Shear-driven vertical mixing and turbulent exchange over the continental slope in the northwestern Sea of Japan

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Abstract

Using fine-scale measurements in the northwestern Sea of Japan, we estimated the vertical mixing parameters in the sea water column extended from the lower part of the thermocline downward to the near-bottom layer above the continental slope. The vertical scales of the turbulent patches were determined together with the turbulent dissipation rate and diapycnal diffusivity based on the conductivity, temperature, and depth data obtained by an Aqualog moored profiler from April through October 2015. The Thorpe-scale method was used to estimate the vertical mixing parameters as well as the vertical heat and salt fluxes. The enhanced vertical mixing, as well as enhanced upward heat flux and downward salt flux, occurred below the mixed layer despite strong density stratification. By comparing the turbulent dissipation rate and diapycnal diffusivity estimates derived via the Thorpescale method and the estimates of the same parameters obtained earlier by applying the finescale parameterization method to the same dataset in addition to the collocates of the current velocity measurements, the comparative accuracy evaluation of both methods was carried out. Finally, by compiling the vertical mixing data obtained by the Thorpe-scale method and the finescale parameterization approach, the generalized depth profile for the background diapycnal diffusivity is presented for the depth range from 70 to 350 m.

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Keywords: vertical mixing, the Sea of Japan, Thorpe-scale method, finescale parameterization	045
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047 **1 Introduction**

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Located at the northwestern margin of the Pacific, the deep semi-enclosed Sea of Japan (here-049 inafter referred to as the Sea) is renowned to the world oceanographers as a basin that provides 050 opportunities for researchers to conduct large-scale oceanographic experiments (Gamo et al, 051 2014). The Sea dynamics feature processes typical of the ocean (Chang et al, 2015). Among 052 these, one of the most interesting is the strong ventilation of the Sea intermediate and deep 053 layers. In the winter, during intensive northeasterly monsoon cooling, deep convection devel-054 ops in the northwestern part of the Sea. Cold and fresh water as well as chemical tracers 055 penetrate more than 1000 m below the surface (Talley et al, 2003, 2006). It is believed that 056 deep convection began to slow in the 1960s or earlier (Kim et al, 2001, 2002), yet the inter-057 mediate and deep layers of the Sea remain well mixed. This implies that turbulent mixing 058 could have become relatively more important in the past several decades in the Sea. 059

In the deep ocean, vertical mixing is generally caused by wind forcing and breaking of 060 internal gravity waves, which are generated by the tide-topography interactions (Wunsch and 061 Ferrari, 2004; Waterhouse et al, 2014; MacKinnon et al, 2017; Gregg et al, 2018). In particu-062 lar, internal lee waves can be driven by the interaction of shear currents with the topography 063 (MacKinnon et al, 2017; Nikurashin et al, 2014). Notably, the semidiurnal tide enters the Sea 064 through the wide Tsushima Strait in the southern region and then propagates toward the north-065 ern continental slope. Although the tide wave weakens when it passes northward through the 066 Sea, its energy is still high when it arrives at the continental slope and shelf (Jeon et al, 2014), 067 where it induces internal gravity waves. Ostrovskii et al (2021) assessed the turbulent mix-068 ing that can be generated by the shear-driven instabilities associated with breaking internal 069 gravity waves over the Sea continental slope. The finescale parametrization framework (FSP) 070 (Henyey et al, 1986; Polzin et al, 1995, 2014; Kunze et al, 2002; MacKinnon et al, 2013; 071 Meyer et al, 2015; Hibiya et al, 2012; Gregg et al, 2018) that assumes that internal gravity 072 wave breaking makes a major contribution to vertical mixing was applied to the *in situ* data 073 for the thermohaline structure and the current shear to assess the turbulent dissipation rate 074 and diapycnal diffusivity. The fine-structure vertical resolution collocated data were measured 075 using an Aqualog profiler southeast of Peter the Great Bay of the Sea in April-October 2015 076 (Lazaryuk et al, 2017). 077

The estimates of diapycnal diffusivity indicated that the vertical exchange of heat, salt 078 and dissolved oxygen was enhanced under the seasonal pycnocline in the warm half-year. 079 The FSP framework requires knowledge of the low-wavenumber shear and strain variances 080 (Polzin et al, 2014; Kunze et al, 2006; Lique et al, 2014). To comply with this condition, the 081 spectra of the shear and strain had to be estimated using vertical segments spanning more than 082 or equal to 128 dbar. Because the data for the sea near-surface layer were unavailable, the 083 layer below the mixed layer (Lim et al, 2012) was not considered in the paper by Ostrovskii 084 et al (2021). Mesoscale eddies can also affect vertical mixing (Whalen et al, 2015; Kunze et al, 085 1995; You et al, 2021; Yang et al, 2017). Anticyclonic mesoscale eddies are well-developed 086 in the northern Japan basin, and the data show that turbulent mixing is stronger at the edges 087 and bottom parts of eddies (Ostrovskii et al, 2021, 2023). 088

In addition to the abovementioned processes, vertical mixing can be driven by double diffusion (Lee et al, 2014; Inoue et al, 2007; Radko and Smith, 2012). Double diffusion signatures were also found in the Sea (Stepanov et al, 2023). Using the abovementioned Aqualog 092

profiler dataset, Stepanov et al (2023) studied fine-scale mixing associated with double diffu-093sion processes: salt fingers and thermal convection. Based on the Turner angle analysis and094estimates of the effective diffusivities of heat and salt (Inoue et al, 2007) derived from moored095profiler Aqualog data, it was found that in the spring, thermal convection dominated the vertical mixing in the upper layer of the Sea between 70 m and 200 m. Double diffusion processes097occurred sporadically in the upper layer, while shear-driven instabilities played the leading098order in vertical mixing generation.099

In this study, we focused on the estimation of the vertical mixing and turbulent exchange 100 in the upper part of the Sea immediately below the near-surface layer through a further anal-101 ysis of the moored profiler Aqualog dataset. The upper part of the Sea water column was 102 not considered in a previous study (Ostrovskii et al, 2021) due to the limitations of the FSP 103 framework. In the following, we apply the Thorpe-scale method (TSM) (Thorpe, 1977; Smith, 104 2020; MacKinnon et al, 2017; Thompson et al, 2007) to the depth profiles of the water den-105 sity to estimate the turbulent patch vertical scale by applying a special reordering of the data, 106 as described below. The derived estimates are used to assess the turbulent dissipation rate. 107 This approach requires high-resolution depth profiles of temperature and salinity with the 108 background of a large vertical density gradient (Dillon, 1982; Stansfield et al, 2001; Thomp-109 son et al, 2007). The TSM allows us to quantify the manifestations of turbulence regardless 110 of its cause, assuming that these manifestations are associated with density overturns in the 111 turbulent area. For clarity, to focus on turbulent mixing only, the time periods in which ther-112 mal convection and salt fingers prevailed in vertical mixing (Stepanov et al, 2023) must be 113 excluded from this analysis. The estimates of the vertical mixing parameters derived using 114 the TSM are compared with those obtained within the FSP framework. Therefore, we aim to 115 obtain a more comprehensive overview of the vertical mixing in the intermediate waters in 116 117 the northwestern Sea during the warm half-year.

The rest of the paper is organized as follows. The dataset used is presented in Sect. 2. The Thorpe-scale method and the FSP framework are described in Sect. 3. The conditions for the shear-driven turbulence, estimates of the turbulent dissipation rate and diapycnal diffusivity as well as the vertical heat and salt fluxes and their depth and temporal variations during the survey are presented in Sect. 4. The discussion in Sect. 5 is based on a comparison of the vertical mixing estimates derived from the TSM and the FSP framework. Additionally, the vertical distribution of the mixing processes is presented. Sect. 6 summarizes our findings. 124

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2 Dataset

To quantify vertical mixing, we analyzed fine-structure measurements of temperature (T) and 129 salinity (S) collected by an Aqualog profiler during a survey from mid-April to mid-October 130 2015. The Aqualog profiler was moored on the continental slope in the northwestern Sea 131 (Fig. 1). The depth of the mooring station was approximately 425 m. The profiler crawled up 132 and down a taut mooring wire at a vertical speed of 0.2 m s^{-1} . During the first five days, the 133 Aqualog profiler moved from 70 to 260 m, and on the sixth day, the profiler moved further 134 downward to 420 m to obtain full-depth profiles. This regular operation pattern was repeated 135 until mid-October. In total, 1550 sets of vertical profiles of the measured parameters were 136 obtained. The profiler was equipped with a Sea-Bird-Electronics (SBE) CTD 52-MP, main-137 taining a sampling rate of 1 Hz, and a Nortek Aquadop, with a sampling rate of 23 Hz. A 138

139 detailed description of the survey measurements was provided by Ostrovskii et al (2021). 140 During the survey, the Sea processes near the mooring station were affected by the Primorye 141 Current and by wind forcing. 142 The depth profiles of the salinity were processed following to the method of (Lazaryuk 143 et al, 2017). The potential density (σ_{θ}) was calculated from the T and S data using the Thermodynamic Equation of SeaWater 2010 (TEOS-10) (McDougall and Barker, 2011). The depth 144 profiles of the potential density were used to estimate the Thorpe scale (L_T) , the turbulent 145 146 dissipation rate (ε_K) and the diapycnal diffusivity (K_ρ).

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¹⁴⁸ 3 Thorpe-Scale Method and the Finescale Parameterization ¹⁴⁹ Framework

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We suggest that turbulence is sustained by a shear-driven mechanism and exclude other mechanisms, e.g., double diffusive and convection cases, from consideration. To quantitatively assess vertical mixing, we apply the conventional parameterization (Osborn, 1980):

 $K_{\rho} = \Gamma \varepsilon_K / N^2, \tag{1}$

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where Γ is the mixing efficiency and *N* is the buoyancy frequency. Mashayek et al (2017) noted that Γ varies significantly due to the modulation of vertical mixing by dynamic processes. Γ is typically equal to 0.2. Note that *N* represents the background stratification. *N* is estimated over a 36-dbar depth span, and a 14-day window is subsequently used for the time averaging of N^2 .

We assess ε_K according to the method of Dougherty (1961) and Ozmidov (1965), assuming that there is a vertical scale (L_O) for which stratification inhibits the extent of the turbulent patch:

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$$L_O = \left(\varepsilon_K / N^3\right)^{1/2}.$$
 (2)

167 168

169 Thorpe (1977) suggested that scale (2) can be estimated by reordering a depth profile of 170 density σ_{θ} , which contains a turbulent patch, to the steady density profile as follows:

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$$L_T = \langle (z_n - z_m)^2 \rangle_z^{1/2}, \ n \in \mathbb{R}$$
(3)

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where $\langle ... \rangle_z$ denotes the average over the turbulent patch and *R* is the set of the fluid elements within the turbulent patch. These fluid elements are located at depths z_n and after reordering the density profile, the fluid elements are located at depths z_m (for example, Fig. 2). Based on direct dissipation measurements in a sheared thermocline, Dillon (1982) proposed the relation between the L_T and L_O , namely $L_0 \approx 0.8L_T$. Using relation (2), ε_K can be estimated as follows:

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$$\varepsilon_K = L_O^2 N^3 \approx 0.64 L_T^2 N^3. \tag{4}$$

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183 Note that in (2) and (4), N is the stratification of the specific turbulent patch (Thompson et al, 2007).

In applying the TSM, we face an issue associated with "salinity spiking" when different 185 ensembles of the T and S data may have the same density. To avoid uncertainty, the first 30 186 and last 180 data samples were excluded from each profile of T and S. 187

Thorpe (1977) noted that this method cannot be applied in the regions dominated by 188 thermal convection and double diffusion. Based on these results (Stepanov et al, 2023), events 189 associated with double diffusion processes, i.e., diffusion convection and salt fingers, were 190 omitted from further consideration. We also exclude the turbulent patches where the necessary 191 condition for double diffusion is satisfied. The Turner angle (Ruddick, 1983; Stepanov et al, 192 2023) was estimated using the TEOS-10 algorithm (McDougall and Barker, 2011). 193

All of the profiles (ε_K or K_ρ) are binned into 10-m layers. For all median values, the 194 confidence intervals (95% level) were evaluated using the bootstrap technique (Efron and 195 Gong, 1983) and the bootstrap toolbox (Zoubir and Boashash, 1998). 196

When diapycnal diffusivity (1) is known, the vertical heat and salt fluxes can be estimated 197 as follows: 198

$$Q = -c_p \rho_0 K_\rho \frac{1}{\partial z}, \quad J_S = -K_\rho \frac{1}{\partial z}, \quad (5) \quad 200$$

where $\langle ... \rangle_t$ denotes the time average, θ is the potential temperature, c_p is the specific heat of 203 seawater which is equal to 4000 J kg⁻¹ K⁻¹ and ρ_0 is the seawater density of 1025 kg m⁻³. 204 Note that the θ and S data are binned into 2-dbar layers. The vertical gradients of θ and S 205 are calculated over a 10-dbar scale and a 14-day window is subsequently used for the time 206 averaging of the vertical gradients. L_T , ε_K and K_ρ are estimated for each profile of σ_{θ} . 207

For a more detailed explanation of the TSM framework, let us consider an example of σ_{θ} 208 and the profiles derived by applying the Thorpe scale analysis (Fig. 2). The profile of σ_{θ} was 209 collected by a moving-down Aqualog profiler at 00 h 18 s on April 26, 2015. This profile 210 features manifestations of shear-driven turbulence within the depth range from 70 to 160 m 211 (Fig. 2a). For example, from 80 to 100 m, the value of σ_{θ} at the specified depth level is greater 212 than that at the next depth level. The density stratification from 80 to 100 m is weak, and 213 its value is N = 1.2 cph (Figure 2b). The Thorpe displacement $L_D = (z_n - z_m)$ (3) results in 214 values ranging from -8 m to 8 m, such that $L_T = 4$ m and ε_K reaches 10^{-10} W kg⁻¹. 215

For greater confidence in our estimates, we compare ε_K and K_ρ derived from the TSM 216 with those from the FSP framework. The turbulent dissipation rate (ε_K^{FSP}) and diapycnal 217 diffusivity (K_{ρ}^{FSP}) derived from the FSP framework were estimated as described previously 218 (Ostrovskii et al, 2021). To obtain ε_{K}^{FSP} , we applied the following relation (Henyey et al, 219 1986; Gregg and Kunze, 1991; Polzin et al, 1995; Gregg et al, 2003; Fer et al, 2010; Meyer 220 et al, 2015): 221

 $(\widehat{\alpha})^2$

$$\varepsilon_{K}^{FSP} = \varepsilon_{0} \frac{N^{2}}{N_{0}^{2}} \frac{\binom{S_{z}}{z}}{(s^{2})^{2}} \frac{3(R_{w}+1)}{2\sqrt{2}R_{w}\sqrt{R_{w}-1}} \frac{f\cosh^{-1}(N/f)}{f_{0}\cosh^{-1}(N_{0}/f_{0})}$$
(6) 224
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$$N_0^2 \left(\widehat{S_{zGM}^2}\right)^2 2\sqrt{2R_w}\sqrt{R_w-1} f_0 \cosh^{-1}(N_0/f_0)$$
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which depends on the integrated variance in the observed vertical shear (S_7^2) and the shear-228 to-strain ratio (R_w) (Ostrovskii et al, 2021). In (6) the constants are defined according to the 229 modified Garrett-Munk model (Garrett and Munk, 1972; Cairns and Williams, 1976) for the 230 reference latitude 32.5°N: $\varepsilon_0 = 8.0 \cdot 10^{-10}$ W kg⁻¹, $N_0 = 3$ cph, and $f_0 = 7.8 \cdot 10^{-5}$ rad s⁻¹. At the observational site at 42.6° N in the northwestern Sea, the local inertial frequency was $f = 9.87 \cdot 10^{-5}$ rad s⁻¹. Note that relation (6) depends on the vertical shear, which was estimated from high-vertical-resolution measurements of the horizontal velocity components (see the above Sec. 2). Using relation (6), ε_K^{FSP} is estimated for the layers with weak density stratification, where the strain estimates are less reliable. Then, to estimate K_{ρ}^{FSP} , the Osborn relation (1) was applied. The ε_K^{FSP} and K_{ρ}^{FSP} profiles were binned into a 10-m thick layer. **4 Results**

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242 4.1 Necessary conditions for shear-driven turbulence

243 When analyzing the vertical mixing associated with shear-driven turbulence, the necessary 244 conditions for its generation should be considered. Density stratification is a leading factor 245 influencing the shear-driven turbulence evolution. A high density stratification unfavorably 246 affects the development of shear-driven turbulence. By contrast, the extent (spatial scale) of 247 the turbulence motion is large for weak density stratification. The values of N reach high 248 values of more than 3 cph in the upper layer at the depth from 65 to 150 m (Fig. 3). From 249 mid-April to the end of May, maximum N values ranging from 2.5 to 3 cph are found below 250 the mixed layer and down to the depth of 100 m. From June to October, the maximum values 251 of N occur at the depths ranging from 65 to 180 m. At the depths greater than 200 m, den-252 sity stratification weakens, and the value of N decreases from 2.6 to 1.5 cph. It appears that 253 strong density stratification events during the survey suppress the development of shear-driven 254 turbulence. 255

We estimate the gradient Richardson number (Ri), as a principal measure of instability, according to (Miles, 1961) as follows:

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$$Ri = \frac{\langle N^2 \rangle_t}{V_z^2} < 0.25,\tag{7}$$

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where $V_z^2 = \left(\left[\frac{\partial u}{\partial z} \right]^2 + \left[\frac{\partial v}{\partial z} \right]^2 \right)$ is the vertical shear of the horizontal velocity component 264 (u, v).

For Ri < 0.25, we expect that the necessary condition for the development of shear-driven 265 turbulence is satisfied. Figure 4 shows the evolution of Ri during the survey. Notice that the 266 regions of the data profiles where double diffusion was developed (Stepanov et al, 2023) are 267 excluded from this analysis. From mid-April to mid-June 2015, despite strong stratification 268 in the upper layer, Ri < 0.25 was often observed at the depths of 70–260 m. From mid-June 269 2015 to October, due to increasing density stratification in the upper layer, the necessary 270 conditions were satisfied mainly in the Sea layer at the depths of more than 150 m. However, 271 in some cases the condition Ri < 0.25 was fulfilled in the layer at the depths of 70–150 m. 272Later, in October, the condition Ri < 0.25 was fulfilled in the layer at the depths of 70-260 m. 273 Thus, one can expect strong shear-driven turbulence manifestations in the form of turbulent 274 patches with various length scales in the Sea upper layer despite the presence of strong density 275 stratification in this layer. 276

4.2 Thorpe scale estimates of ε_K and K_ρ

In this subsection, we focus on the estimates of ε_K and K_ρ obtained using the TSM and relation (1). We aim to obtain a set of estimates that match those derived earlier by using FSP frameworks; therefore, the same data profiles were taken for the analysis as in Ostrovskii et al (2021). Figure 5 shows the TSM estimates of ε_K . Note that the values of $\varepsilon_K < 10^{-20}$ W kg⁻¹ and $K_\rho < 10^{-7}$ m² s⁻¹ are not shown. Additionally, if any σ_{θ} profile did not have at least one density overturn, this profile was excluded from the analysis.

In the upper layer at the depths from 70 to 150 m, the values of ε_K range from 10⁻⁹ to 285 10^{-7} W kg⁻¹, whereas in the lower layer at the depths from 150 to 200 m, they decrease by 286 two orders of magnitude and become negligible at 10^{-11} W kg⁻¹. However, sometimes high 287 values of ε_K occur at the depths greater than 200 m; for example, on 23 September, ε_K was 288 equal to 10^{-6} W kg⁻¹. The time-depth distribution of the estimated values is rather sparse 289 because we omit the data when other mixing processes, such as double diffusion, dominate 290 (Stepanov et al, 2023). High ε_K values in the upper layer at the depths from 70 to 180 m 291 indicate greater dissipation of turbulent kinetic energy. 292

The high dissipation rate of turbulence results in large estimates of the diapycnal diffusivity K_{ρ} . In particular, K_{ρ} is often as high as $10^{-4}-10^{-3}$ m² s⁻¹ in the upper layer at the depths from 70 to 180 m (Figure 5b). Occasionally large K_{ρ} values in the deep layer are due to high turbulent dissipation and weak dependence on density stratification (Fig. 3).

296 The survey median values are more suitable for demonstrating typical vertical distribu-297 tions of ε_K and K_ρ (Fig. 6). Higher survey median values from 10^{-8} to $\sim 10^{-10}$ W kg⁻¹ and 298 from $\sim 10^{-4}$ to 10^{-5} m² s⁻¹ are found in the upper layer at the depths from 70 to 180 m. 299 In the depth range from 190 to 300 m, the survey median values of ε_K and K_ρ decrease to 300 $5 \cdot 10^{-11}$ W kg⁻¹ and $5 \cdot 10^{-6}$ m² s⁻¹, respectively, so that the uncertainty of both estimates 301 is large, as indicated by the confidence intervals. At the depths of less than 370 m, the median 302 survey values of ε_K and K_ρ tend to increase. The median survey values of ε_K and K_ρ increase 303 to $\sim 10^{-10}$ W kg⁻¹ and $\sim 10^{-5}$ m² s⁻¹, respectively. 304

Let us demonstrate the survey-median profiles of ε_K and K_ρ for the layer at the depths 305 70-260 m computed from all of the data (Fig. 7), rather than only from the full-depth profiles, 306 as shown in Fig. 6. The median survey values of ε_K peak at $3 - 4 \cdot 10^{-9}$ W kg⁻¹ in the layer 307 at the depths from 70 to 100 m, whereas in the layer below, down to a depth of 250 m, the 308 median survey estimates of ε_K decrease with depth to approximately $5 \cdot 10^{-11}$ W kg⁻¹. The 309 survey-median K_{ρ} profile is similar to the survey-median ε_{K} profile. In the layer at the depths 310 from 70 to 100 m, the survey-median K_{ρ} values vary from 3 to $4 \cdot 10^{-5}$ m² s⁻¹, and the highest K_{ρ} values reach approximately $3.5 \cdot 10^{-5}$ m² s⁻¹ at the depths of 100–120 m. Downward, the 311 312 survey-median K_{ρ} decreases toward ~ 10⁻⁶ m² s⁻¹ at the depth of 250 m. 313

4.3 Vertical heat and salt fluxes

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Estimates of diapycnal diffusivity allow us to assess the vertical fluxes of heat and salt (5). 317 First, let us focus on the time-depth variations in Q in the upper layer (Fig. 8a). The flux Q 318 is usually directed downward during the survey. A single period was found when a Q of -6 W m⁻² was directed upward from mid-April to May. From May through August, the median value of Q decreased from 2.2 W m⁻² at 70 m depth to 0.1 W m⁻² at 200 m depth. In the summer, about 20% values of Q reached a positive value of more than 10 W m⁻² at the depths 322

from 70 to 150 m. In the autumn, higher values of the flux were observed down to the depth
of 180 m. For depths greater than 200 m, the vertical heat flux decreased from June through
October.

Figure 8b shows that J_S was mainly negative during the survey, indicating that J_S was basically directed upward. However, events associated with positive J_S values were observed in the layer at the depths from 70 to 130 m, reaching J_S of $3 \cdot 10^{-9}$ (kg salt) (kg seawater)⁻¹ m s^{-1} . High negative J_S values of $-5 \cdot 10^{-9}$ (kg salt) (kg seawater)⁻¹ m s⁻¹ were observed from mid-April through May. Additionally, the strong weakening of the J_S at the depths greater than 180 m occurred from June through October.

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334 **5 Discussion**

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The enhanced vertical mixing, revealed by using the TSM below the mixed layer, was 336 associated with the basin-scale shear current. In the sea upper layer, the higher diapycnal dif-337 fusivity can be associated with the vertical shear induced by Ekman pumping. The effects 338 of near-inertial internal waves induced by wind forcing also enhance the mixing. The shear 339 instabilities associated with internal waves can be important for vertical mixing in the Sea. 340 Near-inertial oscillations are observed over the northwestern sea shelf (Yaroshchuk et al, 341 2016). The dynamic conditions in the northwestern Sea featuring cyclonic gyre, coastal jet 342 currents, and mesoscale and sub-mesoscale eddies are similar to those in certain marginal 343 seas, such as the northwestern Mediterranean Sea and the northern Black Sea. Podymov et al 344 (2020) quantified vertical mixing near the northeastern Black Sea continental slope using 345 measurements of sea temperature, conductivity, and current velocity by a collocated moored 346 Aqualog profiler during 2013–2016. The calculations were performed according to the well-347 known parametrization of the vertical turbulent exchange coefficient based on the Richardson 348 number, originally proposed by Munk and Anderson (1948). The data analysis indicated that 349 the diapycnal diffusivity was the highest at approximately $10^{-4} - 3 \cdot 10^{-4}$ m² s⁻¹ at the depths 350 ranging from 50 to 100 m. 351

The Sea undergoes strong atmospheric forcing. The passage of atmospheric cycles leads 352 to frequent adjustment of the geostrophic balance of the Sea and a release of the available 353 354 potential energy. Eventually, this energy impact can result in vertical mixing in the Sea, including in the continental slope area. Notably, high turbulent dissipation rates ranging from 355 10^{-9} W kg⁻¹ to 10^{-8} W kg⁻¹ were observed below the mixed layer in the southeastern 356 Sea, where the Tsushima Warm Current propagates Kawaguchi et al (2021). Kawaguchi et al 357 (2021) noted that enhanced vertical mixing was modulated by mesoscale dynamics. Notice-358 ably, the estimates obtained by Kawaguchi et al (2021) are close to our results obtained by 359 applying the TSM for analysis of the moored Aqualog profiler data in the Primorye Current 360 region of the northwestern Sea. In the regions where shear flow dominates and wind forcing 361 exhibits strong spatio-temporal variability, enhancement of vertical mixing below the mixed 362 layer can be expected. 363

The TSM approach has been used to study vertical mixing from CTD profiles for several regions of the world ocean. Similar to the observations in the upper layer, enhanced vertical mixing was found both in the winter and in the summer of 1998 in the upper layer at the depths from 70 to 120 m in the Juan de Fuca Strait, where the shear flow was strong (Stansfield et al, 2001). The Thorpe scale, turbulent dissipation rate and diapycnal diffusivity were estimated.

The estimates of diapycnal diffusivity in the Juan de Fuca Strait by Stansfield et al (2001) are 369 of the same order of magnitude (10^{-4} m² s⁻¹) as those in our study for the northwestern Sea. 370

The intense turbulence patches under the mixed layer and the high vertical gradients of temperature and salinity often lead to the spots with enhanced vertical heat and salt exchanges. The increased vertical heat exchange was as large as 10^4 W m⁻² with a 3-day averaged flux of about 300 W m⁻² on the southwestern boundary of the Ulleung Basin in the Sea (Wijesekera et al, 2022), where vertical mixing was studied using combined ship-based, moored, and quasi-autonomous observations. Notice that Wijesekera et al (2022) highlighted the enhanced vertical mixing below the mixed layer. 371

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5.1 Comparison of the TSM and FSP approaches for quantifying turbulent mixing

In Sect. 4 above, we used the TSM to quantify the vertical mixing in the layer at the depths 382 from 70 to 150 m, which was not considered in previous work, partly due to limitations of the 383 FSP framework (Ostrovskii et al, 2021). On the one hand, the TSM has known constraints. 384 Due to weak density stratification, the turbulent dissipation rate can be underestimated. On 385 the other hand, the FSP framework has its own limitations, which do not allow us to obtain 386 the full-depth pattern of diapycnal diffusivity based on the FSP approach alone. The two 387 methods can potentially complement each other if the TSM is applied to the upper portion of 388 the sea water column and the FSP is used for the deeper layers. Furthermore, comparison of 389 the results obtained by both methods will be useful for better understanding of their utility. 390

We compare the median survey values of ε_K and K_ρ estimated using the TSM (Fig. 6) and those derived by Ostrovskii et al (2021) using the FSP framework (ε_K^{FSP} and K_ρ^{FSP}). It should be stressed that here we consider the estimates from the full-depth data profiles. Figure 9a shows the survey-median values of the turbulent dissipation rates derived using the TSM and the FSP framework. Note that the survey median ε_K^{FSP} was derived for the depth range between 120 m and 360 m. 391 392 393 393 394 395 396

In the layer at the depths from 70 m to 180 m, the survey-median values of ε_K are significantly greater than the survey-median values of ε_K^{FSP} . The survey-median ε_K ranged from 398 10^{-8} to 10^{-10} W kg⁻¹, while the survey-median values ranged between $2 \cdot 10^{-10}$ and 10^{-10} 399 W kg⁻¹. The difference between the survey-median values of ε_K and ε_K^{FSP} decreases with increasing depth to 180 m. In the layer at the depths from 180 to 250 m, both estimates have 401 the same order of magnitude, although the survey-median ε_K varies more widely between $5 \cdot 10^{-11}$ and $1 \cdot 10^{-10}$ W kg⁻¹. In the deeper layers from 250 to 320 m, the survey median ε_K values increase to 10^{-10} W kg⁻¹. Note that at the depths from 320 to 350 m, the survey-averaged ε_K values increase to $1.5 \cdot 10^{-10}$ W kg⁻¹.

Overall, in the upper layer at the depths from 70 to 150 m, the FSP framework under-407 estimates the turbulent dissipation due to the omission of the vertical shortwave disturbance 408 contribution to the shear and strain variances. By contrast, TSM estimations based on high-409 vertical-resolution measurements can account for this contribution. When the depth increases, 410 the contribution of the vertical shortwave disturbances to the turbulent dissipation rate 411 decreases; thus, the estimates of the survey-median ε_K become closer to the estimates of the 412 survey-median \mathcal{E}_{K}^{FSP} . At the depths greater than 250 m, the lower values of the survey-median 413 ε_K may be caused by underestimation due to weak stratification. 414

The difference between the survey-median estimates of ε_K and ε_K^{FSP} leads to discrepancies between the survey-median values of K_ρ and K_ρ^{FSP} given the nonlinear dependence of N^2 on depth. Substantial discrepancies occur in the layer at the depths from 70 to 200 m, where the survey-median estimates of K_ρ obtained by using the TSM exceed by more than one order of magnitude the estimates derived using the FSP framework. In the deep layer at the depths from 270 m to 350 m, the survey median K_ρ^{FSP} is approximately five times greater than the survey median K_ρ .

Finally, let us compare the turbulent dissipation rates derived by applying both methods to the data binned into two layers as follows: the upper layer at the depths from 70 to 150 m and the lower layer at the depths from 150 to 250 m (Fig. 10). Notice that here we analyze the daily median values of the dissipation rates.

In the upper layer, the depth-median values of $\varepsilon_K \approx 1.2 \cdot 10^{-9}$ W kg⁻¹ usually exceed those of $\varepsilon_K^{FSP} \approx 1.2 \cdot 10^{-10}$ W kg⁻¹ by one order of magnitude (Fig. 10a). From early July 426 427 428 through mid-October, the depth-median values of ε_K exceeded the depth-median values of ε_{K}^{FSP} by approximately one order of magnitude. By contrast, at the depths of 150 m to 250 m, 429 the median values of ε_K and ε_K^{FSP} are often close to each other (Fig. 10b). In this layer, the ver-430 tical longwave disturbances dominate the variations of vertical shear and strain. Their spectra 431 432 are similar to Garrett-Munk spectra (Ostrovskii et al, 2021), and the FSP framework is better 433 suited for estimating the turbulent dissipation rate. Notice that in the lower layer, the TSM 434 may underestimate the turbulent dissipation rate due to weakening of the density stratifica-435 tion, reducing the accuracy of the estimate of the turbulent patch length. The cross-evaluation of both methods is helpful for understanding the uncertainties involved in the estimation of 436 437 the turbulent dissipation rate.

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439 440 441 5.2 Depth profile of the diapycnal diffusivity compiled from two sets of estimates based on the TSM and FSP approach

To model realistic mixing in a numerical simulation, the proper parameterization must be 442 specified using resolved scale parameters. Based on the survey-median estimates of K_{ρ} 443 and K_{ρ}^{FSP} (Fig. 11), it is desirable to compile a background profile of diapycnal diffusivity 444 accounting for the features of vertical mixing addressed by both TSM and FSP. The upper 445 446 part of the survey-median K_{ρ} profile provides a better description of the background profile of the diapycnal diffusivity in the depth range from 70 to approximately 190 m because 447 the TSM correctly accounts for the contribution of shortwave disturbances. In the mid-depth 448 range from 190 to 280 m, the estimated survey-median values of K_{ρ} and K_{ρ}^{FSP} obtained by 449 both methods are rather close to each other. Finally, in the lower part from 280 to 350 m depth, the survey-median K_{ρ}^{FSP} values better represented the background profile of the diapycnal 450 451 diffusivity. Overall, a strong nonlinear change in diapycnal diffusivity with depth is observed. 452 Below the mixed layer (from 65 to 190 m) where the density stratification is strong, the back-453 ground diapycnal diffusivity exhibits two maxima. One of these is associated with the lower 454 boundary of the mixed layer, and the other is associated with the layer with maximal values 455 of V_z (see Ostrovskii et al (2021)). Below, a quasi-exponential decrease of the diapycnal dif-456 fusivity is observed (from $8 \cdot 10^{-5}$ to 10^{-5} m² s⁻¹). At the depths of 180–250 m, a weakly 457 stratified layer is present, where the background diapycnal diffusivity varies from $3 \cdot 10^{-6}$ m² 458 s^{-1} to 10^{-5} m² s⁻¹. This depth range includes the upper boundary of the East Sea Interme-459 diate Water (ESIW) (Yamada et al, 2004; Park et al, 2014; Yoshikawa et al, 1999; Yoon and 460

Kawamura, 2002; Lee et al, 2011; Park and Lim, 2018). The low values of the background 461 diapycnal diffusivity suggest that only short-term events associated with mesoscale eddies 462 and strong near-inertial waves, as well as double diffusion processes, may be responsible for 463 464 the intermittently higher diffusivity and vertical exchange between the ESIW and the upper layer (Ostrovskii et al, 2021; Stepanov et al, 2023). In the lower part of the water column, 465 the increase in the background diapycnal diffusivity with depth can be associated with the 466 interaction of shear flow (Primorye Current) with the continental slope. Such interactions can 467 induce enhanced mixing associated with arrested lee waves (Legg and Klymak, 2008; Kly-468 mak et al, 2010). In this layer, the background diapycnal diffusivity increases from $8 \cdot 10^{-6}$ 469 $m^2 s^{-1}$ to $2 \cdot 10^{-5} m^2 s^{-1}$. Notably, enhanced vertical mixing near the bottom was found 470 in various regions of the open ocean, where the diapycnal diffusivity reached a value higher 471 than 10^{-4} m² s⁻¹ (Kunze et al, 2002). Diapycnal diffusivity reaches a value of $5 \cdot 10^{-5}$ m² 472 s^{-1} near the Yermak Plateau (Fer et al, 2010) and reaches approximately 10^{-4} m² s⁻¹ in the 473 Storfjorden Fjord (Fer, 2006). 474

6 Conclusions

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This study addressed the vertical mixing induced by shear-driven turbulence in the continen-479 tal slope region of the northwestern Sea. Based on the high-resolution vertical profiles of 480 temperature and salinity obtained by an Aqualog profiler, the spatial scales of the turbulent 481 patches in the density profiles were estimated using the Thorpe-scale method. Based on the 482 relation between the Ozmidov and Thorpe scales and the Osborn relation, the turbulent dissi-483 pation rate and the diapycnal diffusivity were obtained in the intermediate layer at the depths 484 from 70 to 260 m. The vertical heat and salt fluxes in this layer were also derived. Quantita-485 tive estimates of the turbulent mixing showed that in the depth range from 70 to 150 m, the 486 turbulent dissipation rate and the diapycnal diffusivity reached higher values relative to the 487 underlying water layer at the depth greater than 150 m. Strong turbulent mixing resulted in 488 the intensification of the vertical turbulent exchange of heat and salt. The downward vertical 489 heat flux could exceed 10.0 W m⁻², and the upward vertical salt flux ranged from $-5 \cdot 10^{-9}$ 490 to $3 \cdot 10^{-9}$ (kg salt) (kg seawater)⁻¹ m s⁻¹. 491

We compared the survey-median full-depth profiles of the turbulent dissipation rate 492 derived by using the Thorpe-scale method and the finescale parameterization framework and 493 found that both estimates were of the same order of magnitude only in the layer at the depths 494 from 200 to 280 m. In the upper layer at the depths from about 70 to 150 m, the Thorpe-scale 495 method estimate of the turbulent dissipation rate was significantly (approximately one order 496 of magnitude) greater than that of the finescale parameterization framework. We suppose that 497 this difference is due to the limitations of the finescale parameterization framework, which 498 does not fully account for the contribution of vertical shortwave disturbances to the shear and 499 strain variations. By contrast, for the lower part of the water column at the depth greater than 500 260 m, we found that the turbulent dissipation rate estimated using the finescale parameter-501 ization framework tends to be higher than that derived using the Thorpe-scale method. This 502 discrepancy may be associated with the limitations of the Thorpe scale method under weak 503 density stratification. Smith (2020) modified the Thorpe-scale method by estimating the avail-504 able overturn potential energy and ignoring the abovementioned discrepancies under weak 505 density stratification. We hope to apply Smith's approach in our future studies. In our opinion, 506

507 the Thorpe-scale method yields a robust estimate of the vertical mixing intensity in the sub-

508 surface layer, and the finescale parameterization framework is more suitable for application to 509

the deeper layer. This comparison highlights the necessity of choosing appropriate methods 510 and frameworks to obtain reliable quantitative estimates of the vertical mixing intensity from

- 511 the surface to the bottom of the sea in regions with strong seasonal variations in stratification
- 512 and multiscale dynamics.

513 The generalized profile for background diapycnal diffusivity includes the estimates of 514 diapycnal diffusivity obtained using both the Thorpe-scale method and finescale parame-515 terization framework. This model shows strong nonlinear behavior with depth and can be 516 useful for improving the performance of high-resolution ocean general circulation models of

517 basin-wide cyclonic gyre in the northern part of the Sea.

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520 Data Availability The datasets generated during the current study are available https: 521 //www.researchgate.net/publication/377629132_mix_Ocean_Dyn.

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523 7 Declarations 524

525 Conflict of interest The authors declare no competing interests.

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783 FIGURE CAPTIONS

Fig. 1 Survey-averaged geostrophic circulation data were obtained from the AVISO dataset (https://www.aviso.altimetry.fr/en/data/data-access.html), and the colored topography was obtained from the ETOPO1 (Amante and Eakins, 2009) in the northwestern Sea. The location of the Aqualog profiler mooring station is shown by the red asterisk.

Fig. 2 An example of a density profile (σ_{θ}) at 00 h 18 s on 26 April 2015 and the corresponding profiles of (b) buoyancy frequency (*N*) of the turbulent patches, (c) Thorpe displacement ($L_D = (z_n - z_m)$) (3), (d) Thorpe scale (L_T), and (e) dissipation rates (ε_K) obtained using Thorpe scale analysis.

Fig. 3 The time-depth plot of the background buoyancy frequency (*N*) estimated from all profiles. Values of $N < 10^{-1}$ cph were excluded from the analysis.

Fig. 4 Time-depth plot of the gradient Richardson number (*Ri*) estimated from all data.
The white dots indicate the regions of the data profiles that experienced favorable conditions
for double diffusion.

Fig. 5 Time-depth plot of the turbulent dissipation rate (ε_K , W kg⁻¹) (a) and diapycnal diffusivity (K_ρ , m² s⁻¹) (b) derived using the Thorpe-scale method and full-depth data profiles. The values of $\varepsilon_K > 10^{-8}$ W kg⁻¹ and $K_\rho > 10^{-4}$ m² s⁻¹ are shown by large markers. The values of $\varepsilon_K < 10^{-20}$ W kg⁻¹ and $K_\rho < 10^{-7}$ m² s⁻¹ are not shown. Notice that the upper parts of the profiles are plotted to show the data in more detail.

Fig. 6 The survey-median values of the turbulent dissipation rate (ε_K , W kg⁻¹) (a) and the diapycnal diffusivity (K_ρ , m² s⁻¹) (b) derived by applying the Thorpe-scale method for processing the full-depth profiles of σ_{θ} . The 95% bootstrap confidence intervals are shown with color shading.

Fig. 7 The survey median values of the turbulent dissipation rate $(\varepsilon_K, W \text{ kg}^{-1})$ (a) and the diapycnal diffusivity $(K_\rho, \text{m}^2 \text{ s}^{-1})$ (b) derived by applying the Thorpe-scale method to all of the data profiles. The 95% bootstrap confidence intervals are shown with color shading.

Fig. 8 Time-depth plot of the vertical heat flux (Q, W m⁻² (a)) and salt flux (J_S , (kg salt) (kg seawater)⁻¹ m s⁻¹) (b) estimated following formula (5) from all of the data. The positive values are for the downward-directed fluxes.

Fig. 9 The survey-median turbulent dissipation rate (a) and the diapycnal diffusivity profiles (b) derived using the Thorpe-scale method (TSM, red lines) and the finescale parameterization framework (FSP, blue lines) from the full-depth data profiles. The 95% bootstrapped confidence intervals are shown with corresponding color shading.

Fig. 10 Daily mean time series of the depth-median values of the turbulent dissipation rate derived using the Thorpe-scale method (TSM, red lines) and the finescale parameterization framework (FSP, blue lines) from all of the data binned into two layers: (a) at the depths of 70-150 m and (b) at the depths of 150-250 m.

Fig. 11 Background diapycnal diffusivity data composed of survey median values of diapycnal diffusivity K_{ρ} and K_{ρ}^{FSP} derived via the Thorpe-scale method (TSM, red bars) and the finescale parameterization framework (FSP, blue bars), respectively.

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1001 Fig. 6 The survey-median values of the turbulent dissipation rate (ε_K , W kg⁻¹) (a) and the diapycnal diffusivity 1002 (K_ρ , m² s⁻¹) (b) derived by applying the Thorpe-scale method for processing the full-depth profiles of σ_{θ} . The 95% 1003 bootstrap confidence intervals are shown with color shading.



Fig. 7 The survey median values of the turbulent dissipation rate $(\varepsilon_K, W kg^{-1})$ (a) and the diapycnal diffusivity $(K_{\rho}, m^2 s^{-1})$ (b) derived by applying the Thorpe-scale method to all of the data profiles. The 95% bootstrap confidence intervals are shown with color shading.





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