The Variation of Ionospheric O+ and H+ Outflow on Storm Timescales

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Abstract

Geomagnetic storms are primarily driven by stream interaction regions (SIRs) and coronal mass ejections (CMEs). Since SIR and CME storms have different solar wind and magnetic field characteristics, the magnetospheric response may vary accordingly. Using FAST/TEAMS data, we investigate the variation of ionospheric O+ and H+ outflow as a function of geomagnetic storm phase during SIR and CME magnetic storms. The effects of storm size and solar EUV flux, including solar cycle and seasonal effects, on storm time ionospheric outflow, are also investigated. The results show that for both CME and SIR storms, the O+ and H+ fluence peaks during the main phase, and then declines in the recovery phase. However, for CME storms, there is also significant increase during the initial phase. Because the outflow starts during the initial phase in CME storms, there is time for the O+ to reach the plasma sheet before the start of the main phase. Since plasma is convected into the ring current from the plasma sheet during the main phase, this may explain why more O+ is observed in the ring current during CME storms than during SIR storms. We also find that outflow fluence is higher for large storms than moderate storms and is higher during solar maximum than solar minimum.

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11	
12	Key Points:
13	• Both CME and SIR storms have their maximum O ⁺ and H ⁺ outflow during the main
14	phase, and a decrease during the recovery phase.
15	• During CME storms, the outflow increases during the initial phase, while during SIR
16	storms, it doesn't increase until the main phase.
17	• This difference in outflow timing may explain why more O ⁺ is observed in the ring
18	current during CME storms than during SIR storms.
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22	coronal mass ejections (CMEs). Since SIR and CME storms have different solar wind and
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24 FAST/TEAMS data, we investigate the variation of ionospheric O^+ and H^+ outflow as a function

25 of geomagnetic storm phase during SIR and CME magnetic storms. The effects of storm size and

26 solar EUV flux, including solar cycle and seasonal effects, on storm time ionospheric outflow,

are also investigated. The results show that for both CME and SIR storms, the O^+ and H^+ fluence

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29 storms, there is also significant increase during the initial phase. Because the outflow starts

30 during the initial phase in CME storms, there is time for the O^+ to reach the plasma sheet before

31 the start of the main phase. Since plasma is convected into the ring current from the plasma

32 sheet during the main phase, this may explain why more O^+ is observed in the ring current during

33 CME storms than during SIR storms. We also find that outflow fluence is higher for large

34 storms than moderate storms and is higher during solar maximum than solar minimum.

35

36 1 Introduction

37 There are two sources for the magnetospheric plasma: the solar wind and the ionosphere 38 (Sharp, Johnson, & Shelley, 1974; Shelley et al., 1972). The solar wind consists predominantly of H^+ ions, with ~ 4% He^{++} ions and <1% other species. The ionospheric contribution mainly 39 consists of H^+ , the O^+ and N^+ group (indistinguishable in some instruments, and called O^+ in this 40 paper) and He⁺. Because H⁺ can come from both sources, O⁺ is often used as the tracer of 41 42 ionospheric plasma. In addition, because of its higher mass and larger gyroradius, increased O^+ 43 abundance can change the magnetospheric dynamics. The ionospheric outflow comes 44 predominantly from the auroral oval, from the dayside cusp region around to the nightside 45 auroral region. From the auroral regions, the ionospheric plasma is transported throughout the 46 magnetosphere. The dayside outflow flows over the polar cap into the lobe, and can then enter 47 the plasma sheet through reconnection. The nightside outflow has direct access to the near-earth 48 plasma sheet. During geomagnetic storms, the plasma in the near-earth plasma sheet is driven 49 into the inner magnetosphere by enhanced convection, where it forms the storm-time ring 50 current.

51 Many previous studies have shown that the contribution of the ionospheric heavy ions to 52 the magnetosphere tends to increase with geomagnetic activity at all locations along the transport 53 path. During disturbed geomagnetic conditions, as identified by Kp and Dst indexes, the 54 strength of ion outflow increases compared to quiet times, and the composition of ion outflow 55 changes, with H⁺ being dominant during quiet times and O⁺ being dominant during active or 56 storm times (Collin et al., 1984; Cully et al., 2003; Wilson et al., 2004; Yau et al., 1988). (Liao et al. (2010) showed that the occurrence of O^+ beams in the polar caps and lobes, identified as the cusp-origin outflow, increases during geomagnetic storms. During storms, these ions enter the plasma sheet for many hours (Kistler et al., 2010). Young et al. (1982), Mouikis et al. (2010), and Maggiolo & Kistler (2014) have shown that the O^+ density in the plasma sheet increases with Kp.

62 For the ring current, Hamilton et al. (1988) and Greenspan & Hamilton, (2002) showed 63 that the ionospheric heavy ion contribution to the ring current goes up during the main phase of a 64 geomagnetic storm. Mouikis et al. (2019) separated geomagnetic storms into Coronal Mass 65 Ejection (CME) driven storms and Stream Interaction Region (SIR) driven storms, and performed a superposed epoch analysis of the ring current O⁺ and H⁺ pressure as a function of 66 geomagnetic storm phases. They reported a larger enhancement of the O⁺ pressure, that is 67 68 contributed mostly by the low-energy ions ~ 55 keV, during the main phase in CME storms 69 than in SIR storms, while for the H⁺ pressure, there is almost no significant difference between 70 CME and SIR storms.

71 Outside of the ring current, while the general correlation with magnetic activity is 72 established, the timing of the increased outflow relative to the phases of the storm has not been 73 shown. The timing is critical because the O⁺ outflow is relatively slow moving. For ionospheric 74 ions to convect into the ring current during a storm main phase, they need to first travel to the 75 near-earth plasma sheet. O⁺ outflow from the cusp would take at least 2 hours (Kistler et al., 76 2019) to reach the plasma sheet. Nightside outflow will reach the nightside plasma sheet more 77 quickly, but tends to be at lower energies, and so may not contribute significantly to the particle 78 pressure that forms the ring current (Kistler et al., 2019). So, it remains an open question how 79 the ionospheric ions are able to populate the pre-storm or main phase near-earth plasma sheet 80 and get heated in time to be injected into the ring current during storm main phase.

To investigate this problem, this study uses a superposed epoch analysis of FAST data to examine how the ion outflow varies during a storm, addressing the differences between the two main drivers of geomagnetic storms, CMEs and SIRs. These two solar wind structures, on average have different solar wind and IMF characteristics when they impact the earth (Tsurutani et al., 2006). During the initial phase, CMEs often have an abrupt increase in ram pressure due to fast forward shocks. SIRs are usually not preceded by a shock at 1 AU, and have more gradual onsets. CME structures often contain long-lasting southward IMF Bz. SIR structures usually have shorter excursions of southward IMF Bz, less sustained than for a CME. Because the CME and SIR solar wind structures and the amount of transported energy are different (Borovsky & Denton, 2006), it is likely that the ionospheric outflow driven by the structures will be different. The differences found by Mouikis et al. (2019) in the ring current may be due to differences in outflow fluence, or differences in outflow timing. Thus, for our study, we compare storms with the two drivers to identify the differences.

94 In addition to the storm drivers, the solar EUV flux may impact the outflow as well. 95 Globally, solar EUV changes with the solar cycle, and locally, the incident EUV flux changes 96 with the season. Yau, Beckwith et al. (1985), using DE-1 data, and Cully et al. (2003), using 97 Akebono, showed that the occurrence of upflowing O⁺ increased with F10.7, the proxy for Solar EUV, with a much smaller increase for H^+ . The results for seasonal dependence are more mixed. 98 Yau, Beckwith et al. (1985) observed that the O⁺ outflow increased towards summer solstice. 99 100 Peterson et al. (2006), on the other hand, using POLAR/TIMAS, found no systematic change in 101 O^+ or H^+ outflow flux with season, although He^+ had a strong seasonal dependence. Collin et al. 102 (1998) found a strong seasonal variation in the occurrence of upflowing beams, with more beams 103 observed in the 1800-24:00 MLT sector during winter, but saw no change in the distribution of 104 conics, and so the overall impact on outflow fluence may not be large. While solar EUV and 105 season likely don't change the outflow on storm time-scales, they will impact the total fluence 106 observed. Thus, we have also compared the outflow response of storms that occur during 107 different phases of the solar cycle and under different seasons so that outflow rates under 108 different conditions can be compared with other studies.

109 2 Instrumentation

To better understand auroral acceleration physics and magnetosphere-ionosphere coupling, the FAST satellite was launched in August 1996 into an elliptical polar orbit with a period of 133 minutes, an inclination angle of 83°, perigee of ~350 km, and apogee of ~ 4175 km (Charles W. Carlson, 1998). The FAST payload consists of six scientific instruments; the ElectroStatic Analyzers (ESAs) for gathering the electron (EESA) and ion (IESA) energy and pitch angle distributions (C W Carlson et al., 2001) the Time-of-flight Energy Angle Mass Spectrograph (TEAMS) instrument (Klumpar et al., 2001) to measure the 3-D distribution functions of particle species H⁺, O⁺, He⁺ and He⁺⁺, the Electric Field Sensors (Ergun et al., 2001)
and the Magnetic Field Experiment sensors (Elphic et al., 2001) to measure the electric and
magnetic fields data, respectively, and the Instrument Data Processor Unit (IDPU) to perform
data processing.

121 In this paper, we use the recently released TEAMS L2 data to measure the ionospheric 122 outflow and investigate the variation of ionospheric O⁺ and H⁺ outflow flux on storm 123 timescales. The TEAMS L2 dataset includes a recalibration and a number of corrections. Over 124 time, the TEAMS MCP efficiency degraded, with the amount of degradation depending on the 125 position of the instrument positions (angular bins). In particular, the equatorial bins had very 126 low efficiency. Using observations of plasma regions where assuming plasma gyrotropy is valid, 127 the efficiencies of the individual positions were recalibrated using methods described in Kistler 128 et al. (2013). Subsequently, a final cross-calibration with the IESA data set was performed that 129 adjusted the overall level. A deadtime correction was also introduced that uses the IESA data, 130 which is much less susceptible to deadtime, to determine the total count rate in the TEAMS 131 instrument and applies a deadtime correction based on the count rate. The TEAMS measurement 132 is corrected for spacecraft potential by shifting the distribution function, assuming the spacecraft 133 charging is uniform around the spacecraft, and then the data is transformed to the convection 134 frame (*ExB* frame) and sorted into pitch angle. Time periods when the spacecraft potential is 135 less than -6V are excluded. Finally, time periods with incomplete data packets are flagged and 136 excluded from the analysis.

137 **3 Data Selection**

138 3.1 Geomagnetic storms

To identify and characterize the storms, we used the Disturbance Storm-Time (Dst) index, upstream parameters including the *z* component of interplanetary magnetic field (*IMF* B_z), the solar wind pressure (P_{SW}), the solar wind density (n_{SW}), the solar wind speed (V_{SW}), and the geomagnetic and solar activity indices Auroral Electrojet (*AE*), the *Kp* index (multiplied by 10) and the F10.7 index. A sample plot of these parameters for the storm of May 15, 2005 is presented in Figure 1.

145 The storm phases were identified using the Dst index. We identified four critical times 146 for each storm. Some storms have an initial phase that starts when the Dst rises sharply. This is 147 usually caused by an increase in solar wind dynamic pressure. The vertical orange line indicates 148 the increase time in Figure 1; this feature is not observable in all storms. The time when the Dst 149 starts to drop is called the storm onset time and is shown with a vertical green line in Figure 1. 150 The initial phase is the time between the Dst increase and the onset time. After onset, Dst 151 decreases until it reaches the minimum value of Dst, shown with a red vertical line. The interval 152 between onset and Dst minimum is called the main phase. IMF Bz is generally negative 153 (southward) during this time. At the peak of the storm, the IMF Bz usually turns positive 154 (northward). After the main phase, Dst increases back to zero in the recovery phase. For our 155 study, we have included the time from Dst minimum to the time when the Dst index passes $\frac{1}{2} \times Dst_{minimum}$ or -20 nT, whichever is earlier, for the recovery phase. The vertical blue line 156 157 shows the end of the recovery phase for this storm. In addition to these three storm phases, we 158 defined a prestorm phase that extends from 24 hours before the initial phase to the initial phase. 159 If there is no initial phase, the prestorm phase starts 27 hours before the onset and ends at the 160 onset. In some cases, there are storms in close succession, such that the prestorm of the second 161 storm overlaps with the first storm's recovery phase. To avoid double-counting data from the 162 recovery phase in the prestorm phase, we added the condition that the Kp index must be less than 163 3 during the prestorm phase. With these definitions, we compiled a list of all geomagnetic storms 164 that showed the classic storm profile (ie. a clear main phase and recovery phase) from solar cycle 165 23, from 1996 to 2009, that showed the classic storm profile (ie. a clear main phase and recovery 166 phase). Storms with more complicated storm profiles, for which clear main and recovery phases 167 could not be identified, were excluded. The minimum Dst index for the storms on the list is less 168 than -50 nT.

We then identified the storm driver, CME or SIR, for each of these storms using
previously published catalogs (Jian et al., 2006b, 2006a; Matamba & Habarulema, 2018;
Richardson & Cane, 2010) and only used the storms with one identified driver in the study.

172 In Figure 2, the top panel shows the smoothed daily averaged F10.7 for solar cycle 23 173 and the bottom panel shows $Dst_{minimum}$ values for all identified storms used in the study. The 174 red and blue symbols present the SIR and CME driven storms, respectively. As discussed in the 175 introduction, in addition to the solar wind driving conditions, the outflow flux also varies with

176 solar EUV and possibly with solar illumination, represented by the season. Therefore, we

177 divided the solar cycle into a solar minimum and a solar maximum phase using the F10.7 index

178 of 150 (s.f.u) as the boundary. The minimum phase includes two sub-phases: descending and

ascending phases. In Figure 2, the dotted vertical lines separate the solar cycle phases.

The FAST spacecraft data collection alternated between using northern hemisphere and southern hemisphere passes, and only rarely used both in the same orbit, so most storms include data from either the north or from the south. For data from the northern hemisphere, the summer season is from 03-22/00:00 to 09-22/00:00, and the winter season is from 09-22/00:00 to 03-22/00:00. For southern hemisphere data, the seasons are switched. The storms with TEAMS data from summer season are shown with triangles and from winter season with squares.

186 In Figure 2, the scatter plot of $Dst_{minimum}$ shows that the most intense storms occurred 187 during the solar maximum phase and the first years of the declining phase. To study the effect of 188 storm intensity on the ionospheric outflow, we divided the storms into two groups: moderate

189 storms with $-150 nT \le Dst_{minimum} < -50 nT$ and intense storm with $-150 nT \ge$

190 $Dst_{minimum}$. The horizontal dashed line in the bottom panel of Figure 2 indicates the separation

191 of moderate and intense storms.

In Table 1. we list the total number of storms used in this analysis for each driver that fallinto each category.

194 3.2 Ionospheric outflow flux

195 Equation 1 is used to calculate the ion outflow flux. In this equation, the variables α , E, 196 and $j(m, E, \alpha)$ represent the pitch angle, energy, and energy flux data, respectively.

 $\Phi(m) = 2\pi \int_{E=10eV}^{E_{cutoff}} \int j(m, E, \alpha) |sin(\alpha)sin(\Delta\alpha)cos(\alpha)cos(\Delta\alpha)| dEd\alpha \quad \text{Equation 1}$

For the energy integration, a lower energy threshold of 10 eV and a dynamic upper energy cutoff is used. The upper cutoff prevents the contribution of the magnetospheric precipitation population in the outflow flux calculation (Hatch et al., 2020; Zhao et al., 2020). To calculate the dynamic cutoff energy, we used the ratio of the upward (90° <pa <180°) and downward (270° < pa < 360°) flux from the iESA data at each energy for the northern hemisphere. At any time, the cutoff energy is the highest energy with ratio $\left(\frac{flux_{upward}}{flux_{downward}}\right)$ bigger than 2. If, at time *t*, the ratio never is bigger than 2, the cutoff energy is set to the minimum value, 10 eV, so there is no contribution to the flux. For this time, the eflux value corresponding to one count along the magnetic field direction is recorded.

A TEAMS summary plot of FAST orbit 8277, passing the noon-midnight of the northern hemisphere during the main storm phase, is shown in Figure 3. Panels (a), (b), and (c) contain the H⁺ energy spectrogram, the H⁺ pitch angle spectrogram plots for energies < 1 keV and the H⁺ pitch angle spectrogram for energies > 1 keV, respectively. Panels (d), (e), and (f) present the corresponding spectrograms for O⁺. Panel (g) shows the spacecraft's potential.

The black lines in panels (h) and (i) give the in-situ outflow flux for H⁺ and O⁺ species, which are calculated from Equation 1.

We normalize the net flux by mapping it to 300 km. The net outflow flux is inversely proportional to the cross-section of the flux tube, $\Phi \propto \frac{1}{A}$. On the other hand, the cross-section of the flux tube is inversely proportional to the magnitude of the magnetic field, $A \propto \frac{1}{B}$. At these altitudes, a dipole magnetic field is adequate for the mapping. The dipole magnetic field is inversely proportional to the third power of altitude, $B \propto \frac{1}{r^3}$. So, the net outflow flux is inversely proportional to the third power of altitude, $\Phi \propto \frac{1}{r^3}$.

The normalized net outflow flux, which we call outflow flux from now on, is plotted with the red line in panels (h) for H^+ and (i) for O^+ . Also, the H^+ and O^+ outflow flux is plotted along the FAST trajectory in the dial plots located on the right side of panels (h) and (i) in Figure 3.

To determine how the outflow varies with the storm phase, we present the averaged outflow flux of H^+ and O^+ binned by MLT-ILAT. Only data above 1500 km altitude are included. Figure 4. shows an example of the data display we will use, in this case for O^+ during CME storms.

We divide the normalized net outflow flux by storm phase: prestorm, initial phase, main phase, and recovery phase (four columns). Four rows are shown: trajectory, all storms, moderate, and intense. The trajectory row shows the O⁺ outflow flux along the spacecraft trajectory for each storm phase. The data is limited to Invariant Latitude (ILAT) greater than 50°; the circles

shown are in 10° increments. The next row shows all the storm data binned into 40 ILAT bins 231 232 with a width of 1° and 24 magnetic local time (MLT) bins with a time width of 1 hour. The O⁺ 233 outflow flux measurements in each MLT-ILAT bin are averaged, and the averaged flux is 234 assigned to the bin. The big circular plots show the averaged flux for each species. The smaller 235 circular plots above each big plot show the number of data points associated with averaged flux 236 of each MLT-ILAT bin in the smaller circular plots above the big plot. The third and fourth rows 237 show the binned and averaged flux separately for the moderate and intense storms. Subsequently, 238 we use these data to calculate the total fluence in four local time sectors.

4 Storm phases and storm intensity

 $240 \qquad \qquad 4.1 \text{ O}^+ \text{ outflow flux}$

241 Figure 4 shows the O⁺ outflow data for CME storms. There is good coverage for all 242 phases, with the highest number of data points for the recovery and prestorm phases. Because of 243 the short duration of the storm initial phase, there are fewer data points from the initial phase. 244 The all-storm panel shows that before the storm, there is a region of weak outflow in the cusp 245 region, between $\sim 70^{\circ}$ and 85° invariant latitude and extending from 15MLT to 6 MLT. In the 246 nightside sector, the weak outflow is also observed between 70° and 80° invariant latitudes. 247 During the initial phase, the intensity of outflow flux increases and expands in both MLT and 248 ILAT. The cusp shows the highest outflow, extending from 9 MLT to 17 MLT, with high 249 outflow fluxes observed down to 67°. From 7 MLT to 9 MLT, the outflow reaches the lower 250 latitude of 63°. The outflow flux on the nightside also increases, covering the latitude between 251 75° and 80°. During the main phase, the outflow flux increases significantly and is observed in 252 all MLT regions. The coverage of high flux is between 60° and 80° invariant latitudes for 253 dawnside and dayside and between 75° and 60° for duskside and nightside. In the recovery 254 phase, the outflow flux decreases, with MLT-ILAT coverage similar to the main phase. 255 The next two rows compare the outflow from moderate and intense storms. As shown in 256 Figure 2, we expect to have better statistics for moderate storms than intense storms. Comparing

- 257 the O^+ outflow flux during moderate and intense storms shows that although the variation and
- 258 spatial distribution of ionospheric O⁺ outflow during storm phases are similar for both storm

intensity groups, intense storms drive higher O⁺ outflow. The intense outflow is also
occasionally observed down to 50° latitude.

261 Figure 5 shows the averaged O^+ outflow flux before and during the phases of SIR storms. 262 In general, there are fewer SIR storms, therefor the MLT-ILAT coverage is not as good. From 263 Table 1. we only have one intense SIR storm, so we limit our epoch analysis study to moderate 264 SIR storms. Like the prestorm phase in CME storms, there is O⁺ outflow flux before the storm, primarily at noon and after midnight. However, unlike the initial phase of CME storms, the flux 265 266 does not increase significantly during the initial phase of SIR storms. Like CME storms, the 267 maximum outflow flux occurs during the main phase and is observed in all MLT sectors. A 268 distinct difference is that for or SIR's the maximum outflow is on the dawn side. The outflow 269 does not generally extend as low in ILAT for SIR storms. In the recovery phase, the outflow flux 270 declines, and the distribution is quite similar to the recovery phase of moderate CME storms.

The ion outflow rate (fluence) is a multiplication of the outflow flux and the surface area.
Equation 2 gives the fluence emerged from the surface of bin *jk*.

273
$$fluence_{jk} = \left(\frac{\sum_{i=0}^{n_{jk}-1} flux_i}{n_{jk}}\right)_{jk} \times A_{jk} \qquad \text{Equation 2}$$

274 Which $\left(\frac{\sum_{i=0}^{n_{jk}-1} flux_i}{n_{jk}}\right)_{jk}$ is the averaged outflow flux, and A_{jk} is the area of bin jk. At a

275 mapped altitude of 300 km, we calculated A_{jk} from Equation 3.

276
$$A_{jk} = -(\cos\theta_2 - \cos\theta_1)_j \times (\varphi_2 - \varphi_1)_k \times r^2 \qquad \text{Equation 3}$$

277 The radius r is the sum of mapped altitude and the Earth radius, in centimeters, r = 278 $(R_E + 300) \times 10^5$ (cm).

We quantitatively illustrated the variation of ionospheric O⁺ fluence during the CME and SIR storm phases separately by summing the fluences of all MLT-ILAT bins; For example,

281
$$fluence_{(dayside)} = \sum_{i=0,k=9}^{40,15} fluence_{ik}$$

The line plots in Figure 6 show the variation of measured fluence for CME moderate storms with the solid red line, CME intense storms with the dashed red line, and SIR moderate storms with the solid blue line. Due to the low statistics of SIR intense storms, we do not include the fluence of SIR intense storms. The panels, from top to bottom give the total fluence covering all MLT sectors, the (dusk + night) fluence measured from summing fluences of dusk and night
side sectors, and the (dawn + day) fluence containing the fluences summed from dawnside and
dayside bins. We have combined these sectors because we note that the dayside outflow tends to
extend towards the dawn, while the nightside outflow extends towards the dusk. The error bars
indicate the Standard Error of Mean (SEM).

We observe that the O⁺ fluence before the storms are roughly the same for both CME and SIR storms. For CME storms, the fluence increases by a factor of 10 in the initial phase, increases further in the main phase, and declines in the recovery phase. For the SIR storms, the fluence is about the same in the initial phase, increases in the main phase and then decreases in the recovery phase.

Also, we observe that intense CME storms have more O^+ fluence than moderate CME storms. For moderate storms, the O^+ fluence produced during the main phase is about a factor of two higher in CME storms than in SIR storms. As stated earlier, the most significant difference between the fluence in CME moderate storms with SIR moderate storms is the O^+ fluence during the initial phase. The CME storms produce O^+ fluence 15 times higher than SIR storms in the initial phase. This significant difference is observed in both the "day + dawn" and "dusk + night" sectors.

 4.2 H^+ Outflow flux

304 Figure 7 presents the averaged H⁺ outflow flux during CME storms. Before the storm, the 305 H^+ outflow was observed on both the dayside and nightside. As with the O^+ , the H^+ outflow 306 increased during the initial phase and extended in MLT and ILAT. The increased H⁺ outflow 307 reaches its maximum in the main phase, and it covers all MLT sectors with ILAT between 60° to 308 80°, even extending below 60° in a few bins. The flux declined in the recovery phase but still 309 covers a wide MLT range. As with O^+ , the H^+ flux is stronger and reaches a lower latitude in 310 intense storms than in moderate storms. In large storms, the extended outflow down to 50 311 degrees in 18MLT and 6 MLT results from the auroral region.

Figure 8 shows the averaged H^+ outflow flux for SIR storms. The spatial distribution for the H^+ outflow for SIR storms is very similar to the O^+ outflow. As with O^+ , there is essentially no increase during the initial phase. During the main phase, the strongest outflow is in the 315 dayside, while for O^+ , it was on the dawnside, but it is still strong in both regions. As for O^+ , the 316 H^+ outflow is stronger and reaches a lower latitude during CME than during SIR storms.

The line plots in Figure 9 present the variation of total H⁺ fluence as a function of storm phases during CME moderate (solid red line), CME intense (dashed red line), and SIR moderate (solid blue line) storms. The total fluence is almost the same in the prestorm phase. During the initial phase, the CME storms show a significant increase, while there is almost no increase for SIR moderate storms. In the main phase, the H⁺ fluence produced by CME and SIR storms is about the same. In the Recovery phase, the moderate SIR storms had more H⁺ fluence than CME moderate storms, and the fluxes are the same within the statistical error.

In the Recovery phase, the moderate SIR storms had more H^+ fluence than CME moderate storms; however, for "dusk + night" the CME flux is inside the SIR error bar.

Comparing CME moderate storms with SIR moderate storms shows that the total H⁺ fluence during the initial phase of CME storms is significantly higher than during SIR storms, in both the dayside and the nightside sectors.

329 5. Solar cycle (solar EUV) and seasonal effects

After studying the effect of solar wind structures on ionospheric O^+ outflow, we 330 investigate the impact of the solar cycle on storm time ionospheric O^+ outflow. We divided the 331 332 moderate CME storms into two groups: solar maximum and solar minimum storms. The 333 statistics of participating storms in this study are given in Table 1. First, we prepared the MLT-334 ILAT plots similar to Figure 4 and calculated the total fluence. Figures 10(a) and (b) depict the O⁺ and H⁺ fluences observed during moderate CME storms. The solid lines correspond to 335 336 fluence levels recorded during solar maximum years, while the dashed lines represent fluence 337 levels during solar minimum years. The fluences were computed separately for the (dusk + 338 night) and (dawn + day) periods, as illustrated in the second and third panels from the top, respectively. Figures 10(c) and (d) illustrate the O⁺ and H⁺ fluences specifically observed during 339 340 moderate CME storms restricted to solar minimum years. Here, the solid lines signify fluence 341 levels recorded in the summer season, while the dashed lines indicate fluence levels in the winter 342 season. The panel arrangement from top to bottom mirrors that of panels (a, b).

From Figure 10(a), it is clear that the total O^+ fluence is higher during solar maximum than during solar minimum. The difference is particularly noticeable during the main phase of storms, where it is 2.6 times higher. In Figure 10(b), it can be seen that the total H⁺ fluence remains the same during both solar maximum and solar minimum. Furthermore, there is no difference in the (Day + Dawn) sector. However, in the (Dusk + Night) sector during solar minimum, more H⁺ fluence is detected.

349 In Figure 10 (c, d), we present the measured O^+ and H^+ fluences from summer season 350 (solid lines) and winter season (dashed lines) for CME solar minimum storms. From Figure 10(c), we find that the total O⁺ fluence is stronger in summer than in winter. The separate panels 351 352 for the (day + dawn) and (dusk + night) sectors show that the dayside O⁺ fluence is stronger in 353 the summer than in winter, while on the nightside, the O⁺ fluence is almost independent of the season. Figure 10(d) shows that in contrast to O^+ , the total H^+ fluence shows no overall change in 354 355 the summer and winter seasons. However, on the nightside, the H⁺ fluences are stronger in the 356 winter than in summer, while on the dayside, the H⁺ fluence is stronger in the summer than in 357 winter.

358 6. Discussion

359 The observation that the outflow increases during the initial phase for CME storms, both on the dayside and the night ide, may explain the higher O^+ observed during CME storms by 360 361 Mouikis et al. (2019). CMEs are often preceded by a shock with enhanced dynamic pressure. 362 Auroral effects from enhanced dynamic pressure have been observed previously. Brittnacher et 363 al. (2000) show an example where the arrival of the pressure enhancement associated with a 364 CME was observed in the aurora, with the intensification first observed on the dayside, with a 365 nightside enhancement observed 15 minutes later. Boudouridis et al. (2003) found that the 366 response of the size and strength of the auroral oval to a pressure enhancement was global, with a 367 noon-midnight propagation of the effect observed for cases when the IMF is northward. 368 Simulations indicate that enhanced dynamic pressure increases energy input to the aurora from 369 precipitating electrons (Damiano et al., 2010), which would drive the enhanced outflow. O^+ 370 outflow during the initial phase has time to convect from the dayside to the nightside plasma 371 sheet before the enhanced convection, associated with the storm main phase, begins. That 372 outflow from enhanced dynamic pressure may populate the plasmasheet prior to a storm was

373 shown by (Kistler et al., 2016). They observed the flux of hot, energetic (~ 5 keV) O^+ ions in the 374 plasma sheet increase after a large pressure pulse hit the Earth's magnetosphere but before the 375 start of the storm main phase. The hot O^+ in the plasma sheet also preceded the observation of O^+ 376 outflow coming directly from the nightside aurora. The inward convection of the hot O^+ from the 377 prestorm plasma sheet dominated the ring current pressure during the storm main phase.

378 It is also possible that differences in the main phase outflow fluence, between CMEs and 379 SIRs, play a role in making the ring current during CMEs richer in O^+ . The outflow fluence of 380 O^+ during moderate CME storms is about a factor of two higher than the fluence during SIR 381 storms, while the H⁺ fluence is about the same. This will lead to a higher O⁺ abundance 382 throughout the magnetosphere.

383 The observed variations with solar cycle agree with the schematic model of Yau et al. (1985) and Yau et al. (1988) in which an upward shift of the ionospheric O^+ source region from 384 solar minimum to solar maximum causes a correlation between increasing ionospheric O⁺ 385 density and increasing F10.7 in guiet and active times. The O^+ variation with storm phase is 386 about the same during solar minimum and solar maximum, but the O⁺ fluence is higher during 387 388 all phases. The H⁺ fluence showed no change between solar maximum and minimum phases on 389 the dayside, but on the nightside, more H⁺ fluence is seen during solar minimum than the solar 390 maximum.

391 Our study of the seasonal effect on storm-time ionospheric outflow was limited to the 392 solar minimum phase (ascending and declining phases) of solar cycle 23. For the nightside 393 sector, O^+ fluence is independent of season. On the dayside, the CME storm time O^+ fluence is 394 stronger during the summer season than the winter season, in agreement with the Yau, Beckwith et al. (1985) result. However, on the nightside, there was no difference. For H⁺, the nightside 395 396 fluence is stronger during the winter season than in the summer season. For the dayside, H^+ 397 fluence showed no seasonal effect. The stronger nightside fluence during winter may be a result 398 of the enhanced energetic electron precipitation in the nightside region during winter (Newell et 399 al., 1996) and is consistent with the observation of more ion beams in winter than in summer 400 (Collin et al., 1998).

In this study, the net ionospheric H⁺ and O⁺ fluences were significantly less than
observations from other studies (Collin et al., 1984; Cully et al., 2003; Peterson et al., 2001; Yau

403 et al., 1988). These studies were done with different instruments on different spacecraft at 404 different altitudes and under various geomagnetic and solar cycle conditions. To have a better 405 understanding of this discrepancy, Table 2. lists the instrumental and geomagnetic features of 406 studies in addition to the reported H^+ and O^+ fluences.

407 In the column labeled "this study", we report the FAST total averaged fluences for H^+ 408 and O^+ from the region with ILAT greater than 50°, the altitude range of 1500 km to 4200 km, 409 and the energy range of 10 eV/e to 12000 eV/e, for prestorm times, as quiet time, and for 410 moderate CME and SIR storms. Since our observation showed that ionospheric outflow is 411 impacted by the solar cycle, the fluences during the solar maximum and the solar minimum 412 phases are separated in Table 2. The next columns indicate the information from Table 1. of the 413 Collin et al. (1984) study, Figure 3. in Yau et al. (1988), Table 5. in Peterson et al. (2001), and 414 Figure 3. in Cully et al. (2003).

In Figure 11, the H⁺ and O⁺ outflow rates from the quiet time during solar minimum from 415 416 different spacecraft are plotted as a function of altitude. From the plot, we see that the DE-1 417 spacecraft from the highest altitude reported the highest values, and the FAST spacecraft with 418 the lowest altitude reported the lowest values for H⁺ and O⁺ rates. Thus one possibility for the 419 discrepancies is that the ions are continuously accelerated as they move up the field line and 420 therefore, cold ions that are invisible at lower altitudes move above the low-energy instrument 421 threshold at higher altitudes. However, the four instruments all have different low-energy 422 thresholds, and therefore some results do not support this picture. The instrument on S3-3 had a 423 lower energy threshold of 500 eV, significantly higher than the other instruments. In fact, as 424 seen in the example in Figure 4, most of the outflow observed in this study is below 500 eV. The 425 high values reported by (Cully et al., 2003) may therefore be due to difficulties in subtracting the 426 upflow contribution of precipitating particles, a problem avoided in this study by using the 427 dynamic high energy cut-off. The Akebono/SMS has the lowest energy threshold, of 1 eV, but 428 also the lowest high-energy threshold, 70 eV. Thus, the agreement with FAST for O^+ may be 429 due to measuring the outflow with a higher contribution of low energies, and less contribution of 430 higher energies. The large difference between the FAST measurements and Akebono for H⁺ 431 indicates a significant contribution below 10 eV. The better agreement between Akebono and Polar with DE-1 for H⁺ than for O⁺ may indicate that the majority of the H⁺ reaches detectable 432 energies at a lower altitude than the O⁺. Still, the many differences between the different data 433

434 sets make it impossible to completely reconcile the discrepancies in the total fluence435 measurements.

436 7. Summary Conclusion

437 In this paper, we performed a comprehensive analysis to determine the variation of 438 ionospheric O^+ and H^+ outflow as a function of geomagnetic storm for CME and SIR storms. We 439 used FAST and OMNI data from 1996 to 2008, covering the solar cycle 23. In this period, 139 440 geomagnetic storms with Dst < -50nT were identified that had good FAST data coverage and 441 were driven by clear CME or SIR solar wind structures. We excluded storms with undetermined 442 drivers and storms with complex drivers. We found that:

443 1- O⁺ and H⁺ outflows increase in the initial phase of CME storms but do not increase until
444 the main phase in SIR storms; For CME storms, the outflow in the initial phase has time to reach
445 the plasma sheet prior to the main phase, and therefore may explain why CME storms have more
446 O⁺ than SIR storms.

447 2- in both CME and SIR storms, the maximum outflow occurs in the main phase and then448 declines in the recovery phase.

449 3- In dividing the storms by Dst, intense CME storms (Dst <-150) produce more O⁺ and H⁺ 450 outflow than moderate storms (Dst>-150).

451 4- The O⁺ outflows produced by moderate CME are slightly larger than SIR storms during
452 main phase and comparable in the recovery phases. The H⁺ is comparable during all phases.

453 5- O^+ outflow increases with increasing solar EUV flux, while H^+ outflow in the dayside is 454 independent of solar EUV flux while in the nightside decreases with increasing solar EUV.

455 6- Dayside O^+ and H^+ outflows increase in summer season. The nightside O^+ outflow is 456 independent of the season, while the nightside H^+ outflow increases in winter.

457 7- The differences between the FAST O^+ and H^+ total fluence with measurements from DE-

458 1 by Yau et al. (1988) suggest that the FAST measurements, with a low energy cutoff of 10 eV,

459 do not represent the total outflow flux. It is likely that O^+ and H^+ continue to be energized at

460 altitudes above the FAST spacecraft.

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- 465 via <u>https://spdf.gsfc.nasa.gov/pub/data/fast/teams/12/pa/</u>
- 466

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- 599
- 600 Tables
- 601 *Table 1. Geomagnetic storms with identified drivers from 1996 to 2008.*

		r		6	07
	TOTAL	CME		SIR	02
total storms	139	104		35	
intense storm(I-storms)	27	26		1	
moderate storm(M-storms)	112	78		34	
M-storm in maximum phase	45	37		8	
		Summer:	15	Summer:	4
		Winter:	21	Winter:	3
		Summer and winter:	1	Summer and winter:	1
M- storm in minimum phase	67	41		26	
		Summer:	15	Summer:	11
		Winter:	20	Winter:	14
		Summer and winter:	6	Summer and winter:	1

			This Study ¹	Collin ²	Yau ³	Peterson ⁴	Cully ⁵
Spacecraft		FAST	S3-3	DE-1	Polar	Akebono	
			(TEAMS)	(Lockheed)	(EICS)	(TIMAS)	(SMS)
Data years			1996-08	1983-02	1981-09	1996-04	1989-10
			to	to	to	to	to
			2009-12	1984-05	1984-05	1998-09	1998-09
Quiet tin	ne		$K_p \leq 3$ and 24 hours	$K_p \leq 3$	$K_p \leq 2$	$0 \le Kp \le 7$	$K_p \leq 2$
			before initiating of	4-dayas after		With	
			storms	Dst > -30nT		$\overline{K_p} = 2 -$	
Active ti	ne		three phases of	$3 < K_p \le 5$	$K_p \ge 3$		$K_p \ge 3$
			CME and SIR moderate				
			storms				
Altitude(km)		1500-4200	5000-8000	16000-	6000-8000	6000-10000
					23000		
Energy(e	eV/e)		10-12000	500-16000	10-17000	15-33000	1-70
ILAT			> 50°	> 60°	> 56°	> 55°	> 65°
Data from	m Hemisphere((s)	North, South	North, South	North,	South	North
					South		
H ⁺ rate	Solar	Active	2.29×10^{24}		8.5×10^{25}		7×10^{25}
(s^{-1})	maximum						
		Quiet	2.63×10^{23}		2.9×10^{25}		3.1×10^{25}
	Solar	Active	2.15×10^{24}	3.0×10^{25}	8.5×10^{25}		2.6×10^{25}
	minimum		2.24.4022	4.4.4.9.25		0.4. 4.0.24	1 = 1025
	Quiet		2.26×10^{23}	1.1×10^{25}	1.7×10^{25}	2.4×10^{24}	1.5×10^{25}
O ⁺ rate	rate Solar Active 4.		4.62×10^{24}		2.4×10^{26}		7.5×10^{25}
(<i>s</i> ⁻¹)			F 0 F 4 0 ²²		0.1 1025		4.0 4.025
		Quiet	5.97 × 10 ²³		2.1×10^{25}		1.2×10^{25}
	Solar	Active	2.15×10^{24}	4.2×10^{25}	8.85		4×10^{24}
	minimum				× 10 ²⁵		
		Quiet	1.89×10^{23}	0.27×10^{25}	4.8×10^{25}	3×10^{24}	2.9×10^{23}

603 *Table 2. Comparing the total* H^+ *and* O^+ *fluences scaping from ionosphere in different studies.*

¹ From observations reported in this paper.
² From Table 1. in (Collin et al., 1984).
³ From Figure 3. in (Yau et al., 1988).
⁴ From Table 5. in (Peterson et al., 2001).
⁵ From Figure 3. in (Cully et al., 2003)



Figure 1. The solar wind parameters during a classical storm. The vertical lines present the storm critical times; the orange line denotes the increase time, the green line shows the onset time, the red line gives the time of minimum Dst*, and the blue line recovery time.



Figure 2. The top panel shows the F10.7 index for solar cycle 23. The vertical lines separate the solar cycle phases. The bottom panel shows the minimum Dst for storms, ICME (red) and SIR (blue). The horizontal dashed line separates the moderate storms from intense storms.



Figure 3. TEAMS summary plot for O^+ and H^+ data from orbit 8277. Panels (a, b, c, h) show the energy spectrogram, pitch angle spectrogram for low energy, pitch angle spectrogram for high energy and in situ outflow flux (black), and mapped outflow flux(red) for H^+ ions. Similar plots for O^+ ions are presented in panels (d, e, f, i). The spacecraft potential is plotted in panel (g). The white lines in panels (a) and (d) represent the upper energy cutoff.



Figure 4. The first row from the top shows the O^+ outflow flux during CME-driven storms along the FAST trajectory before the storm and then for three storm phases. In the second to the fourth row, the big globe plots contain the averaged outflow flux in each MLT-ILAT bin for all classical storms in the second row, for moderate storms with -150 nT \leq Dst_{minimum} <-50 nT in the third row, and intense storms without Dst_{minimum} \leq -150 nT in the bottom row. The small globe plots on the top of big plots present the number of data points in each MLT-ILAT bin. The long color bar on the right shows the averaged outflow flux in the bins of big globe plots and three short color bars show the number of data points in each bin of small globe plots.



Figure 5. The O^+ outflow flux for SIR-driven storms. The format is the same as for Figure 4.



Figure 6. The total O^+ fluence for CME storms in red and SIR storms in blue. The solid lines indicate the fluence during moderate storms and the dashed line during intense storms.



Figure 7. The H^+ outflow flux for CME-driven storms. The format is the same as Figure 4



Figure 8. The H^+ outflow flux for SIR-driven storms. The format is the same as in Figure 4.



Figure 9. The total H^{+} fluence for CME storms in red and SIR storms in blue. The solid lines indicate the fluence during moderate storms and the dashed line during intense storms.



Figure 10. Panel (a, b) show the total O^+ and H^+ fluences for moderate CME storms. The solid lines indicate the fluence during storms of solar maximum years, and the dashed lines during storms of solar minimum. The calculated fluences, separately for (dusk + night) and (dawn + day), are shown in the second and third panels from the top. Panels (c, d) show the O^+ and H^+ fluences for moderate CME storms in solar minimum years. The solid lines indicate the summer season fluence, and the dashed present the winter season fluence. Panels from the top to the bottom are the same as panels (a. b).



Figure 11. The top and bottom panels present the averaged H^{\dagger} and O^{\dagger} fluences from various spacecraft at different altitudes. The fluences are from the quiet time of minimum phase rows in Table 2.

Figure 1.



Figure 2.



Figure 3.



Figure 4.



Figure 5.



Figure 6.



Figure 7.



Figure 8.



Figure 9.



Figure 10.







Figure 11.



Table 1.	Geomagnetic	storms with	identified	drivers	from	1996	to 2008.
	0		./		/		

	TOTAL	СМЕ		SIR	
total storms	139	104		35	
intense storm(I-storms)	27	26		1	
moderate storm(M-storms)	112	78		34	
M-storm in maximum phase	45	37		8	
		Summer:	15	Summer:	4
		Winter:	21	Winter:	3
		Summer and winter:	1	Summer and winter:	1
M- storm in minimum phase	67	41		26	
		Summer:	15	Summer:	11
		Winter:	20	Winter:	14
		Summer and winter:	6	Summer and winter:	1

			This Study ¹	Collin ²	Yau ³	Peterson ⁴	Cully ⁵
Spacecraft		FAST	S3-3	DE-1	Polar	Akebono (SMS)	
			(TEAMS)	(Lockheed)	(EICS)	(TIMAS)	
Data ye	ars		1996-08	1983-02	1981-09	1996-04	1989-10
			to	to	to	to	to
			2009-12	1984-05	1984-05	1998-09	1998-09
Quiet ti	me		$K_p \leq 3 \text{ and } 24$	$K_p \leq 3$	$K_p \leq 2$	$0 \le Kp \le 7$	$K_p \leq 2$
			hours before	4-dayas after		With	
			initiating of	Dst > -30nT		$\overline{K_p} = 2 -$	
			storms				
Active t	ime		three phases of	$3 < K_p \le 5$	$K_p \ge 3$	1	$K_p \ge 3$
			CME and SIR				
			moderate storms				
Altitude	e(km)		1500-4200	5000-8000	16000-23000	6000-8000	6000-10000
Energy	(eV/e)		10-12000	500-16000	10-17000	15-33000	1-70
ILAT			> 50°	> 60°	> 56°	> 55°	> 65°
Data fro	om Hemisphe	re(s)	North, South	North, South	North, South	South	North
\mathbf{H}^+	Solar	Active	2.29×10^{24}		8.5×10^{25}		7×10^{25}
rate	maximum	Orrigh	$2(2) \times 10^{23}$		2.0×10^{25}		2.1. + 1.025
(s^{-1})		Quiet	2.63×10^{23}		2.9 × 10 ²⁵		3.1 × 10 ²⁵
	Solar	Active	2.15×10^{24}	3.0×10^{25}	8.5×10^{25}		2.6×10^{25}
	minimum	Quiet	2.26×10^{23}	1.1×10^{25}	1.7×10^{25}	2.4×10^{24}	1.5×10^{25}
O ⁺	Solar	Active	4.62×10^{24}		2.4×10^{26}		7.5×10^{25}
rate	maximum	Quiet	$E 0.7 \times 10^{23}$		2.1×10^{25}		1.2×10^{25}
(s^{-1})	Color	Aut	3.77×10^{-2}	4.2 4.025	2.1×10^{-10}		1.4 × 10 ²⁴
	Solar 	Active	2.15×10^{24}	4.2×10^{23}	8.85 × 10 ²³		4×10^{24}
	minimum	Quiet	1.89×10^{23}	0.27×10^{25}	4.8×10^{25}	3×10^{24}	2.9×10^{23}

Table 2. Comparing the total H⁺ and O⁺ fluences scaping from ionosphere in different studies.

¹ From observations reported in this paper.
² From Table 1. in (Collin et al., 1984).
³ From Figure 3. in (Yau et al., 1988).
⁴ From Table 5. in (Peterson et al., 2001).
⁵ From Figure 3. in (Cully et al., 2003)