

# Quantitatively Monitoring of Seasonal Frozen Ground Freeze-thaw Cycle Using Ambient Seismic Noise Data

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## Key Points:

We proposed integrating ambient noise seismic processing framework to quantitatively monitor the seasonal frozen ground freeze-thaw cycle.

The surface-wave dispersion curve variations ( $dc/c$ ) strongly correlate negatively with air temperature and soil moisture content.

The horizontal-to-vertical spectral ratio (HVSR) and seismic attenuation show highly consistent changes to the freeze-thaw processes.

## Abstract

Seasonal frozen ground freeze-thaw cycles in cold regions are an essential indicator of climate change, infrastructure, and ecosystems in the near-surface critical zone (CZ). As a non-invasive geophysical method, the ambient noise seismic method estimates the relative velocity variations ( $dv/v$ ) based on coda waves or ballistic waves, providing new insights into the seasonal frozen ground changes in the soil properties and hydrology data, such as soil moisture content (SMC), temperature, and groundwater level. Due to the  $dv/v$  lack of accurate depth information and average over tens of days at low frequencies, it is challenging to provide the needed temporal-spatial resolution for the micrometer-level frozen ground variation. In this work, we combine the 1D linear three-component seismic array and hydrological sensor to conduct seasonal frozen ground freeze-thaw monitoring experiments. Besides the conventional  $dv/v$  information, we calculate surface-wave (SW) dispersion curve variations ( $dc/c$ ), which are more sensitive to SMC and can characterize the daily air temperature variations. Meanwhile, the horizontal-to-vertical spectral ratio (HVSR) amplitude and seismic attenuation also show highly consistent changes to the freeze-thaw processes. This work demonstrates that the different ambient noise seismic information ( $dc/c$ , HVSR, and attenuation) provide robust observations for

40 hydrogeological monitoring, such as air temperature, SMC, and groundwater level  
41 changes during seasonal freeze-thaw processes.

42

### 43 **Plain Language Summary**

44 In cold parts of the world, the ground freezes and thaws as seasons change. This  
45 natural process significantly affects both the environment and human-made structures.  
46 Understanding these freeze-thaw cycles is crucial, but observing them without  
47 disturbing the ground has been challenging. Our study used ambient seismic noise  
48 data to monitor ground physical properties during the freeze and thaw cycle. The  
49 approach involves analyzing changes in the surface-wave dispersion curves from  
50 linear array seismic data, which is more sensitive to changes in soil moisture and daily  
51 temperature variations than traditional methods based on coda wave or ballistic wave  
52 velocity variations ( $dv/v$ ). Additionally, we integrate the spectrum of ambient noise  
53 and attenuation to understand the freeze-thaw cycles better. Our findings highlight the  
54 effectiveness of this approach in environmental monitoring, offering an improved  
55 method for predicting and managing the impacts of freeze-thaw cycles on ecosystems  
56 and infrastructure in cold climates.

57

### 58 **1. Introduction**

59 Seasonal freezing and thawing occur over more than half of the land area of the  
60 Northern Hemisphere (Miao et al., 2019). Several factors influence its formation and  
61 degradation, including climate change, topography, soil, and vegetation type (Zhang  
62 et al., 1997; Jorgenson et al., 2001; Nelson, 2003; Frauenfeld & Zhang, 2011). The  
63 formation of seasonally frozen ground can affect soil physical properties such as soil  
64 structure, porosity, and moisture, which in turn affect vegetation growth and soil  
65 hydrological processes (Niu et al., 2011; Walvoord & Kurylyk, 2016; Vecellio et al.,  
66 2019). In recent decades, the degradation of frozen ground has significantly affected  
67 local hydrology, ecosystems, and engineering infrastructure as soil temperatures have  
68 increased in response to global warming (Cheng & Wu, 2007; Harris et al., 2009;  
69 Green et al., 2019). Therefore, monitoring and understanding seasonal ground freeze-  
70 thaw processes and spatiotemporal evolution patterns is particularly important.

71 However, dynamic monitoring of seasonal frozen ground freeze-thaw processes  
72 in the field is limited by monitoring conditions in cold regions and cost, making it  
73 difficult to obtain long-term soil monitoring information (Qin et al., 2018). Directly  
74 monitoring frozen ground is possible through borehole temperature logging, but  
75 geological conditions and cost constraints limit its widespread use. Surface  
76 geophysical methods such as electrical resistivity tomography (ERT) and active  
77 source seismic (Hornum et al., 2021; Stemland et al., 2021; Scandroglio et al., 2021)  
78 can distinguish between frozen and non-frozen areas but require prolonged and  
79 repeated measurements to achieve temporal resolution, acquiring in environmentally  
80 challenging regions challenging and laborious (Mollaret et al., 2019).

81 To overcome these problems, passive source seismic methods have been rapidly  
82 developed in recent years to monitor seasonal variations in relative velocities  
83 variations ( $dv/v$ ) during the freeze-thaw of the frozen ground using cross-correlation

84 functions of ambient noise seismic records (Wang et al., 2017; Miao et al., 2019;  
85 Albaric et al., 2021; Steinmann et al., 2022; James et al., 2019). Lindner et al. (2021)  
86 found seasonal variations in seismic velocities and long-term velocity decreases due  
87 to seasonal freeze-thaw cycles and multi-year permafrost degradation over 15 years  
88 by calculating  $dv/v$  obtained from cross-correlations at a single station at Mt.  
89 Zugspitze, Germany. They also compared the results with meteorological data,  
90 suggesting that seasonal freeze-thaw cycles and permafrost degradation contribute to  
91 these velocity variations. In addition, Cheng et al. (2022) utilize the distributed  
92 acoustic sensing (DAS) approach to monitor permafrost degradation seismically  
93 during a controlled heating experiment. Besides, the horizontal-to-vertical spectral  
94 ratio (HVSr) method (Nakamura et al., 1989) typically uses resonant frequencies to  
95 estimate the depth of the basement and reveals freeze-thaw changes by HVSr  
96 amplitude information (Kula et al., 2018; Steinmann et al., 2022).

97 However, the  $dv/v$  lacks accurate depth information and averages over tens of  
98 days at low frequencies. It is challenging to provide the needed temporal and spatial  
99 resolution quantitatively in the previous studies (Miao et al., 2019; James et al., 2019;  
100 Cheng et al., 2022; Qin et al., 2022). The observed variations in the surface-wave  
101 dispersion curve ( $dc/c$ ) at most sensitive frequencies consistently correlate with soil  
102 properties. They can be forward modeled given a subsurface model with reasonable  
103 accuracy and spatial resolution (Sobolevskaia et al., 2021). In addition to spatial  
104 velocity variations, seismic data includes other information, such as resonance  
105 frequency and attenuation (peak frequency information). Using different information  
106 to compare and verify is of great significance for improving the reliability of results.

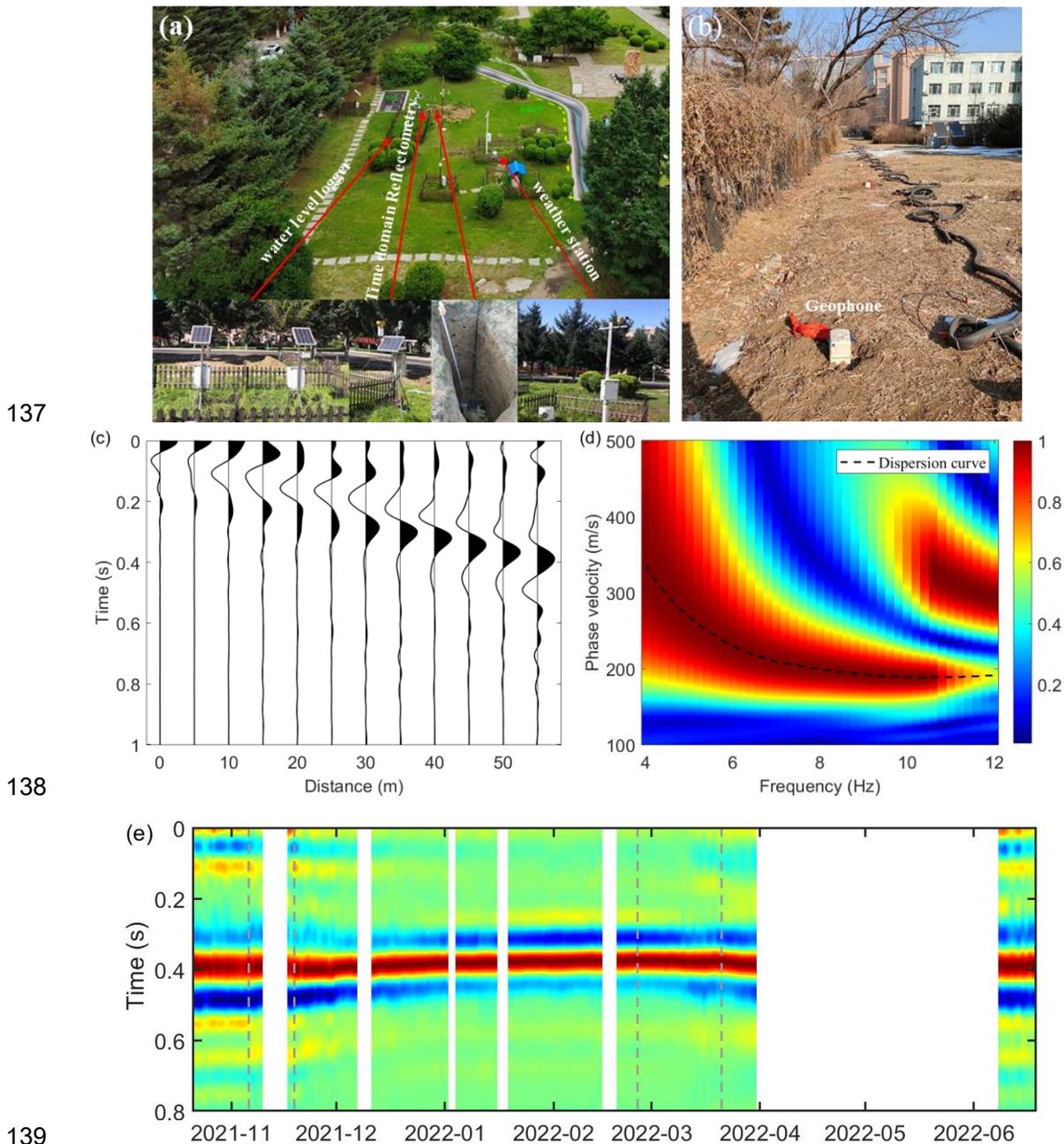
107 In this work, we explore the utility of ambient noise seismic techniques for  
108 monitoring site scale seasonal frozen freeze-thaw cycle physical proprieties, such as  
109 air/soil temperature, soil moisture content (SMC), and groundwater level depth. The  
110 field data at the hydrological observation site demonstrate that the estimated time-  
111 varying  $dc/c$  and peak frequency of seismic data have a strong negative correlation  
112 with temperature and SMC. There is perfect consistency between  $dc/c$  and peak  
113 frequency with changes in air temperature. The amplitude of HVSr can indicate the  
114 current lowest temperature, while there is a certain lag in  $dc/c$  and peak frequency,  
115 which is more consistent with the cumulative temperature. The different seismic data  
116 information suggests that ambient noise seismic technology is promising for  
117 monitoring seasonal permafrost freeze-thaw processes.

## 118 119 **2. Experiment and Data**

120 The experimental site of frozen ground monitoring is located at the hydrological  
121 observation site of Jilin University, Northeast China, from October 2021 to June 2022  
122 (Figures 1a and 1b). The annual temperature difference is within the range of -20 to  
123 30 C. We deployed a 1-D linear array with 12 three-component seismic nodes to  
124 record the urban environmental noise signals, with a node spacing of 5 m and a  
125 temporal sampling rate of 500 Hz. Meanwhile, we used a real-time weather station to  
126 record the air temperature, rainfall, and atmospheric pressure. The HOBO water level  
127 logger records the groundwater level. The TRIME-PICO sensors time domain

128 reflectometry (TDR) is arranged at 0, 10, 20, 30, 40, 50, 65, 80, 100, 125, 150, 170,  
129 185, 200, 215, 230, 250, 275, and 300 cm depth to record changes in soil temperature  
130 and SMC during freeze-thaw cycles.

131 Following the ambient seismic noise data process workflow (Bensen et al., 2007;  
132 Schimmel & Gallart., 2007), we calculate the noise cross-correlation function (NCF)  
133 in Figure 1c. The corresponding surface-wave dispersion curve shows continuous  
134 fundamental and high modes in the frequency range of 4 to 12Hz (Supplementary  
135 materials Figures S1-S2 for detailed information and process results of data  
136 processing).



140 Figure 1. Experiment site and NCF data overview. (a) Map of the experiment with various  
141 hydrological data acquisition; (b)Map of the seismic node array; (c) Noise cross-correlation  
142 function; (d) The corresponding surface-wave dispersion curve; (e) The time-lapse NCF for the 1st

143 and 12th station pairs (There was some missing data due to the instrument recharge and the  
144 lockdown of the COVID-19 epidemic.).

### 145 **3. Methods**

#### 146 **3.1 Relative Velocity Variations (dv/v)**

147 The NCF calculated by seismic noise interferometry is formed of ballistic waves  
148 (body, multi-mode surface waves) and coda waves. Coda waves are preferred to bal-  
149 listic waves simply because of their long paths that make them more sensitive to small  
150 changes of the medium and better robustness to non-ideal noise source distribution  
151 (Grêt et al., 2006; Sens-Schoenfelder and Wegler, 2006; Mao et al., 2023). In our  
152 work, the seismic wave velocity caused by soil freezing and thawing varies greatly,  
153 and the traffic noise can be approximated as an ideal noise source because the survey  
154 line is close to and parallel to the road. The ballistic waves mixed with diffracted  
155 waves that form the early coda can project the observed temporal perturbations of  
156 seismic velocities to specific regions at depth (Mordret et al., 2020).

157 The time-lapse NCFs of the 1st and 12th stations are shown in Figure 1e. Firstly,  
158 we use the trace stretching (TS) method to calculate the  $dv/v$  between two stations  
159 (Lobkis & Weaver, 2003; Sens-Schönfelder & Wegler, 2006). The TS method  
160 calculates the stretching parameter  $\epsilon$  that maximizes the correlation function  $C(\epsilon)$   
161 between the reference  $CC_r(t)$  and current waveforms  $CC_c(t)$  within a selected time  
162 window  $t_2$ :

$$C(\epsilon) = \frac{\int_{t_1}^{t_2} CC_{c,\epsilon}(t)CC_r(t)dt}{\sqrt{\int_{t_1}^{t_2} (CC_{c,\epsilon}(t))^2 dt} \sqrt{\int_{t_1}^{t_2} (CC_r(t))^2 dt}} \#(1)$$

163 Where  $CC_{c,\epsilon}(t) = CC_c(t(1 + \epsilon))$ , is the waveform of  $CC_c(t)$  after stretching, there  
164 is a negative correlation between speed variation and stretch parameter  $\epsilon$  ( $dv/v =$   
165  $-dt/t = -\epsilon$ ). Yuan et al. (2021) proposed a wavelet transform stretching (WTS)  
166 method combining continuous wavelet transform (CWT) with TS. It transforms the  
167 signal into the wavelet domain by wavelet transform, then intercepts it at a certain  
168 frequency or bandwidth. Finally, we use the inverse wavelet to transform the signal  
169 into the time domain and measure the relative velocity variation by the TS method.  
170 The wavelet transforms, and their reconstruction of specific frequencies or frequency  
171 bands are equivalent to the frequency filter in signal processing, which gives the TS  
172 method a frequency resolution and provides valuable information to infer the depth of  
173 the velocity variations.

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#### 175 **3.2 Relative Surface-wave Dispersion Curve Variations (dc/c)**

176 The multichannel analysis of surface waves (MASW) method is one of the  
177 primary methods for obtaining shallow dispersion curves (Park et al., 1999). It is  
178 widely used in near-surface geophysics and geoenvironment due to its non-invasive,  
179 non-destructive, efficient, and low-cost characteristics (Xia et al., 2015). The  
180 dispersion spectrums of the NCFs were calculated by the linear Radon transform  
181 (LRT) (Luo et al., 2008), and the fundamental dispersion curves were picked based on  
182 the peak energy of the dispersive spectrums (Figure 1d). We processed daily NCF

183 recordings to obtain the time-lapse phase velocity variations. The following equation  
184 calculates the relative surface-wave dispersion curve variations (dc/c):

$$185 \quad dc/c = \frac{c_c(\omega) - c_r(\omega)}{c_r(\omega)} \quad (2)$$

186 Where  $c_c(\omega)$  and  $c_r(\omega)$  are the current and reference dispersion curves, the  
187 dispersion curves can be used to invert the time-lapse frozen ground S-wave velocity  
188 structure. We evaluated the sensitivity and noise resistance of dc/c and dv/v in  
189 response to the soil freezing and thawing process (Synthetic model test comparison  
190 can be found in Supporting Information Figures S3-S8). Compared with dv/v, the dc/c  
191 has higher sensitivity to S-velocity changes caused by soil freezing and thawing and is  
192 more resistant to noise interference.

193

### 194 3.3 HVSR

195 The horizontal-to-vertical spectral ratio (HVSR) method was proposed by  
196 Nakamura (1989, 1997). It has been described in the abundant scientific literature as  
197 an efficient tool to determine the resonant frequency of a 1D sedimentary soft layer.  
198 The method uses a three-component seismometer to measure the H/V spectral ratio of  
199 ambient noise:

$$200 \quad \frac{H}{V}(\omega) = \sqrt{\frac{E_1(\omega) + E_2(\omega)}{E_3(\omega)}} \quad (3)$$

201  $E_1$  and  $E_2$  are spectral energy in the horizontal direction, and  $E_3$  is in the vertical  
202 direction.

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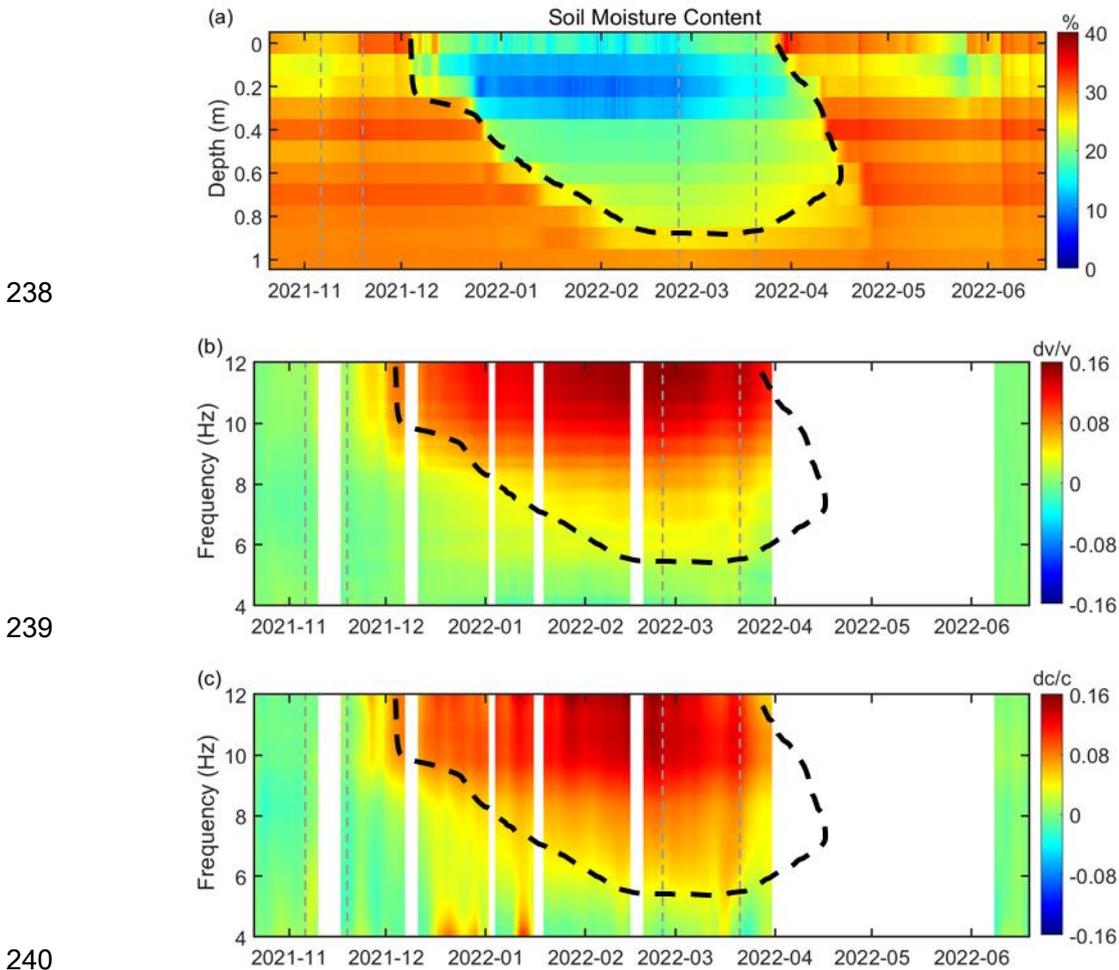
## 204 4. Results

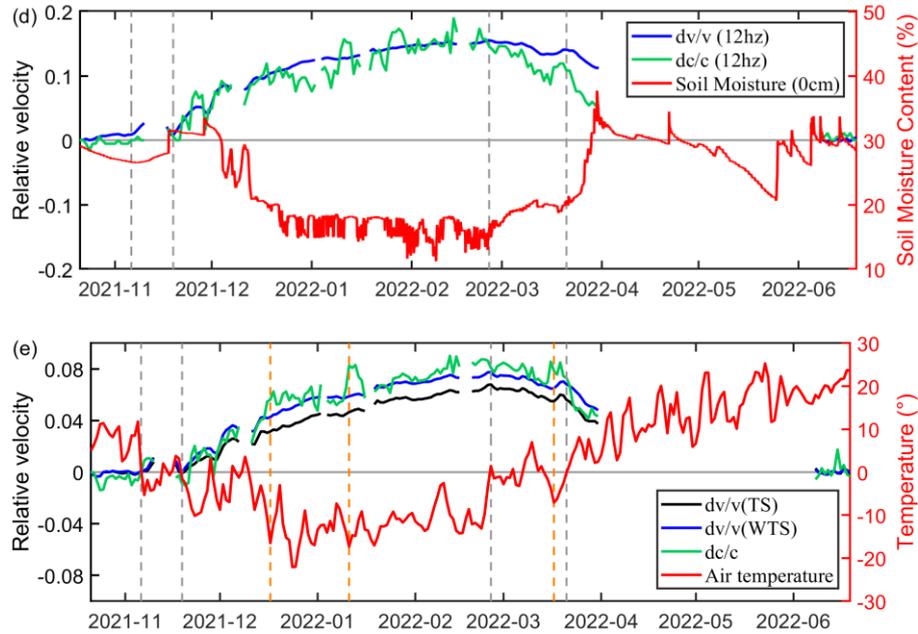
### 205 4.1 Comparison of dv/v and dc/c during Freeze-thaw Cycle

206 Figure 2a shows the subsurface SMC change during soil freezing and thawing.  
207 Between 19 November 2021 and 25 February 2022, with the decrease in temperature  
208 and the increase in the cumulative freezing days, the frozen layer gradually formed  
209 and moved downward, and the SMC in the frozen area decreased. Between 25  
210 February and 21 March 2022, air temperatures oscillated around 0 °C, and the  
211 downward development of the freeze layer slowed and stabilized. After 21 March, the  
212 frozen layer thawed in both directions, downward from the surface and upward from  
213 the depth, increasing soil moisture content and returning to pre-freeze soil levels by  
214 the end of April. Figures 2b and 2c show the calculated time-lapse dv/v by WTS and  
215 the dc/c profiles. The increases in dv/v and dc/c are in agreement with the decrease in  
216 SMC, and these processes are associated with decreasing temperatures and soil  
217 freezing during the winter of 2021. Compared with the dv/v, dc/c is more consistent  
218 with the range of SMC variation boundary (black dashed line).

219 Figure 2d shows the comparison of the dv/v (blue line) and dc/c (green line) at  
220 12 Hz and SMC at 0 cm depth (red line). The overall trend of dv/v and dc/c fits well.  
221 In addition, the curves of dc/c and SMC have a higher negative correlation (vertical  
222 gray dashed line), especially in March 2022. We sum up the velocity change from all  
223 frequencies to obtain the comparison between the velocity variation and air

224 temperature (Figure 2e). Here, the black line is  $dv/v$  by the TS method, the blue line is  
225  $dv/v$  by the WTS method, the green line is  $dc/c$ , and the red line is air temperature  
226 curves. The  $dv/v$  (WTS) and  $dc/c$  (phase velocity) curves are obtained by averaging  
227 the velocity variations over frequency. The overall trend of  $dv/v$  (WTS) and  $dv/v$  (TS)  
228 fit better, while  $dv/v$  (TS) has a certain degree of error. It is also easy to understand, as  
229 the WTS and  $dc/c$  have similar depth information, but there is a slight difference in  
230 velocity variations. The  $dc/c$  shows more sudden jumps, and some of the jumps agree  
231 with the sudden drop in temperature (vertical dashed line). The  $dv/v$  is the velocity  
232 difference between two stations; the  $dc/c$  obtained by a 1D linear array (12 stations in  
233 our work) is more consistent with the SMC and can capture more temperature drop  
234 events, which has a higher time resolution. This conclusion has also been confirmed  
235 in the above model testing. There is a time delay between the minimum air  
236 temperature recordings and the maximum velocity variations due to the thermo-elastic  
237 strain at the shallow subsurface (Tsai, 2011; Zhang et al., 2023).





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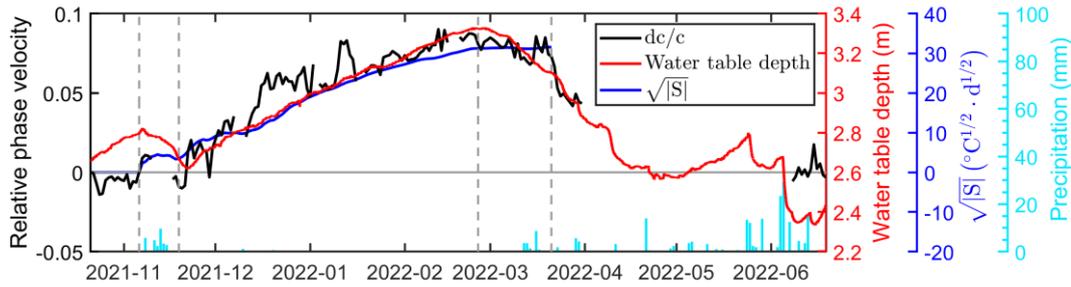
243 Figure 2. (a) Soil moisture content (black dashed line indicates the variation range of soil moisture  
 244 content.); (b) Relative velocity variations (dv/v) measured with WTS; (c) Relative phase velocity  
 245 variations (dc/c); (d) Comparison of dv/v, dc/c and soil moisture content at surface; (e)  
 246 Comparison of dv/v, dc/c and air temperature.

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#### 248 4.2 Comparison of dc/c, Cumulative Freezing Degree Days, and Groundwater 249 Depth

250 Figure 3 shows the comparison of dc/c (black line), cumulative freezing degree  
 251 days (blue line), and groundwater table depth (red line), where S is the cumulative  
 252 freezing degree days, representing the sum of the average daily temperatures below  
 253 0°C over some time (Miao et al., 2019). The dc/c is positively correlated with  $\sqrt{|S|}$   
 254 between 6 November 2021 and 21 March 2022 and decreases rapidly with the average  
 255 daily temperature higher than 0°C after 21 March 2022.

256 During the unfrozen period, there is a positive correlation between groundwater  
 257 level (red line) and precipitation (bar chart), which verifies the validity of  
 258 groundwater level data. There were several small increases in the groundwater table  
 259 on 17 November 2021, 24 May 2022, and 4 June 2022 due to precipitation recharging  
 260 the groundwater. In the frozen period, the lack of groundwater caused the water table  
 261 to drop from 21 November 2021 to 27 February 2022. The gradual thawing of snow  
 262 and frozen soil resulted in the groundwater table rebound after 27 February 2022.  
 263 There is a strong negative correlation between dc/c and the groundwater depth. The  
 264 dc/c variation comprehensively affects soil structure, temperature, and groundwater  
 265 level changes during the freeze-thaw cycle. The groundwater level has low time  
 266 resolution, and the local variation characteristics of dc/c indicate the variation  
 267 characteristics of other environmental factors (daily temperature variation).



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Figure 3. Comparison of  $dc/c$ , cumulative freezing degree days, and groundwater table depth.

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### 4.3 HVSR and Attenuation Peak Frequency Variations

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The horizontal-to-vertical-spectral-ratio (HVSR) method was used to analyze the difference between the horizontal and vertical components during soil freezing and thawing (Figure 4a). The seismic wavefield experiences an energy decrease during ground freeze with a discrepancy between horizontal and vertical components. The HVSR ratio shows a clear broadband high-frequency decrease at the beginning of December 2021 and the end of April 2022 (Figure 4a). The previous work confirmed that ground frost can cause a broadband decrease in the HVSR for higher frequencies (Guéguen et al., 2017). Our results also suggest that the freezing and thawing of the ground have an impact on the seismic signal. The broadband decrease in the ratio becomes more robust with increasing time or amplitude of the freezing air temperature.

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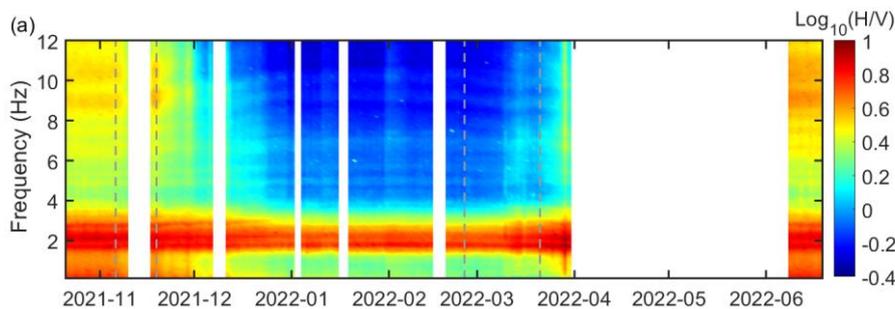
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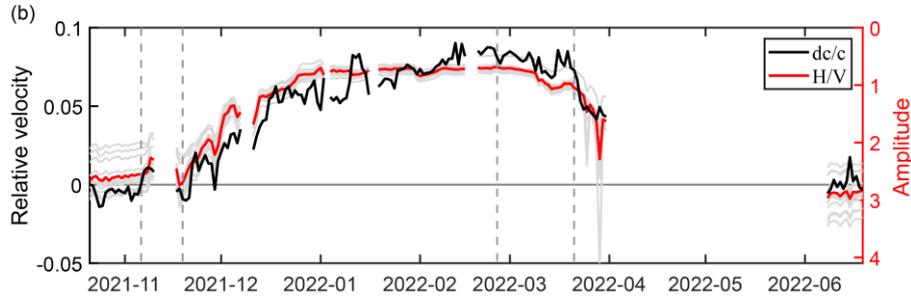
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The time-shifted HVSR is averaged in the frequency domain to obtain the time-shifted HVSR mean amplitude curve (red line in Figure 4b). The overall trend of the HVSR curve is consistent with that of the  $dc/c$  variations (black line). The unique feature is that the peak inflection point of the HVSR curve is consistent with the minimum air temperature in time, while the  $dc/c$  has a time delay mentioned in Figure 2e. When the air temperature reaches its lowest point, it will cause a sudden change in soil medium properties, such as SMC or soil velocity. Models based on the diffusive field assumption confirm the HVSR can reveal the due to a thin layer of ground frost.



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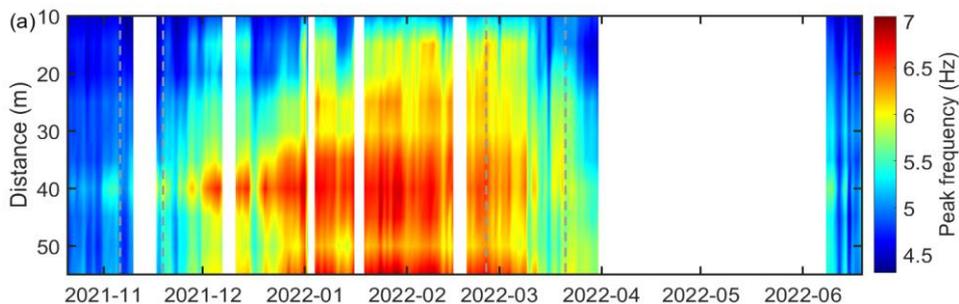
293 Figure 4. (a) Horizontal-to-vertical spectral ratio of the 6th station; (b) Comparison of dc/c and  
 294 HVSR (The gray line indicates that the HVSRs of all 12 stations are averaged in the frequency  
 295 domain, and the red line is the average of the gray lines).

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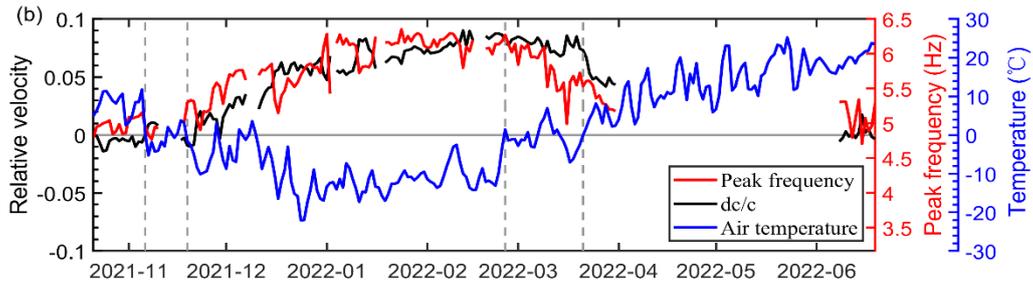
297 Besides velocity variations, the seismic attenuation during the freezing and  
 298 thawing cycles is used to evaluate the changes in soil structure and properties.

299 The quality factor  $Q$  provides an effective index for representing the attenuation  
 300 of seismic wave energy. The lower  $Q$  value corresponds to the higher wave energy  
 301 loss. However, we must measure the seismic amplitude differences and estimate the  
 302 seismic attenuation coefficient (Zhao et al., 2023). It is difficult to obtain reliable  
 303 amplitude information in ambient noise data processing. The peak frequency of  
 304 seismic signals is an alternative characteristic information that characterizes  
 305 attenuation (Quan et al., 1997). At the same offset seismic data, the peak frequency  
 306 with attenuation is lower than the seismic signal without attenuation (Li et al., 2017).  
 307 We calculate the time shift variation of the NCF peak frequency at different offset  
 308 distances (Figure 5a).

309 Firstly, the result demonstrates that the larger the offset, the higher the peak  
 310 frequency, which verifies the reliability of the processed data. Then, during the  
 311 freezing period, the SMC decreases, and the increase in ice content weakens the soil  
 312 attenuation, resulting in a higher peak frequency under the same offset distance  
 313 conditions. Our results are consistent with the basic law of seismic wave attenuation  
 314 in soil structures (Remy, et al., 1994). In addition, the peak-frequency time shift curve  
 315 is obtained by averaging the peak frequencies at different offset distances (Figure 5b).  
 316 The peak frequency gradually increases as the temperature decreases between 6  
 317 November 2021 and 27 February 2021 and gradually decreases after 27 February  
 318 2022, consistent with the dc/c results. The local abrupt changes in peak frequency  
 319 especially have a good negative correlation with dc/c and a consistent positive  
 320 correlation with air temperature, which indicates that the attenuation peak frequency  
 321 can also provide high sensitivity to near-surface seasonal changes.



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Figure 5. (a) Peak frequency variations; (b) Comparison of relative dc/c and peak frequency variations (The black line indicates that peak frequency averaged in the space domain).

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#### 327 4.4 Time-lapse S-wave Velocity Structure

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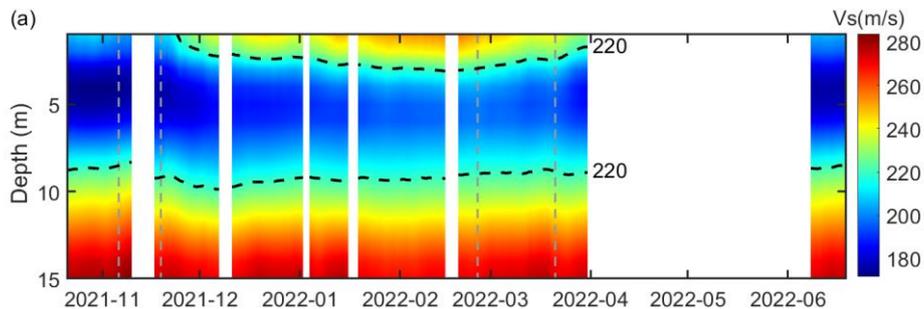
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We used the surface-wave dispersion curve inversion to invert the time-lapse S-velocity model (Figure 6a), where a high-velocity layer (HVL,  $V_s > 220$  m/s) appears on the surface between November 2021 and April 2022. In November 2021, the temperature decreased to below  $0^\circ\text{C}$ , the surface soil froze, and an HVL appeared. The inverted HVL's maximum thickness is consistent with the frozen ground depth recorded by the hydrological sensor (0.8m). As the temperature increases, the thickness of HVL gradually decreases. After March 2022, the temperature increased to  $0^\circ\text{C}$ , the frozen soil thawed, and the high-velocity layer gradually disappeared. The quality evaluation (the time-lapse observed and predicted dispersion curves) of inverted results can be found in Figure S9 in Supporting Information.



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Figure 6. Time-lapse S-wave velocity models constructed from 1D dispersion curve inversion.

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### 341 5. Discussion

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This work combines the 1D linear array of three-component seismic nodes and hydrological sensors to conduct a seasonal frozen freeze-thaw monitoring experiment. The comparison of dc/c and dv/v indicates that the dc/c are more consistent with the SMC and can capture the air temperature drop events (Figure 2). One critical explanation is that the dv/v obtained by the single or two station signals while the dc/c (phase velocity variation) obtained by all 12 station signals can capture more tiny anomalies.

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Air temperature below  $0^\circ\text{C}$  causes the subsurface to freeze, increasing the rigidity at the surface and the shear wave velocity (Zimmerman & King, 1986). Between 6 November 2021 and 31 March 2022, when the air temperature is below  $0^\circ\text{C}$ , and the soil velocity increases, and when the air temperature is above  $0^\circ\text{C}$ , the

353 soil thaws and relative velocity-variations ( $dv/v$ ) decreases (Figure 2e). This result  
354 suggests that air temperature and  $dv/v$  are not linear or delayed. A comparison of  $\sqrt{|S|}$   
355 and relative velocity (Figure 3) indicates that  $dv/v$  and the cumulative freezing degree  
356 days were closely related.

357 Figure 3 shows the high correlation between  $dc/c$  and the groundwater table  
358 depth during seasonal freezing and thawing. The results are intrinsically related, and  
359 previous studies have shown an anti-correlation between the groundwater table level  
360 and  $dv/v$ , which is related to saturation, pore pressure, and microstructural (Mao et al.,  
361 2022). A rise in the groundwater table causes an increase in water saturation and pore  
362 pressure, which prevents the closing of cracks and grain contacts, thereby reducing  
363 the velocities. It suggests that  $dc/c$  can be a noninvasive method to indicate  
364 groundwater level variations during soil freezing and thawing.

365 In addition to the velocity variations, other seismic data information, such as  
366 HVSR and attenuation peak frequency, also show similar changes to  $dc/c$  during  
367 seasonal freezing and thawing (Figure 4 and Figure 5), which was also confirmed in  
368 the previous studies (Guéguen et al., 2017; René Steinmann et al., 2022; Zhao et al.,  
369 2023). We tested different S-wave models to simulate the HVSR of frozen and non-  
370 frozen soils in Figure S2 (supporting information S1). The results showed that frozen  
371 soils lead to a decrease in HVSR, and this increases with increasing frequency. In  
372 addition, soil freezing in winter decreases soil moisture content and groundwater table  
373 depth, reducing seismic attenuation (Zhao et al., 2023). The peak frequency increases  
374 with the increase of quality factor  $Q$ . This also validates the reliability of our  
375 observations.

376 Based on the above results, we divided the freeze-thaw process of seasonally  
377 frozen ground into four stages (Figure 7). The first stage is the unstable freezing  
378 period (I - II), from 6 November 2021 to 19 November 2021, during which the  
379 temperature fluctuates around  $0^{\circ}\text{C}$ , and the cumulative freezing degree days increase  
380 slowly. The surface soil experienced brief freezing followed by rapid thawing; the  
381 relative velocity briefly increased with the effect of soil freezing and thawing and then  
382 recovered, and the groundwater table of the unfrozen area increased by rainfall.

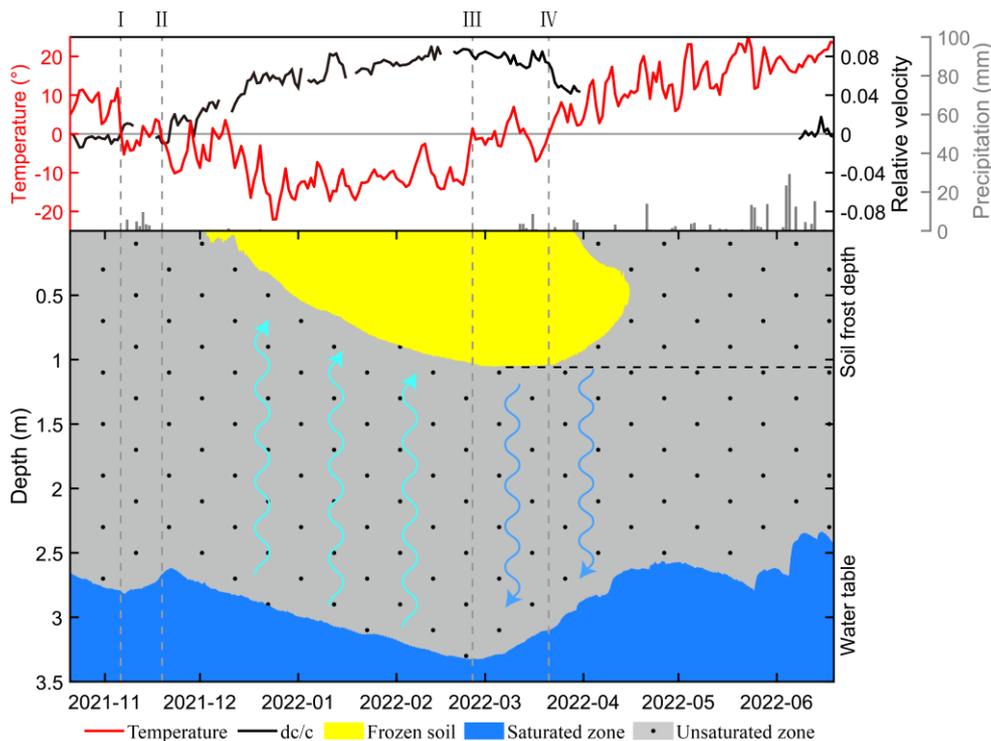
383 The second stage is the stable freezing period (II-III), from 19 November 2021 to  
384 25 February 2022, during which the temperature continued to drop below  $0^{\circ}\text{C}$ , and the  
385 negative accumulation temperature continued to increase. The soil temperature  
386 decreased to the freezing temperature of soil water, and some of the liquid water in  
387 the soil underwent a phase change, resulting in soil freezing, an increase in ice content  
388 in the soil freezing layer, and a decrease in unfrozen water content, and a decrease in  
389 matrix potential. Under the effect of the matrix potential gradient, the water in the  
390 unfrozen area of the soil continuously migrated to the freezing front (cyan curves with  
391 arrows), increasing soil freezing depth and the rapid decrease in the groundwater  
392 table. In this process, the upward migration of salt with water may aggravate the  
393 process of soil salinization. The relative velocity increased rapidly under soil freezing,  
394 and HVSR decreased with the increase in velocity. In addition, soil freezing also  
395 decreases moisture content and groundwater table depth, reducing seismic attenuation

396 and increasing peak frequency.

397 The third stage is the unstable thawing period (III-IV), which lasted from 25  
398 February to 21 March 2022, during which the temperature rebounded to near 0°C, and  
399 the negative accumulation temperature became smooth. The frozen water in the soil  
400 freeze layer began to thaw slowly, the water content increased slowly, and the liquid  
401 water migrated from the thaw front to the lower part of the soil layer under the  
402 influence of the gravity potential gradient (blue curves with arrows), causing in the  
403 groundwater table to rebound. The relative velocity decreased slowly due to the effect  
404 of soil thawing, and HVSR increased with the decrease in velocity. In addition, soil  
405 thawing also increases moisture content and groundwater table depth, increasing the  
406 seismic attenuation and decreasing the peak frequency.

407 The fourth stage is the stable thawing period (IV -), from 21 March to the end of  
408 April 2022. During this period, the temperature increased above 0°C. As the air  
409 temperature and surface temperature increased, the frozen ground entered the rapid  
410 thawing stage, and the surface soil showed a 'two-way' thawing phenomenon from the  
411 surface downward and from the bottom upward. This stage is similar to the previous  
412 one, with accelerated relative velocity, HVSR, and peak frequency variation rate.

413



414

415 Figure 7. Depicts a schematic representation of the seasonal frozen ground freeze-thaw process  
416 (The cyan and blue curves with arrows show the water movement paths)

417

## 418 6. Conclusions

419 In this paper, we processed ambient seismic noise data from a 1D linear array  
420 and compared it with hydrological monitoring data. The results show that seasonal  
421 frozen ground freeze-thaw processes cause variations in relative velocity ( $dv/v$  and  
422  $dc/c$ ), HVSR, and peak frequency at shallow ground surfaces. Compared with the

423 dv/v measured by the coda or ballistic waves, the dc/c obtained by multichannel is  
424 more consistent with the variation of SMC and captures some temperature drop  
425 events. The dc/c and the cumulative freezing degree days were closely related and can  
426 indicate variations in the groundwater table during soil freezing and thawing. The  
427 peak frequency of NCF at different offsets increases, and a broadband decrease in the  
428 time-lapse HVSR is highly correlated with dc/c during winter. The field data  
429 experiment demonstrates the effectiveness of ambient noise seismic methodology in  
430 detecting near-surface velocity variations and quantitatively monitoring seasonal  
431 frozen ground freeze-thaw processes.

#### 432 **Data Availability Statement**

433 To facilitate open research, the data used in this manuscript are available to the  
434 public. This includes the part of raw seismic data and the hydrological monitoring da-  
435 ta of the experimental site (available on <https://zenodo.org/records/10565918>). The  
436 reviewers as well as the editor may request access during the review stage of this  
437 manuscript.

438

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444

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