

Can Genotypic Differences in Rice Response to Elevated CO₂ be Predicted with Proxy Traits Measured under Ambient CO₂ Levels?

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Abstract

Rising atmospheric [CO₂] causes global warming but may also benefit photosynthesis and yield of C3 crops such as rice. Previous research showed that positive effects depend on a cultivar's sink-source ratio as sink limitation incurs acclimation of photosynthesis to elevated [CO₂] (e-CO₂). To enable breeding for e-CO₂ response, predictive, easily measurable proxy traits under ambient [CO₂] are needed. The local source-sink ratio (LSSR: flag leaf/panicle size) is a potential proxy trait, proposed by a previous study. We evaluated this and similar trait indices for two diverse rice cultivar samples under e-CO₂ vs ambient level in controlled environments. The significant negative effect of genotypic LSSR on maximum photosynthesis (A_{max}) under e-CO₂, and a similar but weaker effect on the grain yield response, was confirmed. However, LSSR observed was more predictive under e-CO₂ than ambient, rendering this proxy trait impractical for field-based selection. This difference was due to the phenotypic plasticity of LSSR between [CO₂] levels in our populations. Variants of LSSR incorporating SPAD leaf chlorophyll content and panicle sink capacity improved LSSR predictive power under ambient [CO₂] for A_{max} . We conclude that genotypic sink-source ratio is an important physiological determinant of [CO₂] response, but proxy traits need to be further refined and field-validated to become useful selection or phenotyping tools for improved e-CO₂ response of rice.

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Abstract

Rising atmospheric $[\text{CO}_2]$ causes global warming but may also benefit photosynthesis and yield of C3 crops such as rice. Previous research showed that positive effects depend on a cultivar's sink-source ratio as sink limitation incurs acclimation of photosynthesis to elevated $[\text{CO}_2]$ (e- CO_2). To enable breeding for e- CO_2 response, predictive, easily measurable proxy traits under ambient $[\text{CO}_2]$ are needed. The local source-sink ratio (LSSR: flag leaf/panicle size) is a potential proxy trait, proposed by a previous study. We evaluated this and similar trait indices for two diverse rice cultivar samples under e- CO_2 vs ambient level in controlled environments. The significant negative effect of genotypic LSSR on maximum photosynthesis (A_{max}) under e- CO_2 , and a similar but weaker effect on the grain yield response, was confirmed. However, LSSR observed was more predictive under e- CO_2 than ambient, rendering this proxy trait impractical for field-based selection. This difference was due to the phenotypic plasticity of LSSR between $[\text{CO}_2]$ levels in our populations. Variants of LSSR incorporating SPAD leaf chlorophyll content and panicle sink capacity improved LSSR predictive power under ambient $[\text{CO}_2]$ for A_{max} . We conclude that genotypic sink-source ratio is an important physiological determinant of $[\text{CO}_2]$ response, but proxy traits need to be further refined and field-validated to become useful selection or phenotyping tools for improved e- CO_2 response of rice.

Key words

Oryza sativa L., Sink-source ratio, A_{max} photosynthesis, CO_2 acclimation, crop CO_2 response, climate change

Introduction

While climate change is considered to have mostly adverse effects on crop production through heat stress (Zhao et al. 2017) and heat spells, rising nocturnal temperatures (Jagadish et al. , 2015, 2016; Sadok and Jagadish, 2020; Impa et al. , 2021), more frequent periods of drought or floods (Rohde, 2023), and more variable climatic conditions in general, the rise of atmospheric CO_2 concentration ($[\text{CO}_2]$) may have beneficial effects. For photosynthesis of C3 plants such as rice, CO_2 is a limiting resource, and free-air CO_2 enrichment experiments (FACE) in Japan (Hasegawa et al. , 2013, 2016; Kumaret al. , 2017) and China (Liu et al. , 2017; Cai et al. , 2020; Lv et al. , 2020) have established that yield gains are substantial under an increase of $[\text{CO}_2]$ from current levels (around $400 \mu\text{mol mol}^{-1}$) to those expected for 2050 (550 to $600 \mu\text{mol mol}^{-1}$). The same studies indicated that varietal differences are large for this response.

Dingkuhn et al. (2020) reviewed the probable physiological causes of varietal differences in CO_2 response in C3 crops. A major determinant is the carbon sink capacity that should be commensurate with the increased source under elevated $[\text{CO}_2]$ (e- CO_2). Thus, breeding for optimum C source-sink relationships might provide gains in photosynthesis response to e- CO_2 and thereby increase biomass and yield.

Comparing various rice genotypes having contrasting local source-sink ratio (LSSR), defined as the ratio between flag leaf area and grain number of the adjacent panicle on the main stem, Fabre et al. (2019 & 2020) demonstrated that this trait is related to rice yield and photosynthetic response to e- CO_2 . Genotypes with larger sink capacities during grain filling (low LSSR) benefited more from CO_2 enrichment while having increased photosynthetic capacity (A_{max}) of flag leaves. Under severe sink limitation caused by panicle pruning and e- CO_2 treatment, triose-phosphate utilization (TPU) was identified as the main biochemical driver of photosynthesis down-regulation, also called acclimation (Fabre et al. , 2019, 2020; McClain et al. , 2023). Down-regulation of A_{max} mainly occurred in the afternoon. A negative correlation was found between TPU and markers of sink limitations, such as leaf sucrose accumulation and LSSR. It is becoming increasingly evident that ignoring TPU in situations of source-sink imbalance can cause errors when modeling crop photosynthesis (Sharkey, 2019; Yin et al. , 2021)

A consequence of these findings is that A_{max} is not solely determined by constitutive properties of the photosynthetic apparatus of a given plant, in which case its value would be constant during the day. Instead, A_{max} decreases throughout the day, particularly when the local carbon source-sink ratio is high (Fabre

and Dingkuhn, 2022). This indicates that maintaining high A_{\max} requires efficient evacuation of photosynthates from the leaves. This has consequences for strategies to improve plants for growing under future e-CO₂ environments.

Given that photosynthesis and yield response to e-CO₂ can be substantial and is in large part genotypic in rice (FACE trials: Hasegawa *et al.*, 2013; Lv *et al.*, 2020), this trait can be potentially enhanced by breeding. Breeding now for this trait, however, would be unrealistic if requiring selecting for the trait under e-CO₂ conditions, as FACE experiments are expensive, limited in size allowing to test only a small number of genotypes, and available for rice at only a few sites worldwide. The same applies to indoor controlled environments, which in addition would be unacceptable to breeders. The true source-sink ratios during grain filling are difficult to measure. This situation calls for the identification of more easily measurable proxy traits for showing e-CO₂ response if they exist. If a genotype's morphological sink-source ratio is indeed a major physiological determinant of e-CO₂ response and sufficiently constitutive to be conserved across different CO₂ levels, predictive proxy traits might indeed be found. The LSSR (Fabre *et al.*, 2020) may be such a trait, although it was proposed, based on the experimental results in only a small set of rice varieties.

The LSSR is only a crude indicator. On the source side, the flag leaf, although the most light-exposed and located close to the panicle, represents only a fraction of the plant's photosynthetic potential. Furthermore, its specific leaf area (SLA) and chlorophyll and nitrogen content also contribute to photosynthetic potential (Seneweera *et al.*, 2011; Xiong *et al.*, 2015; Wang *et al.*, 2022) and are not captured by LSSR. On the sink side, the panicle's spikelet number is widely considered a measure of its overall sink capacity (Sheehy *et al.*, 2001; Fabre *et al.*, 2016; Nakano *et al.*, 2017; Mai *et al.*, 2021) but does not inform on the current sink strength of any given spikelet, nor does it take into account the genotypic variation of the attainable grain weight. There may thus be room to improve LSSR as an indicator of a rice plant's source-sink ratio.

The present study aims at i) validating LSSR as a potential proxy trait to predict rice genotypic photosynthetic and yield response to e-CO₂ for larger samples of cultivars; and ii) exploring possible improvements of the proxy trait, in terms of predictive power and practical considerations for plant selection or phenotyping. We present the results of controlled-environment experiments and discuss them with respect to further research needed to enable breeding for improved rice CO₂ response.

Materials and Methods

Plant material and growth conditions

Two experiments were conducted to investigate the diversity of local C source-sink ratio and its impact on rice photosynthetic and yield response to e-CO₂. Exp. 1 was conducted in growth chambers, while Exp. 2 was a more detailed trial conducted in a climate-controlled greenhouse. The trials used different but partially overlapping sets of genotypes (Table 1). They were selected to represent diversity in local C source-sink ratio (LSSR), based on previous field phenomics data provided by CIAT in Colombia (Rebolledo *et al.*, 2016). The selection of genotypes also attempted to limit other sources of variation, such as degree-days to flowering, tiller and panicle numbers per plant, plant height and spikelet fertility.

Genotypes were germinated on wet filter paper and transplanted into 6-L pots filled with Jiffy substrate, pH4.5 (Jiffy Products International BV). This pot size is sufficient for rice to avoid reductions in photosynthesis or biomass accumulation along plant cycle (Poorter *et al.*, 2012; Sage, 1994). Basal fertilizer was applied before transplanting using a mixture of Basacot 6 M high (Compo Expert, France), 13%N-5%P-18%K and Siforga (MeMon BV), 5%N-3%P-8%K at 2 g l⁻¹. A second application at 2 g l⁻¹ was performed just before heading stage to avoid post-floral nitrogen deficiency.

Exp. 1: Plants were grown from March to July 2021 at the Agronomical Research and International Center for Development (CIRAD, Montpellier, France) in two adjacent fully climate controlled walk-in growth chamber, ARALAB FITOCLIMA 25.000HP located in CIRAD's *AbioPhen* platform for climate change studies. They were grown under artificial light provided by Philips full spectrum ceramic metal halide (CDM-TMW 315W/930 1CT) providing on average photosynthetic irradiance of 800 μmol m⁻² s⁻¹ at the top of the canopy

level during a 12-h photoperiod. Air temperature was set to 28° C (day) and 22° C (night). Air relative humidity was set to 65% (day) and 80% (night). The two chambers were differentiated by the atmospheric CO₂ level applied from transplanting to maturity: 400 μmol mol⁻¹ (ambient) versus 700 μmol mol⁻¹ (e-CO₂).

Exp. 2 : Plants were grown from March to July 2022 in the same building as EXP1 (*AbioPhen* complex) in two adjacent, climate-controlled greenhouse compartments. They were grown under natural daylight with supplemental lighting maintaining a 12-h photoperiod using horticultural red-blue LED projectors (Alpheus Radiometrix 15M1006) providing R/FR ratio of about 1.2. Microclimate was monitored using data loggers (CR1000 Campbell Scientific) installed in each compartment. Air temperature averaged 27°C (day) and 21°C (night) as measured with a PT1000 probe under fan-aspirated shield. Air relative humidity averaged 65% (day) and 75% (night), measured by HMP45 (Vaisala, Helsinki, Finland), and photosynthetic photon flux density (PPFD) was measured with a SKP215 (Skye Instrument quantum sensor, Powys, UK) providing on average photosynthetic irradiance of 800 μmol m⁻² s⁻¹ at the top of the canopy level during the daytime. The mean photosynthetically active radiation received by the plants during their life cycle was 7.58 MJ m⁻² d⁻¹. The two compartments were differentiated by the atmospheric CO₂ level applied from transplanting to maturity: 400 μmol mol⁻¹ versus 650 μmol mol⁻¹.

In both experiments, all the pots (including a row of border plants) were arranged at 20 cm spacing among plants in a randomized design with four replications per cultivar and per CO₂ treatment on movable tables. Pots were kept watered at field capacity while maintaining the perforated pot bottoms in 5 cm of standing water. To minimize border effects on each table, border plants on the tables were not used for measurements. The tables were moved weekly to avoid the effects of spatial heterogeneity.

For each CO₂ treatments, plants were characterized for growth and development traits along the cycle, photosynthesis and biochemical measurements at 15 days after heading, final biomass and grain production as described hereafter, whereas EXP1 has focused only on the photosynthesis measurement at 15 days after heading.

Leaf photosynthesis measurement

Photosynthesis measurements were performed *in situ* on the fully expanded flag leaf on the main stem of four plants per cultivar in each treatment, 2 weeks after heading. Comparison between the CO₂ treatments was made by using an infrared gas analyzers (Li-Cor 6800F; Li-Cor Inc., Lincoln, NE, USA). The leaf photosynthesis rate under saturating light (1,500 μmol m⁻²s⁻¹) and CO₂ levels (1,600 μmol mol⁻¹) was taken using the 6 cm² chamber, as the maximum leaf photosynthesis capacity level (A_{\max}). All the measurements were carried out at a leaf temperature of 25 °C, relative humidity in the cuvette set to 65%, with a flow rate of 700 μmol s⁻¹. The steady-state fluorescence yield (Fs) was measured just after registering the gas-exchange parameters, and a saturating light pulse of 8000 μmol m⁻² s⁻¹ was applied to achieve the light-adapted maximum fluorescence (Fm'). The operating PSII photochemical efficiency (ϕ PSII) was determined as (1-Fs/Fm'), and then ETR was calculated (Table S1). To minimize the confounding effect of diurnal trends in photosynthesis downregulation related to C sink limitations, previously observed to increase along the day (Fabre *et al.* , 2019), all measurements were made at least 6 hours after onset of the light period (afternoon). This served to capture effects of photosynthetic acclimation, if any.

Then, the ratio of the average A_{\max} of plants grown at e-CO₂ by the average A_{\max} of plants grown at ambient CO₂ ($A_{\max} \text{ e-CO}_2 / A_{\max} \text{ ambient}$) was calculated.

A relative indicator of chlorophyll content (SPAD) was measured on the same leaf using a SPAD-502 (Minolta, Ltd., Japan). In EXP2, specific leaf area (SLA [cm² g⁻¹]) was determined in addition, on the flag leaf used for gas exchange measurements. The area of each leaf was measured with a leaf area meter (Li-Cor 3100, Lincoln, NE, USA) and the leaf then oven-dried until constant weight (48 hr at 70°C).

Sugar Content Analysis

Immediately after photosynthesis measurement, the same leaf was sampled to determine non-structural carbohydrate content (NSC: starch, sucrose, glucose and fructose). Prior to grinding with a ball grinder

(Mixer mill MM 200, Retsch, Germany), the samples were frozen in liquid nitrogen. The sugars were extracted three times from 20 mg samples with 1 ml of 80% ethanol for 30 min at 75°C and then centrifuged for 10 min at 9500 g (Mikro 200, Hettich centrifuge). Soluble sugars (sucrose, glucose and fructose) were contained in the supernatant and starch in the sediment. The supernatant was filtered in the presence of polyvinyl polypyrrolidone and activated carbon to eliminate pigments and polyphenols. After evaporation of solute with Speedvac (RC 1022 and RCT 90, Jouan SA, Saint Herblain, France), soluble sugars were quantified by high-performance ionic chromatography (HPIC, standard Dionex) with pulsed amperometric detection (HPAE-PAD). The sediment was solubilized with 0.02 N NaOH at 90°C for 1hr 30 min and then hydrolyzed with α -amylglucosidase at 50°C and pH 4.2 for 1 hr 30 min. Starch was quantified as described by Boehringer (Pomeranz and Meloan, 1994) with 5 μ l of a mixture of hexokinase and glucose-6-phosphate dehydrogenase (HK/G6P-DH Sigma Aldrich), followed by spectrophotometry of NADPH at 340 nm (spectrophotometer UV/VIS V-530, Jasco Corporation, Tokyo, Japan).

Plant Growth, Biomass and Yield Component Measurements

At maturity stage, plant shoots were harvested. The panicles were counted, and the total stem and leaf dry matter per plant (Tot.shoot dry matter) were measured after drying at 70°C for 48 h and adding DM from organs used for biochemical analyses. Maximum tiller number was determined at vegetative stage.

Grain yield and yield components were calculated according to (Liu *et al.*, 2008): grains were sorted by using a densitometric column. The dry weight of ripened grains was determined after oven-drying at 80°C for 72 h. The 1,000-grain dry weight was then calculated.

In both experiments, local C source-sink ratio (LSSR) was estimated for each plant by dividing flag leaf blade area and fertile spikelet number on the main stem.

Statistical analysis

Physiological, biochemical traits and yield components were analyzed as a completely randomized design using a two-way analysis of variance of CO₂ treatment, genotype and interaction using XLSTAT after testing for normal distribution. Wherever appropriate, comparison between means was performed using Tukey’s post hoc test ($\alpha = 0.05$). Principal component analysis (PCA) was performed using the FactoMineR package using R (version 4.2.2, R Foundation for Statistical Computing) to analyze covariation.

Table 1. List of genotypes in Exp.1 and Exp.2

Cultivar	Exp1	Cultivar	Exp2	Origin	Genetic group	Type	V01	IRRI147	IRRI147	Philippines	<i>O. sativa</i>	indica	Improved	V02

Results

Exp. 1: LSSR predicts genotypic CO₂ response only when measured at elevated CO₂

Fabre *et al.* (2020) proposed on the basis of 5 rice genotypes that LSSR may be a predictive proxy trait for A_{max} response to elevated CO₂. Exp. 1 was conducted to confirm this effect for 14 genotypes (which include 2 of the 5 genotypes used by Fabre *et al.* 2020; Table 1). As Fig. 1 demonstrates, the observed A_{max} ratio between elevated and ambient CO₂ levels was significantly ($P < 0.01$), negatively correlated with LSSR measured under elevated CO₂, but not with LSSR measured at the ambient CO₂ level. The more detailed study Exp. 2 was therefore conducted to better understand parameter relationships for a partially overlapping but more diverse sample of genotypes.

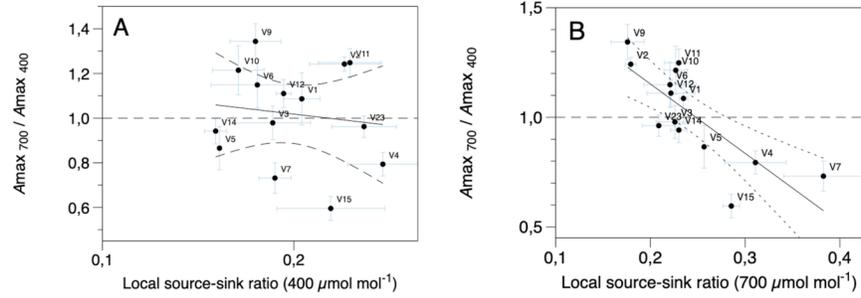


Fig. 1. Genotypic response of flag leaf A_{max} to elevated $^{18}O_2$ (Ψ αξις) as predicted with local source-sink ratio ($\Lambda\Sigma\Sigma P$, Ξ αξις) measured either under ambient $^{18}O_2$ level (A) or elevated $^{18}O_2$ (B). The term A_{max700}/A_{max400} indicates the ratio of photosynthesis on plants grown at 700 vs. 400 $\mu\text{mol mol}^{-1}$. For genotype values refer to Table 1. The 95% confidence intervals are provided for the correlation coefficient and SEM error bars are provided for each genotype. Ex. 1, p-hyptoteron.

Exp. 2: LSSR predicts e- CO_2 response also when measured at ambient CO_2 level

In Exp. 2 we tested 17 highly diverse rice genotypes (including the five used by Fabre et al. 2020). A significant negative correlation was obtained between CO_2 response and LSSR in Exp. 2, both for A_{max} and grain yield, and both for LSSR measured under ambient and elevated CO_2 levels (Fig. 2). However, as in Exp. 1 the correlation was much stronger when LSSR was measured under elevated than under ambient CO_2 levels. Across the 17 genotypes, the LSSR measured at ambient CO_2 level predicted 35% of variation of the A_{max} response to elevated CO_2 (40% for grain yield response). By contrast, the LSSR measured at elevated CO_2 predicted 67% of the A_{max} response (56% for grain yield response).

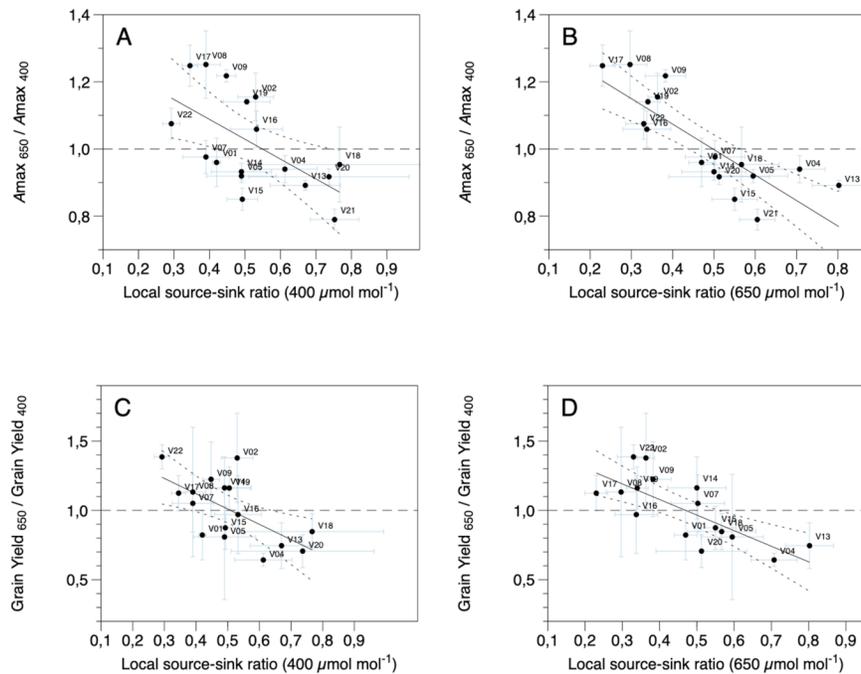


Fig. 2. Genotypic response of flag leaf A_{max} (A, B) and plant grain yield (C,D) to elevated

CO₂ (Y axis) as predicted with local sink-source ratio (LSSR, X axis) measured either under ambient CO₂ level (Fig. A, C) or elevated CO₂(B, D). For genotypes refer to Table 1. The 95% confidence interval is provided for the correlations and SEM error bars are provided for each genotype. Exp. 2, greenhouse.

Various source and sink related traits participate in the response to elevated CO₂

Observed trait means and analysis of variance (ANOVA) are presented in Table S2 (supplemental materials). Figure 3 presents correlation heatmaps for the observed traits under ambient (A) and elevated (B) CO₂ levels, whereby the CO₂ effect on A_{max} and grain yield (trait variation) was included in both matrices. The A_{max} and grain yield variation between the two environments were positively correlated ($P < 0.01$) with each other, and both were negatively correlated with LSSR as also shown in Fig. 2. They were similarly correlated with two other calculated, potential, proxy traits for source-sink ratio: the LSSR(sink) that uses the product of panicle spikelet number and the genotypic filled-grain weight (instead of just the spikelet number) as an estimate of the sink; and [LSSR(sink) * SPAD] which factors the area leaf chlorophyll content into the equation to strengthen the source term (Table S1). In fact, A_{max} and the electron transport rate ETR were positively correlated with SPAD ($P < 0.01$) under ambient but not under elevated CO₂ levels (Fig. 3). The ETR was negatively correlated with SLA ($P < 0.05$) in both environments. Leaf sucrose concentration was weakly, positively correlated ($P < 0.05$) with the tentative proxy traits LSSR, LSSR(sink) and LSSR(sink)*SPAD under elevated CO₂, but not ambient CO₂ level. The spikelet number per panicle was positively correlated with flag leaf ETR ($P < 0.05$). The SPAD chlorophyll content was generally negatively correlated with SLA but positively with most of the other morphological traits such as leaf dimensions, panicle size traits and total shoot dry matter.

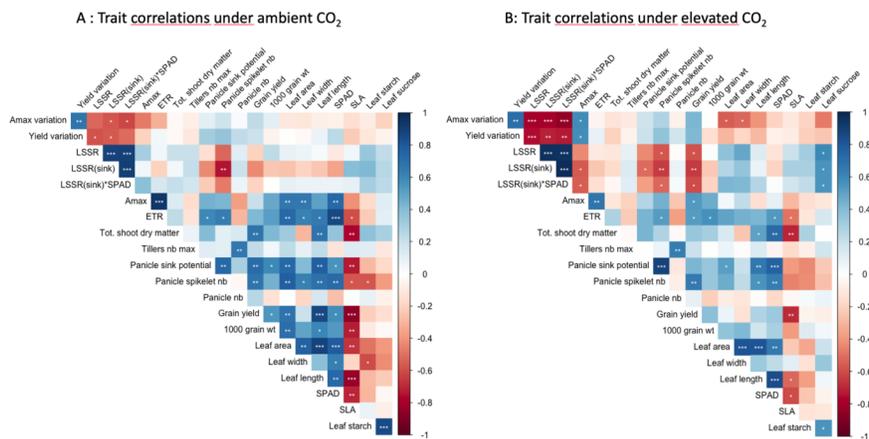


Fig. 3. Correlation heatmap for traits measured under ambient CO₂ (left) or elevated CO₂ level (right). The variables A_{max} variation and yield variation (ratios for elevated over ambient CO₂) are common to both heatmaps. Color codes express variation of R, with $P < 0.05$ (*), $P < 0.01$ () or $P < 0.001$ (***). Exp. 2, greenhouse.**

Principal component analysis (PCA, Fig. 4) opposed the tentative proxy traits LSSR, LSSR(sink) and LSSR(sink)*SPAD to the CO₂ effect on A_{max} and grain yield variation in both CO₂ treatments, indicating negative correlation on both dimensions of the PCA.

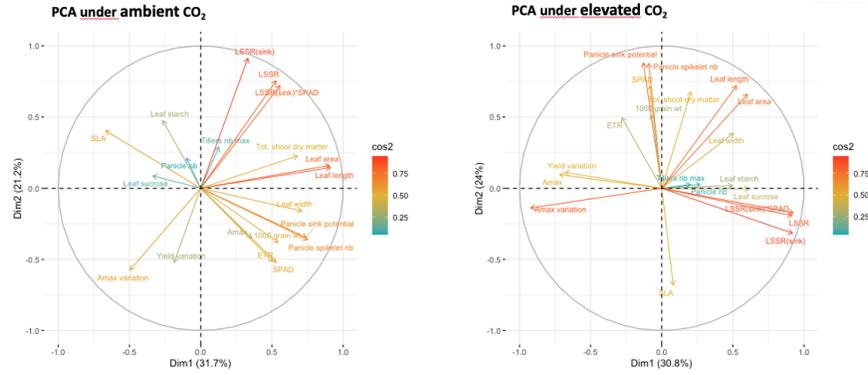


Fig. 4. Two-dimensional principal component analyses (PCA) for the same variables as in Fig. 3. Cos2 levels express the quality of the representation. Exp. 2, greenhouse.

Which tentative proxy traits did best predict A_{max} response to elevated CO_2 ?

The previously proposed proxy trait LSSR (Fabre et al., 2020), when measured under ambient CO_2 level, predicted 35% ($R^2=0.35$) of the genotypic variation of elevated- CO_2 effects on A_{max} (Fig. 2A). When spikelet number, which is the sink term of LSSR, was replaced with [spikelet number * mean filled grain weight], resulting in the $LSSR_{sink}$ term, the prediction increased to 45% (Fig. 5A). The prediction increased further to 50% when the source term flag leaf area was multiplied with SPAD chlorophyll content, resulting in the term $LSSR_{sink} * SPAD$ (Fig. 5B).

Fig. 5. Prediction of genotypic response of flag leaf A_{max} to elevated CO_2 (Y axis) using improved variants of the proxy trait LSSR measured under ambient CO_2 level. A: Proxy trait $LSSR_{sink}(400)$; B: Proxy trait $LSSR_{sink} * SPAD(400)$. Calculations of proxy traits are detailed in Table S1. The 95% confidence interval is provided for the correlations. Exp. 2, greenhouse.

Are the tentative proxy traits themselves affected by CO_2 level?

Linear correlations for the three tentative proxy traits measured under elevated vs. ambient CO_2 levels were similar among the traits (Fig. 6A). Overall across the 17 genotypes, the slope was near 1, indicating the absence of a generic effect. However, with R^2 values between 0.5 and 0.6, there was considerable scatter, and the SEM error bars indicated that for some genotypes, there was an effect of CO_2 level on the proxy trait value.

In fact, there generally was a clear negative trend (in some cases significant at $P < 0.05$) in the relationship between the plasticity of the proxy traits (expressed as the difference between elevated and ambient CO_2 levels) vs. the A_{max} or grain yield ratio observed between the CO_2 levels (Fig. S1, supplemental materials). Consequently, those genotypes that had a reduced proxy trait value under e- CO_2 due to phenotypic plasticity showed a smaller A_{max} or yield gain under e- CO_2 .

Correlations across genotypes of the other measured variables under elevated vs. ambient CO_2 levels are shown in Fig. S2 (supplemental materials).

Fig. 6. Linear correlations indicating the degree of conservation of three proxy traits between ambient and elevated CO_2 levels. A, LSSR; B, $LSSR_{sink}$; C, $LSSR_{sink} * SPAD$. The 95% confidence interval is provided for correlations and SEM error bars are provided for each genotype. Exp. 2, green house.

Discussion

The hypothesis was confirmed

The two experiments we reported leave no doubt that flag leaf A_{\max} of rice under elevated $[\text{CO}_2]$, under the irrigated experimental conditions, is partly controlled by genotypic source-sink relationships. This was initially reported by Fabre *et al.* (2020) for 5 genotypes and here in Exp.1 for 14 genotypes and in Exp.2 for 17 genotypes. A similar response to e- CO_2 of grain yield was reported by Fabre *et al.* (2020) and was also found here in Exp.2. The LSSR as a proxy trait for source-sink ratio is thus indicative of genotypic variation of acclimation of photosynthesis under e- CO_2 . This acclimation, where present, consists of a downregulation of the maximum leaf photosynthesis capacity level (A_{\max}), which affects grain yield (White *et al.* , 2016; Fabre *et al.* , 2020; Dingkuhn *et al.* , 2020; Gao *et al.* , 2021).

Importantly, the two constituent traits of the LSSR, flag leaf area and panicle spikelet number, had either no or only a weak correlation with the e- CO_2 response of A_{\max} or grain yield (Fig. 3). Only the ratio of the two traits was predictive, indicating that the underlying mechanism was indeed related to sink-source relationships, and not to a single morphological trait that might be correlated with acclimation for different reasons. On this basis, we suggest it to be likely that the “true” sink-source ratio of the plant, which would take into account the sums of all its source and sink activities (as opposed to a single leaf’s area and panicle’s spikelet number) would be more predictive than LSSR. Thus, hypothetically, better-performing proxy traits for e- CO_2 response should exist but may be more difficult to measure.

We gave a greater emphasis to flag leaf A_{\max} than to yield or biomass response because i) according to this study’s hypothesis, photosynthesis is directly affected by e- CO_2 acclimation whereas biomass and grain production are indirectly affected; and ii) our two samples of genotypes represented genetic diversity for LSSR and included very low-yielding accessions. It can be expected that in tall-traditional cultivars which partition comparatively little photosynthate to grains, yield is only loosely determined by leaf photosynthetic rates. A subsequent, similar study should address a panel of high-yielding genetic materials.

Why were proxy traits for CO_2 response more predictive when measured at elevated $[\text{CO}_2]$?

For purposes of practical applications of LSSR in plant phenotyping and selection or breeding for rice CO_2 response, it is sobering that in both our experiments the LSSR measured under elevated $[\text{CO}_2]$ was much more predictive than that measured under ambient $[\text{CO}_2]$. (In Exp.1, the latter was not predictive at all, Fig. 1A.) Given our finding that genotypic LSSR was highly constitutive between CO_2 levels, with a slope of 1 for the linear regression, we can exclude that this difference was caused by a common adaptive-plasticity response of the LSSR trait for the population as a whole. However, those genotypes that showed a reduction in LSSR (or values of alternative proxy traits discussed further down) under elevated $[\text{CO}_2]$ tended to have greater gain in A_{\max} and grain yield under elevated $[\text{CO}_2]$. Although this trend was mostly not significant statistically, it is clear that variable phenotypic plasticity among the genotypes was responsible for the greater predictability of e- CO_2 response with proxy traits measured under elevated $[\text{CO}_2]$.

Scope for improvement of proxy traits to better predict CO_2 response

Specifically to improve the predictability of genotypic A_{\max} gains under e- CO_2 we attempted to refine the indices used as proxy traits, particularly as measured under ambient CO_2 conditions. Among the individual traits measured in Exp.2, there were none stood out as candidates according to PCA (Fig. 4). However, physiological reasoning suggested that the source term of LSSR should be improved by including the areal leaf chlorophyll content (easily measured with SPAD, thereby factoring in implicitly leaf thickness), and the sink term with the mean filled grain weight (routinely measured by breeders). This increased the A_{\max} -ratio prediction from 35% to 50% of the observed variation (Fig. 5). This, however, remained significantly inferior to the predictability using proxy traits measured under elevated $[\text{CO}_2]$, a condition unavailable to breeders.

Further improvement of the predictability of genotypic e- CO_2 response, particularly for grain yield or biomass, may require a more fundamental rethinking of the proxy concept, which does not necessarily have to be based on morphology. Leaf sucrose accumulation, commonly pronounced in the afternoon (Kölling *et al.* , 2015; Fabre *et al.* , 2019, 2020), has been described as indicating sink limitation (Lemoine *et al.* , 2013; White *et al.* , 2016; Burnett *et al.* , 2016; Sonnewald and Fernie, 2018) and causing feedback inhibition of photosynthesis (Huber and Huber, 1992; Moore *et al.* , 1999; Iglesias *et al.* , 2002; Paul and Pellny, 2003;

Fabre *et al.*, 2019) but it was of limited predictive value in our study. We did not measure here fluorescence-based, diagnostic variables of the photosynthetic system (except the electron transport rate ETR, Fig. 3) which might be incorporated in observable, predictive indices. The disadvantage of such transient variables is their sensitivity to rapid environmental fluctuations, as opposed to morphology. On the sink side, we know of no readily deployable measurements of actual physiological demand for assimilates, and breeders are probably more comfortable with morphological sink proxies. More research on the processes involved in CO₂ acclimation of photosynthesis may open other avenues.

Lastly, it should be noted that sink-source relations exist also during vegetative growth and affect pre-floral biomass accumulation under e-CO₂ conditions (Dingkuhn *et al.*, 2021). For example, genotypic tillering potential has been shown to contribute positively to crop e-CO₂ response in rice (Ziska *et al.*, 2013; Kadam *et al.*, 2019). Genotypic branching capacity in soybean has a similar effect (Kumagai *et al.*, 2015). The search for predictive proxy traits with regards to vegetative biomass production is largely virgin territory.

A necessary step towards future application of proxy traits: Field validation

The present study was conducted in controlled environments. As its objective was to identify easily measurable proxy traits for genotypic response to e-CO₂ to be used for phenotyping a large number of genotypes in the context of crop improvement, a field validation will be necessary to validate their predictive potential when measured both in current and elevated-CO₂ environments. Ideally, this should be done in an existing FACE experimental setup, using a population that represents both genetic/phenotypic diversity and a large number of high-yielding materials. Hasegawa (2013) demonstrated in a FACE trial in Japan that diverse levels of CO₂ acclimation occur among modern, high-yielding cultivars.

For future applications in crop breeding, direct selection for the proxy traits is possible if measurement costs and complexity are limited. It is also conceivable that once suitable proxy traits are found and field validated, they can be applied to larger genetic panels for association studies to identify molecular markers for them. Such markers, if performing well, might be more readily adopted by breeders than physiological measurements.

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Table S1. Measured and calculated variables

Variable name	Definition	Unit	Calculation
A_{max}	Flag leaf light & CO ₂ saturated photosynthesis	$\mu\text{mol m}^{-2} \text{s}^{-1}$	n.a.
A_{max} variation	Rel. A_{max} (e-CO ₂ /ambient)	unitless	A_{max} (e-CO ₂) / A_{max} (ambient)
Yield	Grain yield	g plant^{-1}	
Yield variation	Rel. yield (e-CO ₂ / ambient)	unitless	Yield (e-CO ₂) / yield (ambient)
ETR	Electron transport rate	$\mu\text{mol mol}^{-1} \text{s}^{-1}$	$\text{ETR} = \phi\text{PSII} \times \text{PAR} \times 0.84 \times \dots$
Leaf area	Flag leaf area	cm^2	Leaf area * Leaf width * 0.725
Leaf length	Flag leaf length	cm	n.a.
Leaf width	Flag leaf width	cm	n.a.
Leaf sucrose	Flag leaf [sucrose]	mg g^{-1}	n.a.
Leaf starch	Flag leaf [starch]	mg g^{-1}	n.a.
Panicle spikelet nb	Spikelets nb per panicle	unitless	n.a.
Panicle sink potential	Product of spikelet nb & filled grain weight	g	Panicle spikelet nb * 1000 grain weight
SLA	Specific leaf area	$\text{cm}^2 \text{g}^{-1}$	Flag leaf area / dry weight
SPAD	Flag leaf areal chlorophyll content	unitless	n.a.
1000 grain wt	Dry wt of 1000 filled grains	g	n.a.
Tiller nb max	Tiller nb/plant	unitless	n.a.
Tot. shoot dry matter	Aboveground dw at maturity	g plant^{-1}	n.a.
<i>Proxy traits:</i>			
LSSR	Local source-sink ratio	unitless	Flag leaf area / Panicle spikelet
LSSR _{sink}	LSSR based on sink potential	unitless	Flag leaf area / (Panicle spikelet
LSSR _{sink} * SPAD		unitless	(Flag leaf area * SPAD) / (Panicle

n.a., not applicable

Table S2. Means and ANOVA of observed variables by genotype and treatment. Exp. 2, greenhouse.

	A_{max}	ETR	LSSR	LSSR(sink)	LSSR(sink)*SPAD	Tot. shoot dry matter	Tiller nb max	Panicle sink potential	Panicle spikelet nb.	Panicle nb	1000 grain wt	Leafarea	SPAD	SLA	Leafstarch	Leafsucrose
	$\mu\text{mol m}^{-2} \text{s}^{-1}$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	number	number	number	g	number	number	number	number	g	cm^2	number	$\text{cm}^2 \text{g}^{-1}$	$\text{mg g}^{-1} \text{DM}$	$\text{mg g}^{-1} \text{DM}$
V01*Ambient	21.3 abcde	147.1 abcde	0.47 abcde	0.017 abcde	0.75 bcde	43.5 abc	17.0 abc	1892.1 abcde	156.0 abcde	11.2 abc	24.9 abcde	65.6 abcde	44.3 abcde	287.4 abcde	1.0 abc	70.5 abcde
V01*High CO2	23.0 abcde	145.1 abc	0.47 abcde	0.019 abcde	0.83 abc	54.9 abcde	19.4 abcde	4016.7 abcde	162.7 abcde	13.5 abc	29.0 abcde	75.6 abcde	48.6 abcde	305.2 abcde	1.0 abc	69.2 abcde
V02*Ambient	21.1 abcde	131.2 abcde	0.51 abcde	0.024 abcde	1.01 bcde	84.4 abcde	35.5 abcde	1946.7 abcde	188.2 abcde	19.5 abcde	31.5 abcde	22.0 abcde	42.6 abcde	288.4 abcde	1.1 abc	80.4 abcde
V02*High CO2	24.8 abc	134.8 abcde	0.58 abcde	0.016 abcde	0.71 bcde	93.7 abcde	31.1 abcde	2425.5 abcde	110.0 abcde	19.8 abc	23.2 abcde	30.0 abcde	44.4 abcde	274.1 abcde	1.0 abc	80.7 abcde
V03*Ambient	20.0 abcde	99.3 abcde	0.61 abcde	0.027 abcde	1.03 bcde	59.8 abcde	19.3 abcde	309.1 abc	40.3 abc	12.5 abc	23.1 abcde	24.0 abc	37.7 abc	330.0 abcde	1.2 abc	59.1 abc
V03*High CO2	24.8 abcde	97.7 abcde	0.71 abcde	0.023 abcde	1.05 bcde	67.0 abcde	20.5 abcde	2195.5 abcde	55.8 abc	12.6 abc	23.1 abcde	26.0 abcde	40.2 abcde	324.8 abcde	1.0 abc	88.1 abc
V05*Ambient	18.3 abcde	86.1 abcde	0.49 abcde	0.027 abcde	1.07 bcde	43.8 abcde	19.0 abcde	1395.4 abc	109.4 abc	10.9 abc	16.8 abcde	18.8 abc	33.5 abcde	351.3 abc	0.6 abc	79.0 abcde
V05*High CO2	19.8 abcde	83.5 abcde	0.60 abcde	0.022 abcde	1.13 bcde	48.5 abcde	20.5 abcde	1455.6 abcde	79.3 abcde	10.1 abc	18.1 abcde	45.0 abcde	41.7 abcde	351.8 abcde	0.6 abc	72.0 abcde
V07*Ambient	18.9 abcde	97.9 abcde	0.50 abcde	0.025 abcde	0.98 bcde	64.5 abcde	20.0 abcde	2348.7 abcde	115.0 abcde	23.8 abcde	19.4 abcde	30.0 abcde	40.0 abcde	332.4 abcde	0.6 abc	69.0 abcde
V07*High CO2	18.5 abcde	90.8 abcde	0.39 abcde	0.019 abcde	0.84 bcde	59.3 abcde	18.8 abcde	1266.5 abcde	162.3 abcde	30.3 abc	20.9 abcde	68.2 abcde	43.6 abcde	354.4 abcde	0.3 abc	61.0 abcde
V08*Ambient	16.2 abc	65.1 abc	0.38 abcde	0.020 abcde	0.78 bcde	48.0 abcde	15.1 abc	1413.1 abc	78.1 abcde	10.2 abc	19.0 abcde	27.0 abc	44.4 abcde	344.6 abc	1.4 abc	57.4 abc
V08*High CO2	20.4 abcde	66.6 abcde	0.30 abcde	0.015 abcde	0.63 bcde	34.9 abcde	14.5 abcde	1093.2 abcde	78.0 abcde	10.5 abc	19.5 abcde	23.0 abc	41.2 abcde	348.3 abcde	2.1 abc	52.4 abc
V09*Ambient	19.9 abcde	121.9 abcde	0.41 abcde	0.020 abcde	0.90 bcde	48.9 abcde	19.3 abcde	2775.0 abcde	120.2 abcde	15.0 abcde	20.5 abcde	59.3 abcde	40.0 abcde	275.0 abcde	1.2 abc	73.0 abcde
V09*High CO2	14.2 abcde	142.4 abcde	0.58 abcde	0.017 abcde	0.73 bcde	50.8 abcde	22.4 abcde	3234.1 abcde	143.0 abcde	15.6 abcde	21.4 abcde	55.5 abcde	43.1 abcde	260.2 abcde	1.1 abc	69.0 abcde
V11*Ambient	26.3 abc	167.6 abc	0.67 abcde	0.029 abcde	1.11 bcde	116.1 abcde	28.8 abcde	2812.2 abcde	171.1 abcde	12.1 abcde	21.9 abcde	77.1 abcde	46.7 abcde	339.4 abcde	1.1 abc	65.1 abcde
V11*High CO2	25.5 abcde	151.1 abcde	0.80 abcde	0.014 abcde	1.04 bcde	114.8 abcde	34.2 abcde	2797.2 abcde	153.8 abcde	16.1 abcde	21.8 abcde	67.2 abc	44.8 abcde	291.0 abcde	2.0 abc	79.1 abcde
V14*Ambient	19.9 abcde	123.3 abcde	0.47 abcde	0.022 abcde	0.96 bcde	53.8 abcde	17.8 abcde	2802.2 abcde	136.0 abcde	16.5 abcde	22.0 abcde	57.0 abcde	44.2 abcde	300.0 abcde	0.3 abc	73.2 abcde
V14*High CO2	18.8 abcde	101.8 abcde	0.50 abcde	0.022 abcde	0.99 bcde	56.0 abcde	16.0 abcde	401.0 abc	142.8 abcde	20.5 abcde	22.0 abcde	48.4 abcde	44.2 abcde	300.0 abcde	0.6 abc	83.1 abcde
V15*Ambient	21.9 abcde	145.9 abc	0.49 abcde	0.024 abcde	1.15 bcde	118.1 abcde	13.9 abcde	2467.8 abcde	131.0 abcde	12.0 abcde	20.4 abcde	148.5 abcde	48.2 abcde	246.3 abcde	0.8 abc	83.1 abcde
V15*High CO2	23.9 abcde	159.2 abcde	0.51 abcde	0.017 abcde	1.17 bcde	121.1 abcde	13.1 abcde	1097.1 abcde	131.3 abcde	12.6 abcde	20.4 abcde	71.1 abcde	47.1 abcde	250.1 abcde	0.5 abc	78.4 abcde
V16*Ambient	22.6 abcde	157.1 abc	0.51 abcde	0.020 abcde	0.95 bcde	121.2 abcde	32.0 abcde	4625.9 abcde	179.8 abcde	15.3 abcde	24.5 abcde	69.8 abcde	47.1 abcde	235.7 abc	2.0 abc	61.4 abcde
V16*High CO2	21.9 abcde	156.6 abc	0.58 abcde	0.019 abcde	0.80 bcde	118.8 abcde	33.8 abcde	4046.9 abcde	172.8 abcde	14.8 abcde	26.2 abcde	58.0 abcde	46.6 abcde	241.0 abcde	0.4 abc	58.0 abcde
V17*Ambient	21.9 abcde	127.0 abcde	0.51 abcde	0.015 abcde	0.88 bcde	67.1 abcde	17.1 abcde	4215.1 abcde	191.3 abcde	11.0 abcde	21.1 abcde	64.4 abcde	44.4 abcde	276.0 abcde	0.9 abc	69.4 abcde
V17*High CO2	24.0 abcde	139.5 abcde	0.21 abcde	0.010 abcde	0.47 bcde	64.6 abcde	41.0 abcde	4967.4 abcde	17.5 abcde	17.5 abcde	22.5 abcde	50.0 abcde	44.4 abcde	242.2 abcde	4.4 abc	74.2 abcde
V18*Ambient	20.3 abcde	133.2 abcde	0.58 abcde	0.024 abcde	1.44 abcde	64.0 abcde	20.0 abcde	2015.0 abcde	191.0 abcde	19.0 abcde	22.0 abcde	41.0 abcde	42.0 abcde	298.0 abcde	3.0 abc	80.0 abcde
V18*High CO2	21.2 abcde	148.5 abcde	0.57 abcde	0.025 abcde	1.08 bcde	80.0 abcde	26.0 abcde	2081.9 abcde	192.0 abcde	18.0 abcde	22.0 abcde	50.5 abcde	43.0 abcde	282.0 abcde	0.5 abc	79.0 abcde
V19*Ambient	21.2 abcde	121.6 abcde	0.50 abcde	0.021 abcde	1.00 bcde	80.1 abcde	19.0 abcde	3975.0 abcde	120.0 abcde	13.8 abcde	24.0 abcde	60.0 abcde	47.0 abcde	264.0 abcde	0.9 abc	80.0 abcde
V19*High CO2	14.1 abcde	140.5 abcde	0.34 abcde	0.014 abcde	0.67 bcde	122.0 abcde	20.1 abcde	4906.1 abcde	203.1 abcde	13.8 abcde	24.2 abcde	65.1 abcde	47.4 abcde	256.4 abcde	6.0 abc	66.2 abcde
V20*Ambient	18.8 abcde	131.7 abcde	0.28 abcde	0.018 abcde	0.98 bcde	144.8 abcde	22.0 abcde	1376.0 abcde	144.7 abcde	10.0 abcde	19.0 abcde	81.1 abcde	50.7 abcde	241.0 abcde	1.0 abc	72.0 abcde
V20*High CO2	17.8 abcde	135.8 abcde	0.51 abcde	0.024 abcde	1.22 abcde	147.3 abcde	28.0 abcde	1797.0 abcde	153.0 abcde	13.0 abcde	21.0 abcde	80.0 abcde	53.0 abcde	241.0 abcde	1.0 abc	73.0 abcde
V21*Ambient	18.4 abcde	161.9 abcde	0.70 abcde	0.018 abcde	1.39 abcde	150.0 abcde	27.0 abcde	5435.6 abcde	247.8 abcde	15.0 abcde	21.9 abcde	102.8 abcde	45.1 abcde	277.0 abcde	5.5 abcde	56.4 abcde
V21*High CO2	14.4 abc	93.3 abcde	0.40 abcde	0.018 abcde	1.18 abcde	134.0 abcde	20.0 abcde	5910.1 abcde	205.4 abcde	NA abcde	19.0 abcde	104.4 abcde	42.0 abcde	248.0 abcde	17.4 abcde	79.0 abcde
V22*Ambient	21.3 abcde	172.9 abc	0.29 abcde	0.014 abcde	0.66 bcde	156.1 abcde	18.8 abcde	3928.3 abcde	190.5 abcde	15.6 abcde	20.7 abcde	54.1 abcde	47.4 abcde	311.8 abcde	2.1 abcde	74.6 abcde
V22*High CO2	20.0 abc	160.8 abcde	0.31 abcde	0.012 abcde	0.72 bcde	155.1 abcde	18.0 abcde	1881.0 abcde	193.0 abcde	9.1 abcde	20.0 abcde	45.0 abcde	44.4 abcde	312.0 abcde	2.0 abcde	72.0 abcde
variety	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001
CO2 treatment	0.0	0.0	0.0	0.0	0.1	0.1	0.0	0.0	0.7	0.7	0.5	0.1	0.1	0.0	0.0	0.0
variety*CO2 treatment	0.0	0.0	0.0	0.0	0.4	0.8	0.9	0.9	0.9	0.1	0.5	0.0	0.8	0.2	0.0	0.4

Fig. S1. Correlations between A_{max} ratio (A, C, E) or grain yield ratio (B, D, F) for elevated vs. ambient CO₂, and the proxy trait difference between both CO₂ levels. Exp. 2, greenhouse.

Fig. S2. Correlations of observed traits between ambient CO₂ (X-axis) and elevated CO₂ (Y-axis) levels. Exp. 2, greenhouse.