

Minimizing the Exposure Risk from Groundwater Pollution by Optimizing the Extraction Patterns

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Abstract

Optimization models for minimizing pollutant exposure from groundwater resources require time and resources that many communities might not have ready access to due to their economic conditions. In such cases, it might be useful to develop a “rule of thumb” approach for suggestions in case of uncertainties and inadequate means to address these uncertainties. Monte Carlo analysis was performed for a simplified groundwater system and the effects of extraction patterns, distance to pollution source, dispersivity, pollutant pulse period, pore water velocity and decay were examined for minimizing the high pollutant exposure risk from the extracted groundwater. Results indicate that, in a high uncertainty scenario, the best bet for minimizing the risk of high pollutant exposure would be to adopt a frequent extraction pattern and supply the water as a mixture of extractions from multiple wells spread over an area. These findings can be used as a “rule of thumb” wherever time and resources might be the limiting factors.

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2 **Minimizing the Exposure Risk from Groundwater Pollution by Optimizing the**
3 **Extraction Patterns**
4

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9 **Key Points:**

- 10 • Higher extraction frequencies decrease the above threshold exposure risk to groundwater
11 pollutants
12

13 **Abstract**

14 Optimization models for minimizing pollutant exposure from groundwater resources require time
15 and resources that many communities might not have ready access to due to their economic
16 conditions. In such cases, it might be useful to develop a “rule of thumb” approach for
17 suggestions in case of uncertainties and inadequate means to address these uncertainties. Monte
18 Carlo analysis was performed for a simplified groundwater system and the effects of extraction
19 patterns, distance to pollution source, dispersivity, pollutant pulse period, pore water velocity and
20 decay were examined for minimizing the high pollutant exposure risk from the extracted
21 groundwater. Results indicate that, in a high uncertainty scenario, the best bet for minimizing the
22 risk of high pollutant exposure would be to adopt a frequent extraction pattern and supply the
23 water as a mixture of extractions from multiple wells spread over an area. These findings can be
24 used as a “rule of thumb” wherever time and resources might be the limiting factors.

25 **1 Introduction**

26 Groundwater is an important freshwater resource for many people, and groundwater
27 contamination is a huge problem in many regions of the world (Syafiuddin et al., 2020).

28 The role of extraction patterns, as different from extraction rates, has been of interest to
29 researchers for minimizing the exposure of public to pollutants from groundwater (Bagnera et
30 al., 2004; Das & Datta, 2001; Ren et al., 2016). Such research is surprisingly scarce, and usually
31 concludes complex suggestions that require rather detailed data on the state of the groundwater
32 system and pollutants (Bagnera et al., 2004; Das & Datta, 2001; Ren et al., 2016), and proposal
33 of application of intricate extraction patterns that are out of reach of communities with limited
34 resources.

35 Monte Carlo analysis has been frequently employed for solving problems of uncertainty
36 in groundwater systems (Ren et al., 2016; Ballio & Guadagnini, 2004; Hassan et al., 2009; Jafari
37 et al, 2016; Jiang et al., 2021; Laloy et al. 2013; Mukherjee & Singh, 2022; Neshat et al., 2015;
38 Qiu et al., 2021; Seifi et al., 2020; Soleimani et al., 2022).

39 Therefore, a “rule of thumb” approach for minimizing the exposure risk to pollutants in
40 groundwater has to be suggested for the communities who lack adequate resources for the
41 application of complex procedures. To the author’s best knowledge, such “rule of thumb”

42 approach has not yet been examined in the scientific literature by using Monte Carlo analysis;
43 this article addresses this existing gap.

44 **2 Materials and Methods**

45 **2.1 Model**

46 Pollutant concentrations at extraction point were calculated by using the 1-dimensional
47 advection-dispersion equation for groundwater flow in homogenous porous medium in the case
48 of a confined aquifer. The simplified equation for 1-dimensional groundwater flow in the case of
49 constant velocity, retardation, dispersion and decay rate is given by the following equation (van
50 Genuchten, 1981):

$$51 \quad R \frac{\partial C}{\partial t} = D \frac{\partial^2 C}{\partial x^2} - V \frac{\partial C}{\partial x} - \alpha RC \quad (i)$$

52 where; R is the retardation factor, D is the longitudinal dispersion coefficient, V is the
53 pore water velocity, α is the first-order decay constant, C is the pollutant concentration, x is the
54 distance and t is the time.

55 For simplicity, groundwater extraction assumed to have no effect on the groundwater
56 velocity or distribution of C in the groundwater; which represents an approximation to cases with
57 relatively lower amounts of local groundwater extraction compared to the local groundwater
58 supply (amount and flow rate).

59 In equation (i), D is given by the following equation (ii) (Al-Tabbaa et al., 2000):

$$60 \quad D = \alpha_L V + D^* \quad (ii)$$

61 where; α_L is the longitudinal dispersivity and D^* is the molecular diffusion coefficient.

62 D^* can be further defined by the following equation (iii) (Murphy, 2015):

$$63 \quad D^* = \theta D_m \left(\frac{\theta^{7/3}}{\theta_{Eff}^2} \right) \quad (iii)$$

64 where; θ is the porosity of the medium, D_m is the molecular diffusion coefficient in case
65 of $\theta=1$, and θ_{Eff} is the effective porosity of the medium.

66 Peclet number for the examination of the contribution of molecular diffusion was
67 calculated by the following equation (iv) (Huysmans & Dassargues 2005):

$$Pe = xV/D^* \quad (iv)$$

Pollutant source type was assumed to be a step pulse source type, and the solution for this specific case was obtained from Case B1 in van Genuchten's work (van Genuchten, 1981).

Monte-Carlo analysis, with 10,000 runs, was performed for the variables of D , V , α , x , T (step pulse period) and t by using the Microsoft Excel 2016 software. R was ignored, as changing V and D can mimic changes in R (See equation (i)); such as, increasing R would be equivalent to decreasing D and V by the same factor. This can simply be accomplished by changing V , considering that D is directly proportional with V when molecular diffusion is ignored (See equation (ii)).

Matrix multiplication was performed, as in equation (v), to find the total amount of pollutants extracted for each extraction pattern (E). As the total amount of groundwater extracted in a given period of time is expected to be the same regardless of the extraction pattern (by using some sort of storage system as necessary), the results of equation (v) were later calibrated to obtain the total extracted pollutant amounts for a fixed amount of groundwater extracted. As the amount of the extracted groundwater is considered to be fixed for each extraction pattern after this calibration, these calibrated results can be considered as pollutant concentrations in the extracted groundwater.

$$[C]_{D,V,\alpha,x,T,t} \times [Extraction\ amount]_{t,E} = [C]_{D,V,\alpha,x,T,E} \quad (v)$$

Results were plotted by using the PSPP 1.6.2 statistics software.

2.2 Parameters

Units throughout this article are given in kilograms (kg), meters (m) and days (d).

The scale of the system under examination was determined to be 100 m, as the most reliable data on α_L for porous media in the scientific literature exist for distances shorter than 250 m; and at shorter distances (below 40 m), α_L values are more consistent among themselves (Gelhar et al., 1992). x to α_L ratios (x/α_L) were taken to be 8 and 29 by considering these findings (Gelhar et al., 1992).

The maximum time scale was assumed to be 1000 d. An acceptable time resolution had to be determined with practical limitations in mind; 20 time segments deemed to be suitable for

96 the purposes of this analysis (leading to 382 extraction patterns and a resolution of 5.3% of the
97 maximum time scale of the study).

98 Pulse concentration (C_o) was adjusted to give a constant pollutant emission amount under
99 varying pulse period conditions; Example: $C_o=100 \text{ kg/m}^3$ when $T=1 \text{ d}$, and $C_o=1 \text{ kg/m}^3$ when
100 $T=100 \text{ d}$.

101 While determining the range of V , the mentioned system scales had to be taken into
102 account. Very slow and fast velocities were no interest as they are expected to give negligible
103 pollutant extraction; it is obvious that if the pore water velocity is too slow, pollutants will not
104 have time to reach to the extraction well within the time frame of the simulation, and if the pore
105 water velocity is too fast, the pollutants will be mostly washed away from the system without
106 being extracted at the well. Minimum V (1st possible value in Table 1) was determined to be the
107 velocity at which the pore water would travel half the distance (travel 5 m) to the minimum
108 extraction point from the pollution source in 1000 d (maximum time scale). 2nd possible value for
109 V ensured that the pore water would travel to the midpoint of the maximum distance (100 m) and
110 the pollution source within 1000 d. 3rd possible value for V ensured that the pore water would
111 travel twice the maximum distance (travel 200 m) in 1000 d. The rest of the velocities were
112 selected to cover the range of relevant velocities documented in the literature; from 0.09 m/d to
113 27 m/d (Gelhar et al., 1992).

114 α was calculated by using the assumed maximum and minimum half-lives, 365,000 d and
115 1 d, respectively, to cover the extremes of decay.

116 The range of θ_{Eff} for porous media in the reference study, where the longitudinal
117 dispersivities were obtained from, varied from 0.233 to 0.39 (Gelhar et al., 1992). In the case of
118 sandy media, this range was from 0.33 to 0.39. In this study, θ_{Eff} was taken to be equal to 0.3875
119 (closer to the upper limit for sandy medium) to ensure that the Peclet number would give
120 conservative results regarding the contribution of the molecular diffusion coefficient. Selection
121 of θ_{Eff} did not play a role in the determination of V , as the values for V were not derived but
122 rather determined from the literature.

123 Peclet number was calculated to be greater than 20 by using a molecular diffusion
124 coefficient 30 to 50 times greater than the values suggested by Brian L. Murphy (Murphy, 2015);
125 hence the molecular diffusion was ignored.

126 Table 1 lists the variables and their possible values that were used in the Monte Carlo
 127 analysis.

128

129 **Table 1. Variables and their possible values that were used in the Monte Carlo analysis**

		Possible values									
		1	2	3	4	5	6	...	18	19	20
Variable [unit]	V [m/d]	0.005	0.05	0.2	3	10	30				
	α [d ⁻¹]	1.9E-6	0.693								
	x*V/D [-]	8	29								
	T [d]	1	100								
	x [m]	10	100								
	t [d]	1	53.6	106.2	158.7	211.3	263.9	...	894.8	947.4	1000

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133 Extraction patterns were formed by taking into account different extraction frequencies
 134 over 20 time segments. As an example, extraction patterns 1 and 2 have the extraction frequency
 135 of 1 d, and extraction patterns 3, 4, 5 and 6 have the extraction frequency of 2 d, and so on. A
 136 sample of the 382 extraction patterns can be seen in Table 2.

137

138 **Table 2. A limited sample of extraction patterns (1 represents uniform extraction and 0**
 139 **represents no extraction)**

		Extraction pattern (E)																	
		1	2	3	4	5	6	7	8	9	...	375	376	377	378	379	380	381	382
Time	1	1	0	1	1	0	0	1	1	1	...	0	0	0	0	0	0	0	1
	2	0	1	1	0	0	1	1	1	0	...	0	0	0	0	0	0	1	1
	3	1	0	0	0	1	1	1	0	0	...	0	0	0	0	0	1	1	1
	4	0	1	0	1	1	0	0	0	0	...	0	0	0	0	1	1	1	1

5	1	0	1	1	0	0	0	0	1	...	0	0	0	1	1	1	1	1
6	0	1	1	0	0	1	0	1	1	...	0	0	1	1	1	1	1	1
7	1	0	0	0	1	1	1	1	1	...	0	1	1	1	1	1	1	1
8	0	1	0	1	1	0	1	1	0	...	1	1	1	1	1	1	1	1
9	1	0	1	1	0	0	1	0	0	...	1	1	1	1	1	1	1	1
10	0	1	1	0	0	1	0	0	0	...	1	1	1	1	1	1	1	1
11	1	0	0	0	1	1	0	0	1	...	1	1	1	1	1	1	1	1
12	0	1	0	1	1	0	0	1	1	...	1	1	1	1	1	1	1	1
13	1	0	1	1	0	0	1	1	1	...	1	1	1	1	1	1	1	1
14	0	1	1	0	0	1	1	1	0	...	1	1	1	1	1	1	1	1
15	1	0	0	0	1	1	1	0	0	...	1	1	1	1	1	1	1	1
16	0	1	0	1	1	0	0	0	0	...	1	1	1	1	1	1	1	1
17	1	0	1	1	0	0	0	0	1	...	1	1	1	1	1	1	1	1
18	0	1	1	0	0	1	0	1	1	...	1	1	1	1	1	1	1	1
19	1	0	0	0	1	1	1	1	1	...	1	1	1	1	1	1	1	1
20	0	1	0	1	1	0	1	1	0	...	1	1	1	1	1	1	1	1

140

141

142 **4 Results and Discussion**

143 Concentrations of exposure to pollutant found to be negatively correlated with distance
 144 (x), decay rate (α) (stronger correlation observed at lower velocities), pulse period (T) (stronger
 145 correlation observed at higher velocities), and positively correlated with the pore water velocity
 146 (V) within the scale of the model (Table 3).

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148 **Table 3. Bivariate correlation of C with other relevant variables**

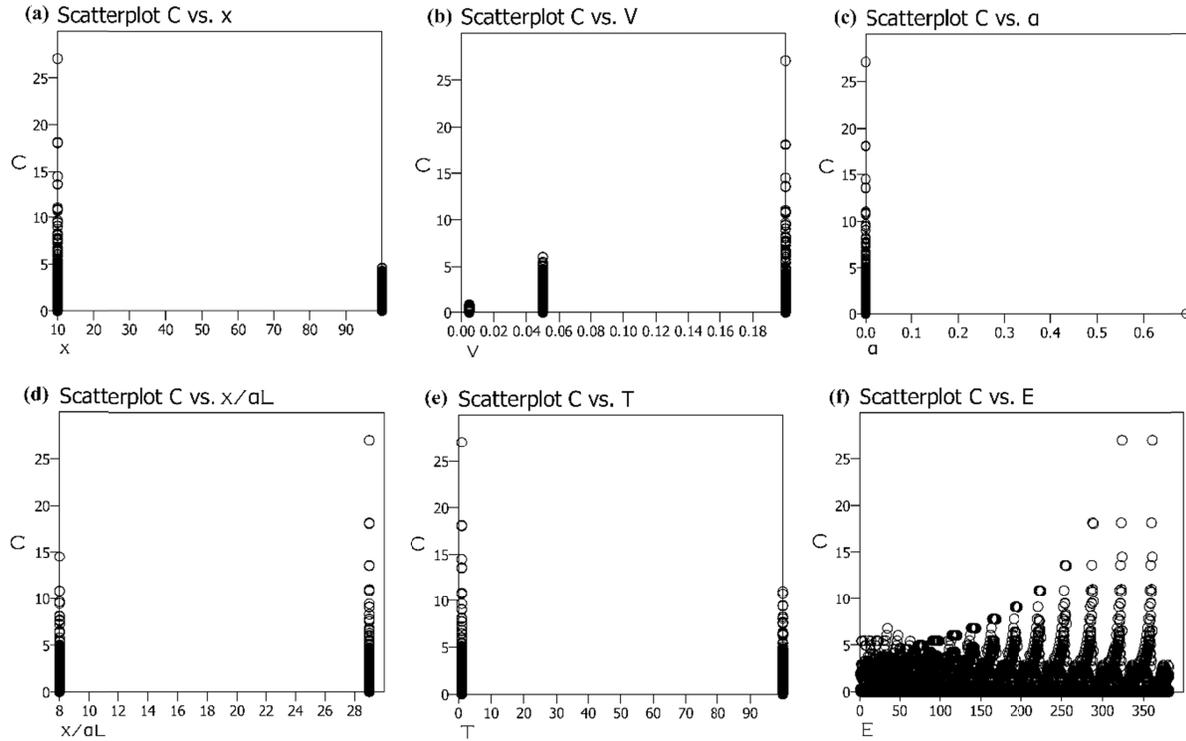
		E	x	T	x/α_L	α	V
Low V	C	-0.004	-0.16	-0.018	0.006	-0.387	0.291
High V	C	0.040	-0.226	-0.217	-0.005	-0.041	0.176

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150

151 Exposure risk to high concentrations of pollutant (as different from the correlations given
 152 in Table 3 for the overall exposure concentrations) found to be also negatively correlated with α_L
 153 (dispersion) for low velocities and positively correlated with the extraction pattern (E) (See
 154 Figures 1, 2 and 3).

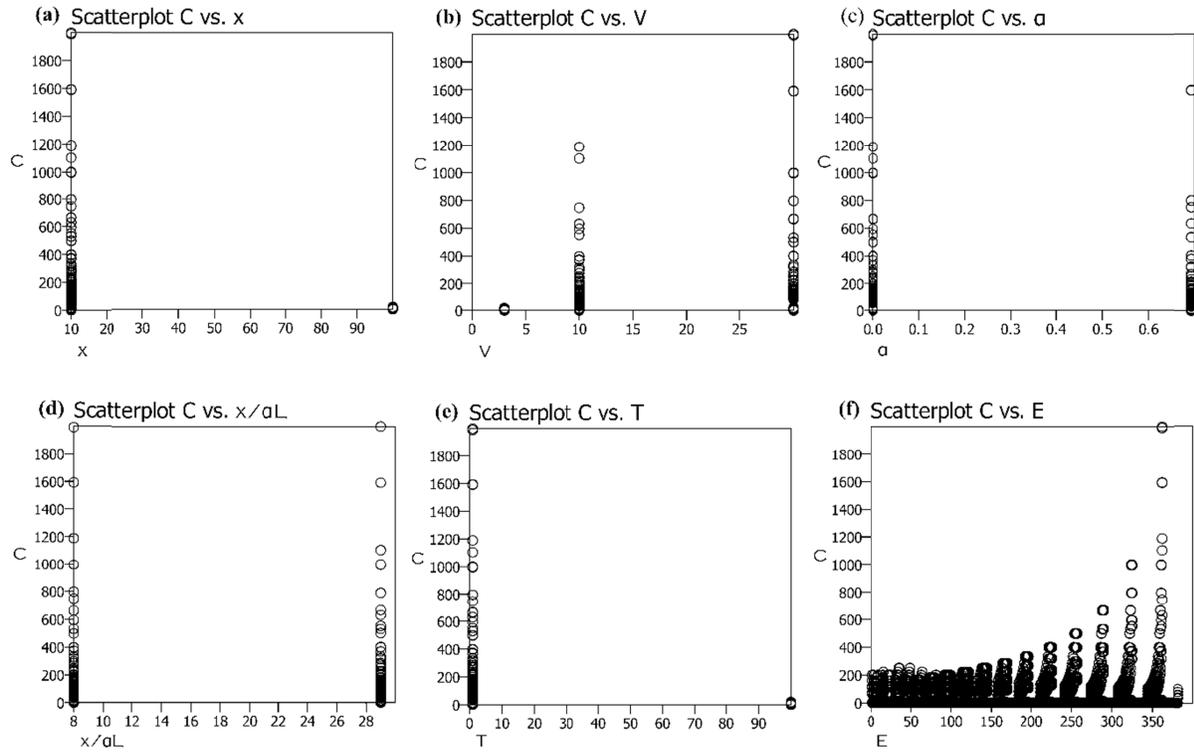
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157 **Figure 1. Low pore water velocity condition: (a) Pollutant concentration in the extracted**
 158 **groundwater vs distance from the pollutant source (b) Pollutant concentration in the**
 159 **extracted groundwater vs pore water velocity (c) Pollutant concentration in the extracted**
 160 **groundwater vs first-order decay constant (d) Pollutant concentration in the extracted**
 161 **groundwater vs longitudinal dispersivity (e) Pollutant concentration in the extracted**
 162 **groundwater vs pulse source duration (f) Pollutant concentration in the extracted**
 163 **groundwater vs the extraction patterns**

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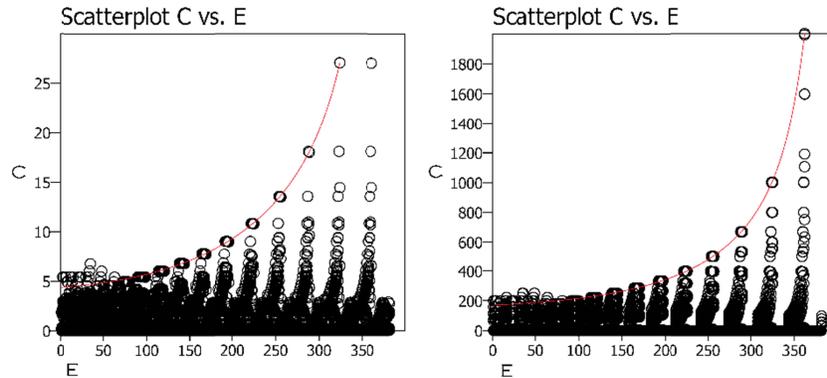
Figure 2. High pore water velocity condition: (a) Pollutant concentration in the extracted groundwater vs distance from the pollutant source (b) Pollutant concentration in the extracted groundwater vs pore water velocity (c) Pollutant concentration in the extracted groundwater vs first-order decay constant (d) Pollutant concentration in the extracted groundwater vs longitudinal dispersivity (e) Pollutant concentration in the extracted groundwater vs pulse source duration (f) Pollutant concentration in the extracted groundwater vs the extraction patterns

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An exponential curve can be fitted to the maximum exposure amounts in C vs. E graphs, both for low and high V (See Figure 3).



178

179 **Figure 3. Continuous trend line showing the exponential growth of risk of high pollutant**
 180 **concentration in the extracted groundwater as the frequency of the extraction patterns**
 181 **decrease; low velocity cases (on the left), high velocity cases (on the right)**

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183

184 It can be seen from Figure 3 that as the frequency of extractions decrease, there is an
 185 exponentially growing risk of high pollutant extraction from the aquifer both for low and high
 186 velocities. It might be possible to tune the timing of extractions to minimize the pollutant
 187 extraction from the aquifer if all the variables and the timing of the pollutant emission are known
 188 to an adequate certainty. But, in many real world cases these variables are unknown, as in the
 189 case of undetected accidental pollutant emissions or the effect of interactions between pollutants
 190 on transport and decay processes (Syafiuddin et al., 2020).

191 Reduction in exposure with increase in x is an intuitive outcome that was also observed
 192 in the work of Bagnera et al. (Bagnera et al., 2004). However, in the same study, the results for
 193 the correlation of C and E are not clear due to lack of detailed information on the extracted water
 194 amounts per well in the Case 1 of the optimization scenario for meeting the water demand
 195 (Bagnera et al., 2004).

196 As this study excludes any removal (either by decay or other means) of pollutants during
 197 the storage after extraction, results should be interpreted with caution in case of such removal
 198 processes.

199 Exposure to decay products, if any, was also excluded. However, it should be realized
 200 that the decay products that were formed (no matter how complex the dynamics might be) during
 201 the transport in the aquifer can be considered as a sum of unsynchronized pulse sources with

202 unknown origin and timing of emission; which this study showed that the adoption of frequent
203 extraction patterns is the best policy for such situations.

204 It should be noted that the time scale of the model can affect the findings for x , V and D
205 in certain cases; low D might lead to lower exposure risk when V and the model time scale are
206 small enough; similarly, high V might lead to lower exposure risk when V and the model time
207 scale are large enough, however this was not observed within the scale of the model (100 m,
208 1000 d).

209 The finding that a frequent extraction pattern is the best policy in case of uncertainty in
210 variables concerning the pollutant source and transport can be extended beyond the model scale
211 of 100 m by recognizing that the plume of pollutants at around 100 m can be considered as a sum
212 of unsynchronized pulse pollutant sources (just like in the case of decay products). Hence, the
213 best policy at the 200 m distance (or at any other distance as we have just proved) would be,
214 once again, to adopt a frequent extraction policy.

215 It can also be argued that, for pollutants with toxicity thresholds, in the case in which the
216 pollution source location is uncertain, meeting the water demand by water obtained from
217 multiple wells would further reduce the high exposure risk. In Figures 1 and 2, it is clear that the
218 average concentration of pollutants obtained at $x=10$ m and $x=100$ m would be less than the
219 concentration obtained solely from the well at $x=10$ m.

220 As the exposure concentrations to pollutant were positively correlated with V , from the
221 previous argument about the reason for the omission of R in the Monte Carlo analysis and
222 considering that x/α_L (or xV/D) is more weakly correlated with C than V is correlated with C
223 (Table 3), it can be argued that R is negatively correlated with C , especially at higher values of
224 V .

225 **5 Conclusions**

226 When the timing of the pollution is not known (such as in the case of undetected
227 accidents or lack of information in which the extraction pattern cannot be adjusted to obtain a
228 minimum exposure), to minimize the exposure risk; the best policy is to maximize distance,
229 maximize decay with considering the decay products if any, maximize dispersion, maximize
230 pulse period, and employ a frequent extraction pattern.

231 In a situation where all the variables are uncertain or cannot be controlled, which might
232 be the case for communities with limited resources, the best bet for minimizing the risk of high
233 pollutant exposure would be to adopt a frequent extraction pattern such as in the case of
234 extraction patterns 1 or 2, and supply the water as a mixture of extractions from multiple wells
235 spread over an area.

236 As this study excludes the formation of harmful decay products and removal (either by
237 decay or other means) of pollutants during the storage after extraction, results should be
238 interpreted with caution. Similarly, the findings may not apply to situations that are not covered
239 in this study; such as varying water velocity or non-homogenous or fractured media.

240 Suggestions for further research might be the examination of the effects of extraction and
241 the effects of storage conditions on exposure risk to pollutants in different extraction pattern
242 scenarios.

243 **Conflict of interest declaration**

244 The author declares no competing financial interest.

245

246 **Open Research**

247 Monte Carlo simulations can be accessed via [10.6084/m9.figshare.21717482](https://doi.org/10.6084/m9.figshare.21717482) and
248 [10.6084/m9.figshare.21717479](https://doi.org/10.6084/m9.figshare.21717479) for low and high pore water velocities, respectively. Listed results of
249 Monte Carlo simulations can be accessed via [10.6084/m9.figshare.21717476](https://doi.org/10.6084/m9.figshare.21717476).

250

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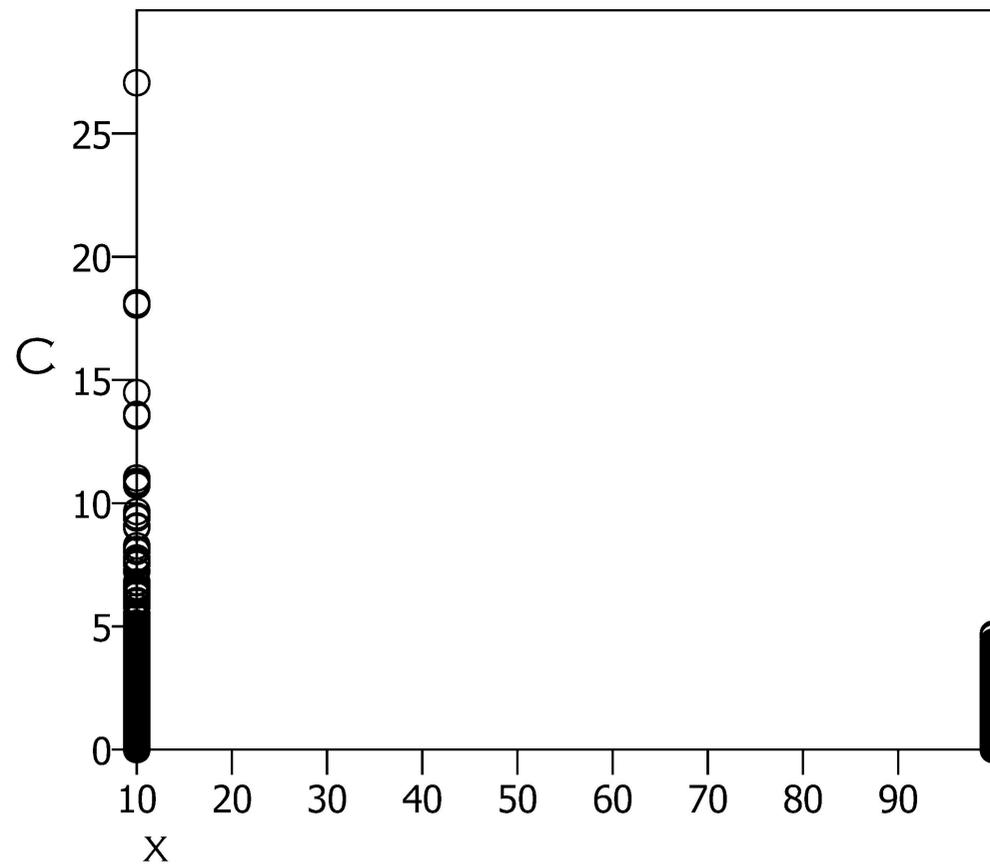
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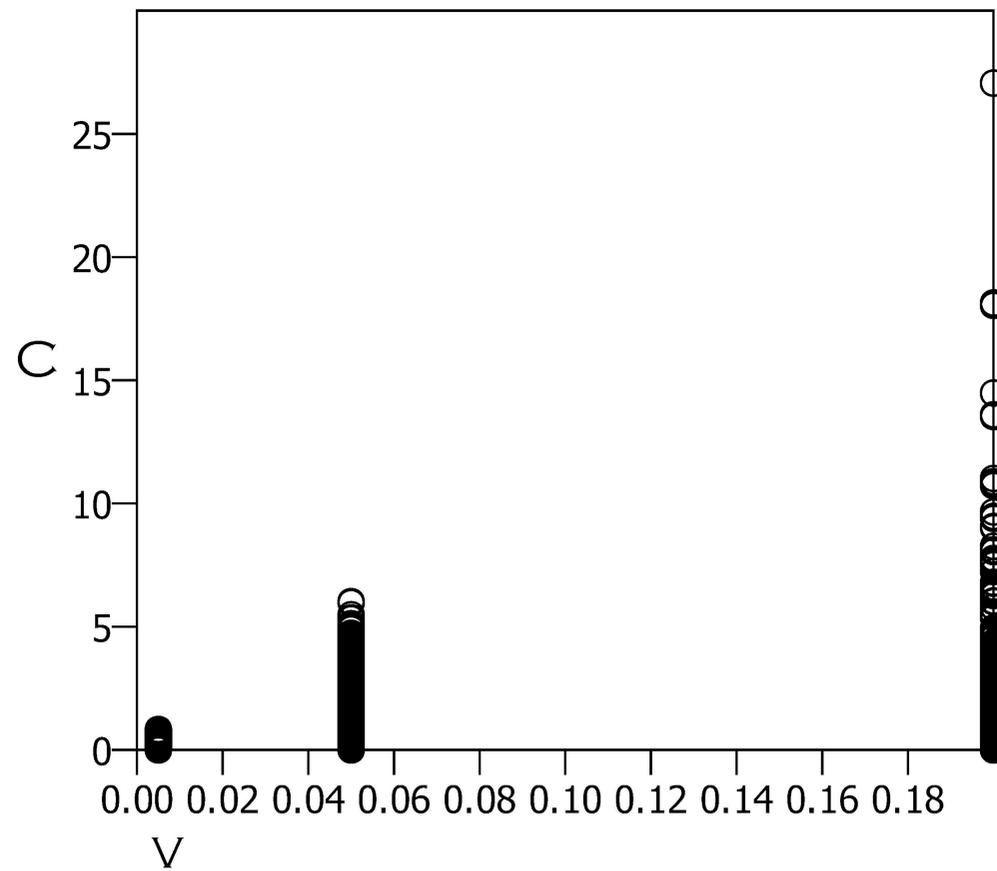
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Figure 1.

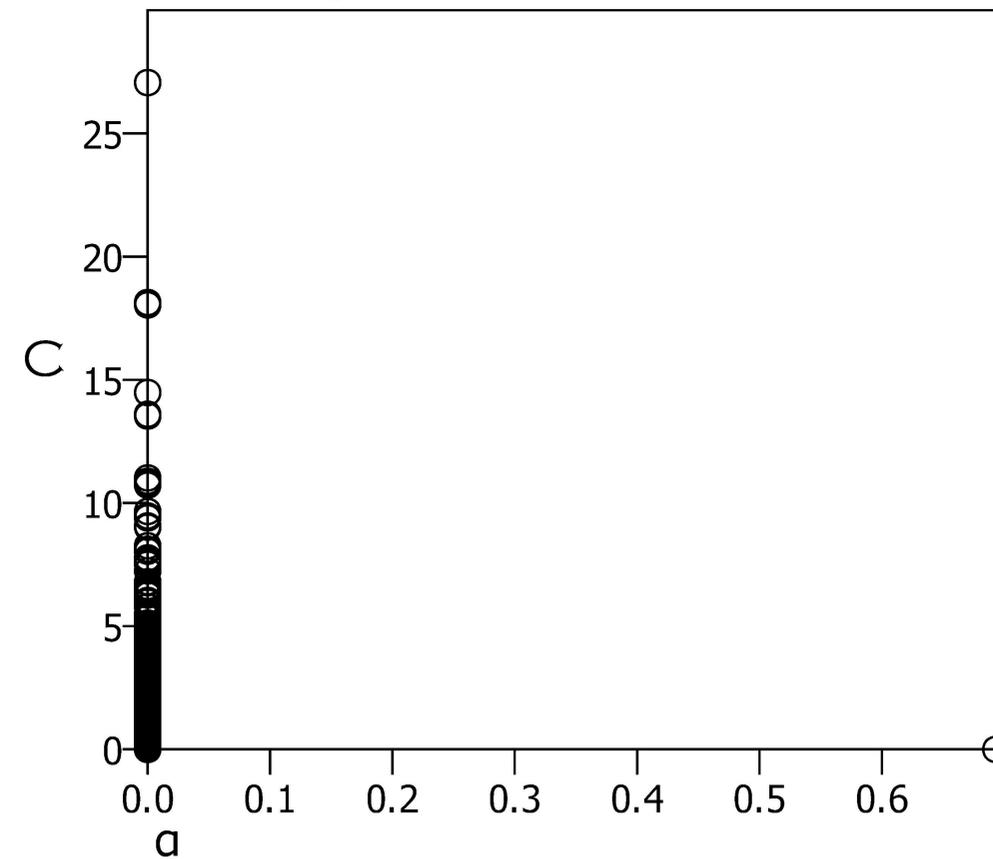
(a) Scatterplot C vs. x



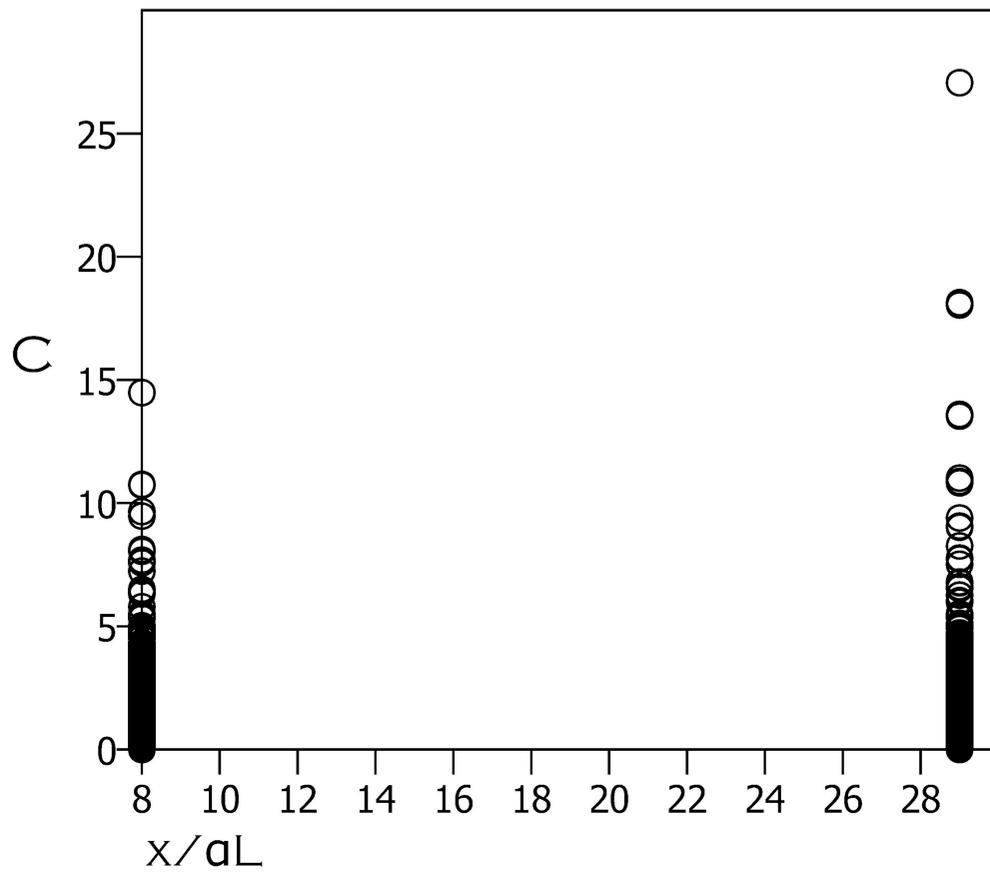
(b) Scatterplot C vs. V



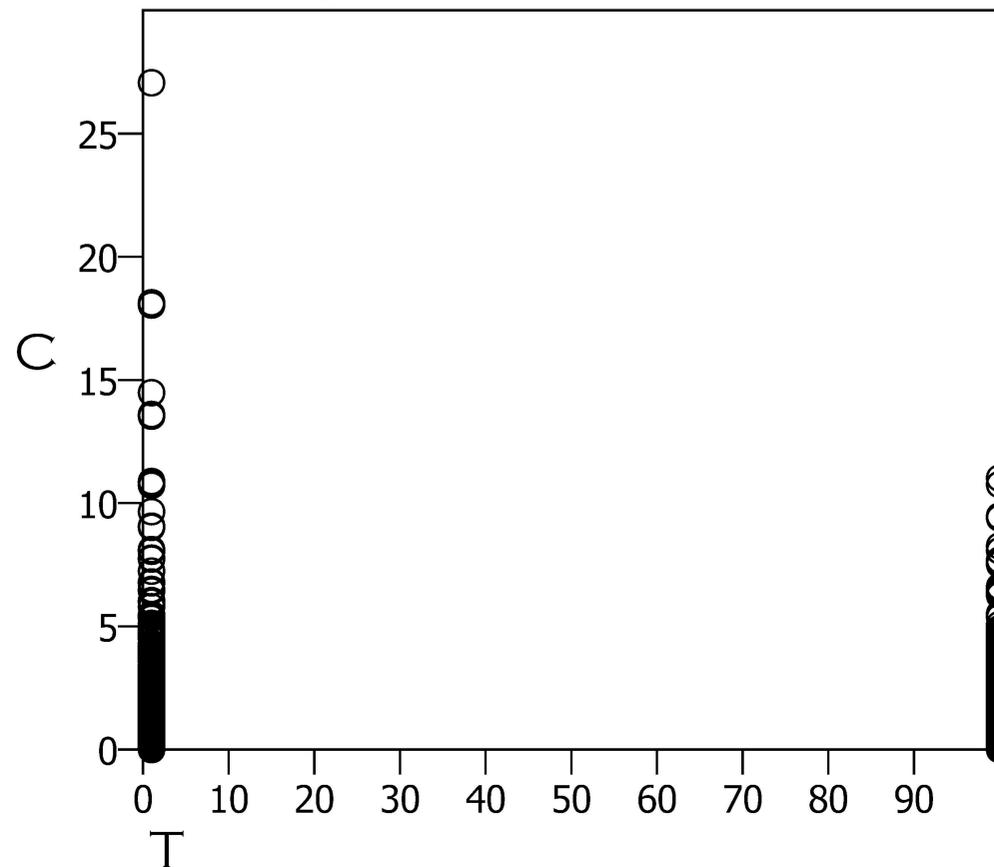
(c) Scatterplot C vs. a



(d) Scatterplot C vs. x/aL



(e) Scatterplot C vs. T



(f) Scatterplot C vs. E

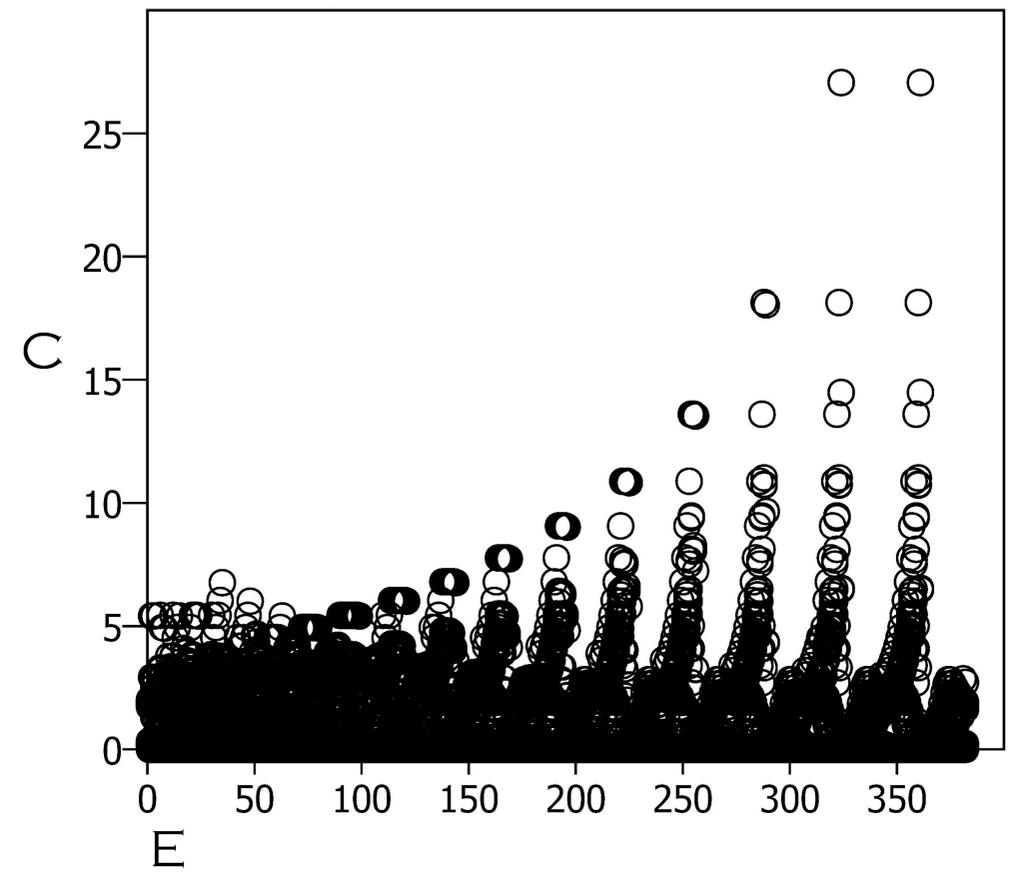


Figure 2.

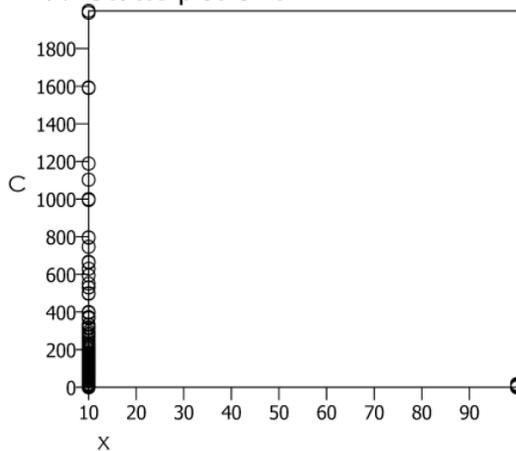
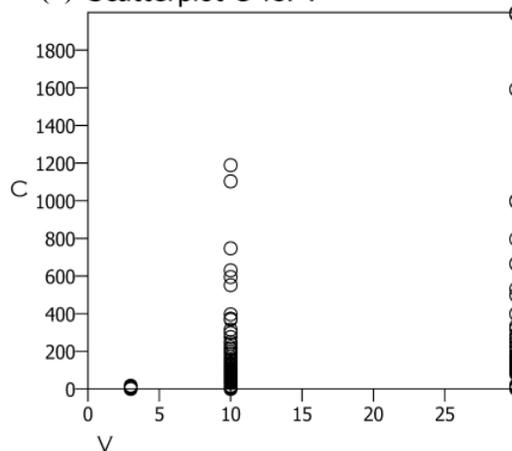
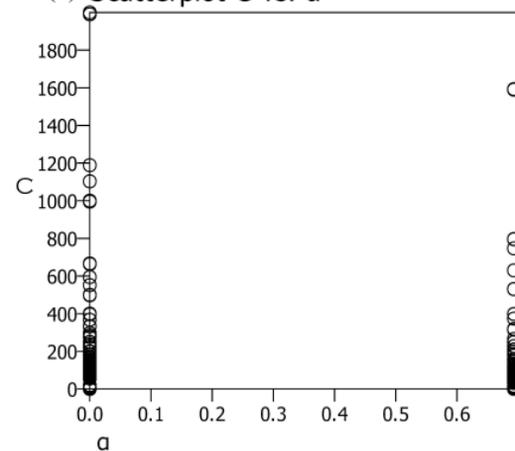
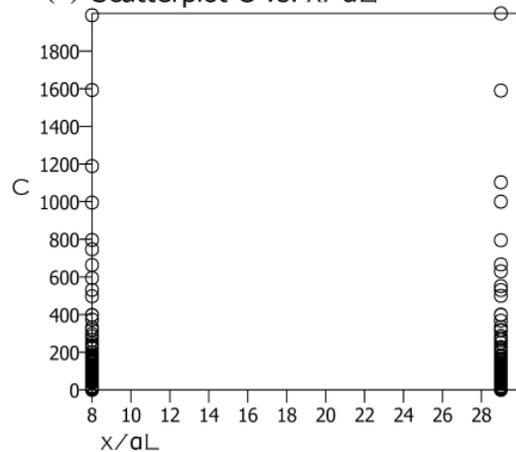
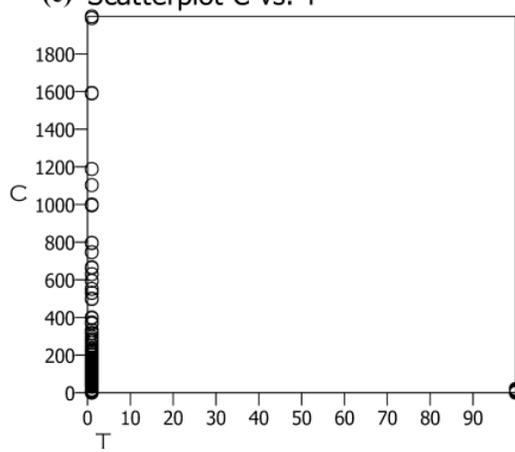
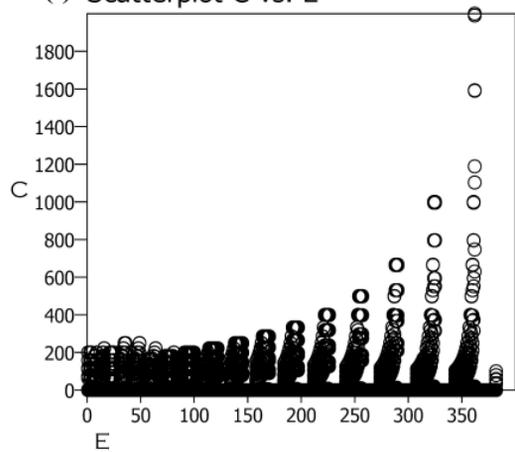
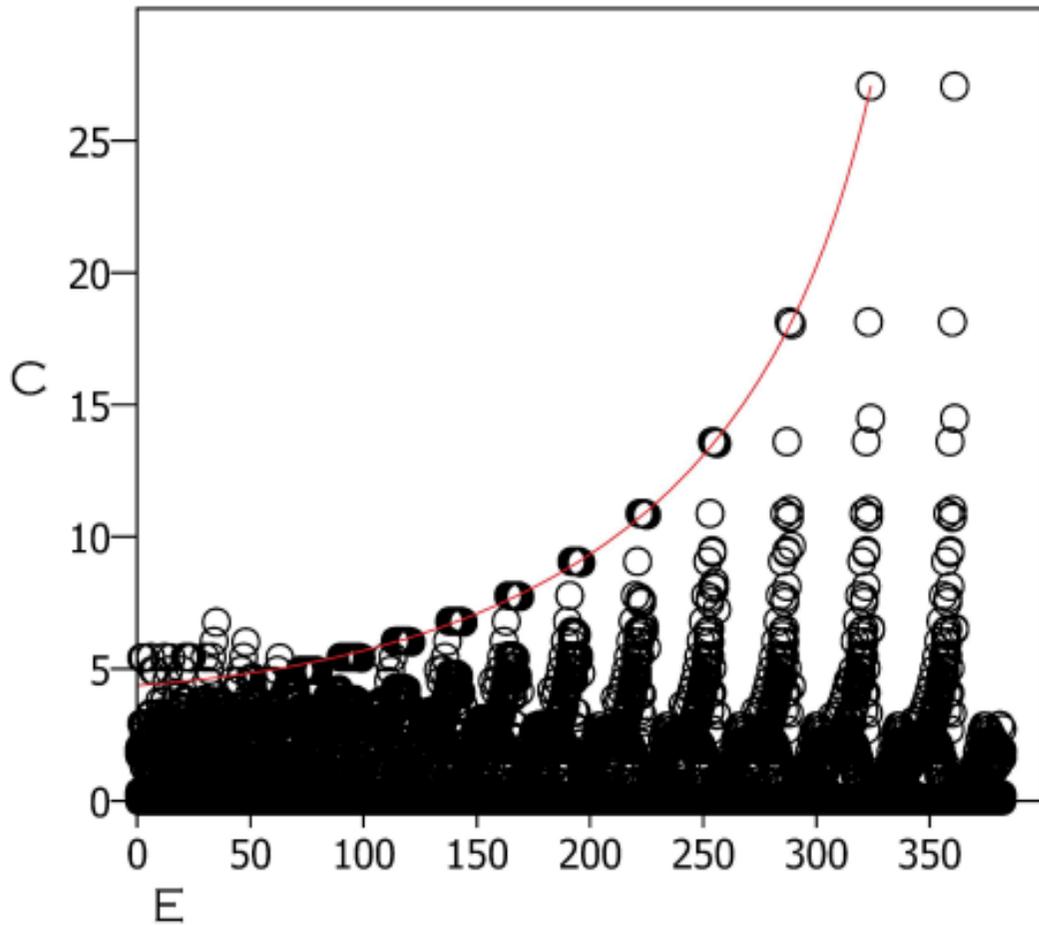
(a) Scatterplot C vs. x**(b) Scatterplot C vs. V****(c) Scatterplot C vs. a****(d) Scatterplot C vs. x/aL****(e) Scatterplot C vs. T****(f) Scatterplot C vs. E**

Figure 3.

Scatterplot C vs. E



Scatterplot C vs. E

