Invasive earthworms modulate native plant trait expression and competition

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Abstract

Biological invasions have major impacts on a variety of ecosystems and threaten native biodiversity. Earthworms have been absent from northern parts of North America since the last ice age, but non-native earthworms were recently introduced there and are now being spread by human activities. While past work has shown that plant communities in earthworm-invaded areas change towards a lower diversity mainly dominated by grasses, the underlying mechanisms related to changes in the biotic interactions of the plants are not well understood. Here, we used a trait-based approach to study the effect of earthworms on interspecific plant competition and aboveground herbivory. We conducted a microcosm experiment in a growth chamber with a full-factorial design using three plant species native to northern North American deciduous forests, Poa palustris (grass), Symphyotrichum laeve (herb), and Vicia americana (legume), either growing in monoculture or in a mixture of three. These plant community treatments were crossed with earthworm (presence or absence) and herbivore (presence or absence) treatments. Eight out of the eleven above- and belowground plant functional traits studied were significantly affected by earthworms, either by a general effect or in interaction with plant species identity, plant diversity level, and/or herbivore. Earthworms increased the aboveground productivity and the number of inflorescences of the grass P. palustris. Further, earthworms countervailed the increasing effect of herbivores on root tissue density of all species, and earthworms and herbivores individually increased the average root diameter of S. laeve in monoculture, but decreased it in mixture. In this study, earthworm presence gave a competitive advantage to the grass species P. palustris by inducing changes in plant functional traits. Our results suggest that invasive earthworms can alter competitive and multitrophic interactions of plants, shedding light on some of the mechanisms behind invasive earthworm-induced plant community changes in northern North America forests.

1 Abstract

2 Biological invasions have major impacts on a variety of ecosystems and threaten native 3 biodiversity. Earthworms have been absent from northern parts of North America since the last 4 ice age, but non-native earthworms were recently introduced there and are now being spread 5 by human activities. While past work has shown that plant communities in earthworm-invaded 6 areas change towards a lower diversity mainly dominated by grasses, the underlying 7 mechanisms related to changes in the biotic interactions of the plants are not well understood. 8 Here, we used a trait-based approach to study the effect of earthworms on interspecific plant 9 competition and aboveground herbivory. We conducted a microcosm experiment in a growth chamber with a full-factorial design using three plant species native to northern North American 10 11 deciduous forests, Poa palustris (grass), Symphyotrichum laeve (herb), and Vicia americana 12 (legume), either growing in monoculture or in a mixture of three. These plant community treatments were crossed with earthworm (presence or absence) and herbivore (presence or 13 absence) treatments. Eight out of the eleven above- and belowground plant functional traits 14 studied were significantly affected by earthworms, either by a general effect or in interaction 15 16 with plant species identity, plant diversity level, and/or herbivore. Earthworms increased the aboveground productivity and the number of inflorescences of the grass *P. palustris*. Further, 17 earthworms countervailed the increasing effect of herbivores on root tissue density of all 18 species, and earthworms and herbivores individually increased the average root diameter of 19 S. laeve in monoculture, but decreased it in mixture. In this study, earthworm presence gave 20 a competitive advantage to the grass species *P. palustris* by inducing changes in plant 21 22 functional traits. Our results suggest that invasive earthworms can alter competitive and 23 multitrophic interactions of plants, shedding light on some of the mechanisms behind invasive 24 earthworm-induced plant community changes in northern North America forests.

25 Keywords: biological invasion, competition, detritivore, herbivory, plant functional traits

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27 Introduction

Biological invasions occur globally and are among the most significant direct causes of native 28 29 biodiversity loss worldwide (Díaz et al., 2019; Ehrenfeld, 2010; Murphy & Romanuk, 2014). 30 Dramatic changes in biodiversity can be due to invasive species acting as ecosystem engineers, such as European earthworms in northern North America (Blouin et al., 2013; 31 Bohlen et al., 2004a; Ehrenfeld, 2010; Ferlian et al., 2018). Much of the native flora and fauna 32 was eradicated in this region during the last ice age, including earthworms (Gates, 1982), 33 which have been reintroduced by European settlers within the last centuries (Hendrix & Bohlen, 34 2002). 35

In areas where invasive earthworms are abundant, they alter the physico-chemical properties 36 of the soil (Bohlen et al., 2004a; Ferlian et al., 2020; Hale et al., 2005). The litter coverage is 37 38 reduced, soil horizons partly homogenized, nutrients redistributed, and soil pH increased while soil water content decreased (Blouin et al., 2013; Eisenhauer et al., 2007; Ferlian et al., 2020; 39 Hale et al., 2005). These modifications of abiotic conditions alter the habitat for soil-living 40 organisms (Eisenhauer et al., 2007; McLean & Parkinson, 2000). As a consequence, the 41 42 community composition of soil microbes and invertebrates is significantly altered, while soil 43 fauna diversity and density decrease with earthworm invasion (Eisenhauer et al., 2007; Ferlian 44 et al., 2018).

Further, earthworms can act as an ecological filter on seeds, seedlings, and plants (Eisenhauer 45 & Scheu, 2008b; Forey et al., 2011; Frelich et al., 2012) impacting plant species establishment. 46 47 The diversity of understory plants was reported to decrease, and the species and functional community composition change significantly in response to the invasion of earthworms (Bohlen 48 et al., 2004b; Craven et al., 2017; Hale et al., 2006). However, it was also shown that there are 49 winners and losers: while some grass and non-native plant species benefit from earthworm 50 51 invasion (Craven et al., 2017), the richness and abundance of herbs (Hale et al., 2006) and legumes (Eisenhauer et al., 2007) decrease in invaded forests, leading in some cases to 52 sparse vegetation with only few herbaceous plant species left (Bohlen et al., 2004b). 53

Nevertheless, the total plant cover was overall shown to increase and be dominated by 54 graminoids (Craven et al., 2017), indicating that earthworms may change competitive 55 interactions by benefitting certain plant species (Eisenhauer & Scheu, 2008a; Nuzzo et al., 56 57 2009; Wurst et al., 2005). In this context, our study aims to disentangle the mechanisms underlying plant community changes due to earthworm invasion, by focusing on biotic 58 interactions and changes in plant functional traits (i.e. "morpho-physio-phenological traits 59 which impact fitness indirectly via their effects on growth, reproduction and survival" (Violle et 60 61 al., 2007)). Plant functional traits related to development and resource uptake can be affected by earthworm presence (Thouvenot et al., 2021). Previous studies mostly focusing on native 62 plant and earthworm species have shown among others that earthworm activity enhanced 63 shoot biomass (Groenigen et al., 2014; Scheu, 2003), plant growth (Poveda et al., 2005; Wurst 64 65 et al., 2003; Xiao et al., 2018), seed quantity (Poveda et al., 2005), and fine-root growth (Blume-Werry et al., 2020), with some variation across plant species and functional groups. 66

67 The provision of available nitrogen is considered as the main pathway of earthworms to affect plants (van Groenigen et al., 2014). Earthworms enhance the nitrogen uptake of grasses, 68 69 herbs, and legumes from organic litter and soil (Eisenhauer & Scheu, 2008a; Wurst et al., 70 2003). Furthermore, their effects on soil structure, microbiota, and water availability can also 71 influence plant growth (Blouin et al., 2013; Cameron et al., 2014; Eisenhauer et al., 2012, 72 Scheu, 2003), and thus earthworms might select for plant species that tolerate drought, can germinate on bare soil, and spread vegetatively (Eisenhauer et al., 2012). By having dissimilar 73 effects on plant functional traits of different species, invasive earthworms can affect biotic 74 interactions of plants, e.g. competition and herbivory (Blouin et al., 2013; Eisenhauer et al., 75 2009) and thus promote certain plant species. For example, species with a more flexible 76 77 rooting strategy were able to better adapt to the presence of invasive earthworms and forage in their nutrient-rich burrows (Cameron et al., 2014). According to the plant economics 78 spectrum (Reich, 2014), grasses are considered as fast-growing plants that efficiently exploit 79 80 nutrient patches in contrast to slow growing herbs (Lavorel et al., 2007; Linder et al., 2018). 81 Therefore, grasses competitiveness could be increased by earthworm invasion (Craven et al.,

2017), as grasses potentially build more root biomass and take up more nitrogen when earthworms are present (Eisenhauer & Scheu, 2008a; Wurst et al., 2005). Indeed, it was shown that legumes had less biomass when growing with grasses in the presence of earthworms (Eisenhauer & Scheu, 2008a), which indicates an elevated competitive disadvantage that might explain the lower legume cover and biomass in earthworm-invaded aspen forests (Eisenhauer et al., 2007).

In addition to plant competition, invasive earthworms may alter multitrophic interactions of 88 plants. Earthworms can affect aboveground herbivory through plant-mediated effects (Wurst 89 et al., 2003; Wurst, 2010; Xiao et al., 2018), positively (Newington et al., 2004; Thakur et al., 90 2020), or negatively (Zaller et al., 2013). Loranger et al. (2012) reported that the nutrient 91 92 content and toughness of leaves are the most important plant traits linked to herbivory in 93 grassland: high plant tissue nitrogen content increases while high leaves' toughness 94 decreases leaves' palatability to herbivores. Leaf nitrogen content was shown to be enhanced by earthworm activity (Newington et al., 2004; Wurst et al., 2004, Xiao et al., 2015, Blume-95 96 Werry et al., 2020), while inconsistent effects were shown on leaf dry matter content, a trait that 97 could be used as proxy for leaf toughness (Elger & Willby, 2003; Thakur et al., 2020; Thouvenot 98 et al., 2021).

99 To improve the mechanistic understanding of recorded effects of invasive earthworms on 100 native understory plant communities in northern North American forests (e.g. Craven et al., 101 2017), we set up a microcosm experiment in a growth chamber with plants belonging to three 102 functional groups (grasses, herbs, and legumes), either in monoculture or mixture. Each plant community was subjected to the presence and absence of earthworms and aboveground 103 herbivores, respectively, resulting in a full-factorial design. To shed light on potential treatment 104 effects, we assessed a set of plant functional traits that are likely to play critical roles in plant 105 106 resource use, competition, and responses to herbivores. As earthworms were expected to increase nutrient availability for plants, we hypothesized that (1) invasive earthworms increase 107 108 overall productivity of native plant species. Given that grasses can exploit soil nutrients rapidly

109 (Linder et al., 2018) and their response to invasive earthworms is more pronounced than that 110 of herbs (Thouvenot et al., 2021), we hypothesized that (2) grasses become stronger competitors and show increased growth, while herbs and legumes show decreased growth 111 112 due to high competition from grasses in the presence of earthworms. Moreover, as herbivory can affect plant traits (Heinze, 2020), we expected (3) potential short-term effects of 113 aboveground herbivory on plant traits (Poveda et al., 2003), while (4) invasive earthworms may 114 modulate herbivory effects due to changes in soil nutrient availability (Eisenhauer & Scheu, 115 116 2008a). Further, we (5) expected that the effects of invasive earthworms and aboveground herbivory depend on plant community composition, e.g. with grasses benefiting most from the 117 presence of earthworms and herbivores in plant mixtures (Eisenhauer & Scheu, 2008a). 118

119 Methods

120 Experimental set-up

121 Earthworm effects on biotic interactions (e.g. competition and herbivory) were studied in a microcosm experiment under controlled conditions using a full-factorial design with four types 122 of plant communities and four earthworms/herbivore treatments. Three species of the native 123 plant community from Canadian forest understories (Hallworth & Chinnappa, 1997; Royer & 124 Dickinson, 2007), namely Poa palustris (grass, purchased at Sheffield's Seed Co. Inc, USA), 125 Vicia americana (legume, purchased at ALCLA Native Plants, Canada), and Symphyotrichum 126 laeve (herb, purchased at Wild About Flowers, Canada), were each grown in monoculture and 127 in mixture (*i.e.* all three together); resulting in four plant community treatments. We manipulated 128 129 the presence of earthworms (Lumbricus terrestris, anecic species from Europe, but invasive across northern North America; Hendrix et al., 2006, 2008) and aboveground herbivores 130 (larvae of Spodoptera exigua, chewing generalists) in a full-factorial design: (1) control (no 131 earthworms, no herbivores), (2) with earthworms only, (3) with herbivores only, and (4) with 132 133 both earthworms and herbivores. Each of the 16 different treatment combinations (4x plant communities, 2x earthworms, 2x herbivores) was replicated 6 times, resulting in 96 134 microcosms. The experiment was conducted for 82 days. Microcosms were randomly 135

distributed on four tables in an air temperature-controlled climate chamber with 60% humidity, at a day/night cycle of 16/8h and 23/15 \pm 0.9°C (mean \pm sd), with a light intensity of around 400-450 µmol m² s⁴ of photosynthetically active radiation. Each microcosm was watered with 20-100 ml of water every 2-3 days during the experiment. Microcosms were randomly redistributed between the tables every two weeks, but always at least one replicate of each treatment was placed on each table.

Microcosms consisted of PVC tubes (inner diameter 10 cm, height 24 cm) with a 700 μ m mesh glued to the bottom to allow drainage of water. At the top of the tube, a barrier of transparent plastic film (height 15 cm) was attached to prevent earthworms from escaping (Eisenhauer et al., 2012). Soil (sandy-clay soil, pH= 7.38; N= 0.06%; C= 1.20%; C:N= 20.98) was ordered from a commercial supplier (Kies Direkt, Germany), and each microcosm was filled with 3 kg of sterile (*i.e.* autoclaved at 120°; 90 min) soil. To leach nutrients and compounds released during the sterilization procedure, 1 I of water was added to each microcosm.

149 Then, a soil microbial inoculation, using native soil that was sampled in September 2020 in an uninvaded Aspen forest of the Kananaskis Valley (Alberta, Canada), was performed by directly 150 151 mixing soil and by using a microbial wash. Native soil was shipped frozen, stored at -20°C until usage, and, after defrosting, sieved through a 4 mm mesh. For each microcosm, we 152 homogenized the 3 kg of sterilized soil with around 137 g of the Canadian soil in a sterilized 153 (70% ethanol) bucket, before filling it back to the microcosm. To perform the microbial wash, 154 we mixed 46 g of Canadian soil with 100 ml of water and poured it into each microcosm, 155 156 followed by another 100 ml of water to dissolve the remains of the Canadian soil from the vessel. To ensure microbial community development, we stored the microcosms for ten days 157 at room temperature (20-25°C) and held their soil water content at 40-60% of their maximum 158 159 water holding capacity.

160 Plant material

161 Seeds were sown in soil from the Bad Lauchstädt Experimental Research Station of the 162 Helmholtz Centre for Environmental Research- UFZ (11°53′E 51°24′N). Prior to sowing, the

soil was autoclaved (120°C; 90 min), washed with tap water, and inoculated using both 163 164 approaches described above. Seeds of V. americana were scarified using sandpaper (grit size 150) and sown at 3-6 mm soil depth, S. laeve at 2 mm soil depth, and P. palustris at the soil 165 166 surface. Seeds then germinated in a reach-in climate chamber (night temperature: 18°C, day temperature: 22°C, duration day/night: 16 h/8 h; light intensity day: 450 µmol m² s⁻¹ (partial light 167 at 85%), humidity: 70%). After six weeks, the seedlings were transplanted into microcosms, 168 and their vegetative height was measured (mean \pm sd: *P. palustris*: 11.5 \pm 3.1 cm; *S. laeve*: 169 0.7 ± 0.3 cm; V. americana: 8.8 ± 2.3 cm; the reader should note the initial height differences 170 171 among plant species due to the specificity of each plant functional group; the plant individuals 172 were randomly distributed for the treatments, but we observed a significant initial difference of 0.11 cm between S. laeve individuals that received no herbivores (0.70 \pm 0.25 cm) and S. 173 174 *laeve* individuals that received herbivores $(0.59 \pm 0.27 \text{ cm})$ (Supplementary material, Table 175 A1)). Further, V. americana individuals growing in mixture not receiving herbivores (9.58 ± 2.47 176 cm) were initially 2.58 cm taller by chance than V. americana individuals in mixture that received herbivores (7.00 ± 2.08 cm) (Supplementary material, Table A1). We accounted for 177 178 these initial height differences in the statistical analyses. In each microcosm, the respective 179 three plants were planted in a triangle of about 5 cm from each other.

180 Earthworm treatment

181 Earthworms were ordered at a commercial supplier (easyzoo.de) and handled according to 182 Fründ et al. (2010). We sorted them into juveniles and adults, according to the presence or 183 absence of a clitellum and weighed them (after starving for 24 h at 12°C). The body mass of adults ranged from 2.8 g to 4.6 g (fresh weight of alive earthworms; mean \pm sd: 3.6 \pm 0.5 g), 184 185 while the weight of juveniles ranged from 1.8 g to 3.5 g (2.6 \pm 0.4 g). Pairs of adults and juveniles were formed to add a similar total body mass of earthworms per microcosm (mean± 186 187 sd: 6.2 ± 0.12 g). Earthworms were added to half of the microcosms (with earthworm treatment). Four grams of autoclaved (120°C; 90 min) and cut (~1 cm²) litter of poplar species 188

(*Populus sp.*; C:N= 22.6) sampled in a forest close to Leipzig, was added to each microcosm
to feed earthworms and simulate litter conditions in uninvaded Aspen forests.

191 Herbivore treatment

Herbivores were added in the last week of the experiment and left on the plants for five days 192 193 in clip cages to prevent them from escaping. Prior to their addition, herbivores were starved for one day and weighed. One clip cage was added to the second (or third if needed) green 194 and healthy leaf per plant, fixing ~50% of it in the cage, and receiving one herbivore each. In 195 total, 141 herbivores were added (three plant individuals were dead at this point in time) to half 196 197 of the microcosms (with herbivore treatment), and plants without herbivore treatment also received an empty clip cage to control for potential side effects. Clip cages consisted of two 198 199 foam rings of pipe insulation (inner diameter: ~3 cm, thickness: ~1.5 cm) with mesh of 250 µm 200 closing it on both sides, held together by three hair clips, and fixed by a wire to a wooden stick 201 next to the plant. After herbivore removal, the area consumed by the larvae was visually estimated according to predefined classes (0%, <1%, 1-5%, 6-15%, 16-25%, 26-50%, 51-75%, 202 203 >76%). The median values of these classes (0, 0.5, 3, 10, 20, 38, 63, 88) were used for further 204 analyses.

205 Plant trait measurements

Aboveground plant traits were measured for each plant individual. After herbivory rate 206 207 estimation, ramets were counted, and the height was measured (for S. laeve and P. palustris, 208 we measured the vegetative height; for V. americana, we measured the stretched height due 209 to its growth form). Further analyses were conducted with the height growth values to exclude 210 initial height differences among plant individuals and treatments. To calculate height growth of 211 plants in monoculture, we subtracted the mean initial height per microcosm from the final height 212 of each plant individual. For mixtures, the respective initial height was subtracted from the final height of each individual plant. In microcosms with plant mixtures, inflorescences (only P. 213 214 palustris developed inflorescences) were documented per plant individual, while in

monocultures, they were counted per microcosm, divided by three, and this average value was then used once per (grass) monoculture microcosm for further analysis. Three green and healthy leaves including the petiole (preferably the 3rd, 4^m, and 5^m youngest) were cut off the plant, weighed, and scanned fresh using a CanoScan LIDE220 Scanner (Canon Inc., Vietnam) at 600 dpi in grayscale. The leaf that was secured in the clip cage (irrespective of herbivore treatment) was previously taken for metabolomic measurements, which are not part of this manuscript. The aboveground biomass was then cut off and stored in paper bags.

222 To measure belowground plant traits, the soil was removed from the microcosms. Earthworms 223 were collected, starved again, and reweighed after 24 h. Soil samples for pH and water content (each 30 g) analyses were taken and stored at -20°C until further processing. Roots from each 224 225 plant individual were disentangled and thoroughly washed with water. Debris and black/dead 226 parts of roots were picked out using a tweezer. Roots were sorted into fine roots (<2 mm 227 diameter) and coarse roots (>2 mm diameter). Detached roots were grouped as community 228 roots (including small root pieces from the soil), and the water used for cleaning the roots was 229 sieved (250 µm) to not lose any root material. Further analysis of belowground traits was done 230 on one random individual from plant monocultures and all individuals from plant mixtures. 231 Depending on the mass of the fine roots, either the whole sample or a representative fraction (mean± sd: 0.6 ± 0.6 g) of it was weighed and scanned using an Epson Perfection 11000XL 232 Scanner (Epson America, Inc., CA, USA) at 600 dpi in grayscale. All samples (aboveground 233 biomass, scanned leaves, and all root samples) were oven-dried at 60°C for 72 h and weighed 234 again to assess their dry mass. Above- and belowground community (microcosm) biomasses 235 were determined by adding the respective dry weights, and community shoot:root ratio was 236 237 calculated.

Leaf scans were analyzed using the software WinFOLIA (Version: 2014a Pro; Regent Instruments Inc., Canada). Leaf area (cm²) was provided by the software, and we calculated specific leaf area (SLA; leaf area divided by its dry mass (mm² mg⁴)), and leaf dry matter content (LDMC; dry mass divided by fresh mass (mg g⁴)) using standardized protocols (Pérez-

Harguindeguy et al., 2016). For SLA and LDMC, an average per individual was determined. 242 Root scans were analyzed using the software WinRhizo (Version 2013e Pro, Regent 243 244 Instruments Inc., Canada), removing objects with an area smaller than 0.001 cm². Larger 245 debris pieces, air bubbles, and scratches were manually excluded. Root average diameter (mm) was provided by the software, and we calculated specific root length (SRL; root length 246 247 divided by dry mass (m g⁻¹)), root tissue density (RTD; dry mass divided by volume (g cm⁻³)), and root dry matter content (RDMC; dry mass divided by fresh mass (mg g⁻)) (Pérez-248 249 Harguindeguy et al., 2016; Roumet et al., 2016). For carbon (C) and nitrogen (N) content measurement, leaves of the same plant individual were pooled, and 30 g of soil of each 250 microcosm were analyzed. All samples were dried (60°C for 72 h), ground, and transferred 251 into tin capsules (30 mg, respectively). Analysis was performed using dry combustion with an 252 elemental analyzer (Vario EL cube IR, Elementar Analysensysteme GmbH, Langenselbold, 253 254 Germany). C and N content were provided as the relative mass proportion of the element (%) 255 per sample mass, and C:N ratio was calculated from these.

256 Measurements of soil abiotic properties

257 For the first four weeks of the experiment, one plastic strip with respectively one ion-exchange membrane glued to each side (IEMs, 2.5 cm x 2.5 cm; AMI-7001S and CMI-7000S, 258 Membranes International Inc., Ringwood, NJ, USA) was added to each microcosm at 10 cm 259 depth to assess soil inorganic N availability (Durán et al., 2013). Prior to addition, the 260 membranes were immersed in 5% NaCl solution for 2 h at 37°C to allow for membrane 261 262 hydration and expansion. After 29 days, anion and cation membrane from IEMs were removed from the soil, air dried, brushed to remove soil particles, and carefully removed from plastic 263 strips. The extraction was performed with 2 M KCL by orbital spinning (1 h at 200 rpm). The 264 extracts were analyzed for ammonium (NH₄), nitrate (NO₃-) by the indophenol blue method 265 (Sims et al., 1995) and phosphate (PO.) by the molybdenum blue method (Allen et al., 1986), 266 267 with a microplate reader (Durán et al., 2008). One sample was excluded from statistical analysis, as we only found the plastic strip at the end of the experiment and not after 29 days 268

(Grass-Control-2). For pH measurements, 10 g of air-dried soil was solved in 25 ml of 0.01 M
CaCl₂ solution, shaken, and left for 1 h. Measurements were conducted with a pH-meter (Orion
Star A211, Thermo scientific, MA) according to the manual. To determine soil water content,
fresh soil was weighed, dried in a drying oven (75°C for at least 24 h), and reweighed.

273 Statistical analyses

274 Statistical analyses and figures were conducted and created, respectively, using the R software version 4.1.2 (R Core Team, 2021). Each trait was analyzed using a linear model, 275 followed by a Type II ANOVA from the package 'car' (Fox & Weisberg, 2019) after removing 276 277 missing data (NAs). Plant species identity, plant diversity level, earthworm treatment, and herbivore treatment were analyzed as factors in a four-way interaction, respectively. 278 279 Assumptions of the models were visually checked using the R packages 'performance' (Lüdecke et al., 2021a) and 'see' (Lüdecke et al., 2021b). When needed, variables were log 280 transformed, such as aboveground biomass, leaf area, LDMC, SLA, SRL, RTD, nitrogen 281 content of leaves, soil water content, herbivory rate, PO43-, and NH4+ of soil. To analyze height 282 growth and aboveground biomass, the averaged initial height was added to the model as a 283 covariate, but not in interaction with the other factors. For count (ramets) and proportion 284 (survival data) data, generalized linear models (Poisson and binomial, respectively) were 285 performed using the package 'stats' (R Core Team, 2021). As only P. palustris developed 286 287 inflorescences, species identity was not considered for the analysis. When analyzing herbivore survival/rate or earthworm survival the respective treatments (*i.e.* herbivore and/or earthworm 288 presence) were excluded as an explanatory variable. For community traits and soil abiotic 289 290 measurements the species column included the necessary information (S. laeve, P. palustris, V. americana, or mixture) and the plant diversity level was therefore excluded as an 291 explanatory variable. Post-hoc tests of the significant interactions were conducted using the 292 function 'emmeans' from the correspondent R package (Lenth, 2021) with Holm correction. 293 294 Compact letter displays were created using the R package 'multcomp' (Hothorn et al., 2008). Data were plotted per trait using 'ggplot2' (Wickham, 2016) and the letters correspond to the 295

significant interaction with the highest number of factors. For calculating the change of traits in
percentage the estimated marginal means from the post-hoc tests were used. All results are
in SI and the following abbreviations are used for the statistical effects in the results: earthworm
treatment= EW; herbivore treatment= Hrb; plant species identity= Spc; Plant diversity level=
Clt.

301 Results

302 Plant community productivity responses

Only five out of the 288 plant individuals died during the experiment (1.7%), four of which 303 were V. americana individuals growing in mixture and one S. laeve from a monoculture 304 (Supplementary material, Table A2). In the presence of earthworms, *P. palustris* and mixed 305 communities produced significantly more aboveground biomass than when earthworms were 306 absent (P. palustris: +26%, mixed community: +23%; EW:Spc interaction effect: p=0.0006; 307 308 Figure 1; Supplementary material, Table A3). Root community biomass and community shoot:root ratio were not significantly affected by earthworm presence (Supplementary 309 310 material, Table A3, Figure A1 and A2).



Figure 1: Community aboveground biomass (per microcosm) (estimated marginal mean ± Cl_{sos}) of different plant communities (monocultures of *Symphyotrichum laeve*, *Poa palustris*, and *Vicia americana*, or mixture of all three species) with (+) and without (-) earthworm as well as herbivore treatment (presence (yellow triangles) vs. absent (green circles)). A linear model was applied to analyze these data after excluding NA's. The letters were derived from a post-hoc test (pairwise comparison of estimated marginal means using the "holm" correction) investigating

the effect of earthworm treatment for each plant community. Different letters represent a significant difference (pvalue < 0.05) and can be interpreted only within each community. In total, 96 observations were analyzed (each community type: 24; earthworm and herbivore absence/presence: 48 each). Significant factors are displayed in the bottom left corner ('***' 0.001; '**' 0.01; '*' 0.05).

321 Plant functional trait responses

All studied plant functional traits differed significantly among plant species. Eight out of eleven plant functional traits were significantly affected by earthworm presence; either by a general effect across all treatments or the effect differed significantly among plant species, with plant diversity level, and/or herbivore presence.

326 Aboveground trait responses

327 Among the aboveground traits measured, earthworm treatment overall affected aboveground 328 biomass, leaf area, specific leaf area, and leaf dry matter content, but not the height growth 329 (Supplementary material, Table A4). Earthworm presence increased aboveground biomass 330 across all plant species (+15%), irrespective of other treatments (EW effect: p=0.017). Overall, 331 V. americana and P. palustris individuals had the largest height difference between initial and 332 final height (V. americana: 22.10 \pm 11.39 cm, P. palustris: 16.55 \pm 5.60 cm), while S. laeve individuals grew the least (3.80 ± 2.73 cm; Supplementary material, Figure A3). Earthworm 333 and herbivore treatments did not significantly affect the height growth of any plant species. 334 335 Symphyotrichum laeve and P. palustris individuals had a higher leaf area when earthworms were present (S. laeve: +22%, P. palustris: +9%), while it was the opposite for V. americana 336 individuals (-18%; EW:Spc interaction effect: p=0.043). Despite leaf area changes, the SLA of 337 S. laeve and P. palustris were not significantly affected by the experimental treatments. 338 However, in the presence of earthworms, the SLA of V. americana was higher in the absence 339 of herbivores in mixture in comparison to the treatment with herbivores in monoculture (-44%), 340 while the other treatment combinations had a similar SLA (EW:Hrb:Clt:Spc interaction effect: 341 342 p=0.013; Figure 2; Supplementary material, Table A4). The LDMC was generally higher (+9%) when earthworms were present (EW effect: p=0.006; Supplementary material, Figure A4). 343



Figure 2: Aboveground biomass (A), leaf area (B), and specific leaf area (C) (estimated marginal mean ± Cl_{ses}) of the three plant species studied (*Symphyotrichum laeve, Poa palustris,* and *Vicia americana*) growing in different plant diversity levels(monoculture vs. mixture) with (+) and without (-) earthworm as well as herbivore treatment (presence (yellow triangles) vs. absent (green circles)). A linear model with a log transformation was applied to

349 analyze these data after excluding NA's. Data were back-transformed before plotting. The letters were all derived 350 from post-hoc tests (pairwise comparison of estimated marginal means using the "holm" correction). Different letters 351 represent a significant difference (p-value < 0.05) and can be interpreted only within each species. Significant factors are displayed in the bottom left corners (****' 0.001; ***' 0.01; **' 0.05). A) Post hoc tests investigated the 352 353 effect of plant diversity level for each species. In total, 286 observations were analyzed (S. laeve: 95; V. americana: 354 95; P. palustris: 96; monoculture: 215; mixture: 71; earthworm and herbivore absence/presence: each 143). B) Post 355 hoc tests investigated the interaction between herbivore treatment and plant diversity level for each species. In total, 356 277 observations were analyzed (S. laeve: 93; P. palustris: 96; V. americana: 88; monoculture: 214; mixture: 63; 357 earthworm absence: 137; earthworm presence: 140, herbivore absence: 139; herbivore presence: 138). C) Post 358 hoc tests investigated the interaction of plant diversity level, earthworm, and herbivore treatment for each species. 359 In total, 272 observations were analyzed (S. laeve: 93; V. americana: 87; P. palustris: 92; monoculture: 214; mixture: 360 58; earthworm absence: 138, earthworm presence: 134, herbivore absence: 137; herbivore presence: 135).

361

Earthworms affected the C, N, and C:N ratio of the leaves (Supplementary material, Table A4). 362 363 The C content of S. laeve leaves growing in mixture without earthworms was higher compared 364 to individuals growing in monoculture irrespective of earthworm presence (+6%; EW:Clt:Spc interaction effect: p=0.006). The leaf N content of P. palustris was increased in the presence 365 of earthworms (+27%), while no significant difference was found for the other two species 366 (EW:Spc interaction effect: p=0.0004). Symphyotrichum laeve and P. palustris both had a 367 368 lower C:N ratio when earthworms were present (S. laeve: -9%; P. palustris: -19%; EW:Spc interaction effect: p<0.0001; Figure 3). Across all species, plant individuals growing in mixture 369 without earthworms had the highest C:N ratio, and plant individuals growing in monoculture 370 with earthworms present the lowest (EW:Clt interaction effect: p=0.040). The C:N ratio of plant 371 372 individuals growing in mixture with earthworms did not differ significantly from plant individuals growing in monoculture without earthworms, and their C:N ratios were between the other two 373 plant diversity level/ earthworm treatment combinations (Figure 3). Overall, plant individuals 374 375 growing in monocultures with earthworms had a lower C:N ratio (-7%) than the ones without 376 earthworms. Plant individuals growing without earthworms had a higher C:N ratio in mixtures 377 (+18%) than in monocultures.





390 Reproductive trait responses

While only *P. palustris* individuals developed inflorescences that were produced in higher number in mixtures (+172%; Clt effect: p<0.0001) and when earthworms were present (+17%; EW effect: p=0.011; Supplementary material, Figure A7, Table A5), vegetative reproductive abilities (*i.e.* number of ramets) were overall increased for all species in the presence of earthworms (+12%; EW effect: p<0.0001; Supplementary material, Figure A8, Table A5). Moreover, *Poa palustris* produced more ramets in plant mixtures (+124%), while *V. americana* had a lower number of ramets in mixtures (-36%; Spc:Clt interaction effect: p<0.0001).

399 Belowground trait responses

400 We found coarse roots for V. americana (46 samples) and S. laeve (four samples), while P. 401 palustris only had fine roots. Only root average diameter and root tissue density measured on 402 the fine roots samples varied in response to the earthworm treatment, the herbivore treatment, and the plant species (Figure 4; Supplementary material, Table A6). Root dry matter content 403 404 (RDMC) was higher in mixture compared to monoculture (+54%; Clt effect: p=0.018), but was not affected by earthworm presence nor another treatment (Table 3; Supplementary material, 405 406 Figure A9). In the same way, plant diversity level, earthworm presence, and herbivore presence did not significantly affect specific root length (Supplementary material, Table A6). 407 However, root average diameter of S. laeve individuals growing in mixture with either 408 409 earthworms present and herbivore absent, or earthworms absent and herbivore present was lower (-30%) than that of the same species in monoculture with the same treatment 410 combinations (EW:Hrb:Clt:Spc interaction effect: p=0.033; Figure 4), while the other plant 411 412 species were not significantly affected by this interaction of the experimental treatments. The roots of V. americana individuals had a lower root tissue density (RTD) when earthworms were 413 414 present compared to the treatment without earthworms (-21%; Spc:EW: p=0.015; purple letters in Figure 4). In the absence of earthworms, all three studied plant species had higher RTD 415 when herbivores were present (+23%) compared to the treatment without herbivores (EW:Hrb 416 417 interaction effect: p=0.009).



420 Figure 4: Root average diameter (A) and root tissue density (B) (estimated marginal mean ± Cless) of the three plant 421 species studied (Symphyotrichum laeve, Poa palustris, and Vicia americana) growing in different plant diversity 422 levels (monoculture vs. mixture) with (+) and without (-) earthworm as well as herbivore treatment (presence (yellow 423 triangles) vs. absent (green circles)). After excluding NA's, a linear model was applied to analyze data. The letters 424 were all derived from post-hoc tests (pairwise comparison of estimated marginal means using the "holm" correction). 425 Different letters represent a significant difference (p-value < 0.05) and can be interpreted only within each species. 426 Significant factors are displayed in the bottom left corner ('***' 0.001; '**' 0.01; '*' 0.05), respectively. A) Post hoc 427 tests investigated the interaction of plant diversity level and earthworm and herbivore treatment for each species.In 428 total, 143 observations were analyzed (S. laeve: 46; V. americana: 47; P. palustris: 50; monoculture: 76; mixture: 429 67; earthworm absence: 69; earthworm presence: 67; herbivore absencence: 71; herbivore presence: 72). B) Data 430 was log transformed before analysis and back- transformed before plotting The purple letters (upper) come from a 431 post-hoc investigating the effect of earthworm treatment for each species. The green letters (lower) were derived 432 from a post-hoc test investigating the effect of plant diversity level for each species. In total, 142 observations were analyzed (*S. laeve*: 46; *V. americana*: 49; *P. palustris*: 47; monoculture: 75; mixture: 67; earthworm absence: 74;
earthworm presence: 68; herbivore absence: 70; herbivore presence: 72).

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436 Earthworm / herbivore survival and herbivory rate

437 Out of the 96 earthworms added to experimental microcosms, 68 were found alive at the end 438 of the experiment (70%), and no experimental treatment influenced their survival significantly (Supplementary material, Table A2). We also found that 118 herbivores died or escaped (84%) 439 440 after five days, while 23 were still alive and present (16%), and 97 out of 141 leaves (64%) had 441 marks of herbivory on them. Most herbivores survived on S. laeve growing in monoculture (44%) followed by V. americana monoculture (17%), while no herbivores survived on these 442 plant species growing in mixture. One herbivore survived on *P. palustris* growing in mixture, 443 none when growing in monoculture (Supplementary material, Table A2). Overall, the S. exigua 444 445 larvae fed most on S. laeve, secondly on V. americana, and the least on P. palustris (Spc effect: p=0.017; Supplementary material, Table A7, Figure A11). Earthworm presence had no 446 447 significant effect on the herbivory rate.

448 Soil abiotic properties

449 Soil pH (7.41 \pm 0.05) and soil C:N ratio (17.65 \pm 3.67) were not significantly affected by the 450 experimental treatments (Supplementary material, Table A8, Figure A12 and A13). Soil water content was significantly lower (-9%) in the presence of earthworms (EW effect: p=0.027; 451 Supplementary material, Table A8, Figure A14). Soil C content did not differ among plant 452 species, but soil of *P. palustris* communities contained less C when earthworms were present 453 (-19%; EW:Spc interaction effect: p=0.013; Supplementary material, Table A8, Figure A15), 454 and soil of Vicia americana communities had an increased soil C content in the presence of 455 herbivores (+17%; Hrb:Spc interaction effect: p=0.012). Soil of P. palustris communities 456 457 contained significantly more N without earthworms and herbivores present (+48%) compared to other treatment combinations (EW:Spc:Hrb interaction effect: p=0.041). Within the first four 458 weeks of the experiment, no other factor apart from plant species identity had a significant 459

effect on PO₄[•] (Spc effect: p=0.0003; Supplementary material, Table A8, Figure A17). In presence of earthworms, soil NO₃[•] increased significantly (+54%; EW effect: p=0.0003; Supplementary material, Table A8, Figure A18). NH₄⁺ did not change significantly in response to any treatment, including plant species identity and earthworm treatments (Supplementary material, Table A8, Figure A19).

465 **Discussion**

466 Earthworms change plant trait expression

Given that earthworm invasion is changing plant communities in North American forests 467 468 towards lower diversity and grass dominance (Craven et al., 2017), we investigated the 469 underlying mechanisms by examining how earthworms affect the functional traits of plant species belonging to three different plant functional groups (*i.e.* grasses, herbs, and legumes) 470 in different plant communities (*i.e.* different levels of competition) and in the presence and 471 472 absence of aboveground herbivores. Our study confirms that invasive earthworms can affect 473 plant functional traits of native plants in a significant way, which is in line with the recent findings of Thouvenot et al. (2021) and Blume-Werry et al. (2020). We observed that eight out of eleven 474 measured plant functional traits were affected either by the main effect of earthworms, or 475 476 earthworm effects in combination with those of plant species identity, plant diversity level, and/or herbivore presence. Only height growth, root dry matter content, and specific root length 477 did not respond significantly to earthworm presence. Across all plant species, earthworm 478 treatment (alone) increased the number of ramets, the LDMC, and induced a modification in 479 480 the leaf area. For example, we found that V. americana developed a smaller leaf area, while S. leave and P. palustris developed a larger leaf area when growing in earthworm presence. 481 These changes might be based on higher nutrient availability due to the activities of 482 earthworms (van Groenigen et al., 2014). Indeed, it was shown that LDMC is a predictor for 483 484 soil fertility (Hodgson et al., 2011), and Zaller & Arnone (1999) explained increased ramet production close to earthworm casts mainly by enhanced nutrient availability. This is in line 485

with increased concentrations of nitrate in soil and higher leaf nutritional value in *S. laeve*(lower C:N) and *P. palustris* (lower C:N; higher N) in the presence of earthworms. The
observed increase of soil nitrate in the presence of earthworms supports the assumption that
earthworms enhance soil nitrogen availability and uptake by plants (van Groenigen et al.,
2014).

491 Grass aboveground productivity and reproduction benefits from interspecific 492 competition and earthworms

Aboveground productivity of P. palustris monocultures and the mixed community were 493 494 significantly increased in the presence of earthworms, while productivity of S. laeve and V. americana communities did not show any general short-term responses to the earthworm 495 496 treatment. These results support the findings that slow-growing species cannot exploit additional nutrients as rapidly as fast-growing species (Reich, 2014), and provide only partial 497 498 support for our hypothesis (1), expecting that plant productivity is generally increased by 499 earthworm presence. Grass community productivity may be more responsive to earthworm 500 activity due to their high resource competitiveness and rapid growth (Eisenhauer & Scheu, 501 2008a; Linder et al., 2018; Thouvenot et al., 2021).

When growing in interspecific competition, several traits of *P. palustris* (lower C:N, more 502 503 aboveground biomass, ramets, and inflorescences) indicate an elevated nutrient uptake, growth, and reproduction. Reduced SLA points to decreased photosynthetic rate (Violle et al., 504 505 2007), probably due to less competition for light. Moreover, P. palustris developed more 506 inflorescences when growing in earthworm presence. Inflorescences are an indicator for individual plant performance (Roscher et al., 2011), and an increased sexual reproduction may 507 represent a competitive advantage (E-Vojtkó et al., 2020) rarely reported in previous studies 508 on the consequences of earthworm invasion (Blume-Werry et al., 2020). As earthworms can 509 510 change nutrient and water availability (Ferlian et al., 2020), they might act as a filter on plant reproduction (Eisenhauer et al., 2012; E-Vojtkó et al., 2020). Even though we found that P. 511 palustris individuals benefit from earthworm presence and from interspecific competition (or 512

missing intraspecific competition), we did not find an interaction effect of these two factors. 513 514 Besides several functional traits of the legume species V. americana and the herb species S. laeve changing in interspecific competition (e.g. lower aboveground biomass, lower height 515 516 growth, less ramets), four out of 24 V. americana individuals died that grew in mixed communities. The legume individuals developed a higher SLA in interspecific competition, 517 which is a strategy to cope with lower light availability by raising their photosynthetic rate 518 (Roscher et al., 2011; Violle et al., 2007). Nevertheless, we did not find an interaction effect of 519 520 plant diversity level and earthworm presence for any of the studied traits for these two species, little 521 providing support for hypothesis (2). our The finding that neither earthworm presence, nor a change of plant diversity level had a 522 523 significant effect on the C:N ratio of V. americana leaves, supports results of former studies 524 that state that legumes are rather independent of soil nutrient changes, e.g. caused by plant 525 competition or the presence of earthworms (van Groenigen et al., 2014; Wurst et al., 2003). 526 Since S. laeve and V. americana did not develop inflorescences in any of the treatments, it 527 seems that the experiment was too short for this to happen. As seed production is often 528 referred to as "the fitness currency" of plants (Schwachtje et al., 2006) and flowering is a critical prerequisite, the effects of earthworms on flowering and seed production deserve more 529 attention, and experiments carried out over a longer period of time are needed. Moreover, such 530 studies would help finding out whether the grasses only developed inflorescences earlier or 531 532 more overall when earthworms were present.

533 Earthworm presence does not affect herbivory rate or herbivore survival

Neither herbivory rate, nor herbivore survival, of any of the plant species was affected by earthworm presence (Hypothesis (4)). As the initial C:N ratio of the soil used (20.98) was higher and the N% (0.06%) lower than in comparable studies (Eisenhauer et al., 2007; Thouvenot et al., 2021; Wurst et al., 2005), we do not expect the lack of earthworm effects on herbivory and herbivore survival to be due to a too high initial soil nutrient status (van Groenigen et al., 2014). Contrary to the expectations that slow-growing species have a better defense than fast-

540 growing species (Reich, 2014), the grass species studied was not only the least palatable, but 541 also the least suitable for the survival of herbivores. A possible explanation is that grass leaves contain silica, which enhances the tissues abrasiveness and reduces its digestibility (Luyckx 542 543 et al., 2017; Vicari & Bazely, 1993) by changing leaf surface morphology (Hall et al., 2020). The increase of LDMC (higher defense; all plant species) and the decrease of C:N ratio (higher 544 nutritious value; P. palustris and S. laeve) caused by earthworms could also have balanced 545 each other out and, thus, prevented a change of herbivory rate. Furthermore, multiple aspects 546 547 that have an impact on the outcome of earthworm effects on herbivory have been identified, which may also differ with the environmental context. For example, it was shown that the 548 549 density and diversity (species and ecological groups) of earthworms, the herbivore feeding 550 guilds (chewing, phloem-feeding, cell-feeding), and plant functional group identity may play a 551 role (Xiao et al., 2018).

552 Earthworm presence and competitive environment modulate herbivore effects on 553 specific traits

554 We found effects of short-term aboveground herbivory on plant traits (*i.e.* LDMC, leaf area, 555 RTD; hypothesis (3)). However, the effects were modulated by interspecific competition and earthworm presence, supporting our hypothesis (5) (*i.e.* effects of invasive earthworms and 556 aboveground herbivory depend on plant community composition). Leaf traits (LDMC and leaf 557 area) of plants growing in interspecific competition changed in the presence of herbivores, 558 which indicates that interspecific competition led to the leaf development being more sensitive 559 560 to herbivory, and plants invested more into mechanical defense (tougher leaves) (Loranger et al., 2012). 561

In the absence of earthworms, herbivore presence led to a higher RTD across plant species, which is associated with higher resistance to pathogens and drought (Pérez-Harguindeguy et al., 2016). This might offer an advantage in tolerating herbivore attack by reducing the risk of other influences that may harm the herbivory-weakened plant (e.g. drought and pathogens). Further, a higher RTD might indicate translocation of resources after herbivore attack (Babst et al., 2008; Gómez et al., 2010; Schwachtje et al., 2006) or a more conservative use of, for
example, carbon through lower root exudation (Wen et al., 2021). Vice versa, our data shows
that the RTD of plants that grew with earthworms did not change in response to herbivory,
indicating that earthworms suppressed an herbivory-induced increase of RTD. Herbivory rate
was not lower when earthworms were present. Possibly, firmer leaf tissue (measured as higher
LDMC) might have reduced the effect of herbivory on the plants' condition and thus did not
cause any significant change of RTD.

574 The effects of earthworm presence on the root average diameter of S. laeve and to a lesser 575 extent on the SLA of V. americana were also dependent on the biotic context. The similar effect of earthworms and herbivores, respectively, in the absence of the other leading to the highest 576 577 and lowest values of root average diameter within the plant diversity level is surprising, since 578 they have fundamentally different effects on plants. Presumably, herbivory led to a 579 redistribution of resources towards roots (e.g. Schwachtje et al., 2006), while earthworms are 580 known to improve nutrient availability for plants (Scheu, 2003; van Groenigen et al., 2014). 581 Changed resource availability due to competition (Aschehoug et al., 2016) modulated these 582 effects, but when earthworms and herbivores were both present, root average diameter 583 changes were not observed, regardless of plant diversity levels. These complex interactions 584 for the first time indicate that earthworms interact with interspecific competition and herbivory. We recommend for future studies to investigate the mechanisms behind these interactions on 585 586 belowground traits for different plant species to be able to predict the consequences for plant community structure. Further, we suggest studying additional root resource-acquisition 587 strategies, such as mycorrhizal symbiosis and root exudation, since these may also play critical 588 roles in plant resource use and competition (Wen et al., 2021). To relate all our results more 589 590 broadly to the functional groups of plants, we recommend testing more and different plant species per plant functional group in future studies. 591

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594 **Conclusions**

595 Our study provides experimental evidence that invasive earthworms affect plant resource acquisition and competitive abilities by inducing changes in above- and belowground plant 596 597 functional traits. These effects were partly modulated by competition and herbivory, and may reveal some of the mechanisms behind plant community changes in northern North American 598 forests after earthworm invasion. Earthworms did not only enhance the aboveground biomass 599 of the grass *P. palustris* but also improved its competitive advantage, such as reflected by a 600 higher number of inflorescences, enhanced leaf area, and elevated tissue N content. Our 601 findings thus confirm that the grass species *P. palustris* is a strong competitor and benefits 602 from earthworm presence at least in the short term. The herb and legume species did not 603 benefit to a similar extent by earthworm presence, which is why we assume that earthworms 604 605 increased the competitive strength of the grass species at the expense of the other plant functional groups. Earthworms did not affect herbivory rate and herbivore survival, but 606 suppressed an effect of herbivores on the root tissue density. Our study shows that changed 607 608 plant trait expression by earthworms is partly depending on the biotic context of the plants; *i.e.* 609 competition and herbivory. Taken together, the results of the present study thus shed light on 610 trait-based mechanisms potentially underlying observed shifts in plant community composition 611 in northern North American forests to the benefit of grasses and the expense of legumes.

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