate the net benefit when pharmacist interventions are provided for all patients (“treat all”), or for the horizontal black line, when no patient receives pharmacist intervention (“treat none”). These serve as a reference to judge whether the AIME and the Polypharmacy models are clinically useful (indicated by the positive values above the “treat all” and “treat none” lines). The turquoise line shows the clinical value of the binary Polypharmacy model (with a single predictor) for medication harm. This model shows minimal clinical usefulness when compared with a “treat all” approach. The red lines show the clinical usefulness of the AIME model. Between the threshold probabilities of approximately 0.05 and 0.7 the AIME model has greater net benefit (i.e. clinically useful) than a ‘treat all’ and ‘treat none’ approach, reinforcing that prioritisation of patients with risk above the 5% probability threshold would be a useful approach.

Insert Figure 2

Discussion

Key findings

We report the development and internal validation of the AIME model, for predicting the risk of inpatient medication harm. The model was developed in a quaternary Australian hospital, in the general medical and geriatric setting. The final model consisted of 10 variables, including high-risk medications, laboratory tests, history of medication allergies and hospital utilisation data, extracted from digital databases at the hospital. The AIME model demonstrated reasonable discrimination (AuROC = 0.70), similar to that of previously published models [11-12, 35-36], (AuROC 0.70-0.74), and superior to three existing inpatient models (AuROC 0.59-0.63) [37-39].

The AIME model compared with existing models

Outcome

The selection of a study outcome is crucial to developing a useful model. Currently, there are a limited number of models that predict actual medication harm, with some using medication errors or medication-related problems, as their primary outcome [17]. In this study, only events that were likely to have resulted in clinical or physiological harm to the patient were included. This is important given that around 90% of medication errors cause no patient harm [18]. Therefore, a key focus of prognostic studies should be on outcomes or actual patient harm, versus medication errors where clinical consequences are unknown. In our study, the rate of harm was approximately 7%, which is consistent with local and international data [6, 36, 40]. Causality assessment showed similarities between event likelihood and severity, to the findings of the study by Tangiisuran et al and the BADRI ADR risk score – a European model for inpatient harm [11].

Cardiovascular medications were the most common medication groups leading to inpatient harm (n=36). This was similar to the findings of the GerontoNet risk score where the most common adverse events included cardiovascular and antiarrhythmic complications [41]. Adverse events associated with cardiovascular agents have been highlighted by multiple studies [42-44]. A recent Australian study of 768 hospital admissions identified the use of multiple antihypertensives as the most significant predictor of medication-related hospitalisation [43]. Similarly, a study of inpatient medication harm, using the Institute for Healthcare Improvement’s ADE Trigger Tool, found that cardiovascular agents were the most common drug class association with harm [6]. Given the rise in the prescription of cardiovascular therapies, in particular in the aging population, it is essential that there is a greater focus on these medications [45].

Regular medication review and deprescribing, in particular in older frail adults, where less aggressive blood pressure control is desirable, should be a key focus for clinicians [35]. As half of the events in this study were either possibly or definitely preventable, collaborative medication management, in particular at transitions in care, can play a major role in improving patient outcomes [5]. A model such as the AIME will help identify and prioritise high-risk patients for timely interventions at transition.

Variables

There were a number of similarities between variables in the AIME and previously described models. For example; antiarrhythmic agents were significant in the model by McElnay [44] and antipsychotics in models by Trivalle and Nguyen et al. [12, 46]. Previous hospitalisation also featured in the model by Nguyen [46], and previous drug allergy was a variable in the GerontoNet ADR risk score, and the MOAT model [37, 41]. All models included the ‘number of medications’ as a predictor which highlights the importance of deprescribing.

A clinically informative model development strategy must assess a comprehensive range of variables and this was a strength of our study. To the best of our knowledge, no other study has included laboratory tests assessing coagulation indices such as INR and aPTT, yet they are commonly used by clinicians to identify high-risk patients for urgent assessment and diagnosis. By leveraging the digital capabilities of our hospital’s EMR we obtained a comprehensive dataset. This resulted in a final model which included serum sodium and INR, as predictors. Given that these variables have been identified as clinically important for patient prioritisation [24] and are modifiable, we anticipate that their inclusion will enhance the application of the AIME model and its’ translation into practice. Whilst variables such thromboembolism or supratherapeutic INR may occur later during the course of hospitalisation, the advantages of “real-time” digital surveillance means that as the patient’s variables fluctuate so does the probability of medication harm. This is consistent with the dynamic nature of patient risk and a predictive model such as the AIME can assist with ongoing clinical prioritisation.

Limitations

Despite our best attempt to develop a model with an EPV of 10 or greater we were unable to achieve this given the larger-than expected number of variables included in the multivariable modelling phase of our study. However, simulation studies have shown that lower EPVs can produce stable models, and that model instability occurs with EPVs below 4 [47].

For clinical applicability three variables (INR, serum sodium and number of medications) were categorised. To minimise the risk of bias we examined variables and selected thresholds based on optimal cut-off in ROC analysis (for number of medications), and clinical relevance as guided by pharmacist survey and focus groups.

Whilst we evaluated a comprehensive set of variables there were others, such as frailty which has been correlated with polypharmacy and non-adherence, that may have warranted inclusion[48]. However, at the time this study was conducted we were unable to quantify these variables with precision. Plans for external evaluation of the AIME model include testing these variables, as we anticipate they could improve model performance.

The retrospective nature of our study means that it is possible that medication-harm events were undetected. We chose this method as a practical means of evaluating harm in a reasonably sized patient cohort. Studies have used ICD-10 coding as an efficient approach to evaluate the impact of serious harm events in the hospital setting[49]. In addition to using ICD-10 codes, we comprehensively examined the medical records of flagged patients to detect any additional medication events that may not have been documented or coded. The hospital's incident database was also reviewed to identify medication incidents that resulted in actual patient harm.

The AIME includes two variables (antipsychotic use and history of medication allergy) that marginally exceed the traditional 5% level of significance. This stems from the principal that prognosis (as opposed to causality assessment), is based on risk estimation, and thus it is statistically acceptable (and recommended) to include predictors with p-values greater than the 5% threshold. This is especially true if the predictor is known to be correlated with the outcome from prior research and its inclusion has a large effect size and enhances model performance [27]. Other predictive models such as the BADRI ADR score and the MOAT model have followed a similar approach [11, 37].

Future direction

The delivery of a comprehensive medication management services, for every inpatient, is not feasible in busy Australian public hospitals which serve a growing and aging population. Therefore, we must prioritise those at greatest risk of medication harm for timely interventions. To date, a limited number of risk prediction models have been developed for identifying hospital inpatients at high-risk of medication harm and none have undergone impact evaluation. Our study shows that the AIME model has an acceptable degree of predictive accuracy and potential clinical utility.

The availability of complete EMRs means that predictive models could be embedded into digital systems. This would enable patient risk to be iteratively estimated and monitored in real time using approaches such as surveillance dashboards, available to all clinicians at any time. The increasing availability of hospital digital data and machine learning methods of modelling provide an exciting opportunity to gain deeper insights and improve the quality of patient care [50]. The role of e-health in improving medication safety is predicted to be fundamental. Clinicians must embrace opportunities to utilise a hospital's digital capabilities to mitigate avoidable harm, improve patient outcomes and optimise health system efficiencies, and the AIME model offers this opportunity.

Acknowledgements

The authors would like to express their sincere thanks and appreciation to Professor Bill Venables for his expert statistical guidance, and to Mr Karl Winckel and Dr Christopher Morris who assisted with review

and rating of medication events.

References

- (1) Budnitz, D.S., Lovegrove, M.C., Shehab, N. & Richards, C.L. Emergency hospitalizations for adverse drug events in older Americans. *N. Engl. J. Med.* **365** , 2002-12 (2011).
- (2) Leendertse, A.J., Van Den Bemt, P.M.L.A., Bart Poolman, J., Stoker, L.J., Egberts, A.C.G. & Postma, M.J. Preventable Hospital Admissions Related to Medication (HARM): Cost analysis of the HARM study. *Value. Health.* **14** , 34-40 (2011).
- (3) Classen, D.C., Pestotnik, S.L., Evans, R.S., Lloyd, J.F. & Burke, J.P. Adverse drug events in hospitalized patients: Excess length of stay, extra costs, and attributable mortality. *JAMA.* **277** , 301-6 (1997).
- (4) Dhingra, N. *WHO Global Patient Safety Challenge - Medication safety* . <<http://www.who.int/patientsafety/campaigns/en/>> (2016). Accessed April 2017 2017.
- (5) Roughead, E.E., Semple, S.J. & Rosenfeld, E. The extent of medication errors and adverse drug reactions throughout the patient journey in acute care in Australia. *Int. J. Evid. Based. Health.* **14** , 113-22 (2016).
- (6) Paradissis, C., Coombes, I.D., Donovan, P., Doran, E., Mckean, M. & Barras, M.A. The type and incidence of adverse drug events in ageing medical inpatients and their effect on length of hospital stay. *JPPR.* **47** , 347-54 (2017).
- (7) Davies, E.C., Green, C.F., Taylor, S., Williamson, P.R., Mottram, D.R. & Pirmohamed, M. Adverse drug reactions in hospital in-patients: a prospective analysis of 3695 patient-episodes. *PLoS. ONE.* **4** , e4439 (2009).
- (8) O'Sullivan, D. *et al.* The impact of a structured pharmacist intervention on the appropriateness of prescribing in older hospitalized patients. *Drugs. Aging.* **31** , 471-81 (2014).
- (9) Australian Commission on Safety and Quality in Healthcare (ACSQHC). *Hospital-acquired complications* . <<https://www.safetyandquality.gov.au/our-work/indicators/hospital-acquired-complications/>> (2019).
- (10) Shekelle, P., Wachter, R.M., Provonost, P., Schoelles, K., McDonald, K. & et al. (2013). *Making Health Care Safer 2: An Updated Critical Analysis of Patient Safety Practices. Comparative Effectiveness Review No. 211* (Agency for Healthcare Research and Quality, Rockville, MD, 2013).
- (11) Tangiisuran, B. *et al.* Development and validation of a risk model for predicting adverse drug reactions in older people during hospital stay: Brighton Adverse Drug Reactions Risk (BADRI) model. *PLoS. One.* **9** , e111254 (2014).
- (12) Trivalle, C., Burlaud, A. & Ducimetière, P. Risk factors for adverse drug events in hospitalized elderly patients: A geriatric score. *Eur. Geriatr. Med.* **2** , 284-9 (2011).
- (13) Moons, K.G.M. *et al.* Risk prediction models: I. Development, internal validation, and assessing the incremental value of a new (bio)marker. *Heart.* **98** , 691e8 (2012).
- (14) Anderson, K.M., Odell, P.M., Wilson, P.W. & Kannel, W.B. Cardiovascular disease risk profiles. *Am. Heart. J.* **121(1 Pt 2)** , 293-8 (1991).
- (15) Lane, D.A. & Lip, G. Use of the CHA2DS2-VASc and HAS-BLED Scores to Aid Decision Making for Thromboprophylaxis in Nonvalvular Atrial Fibrillation. *Circulation.* **126** , 860-5 (2012).
- (16) Pisters, R., Lane, D.A., Nieuwlaat, R., de Vos, C.B., Crijns, H. & Lip, G. A novel user-friendly score (HAS-BLED) to assess 1-year risk of major bleeding in patients with atrial fibrillation. The Euro Heart Survey. *Chest* **138** , 1093-100 (2010).
- (17) Falconer, N., Barras, M.A. & Cottrell, W.N. Systematic review of predictive risk models for adverse drug events in hospitalised patients. *Bri. J. Clin. Pharmacol.* **84** , 846-64 (2018).

- (18) Bates, D.W., Boyle, D.L., Vander Vliet, M.B., Schneider, J. & Leape, L. Relationship between Medication Errors and Adverse Drug Events. *J. Gen. Intern. Med.* **10** , 199-205 (1995b).
- (19) Edwards, I.R. & Aronson, J.K. Adverse drug reactions: definitions, diagnosis and management. *Lancet.* **356** , 1255-9 (2000).
- (20) Aronson, J.K. & ferner, R.E. Classification of Terminology in Drug Safety. *Drug. Saf.* **28** , 851-70 (2005).
- (21) Hallas, J. *et al.* Drug related hospital admissions: the role of definitions and intensity of data collection, and the possibility of prevention. *J. Intern. Med.* **228** , 83-90 (1990).
- (22) Morimoto, T., Gandhi, T.K., Segar, A.C., Hsieh, T.C. & Bates, D.W. Adverse Drug Events and Medication Errors: detection and classification methods. *Qual. Saf. health. Care.* **13** , 306-14 (2004).
- (23) Schumock, G.T. & Thornton, J.P. Focusing on the preventability of adverse drug reactions. *Hosp. Pharm.* **27** , 538 (1992).
- (24) Falconer, N., Barras, M. & Cottrell, N. How hospital pharmacists prioritise patients at high risk for medication harm. *Res. Soc. Admin. Pharm.* **15** , 1266-73 (2018).
- (25) *Australian Medicines Handbook 2017 (online)* (Australian Medicines Handbook Pty Ltd: Adelaide, 2017).
- (26) Levey, A.S. *et al.* A new equation to estimate glomerular filtration rate. *Ann Intern Med* **150** , 604-12 (2009).
- (27) Steyerberg, E.W. *Clinical Prediction Models : a practical approach to development validation and updating.* (2009).
- (28) Moon, K.G.M. *et al.* Critical Appraisal and Data Extraction for Systematic Reviews of Prediction Modelling Studies: The CHARMS Checklist. *PLoS. Med.* **11** , e1001744 (2014).
- (29) Heinz, G., Wallisch, C. & Dunkler, D. Variable selection – A review and recommendations for the practicing statistician. *Biometrical* 431-49 (2017).
- (30) R Core Team. R: A language and environment for statistical computing. (R Foundation for Statistical Computing, Vienna, Austria, 2018).
- (31) R: A language and environment for statistical computing. . (R Core Team (2013), R Foundation for Statistical Computing, Vienna, Austria., 2013).
- (32) Collins, G.S., Reitsma, J.B., Altman, D.G. & Moons, K.G.M. Transparent reporting of a multivariable prediction model for individual prognosis or diagnosis (TRIPOD): the TRIPOD Statement. *Eur. J. Clin. Invest.* **45** 204–14 (2015).
- (33) Parekh, N. *et al.* Medication-related harm in older adults following hospital discharge: development and validation of a prediction tool. *BMJ. Qual. Saf.* , bmjqs-2019-009587 (2019).
- (34) Vickers, A.J. & Elkin, E.B. Decision Curve Analysis: A Novel Method for Evaluating Prediction Models. *Med. Decis. Making.* **26** , 565-74 (2006).
- (35) Scott, I.A., Hilmer, S.N. & Reeve, E. Reducing Inappropriate Polypharmacy: The Process of Deprescribing. *JAMA. Intern. Med.* **175** , 827-34 (2015).
- (36) Onder, G. *et al.* Development and validation of a score to assess risk of adverse drug reactions among in-hospital patients 65 years or older: The GerontoNet ADR risk score. *Arch. Int. Med.* **170** , 1142-8 (2010).
- (37) Geeson, C., Wei, L. & Dean Franklin, B. Development and performance evaluation of the Medicines Optimisation Assessment Tool (MOAT): a prognostic model to target hospital pharmacists' input to prevent medication-related problems. *BMJ. Qual. Saf.* , 1-12 (2019).

- (38) Sakuma, M., Bates, D.W. & Morimoto, T. Clinical prediction rule to identify high-risk inpatients for adverse drug events: the JADE Study. *Pharmacoepidemiol. Drug. Saf.* **21** , 1221-6 (2012).
- (39) O'Mahony, D., O'Connor, M.N., Eustace, J., Byrne, S., Petrovic, M. & Gallagher, P. The adverse drug reaction risk in older persons (ADRROP) prediction scale: derivation and prospective validation of an ADR risk assessment tool in older multi-morbid patients. *Eur. Ger. Med.* **9** , 191-9 (2018).
- (40) Bates, D.W. *et al.* Incidence of Adverse Drug Events and Potential Adverse Drug Events: Implications for Prevention. *JAMA***274** , 29-34 (1995).
- (41) Onder, G. *et al.* Development and validation of a score to assess risk of adverse drug reactions among in-hospital patients 65 years or older: the GerontoNet ADR risk score. *Arch. Intern. Med.***170** , 1142-8 (2010).
- (42) Schneider, J.K., Mion, L.C. & Frengley, J.D. Adverse drug reactions in an elderly outpatient population. *Am. J. Hosp. Pharm.* **49** , 90-6 (1992).
- (43) Parameswaran Nair, N., Chalmers, L., Peterson, G.M., Bereznicki, B.J., Castelino, R.L. & Bereznicki, L.R. Hospitalization in older patients due to adverse drug reactions – the need for a prediction tool. *Clin. Interv. Aging.* **11** , 497-505 (2016).
- (44) McElmay, J.C., McCallion, C.R., Al-Deagi, F. & Scott, M.G. Development of a Risk Model For Adverse Drug Events in the Elderly. *Clin. Drug. Investig.* **13** , 47-55 (1997).
- (45) Kaiser, E.A., Lotze, U. & Schäfer, H.H. Increasing complexity: which drug class to choose for treatment of hypertension in the elderly? *Clin. Interv. Aging.* **9** , 459-75 (2014).
- (46) Nguyen, T. *et al.* Improving medication safety: Development and impact of a multivariate model-based strategy to target high-risk patients. *PLOS. One.* **12** , 1-13 (2017).
- (47) Vittinghoff, E. & McCulloch, C.E. Relaxing the rule of ten events per variable in logistic and Cox regression. *Am. J. Epidemiol.***165** , 710-8 (2007).
- (48) Scott, I.A., Gray, L.C., Martin, J.H. & Mitchell, C.A. Minimizing Inappropriate Medications in Older Populations: A 10-step Conceptual Framework. *Am. J. Med.* **125** , 529-37.e4 (2012).
- (49) Walter, S.R., Day, R.O., Gallego, B. & Westbrook, J.I. The impact of serious adverse drug reactions: a population-based study of a decade of hospital admissions in New South Wales, Australia. *Br. J. Clin. Pharmacol.* **83** , 416-26 (2017).
- (50) Hutchinson, L. *et al.* Models and Machines: How Deep Learning Will Take Clinical Pharmacology to the Next Level. *Clin Pharmacol. Ther.* **8** , 131-4 (2019).

List of Tables

Table 1: Descriptive statistics of participants, comorbidities and medications (n=1982).

Table 2: Differences in mean values of laboratory tests in patients with and without medication harm.

Table 3: Medication classes associated with patient harm.

Table 4: Univariable analysis of unadjusted variables significantly associated with medication harm (n = 1972).

Table 5: Logistic regression analysis of variables significantly associated with medication harm, after exponentiation, in the final AIME model (n = 1972).

Figure Legends

Figure 1: Area under the Receiver Operative Characteristic Curve of Cross-validated AIME model. Key: AIME is Adverse Inpatient Medication Events.

Figure 2: Decision Curve for AIME & polypharmacy models. Key: AIME is Adverse Inpatient Medication Events, polypharmacy is defined as ‘eight or more medications’.

Hosted file

Table 1.docx available at <https://authorea.com/users/331020/articles/457764-development-and-validation-of-the-adverse-inpatient-medication-event-model-aim>

Hosted file

Table 2.docx available at <https://authorea.com/users/331020/articles/457764-development-and-validation-of-the-adverse-inpatient-medication-event-model-aim>

Hosted file

Table 3.docx available at <https://authorea.com/users/331020/articles/457764-development-and-validation-of-the-adverse-inpatient-medication-event-model-aim>

Hosted file

Table 4.docx available at <https://authorea.com/users/331020/articles/457764-development-and-validation-of-the-adverse-inpatient-medication-event-model-aim>

Hosted file

Table 5.docx available at <https://authorea.com/users/331020/articles/457764-development-and-validation-of-the-adverse-inpatient-medication-event-model-aim>



