

# The effect of Oxygen application with nCPAP for the prevention of desaturation during EBUS-TBNA

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## Abstract

Background: EBUS-TBNA is a frequently used diagnostic method for mediastinal/hilar lymphadenopathies and masses. This procedure is performed with intravenous sedation (IVS). During IVS, patients often develop hypoxemia and nasal oxygen delivery is insufficient in some patients. The aim of this study was to investigate the effect of oxygen application with nCPAP on hypoxemia during EBUS-TBNA. Methods: Patients with EBUS-TBNA indication who did not have any serious heart-lung disease were randomly divided into two groups. One group received only oxygen and the other group received nCPAP+oxygen. Patient characteristics, arterial oxygen saturations, anesthetic agents, CPAP pressures, oxygen concentrations and processing times were recorded during the procedure. Practitioner satisfaction was evaluated at the end. Results: 29 nCPAP+oxygen, 31 oxygen patients were included in the study. There were no significant differences in terms of age, sex, smoking history and presence of additional diseases in two groups. Neck circumference, BMI and STOP BANG questionnaire values were similar. Desaturation time was significantly longer in oxygen group than nCPAP+oxygen group (316±390 sec, 12±118 sec, respectively, p=0,019). Snoring was detected during the procedure in 22 patients in the oxygen group and in 11 patients in the nCPAP group (p=0,01). There were no serious complications in both groups. Practitioner satisfaction was higher in the nCPAP group but this was not statistically significant (p=0,052). Conclusions: Oxygen application by nCPAP during EBUS-TBNA under IVS, significantly reduces desaturation time. Oxygen delivery with nCPAP seems to be a better choice especially for the patients with high Mallampati index.

## Introduction

Endobronchial ultrasound-guided transbronchial needle aspiration (EBUS-TBNA) is a minimally invasive diagnostic method for mediastinal/hilar lymphadenopathies and masses [1]. During the EBUS-TBNA, all relevant lymph node stations should be evaluated and optimal results can be obtained by three aspirations per lymph node station [2]. The procedure induces cough, increases airway secretions, and reduces airway caliber. Because of these reasons, the procedure should be performed with sedation that provides both patient's comfort and bronchoscopist's ability for obtaining adequate tissue. Intravenous sedation (a combination of alfentanil/fentanyl, propofol, and/or midazolam) is commonly used in EBUS-TBNA procedure. However desaturation is the major problem during sedation because sedation causes upper airway obstruction, respiratory depression and hypoventilation due to muscle relaxation [3,4]. Hypoxemia can lead to sympathetic activation and may cause tachycardia and hypertension. During bronchoscopic procedures, patients stay under spontaneous breathing and Oxygen is delivered through a mask. Arterial Oxygen saturation should be at least 90% to reduce the risk of significant arrhythmia [5]. Drugs for anesthesia or sedation can increase the severity of obstructive sleep apnea. Propofol is known to contribute to the narrowing of the upper airway by decreasing the activity of genioglossus muscle in proportion to its concentration. [6]. Continuous positive

airway pressure (CPAP) has a mechanical effect that increases the intraluminal pressure of the upper airway above the positive transmural pressure of the pharynx and hypopharynx. CPAP has been shown to improve the decreased tidal volume and airflow during sedation for bronchoscopy in children [7]. Additionally, jaw-thrust and CPAP application have been shown to improve ventilation in infants under anesthesia [8]. To our knowledge, there is no study on the effect of nCPAP on Oxygen desaturation during the EBUS-TBNA. In this study, our aim was to investigate the effect of Oxygen application with nCPAP on hypoxemia during EBUS-TBNA.

## Materials and Methods

### Ethics

Ethics Committee approval for our study was obtained from institutional Ethics Committee (Dokuz Eylul University, School of Medicine, research number: 2015-22-02), and written informed consent was obtained from the participants in accordance with the Helsinki declaration.

### Study Design

Patients with mediastinal or hilar lesions that had an indication of EBUS-TBNA were evaluated. Eligible subjects without any serious and acute respiratory and/or cardiovascular disease were included in the study. Other exclusion criteria were the chronic hypoxemia (SpO<sub>2</sub> 90% at room air), regular use of benzodiazepine-related drugs and dementia. The study was planned as a randomized controlled trial. Patients were randomly assigned to two groups. Sample size was calculated by G power analysis. When the effect size was taken as 0,5, 80% power (1-beta), type 1 error rate (alpha) was calculated as 0,05, it was decided to take 30 patients in both groups. Group 1 was consisted of EBUS-TBNA procedure with nCPAP+Oxygen (6-14 mBar+4-10 lt/dk) and Group 2 with only Oxygen (4-10 lt/dk). EBUS-TBNA procedures were performed by the same pulmonologist. A flexible convex-probe ultrasonic-puncture bronchoscope with a linear scanning transducer at a frequency of 7,5 MHz (EB-530US, SU-8000 Endoscopic Ultrasound System, Fujifilm, Tokyo, Japan) and Standard 22-gauge needle (Medi-Globe, Germany) were used for EBUS-TBNA procedure. The procedure was performed under moderate IVS with the accompaniment of an anesthesiologist. After premedication with midazolam 0,25 mg/kg, fentanyl received 1 mcg/kg infusion in all cases. Two minutes after the start of the remifentanyl infusion, propofol was given as 0,5 mg/kg iv and the Ramsay sedation score was tried to be between 3-4. When additional dose was needed, 0,25 mg/kg propofol iv was given. Nasal CPAP (System One CPAP, Phillips Respironics, USA) was applied by another pulmonologist. Demographic data, comorbidities, smoking history, neck circumference, body mass index (BMI), and Mallampati indexes of the participants were recorded. All of the patients answered the STOP BANG Questionnaire [9] (Table 1). During the procedure; peripheral Oxygen saturation (SpO<sub>2</sub>), desaturation time, electrocardiogram, arterial blood pressure, anesthetic agents, sedation level, CPAP pressure, Oxygen concentration, complications, interventions, processing time, and practitioner satisfaction (best score: 5/5) were evaluated. After waking up in an average of 5 minutes, all patients sent to the recovery room. They were discharged after being kept under observation for 2 hours.

### Statistics

Statistical analyses were performed using IBM SPSS statistics version 22. Chi-square for categorical variables, T-test or Mann-Whitney U test for numerical variables were used. Spearman Test was used for correlation analysis. A p value of <0,05 was accepted for statistical significance.

### Results

Sixty patients participated in the study. Of the patients, 29 were in nCPAP+Oxygen group and 31 were in the Oxygen group. There was not any serious complication reported during the procedure in both of groups. Of 60 patients, 3 patients had minor hemorrhage. Two of them were in nCPAP+Oxygen group and one was in the Oxygen group. Snoring was detected during the procedure in 22 patients in the Oxygen group while in 11 people in the nCPAP group (p=0,01). Electrocardiograms and arterial blood pressure levels of all patients were in normal limits. There were no significant differences in terms of age, sex, smoking history and presence of additional diseases in two groups. Neck circumference, BMI and STOP BANG

questionnaire values were similar. The Mallampati index was significantly higher in the nCPAP+Oxygen group ( $p=0,048$ ). Comorbidities were chronic obstructive pulmonary disease (COPD), hypertension and malignancy. The clinical characteristics of the patients are shown in Table 2.

During the EBUS-TBNA procedure; there were no significant differences between the mean values of initial, final and the lowest Oxygen saturations; and propofol, fentanyl and midazolam doses of two groups. The mean procedure time and the practitioner satisfaction were similar in both groups. The mean desaturation time during the procedure was significantly lower in the nCPAP+Oxygen group ( $p=0,019$ ). High Mallampati index was significantly associated with the duration of desaturation and the lowest Oxygen saturation ( $p=0,006$ ,  $p=0,006$ , respectively) in Oxygen group while there was no association in CPAP+Oxygen group. Data about Oxygen saturation and the anesthetic drugs are shown in Table 3.

Oxygen saturation decreased to below 80% in 19 patients (61%) of the oxygen group (Figure 1), while in 10 patients (34,5%) of the nCPAP+oxygen group (Figure 2) ( $p = 0,034$ ).

## Discussion

In this study we evaluated the benefits of nCPAP use for Oxygenation during EBUS-TBNA. Several studies about the effectiveness of noninvasive ventilation (NIV) in improving oxygenation during bronchoscopy in intensive care units have been reported. Antonelli M et al. [10] found that noninvasive positive-pressure ventilation (NPPV) that was delivered through a full-face mask was superior to Oxygen supplementation alone in improving gas exchange during and after diagnostic bronchoscopy in patients with severe hypoxemia. According to Murgu et al. [11] NPPV was an alternative to endotracheal intubation for flexible bronchoscopy in many patients with severe refractory hypoxemia, severe COPD, postoperative respiratory failure, severe obstructive sleep apnea and obesity hypoventilation syndrome. Our patients did not have any hypoxemia, and we applied Oxygen with nasal CPAP mask under sedation. In our study, all patients tolerated EBUS-TBNA procedure well and the practitioner satisfaction level was higher than Oxygen supplementation through a mask. The mean desaturation time was significantly shorter and the lowest saturation was significantly higher in nCPAP+Oxygen group. However, NIV may not be a good choice when patients are intolerant or have too much respiratory secretions.

Miyagi et al. [12] reported the usefulness of high flow nasal cannula (HFNC) during bronchoalveolar lavage in patients with acute respiratory failure. However Simon et al. [13] showed that NIV was better than HFN with regard to Oxygenation during bronchoscopy in patients with moderate to severe hypoxemia. HFNC could be a suitable device to deliver Oxygen during bronchoscopy when patients had mild or moderate hypoxemia. Takakuwa et al. [14] assessed the Oxygenation during EBUS-TBNA under midazolam sedation with using HFNC in their study and they suggested that HFNC was useful for preventing hypoxemia during the procedure. The mean age of the patients and the duration of procedure are similar to our study, but comorbidities and patient numbers are higher in our study. In Takakuwa et al.'s study, the pre and post SpO<sub>2</sub>, lowest SpO<sub>2</sub>, and the mean desaturation time were lower than our findings.

CO<sub>2</sub> elevation is also a possible risk in bronchoscopy under intravenous sedation, [15]. Studies about the effects of flexible bronchoscopy have focused on the temporary alterations of gas exchange occurring during the procedure. The risk of hypoxaemia and hypercapnia caused by alveolar hypoventilation, by an increased ventilation-perfusion mismatch and metabolic demands, as reflected by increasing cardiac output and Oxygen consumption [16]. CPAP improves Oxygenation by reducing intrapulmonary shunting and work of breathing [17,18]. HFNC provides a low-level positive pressure (2–8 cmH<sub>2</sub>O). This effect could help to lung recruitment and open the upper airways similar to CPAP [19]. It has been shown that there was less carbon dioxide retention in HFNC when compared with the nasal Oxygen supply [20]. Unfortunately, we could not perform blood gas analysis and could not measure partial pressure of carbon dioxide (pCO<sub>2</sub>) levels. This is one of the limiting factors of our study. Further studies are needed on this subject. It is not clear how to define the CPAP pressure should be applied to the patients. We gave it by titration, starting with 6 mBar and rising to maximum pressure by 14 mBar. Since the procedure is administered orally, the risk of air leakage from the mouth may reduce the effectiveness. If the nasal airflow rate exceeds the inspiratory

flow rate, the patient can breathe spontaneously from the nasal cavity. In our study, we did not specifically exclude patients with high obstructive sleep apnea (OSA) risk. We thought that the risk of desaturation would be higher in OSA patients and CPAP would be effective especially in these patients. We can not advise the use of nCPAP during EBUS-TBNA under intravenous sedation to all patients. We suggest that the patients with high Mallampati score will benefit from nCPAP.

## Conclusion

It is important to prevent desaturation in order to ensure process safety and comfort during the EBUS-TBNA under intravenous sedation. Our study showed that Oxygen delivery via nCPAP was shortened the mean desaturation time during EBUS-TBNA when compared with Oxygen delivery through a simple mask at the same dose of anesthetic drugs. We advise the use of nCPAP during EBUS-TBNA under intravenous sedation to all patients. And we strongly suggest that the patients with high Mallampati score will benefit from nCPAP.

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