

1 **Characterization of Four Novel H5N6 Avian Influenza Viruses with the Internal**
2 **Genes from H5N1 and H9N2 Viruses and Experimental Challenge of Chickens**
3 **Vaccinated with Current Commercially Available H5 Vaccines**

4 Chen Pe^d, Pengwei^f Zhao, J^e Junda, Z^hou Chen, Haiyuan

5 Zhao Yujie, Lingxiang^b Xiaoyue, Yang^b Jijie, X^hangdong^g Zhu,

6 Wenbao Qi,^{c*} Guanlong Xu,^{b*} Jinxiang Li^{a*}

7

8 ^a Chinese Academy of Agricultural Sciences, Beijing, 100081, China.

9 ^b China Institute of Veterinary Drug Control, Beijing 100081, China.

10 ^c National and Regional Joint Engineering Laboratory for Medicament of Zoonoses

11 Prevention and Control, College of Veterinary Medicine, South China Agricultural

12 University, Guangzhou, China.

13 ^d Laboratory of Viral Diseases, National Institute of Allergy and Infectious Diseases,

14 National Institutes of Health, Bethesda, MD 20892.

15 ^e College of Veterinary Medicine, China Agricultural University, Beijing 1

16 China

17 ^f Department of Biochemistry, and Department of Cardiology of Second Affiliated

18 Hospital, Zhejiang University School of Medicine, Hangzhou 310058, China.

19 ^g JILIN GUANJIE Biological Technology Co.,LTD

20 ^h Shandong Provincial Center for Animal Disease Control, Jinan, 250022, China.

21

22 * Corresponding author: Lijinxiang, Chinese Academy of Agricultural Sciences,

23 Beijing, 100081, China. E-mail: lijinxiang@caas.cn; Guanlong Xu, China Institute of

24 Veterinary Microbiology, Chinese Academy of Agricultural Sciences, Beijing, 100081, China. E-mail: xuguanlongw@163.com

25 Qiwenbao, National and Regional Joint Engineering Laboratory for Medicament of Zoonoses Prevention and

26 Control, South China Agricultural University, Guangzhou, China. E-mail: qiwenbao@scau.edu.cn

27 Veterinary Medicine, South China Agricultural University, Guangzhou, China. E-mail: qiwenbao@scau.edu.cn

28 mail: qiwenbao@scau.edu.cn.

29 † Chen Peng, Pengwei Zhao and Jun Chu contributed equally to this work.

30 **Abstract:**

31 Since 2014, highly pathogenic avian influenza H5N6 viruses have been responsible
32 for outbreaks in poultry. Four H5N6 influenza virus strains were isolated
33 from fecal samples of sick white ducks and dead chickens in Shandong in 2014.
34 These H5N6 viruses were triple-reassortant viruses that have not been previously
35 characterized. Their HA genes were derived from the H5 viruses and were closely
36 related to the vaccine strain Re-11. Their NA genes all fell into the N6-like lineage
37 and the internal gene were derived from H5N1 and H9N2 viruses. They all showed
38 high pathogenicity in mice and caused lethal infection with high rates of transmission
39 in chickens. Moreover, the SPF chickens inoculated with the current used vaccine in
40 China were completely protected from these four H5N6 viruses. Our study indicated
41 the necessity of continued surveillance and the importance of timely
42 update of vaccine strains in poultry industry.

43

44 **Keywords**H5N6 influenza virus; phylogenetic analysis; Pathogenicity; Protective
45 efficacy

46

47 **Introduction**

48 Influenza A viruses (IAVs) belong to the family of *Orthomyxoviridae*, members of
49 which have segmented, negative sense, single stranded RNA genome
50 further categorized into 18 hemagglutinin (HA) and 11 neuraminidase (NA) subtypes
51 based on the serological
52 glycoprotein (A/Perth/06/2009; Tong
53 2013). IAVs are widely distributed in nature and can be isolated from a wide variety
54 of birds and mammals, including poultry, humans, pigs, horses, dogs, cats, tigers, and
55 sea mammals (Ladang, Mistry, Haslam, & Barclay, 2019; Taubenberger
56 2010). IAVs display different pathogenicity and transmissibility depending on
57 virus strain. Avian IAVs exclusively subtypes H5 and H7 subtypes, were
58 highly pathogenic avian influenza virus (HPAIV), that usually
59 contagious systemic disease with significant morbidity and mortality in susceptible
60 populations, resulting in severe economic losses (Alexander, 2007).

61 The Gs/Gd-lineage (prototype strain A/goose/Guangdong/1/96) of H5N1
62 have caused continuous outbreaks in poultry and wild birds and have been reported in
63 more than 70 countries in the world since 1996. The first outbreak occurred
64 goose farm in Guangdong province (WHO, 2005). Since then, the Gs/Gd-
65 lineage has undergone significant genetic diversification and antigenic drift, and has
66 evolved into 10 distinct clades (0–9) with subclades (Widose et al., 2017). Since 2008,
67 multiple novel H5 subtypes (named H5Nx HPAIVs) of Gs/Gd lineage belonged to
68 subclade 2.3.4.4, especially the viruses bearing various NA subtypes like
69 H5N3, H5N5, H5N6, H5N8, and H5N9, caused the 2013 H5Nx HPAIV waves
70 unprecedented magnitude among avian species accompanied by severe losses to the
71 poultry industry around the world (Song et al., 2019; WHO, 2020). In addition, H5Nx
72 HPAIVs could sporadically infect humans and may cause severe respiratory diseases
73 and fatal pneumonia (Ladang et al., 2016; Zhang, Yang, & Miao,
74 2015). From February 2014 to February 2020, there had been 24 confirmed cases of

75 humans infections, including WHO at 2020. With continued incidence of
76 avian influenza infection due to domestic and novel influenza A (H5) vi
77 poultry, there is a necessity to remain vigilant in animal and public h
78 surveillance should be warrant to detect human cases
79 transmissibility and pathogenicity of these viruses.

80 China has been a dedicated leader in H5 avian influenza vaccine development and
81 application. A series of inactivated vaccines (with seed viruses generated by plasmid-
82 based reverse genetics) have been widely used to control H5 influenza viruses
83 poultry in China and
84 countries (C. Li, Bu, & Chen, 2014). To increase the efficacy of poultry vaccine,
85 H5/H7 trivalent inactivated vaccines have been developed by using the
86 viruses from clade 2.3.2.1 and clade 2.3.4.4 and H7 seed virus
87 HPAIVs. The vaccines have been extensively evaluated for safety
88 against challenges with different H5 and H7 viruses in the laboratory and field.

89 In this study, we performed phylogenetic analysis and assessed the replication and
90 pathogenic potential of four H5N6 HPAIVs in chickens and mice. We also tested the
91 commercial vaccines harboring HA proteins derived from clade 2.3.4.4 H5 AIV in
92 specific pathogen free white leghorn chickens against the challenges with the four
93 H5N6 HPAI viruses. The elucidation of the characters of the H5N6 AIVs will b
94 helpful to disease control and surveillance, and the protection experiment of vaccine
95 to novel H5N6 viruses prompts to update the vaccine strain in a timely manner.

96

97 **Material and Methods**

98 **Ethics Statements**

99 Six-week-old SPF female BALB/c mice were purchased from the Gua
100 Medical Laboratory Animal Center in Guangzhou, China. Three-week-old and six-
101 week-old chickens and 9-day-old specific-pathogen-free (SPF) embryonated chicken
102 eggs were purchased from were purchased from Beijing Boehringer Ingelheim Vital
103 Biotechnology Co., Ltd., China. All experiments were carri
104 facilities in compliance with biosafety committee of Sou
105 University approved protocols (SCAUABSL2019-006). The handling of chickens and
106 mice were performed in accordance with experimental animal administratio

107 ethics committee of South China Agriculture University approved guideline.

108 **Viruses and vaccine**

109 T h e H 5 N 6 v i r u s
110 A/duck/Shandong/SD02/2019 (SD02), A/chicken/Shandong/SD03/2019 (SD03), and
111 A/chicken/Shandong/SD04/2019 (SD04) were isolated from fecal samples of
112 white ducks and dead chickens in Shandong, Eastern China in 2019. All
113 isolated viruses were purified by three rounds of limiting dilution into
114 specific-pathogen-free (SPF) chicken embryos. Virus aliquots were stored at -80 °C
115 after collection. Values of 50% egg infective doses (EID₅₀) and 50% egg lethal doses
116 (ELD₅₀) were calculated by the Reed-Muench method (Reed & Muench, 1938;
117 1981).

118 The commercially available reassortant avian influenza virus (H5+H7) trivalent
119 inactivated vaccine (cell culture-based vaccine, H5N2 Re-11 strain+Re-11
120 H7N9 H7-Re-2 strain) was provided by Jie Biotechnology Co., Ltd.
121 (lot number 2020003).

122 **Phylogenetic analysis**

123 The full genomes of H5N6 viruses used in this study were sequenced by Shanghai
124 Invitrogen Biotechnology Co., Ltd. DNA sequences were assembled and translated
125 using Lasergene 7.1 (DNASTAR). Phylogenetic trees were generated by the distance-
126 based neighbor-joining method using software MEGA 4.0 (Sinauer Associates, Inc.,
127 Sunderland, MA). The reliability of the tree was assessed by bootstrap analysis with
128 1000 replicates. Horizontal distances are proportional to genetic
129 nucleotide sequences obtained in the present study are available from GenBank under
130 the accession numbers (pending).

131 **Animal experiment**

132 **Experimental infection of mice**

133 To evaluate the morbidity and mortality of mice infected with four H5N6 viruses,
134 the mice were randomly divided into four groups with twelve mice each. The mice
135 were inoculated intranasally with 50 μ l of virus in a 50 μ l volume after light
136 anesthesia with CO₂. Additionally, twelve mice inoculated with 50 μ l PBS served as
137 negative controls. Three mice in each group were euthanized at 3 and 5 days post-
138 inoculation (DPI) to determine virus titers in brain, spleen, kidney, and lung. Briefly,

139 the collected organs were homogenized in pho
140 supplemented with antibiotics (a final concentration of 2,000 units/ml penicillin , and
141 2,000 units/ml streptomycin,) and were centrifuged at 1000 g for 30 minutes at 4°C to
142 isolate supernatant fluids. The supernatant fluids of tissue were collected and titrated
143 for virus infectivity in 10-day-old specific-pathogen-free (SPF) chicken embryos. The
144 remaining mice were monitored for clinical signs, weight loss, and mortality for 14
145 days.

146 **Experimental infection of chickens**

147 Eight six-week-old SPF white leghorn chickens were inoculated intranasally with
148 100µl allantoic fluid containing 100µl of the SD01, SD02, SD03, and
149 SD04 viruses, respectively. At 24h post-infection, three contact chickens inoculated
150 intranasally with 100µl phosphate buffered saline (PBS) were housed together with
151 the inoculated chickens. At 3 days post infection (DPI), three infected chickens were
152 euthanized to test for the virus replication in different organs, including hearts, livers,
153 spleens, lungs, kidneys, brains, and tracheas. The remaining infected chickens in each
154 group were observed for clinical symptoms for 14 days.

155 Oropharyngeal and cloacal swabs were taken from the chickens at 1, 3, 5, 7, 9, 11
156 and 14 DPI, and suspended in 1 ml PBS. All of the tissues and swabs were collected
157 and titrated for virus infectivity in 10-day-old SPF chicken embryos. Seroconversion
158 of the surviving birds on 14 DPI was confirmed by hemagglutination inhibition (HI)
159 test.

160 **Immunogenicity and efficacy of the vaccine in chickens against the four H5N6** 161 **viruses**

162 Two groups of eighty 3-week-old white Leghorn SPF chickens were
163 intramuscular (i.m.) with 0.3 ml PBS or reassortant avian influenza virus (H5+H7)
164 trivalent inactivated vaccine. At 28 days post-vaccination (p.v.), sera were obtained
165 from all chickens to monitor the HI antibody against Re-11 standard antigen (Harbin
166 Weike Biotechnology Development Company) using the methods described in OIE
167 standard protocols. Meanwhile, ten chickens from the PBS group and ten chickens
168 from the vaccinated group were challenged with 10⁶ TCID₅₀ of the H5N6 viruses,
169 SD01, SD02, SD03, and SD04, respectively. Oropharyngeal and cloacal swabs of all
170 chickens were collected on days 3 and 5 post challenge (p.c.) for virus isolation. All

171 chickens were observed for clinical signs and survival for 2 weeks after challenge.

172 **Statistical analysis**

173 Statistical analyses were used by the GraphPad Prism 5.0 software (Gra
174 Software Inc., San Diego, CA, USA). Statistical analyses for virus titer in organs of
175 chickens and mice were performed by using a two-way ANOVA.
176 < 0.05 were considered significant.

177

178 **Results**

179 **Genetic and phylogenetic analysis of the four H5N6 viruses**

180 To understand the origin of the four H5N6 viruses, we performed blast analyses
181 and constructed eight phylogenetic trees using th
182 representative viruses available in the NCBI database.

183 The results demonstrated that the HA gene of the four H5N6 viruses
184 nucleotide sequence similarities
185 A/chicken/Vietnam/HU9-847/2018(H5N6). The NA genes of the SD01 vi
186 SD02 virus, and the SD03 virus were
187 A/duck/Fujian/3242/2007 (H6N6), with 91.5% to 92.
188 similarities. The PB1, PA, NP, M, and NS genes of the four H5N6 viruses w
189 closely related to those of A/Muscovy duck/Vietnam/LBM636/2014(H5N1). The PB2
190 genes of these H5N6 viruses shared sequence similarities ranging from 98.4
191 98.5% with that of A/chicken/Qingyuan/zd201601/2016 (H
192 Table S1).

193 Phylogenetic analysis of the HA gene showed that all of the H5N6 viruses in the
194 present study belonged to the clade 2.3.4.4 of the Asian HPAI H5 virus (Figure 1).
195 They fell into the same clade with the Re-11 vaccine strain. The NA genes were likely
196 originated from the H6N6 viruses of the Eurasia lineage (Figure S1A). The
197 genes of these viruses were uniquely derived from H9N2 viruses of the C
198 lineage (Figure S1B). The PB1, PA, NP, M, and NS genes of the four viruses a
199 originated from the H5N1 viruses, which circulated in Vietnam and China in 2
200 (Supplementary Figure S1B, S1C, S1D, S1E, S1F, and S1G).

201 Thus, the results suggested these H5N6 viruses were novel tri
202 viruses which bear genes from H5N1 viruses, H6N6 viruses, and H9N2

203 (Figure 2).

204 To identify possible determinants of host adaptation and virulence, the deduced
205 amino acid sequences were analyzed. The HA cleavage sites of these H5N6 viruses
206 were all RERRRKRGLF, meeting the criteria of HPAI viruses in chickens. T160A
207 in the HA protein of these four H5N6 viruses might increase a binding specificity to
208 human-like receptors (Gao et al., 2018). Some amino acid substitutions that may play
209 a role in increasing the virulence in mammals were shared by these four H5N6
210 viruses. These substitutions included a deletion residue (position 56-68) in the stalk
211 region of the NA protein, N30D and T215A substitutions in the M1 protein, and P42S
212 and D92E substitutions and amino acid deletion (position 80-84) in the NS1 protein
213 (Table S2).

214 **Pathogenicity studies in mice**

215 To investigate the potential pathogenicity of these H5N6 viruses in humans, female
216 SPF BALB/c mice, which are used as mammalian surrogates for humans in influenza
217 research. The mice in the control group didn't show any clinical symptoms or
218 weight loss during the course of the observation. SD01 virus, SD02 virus,
219 virus, and SD04 virus all caused obvious weight loss, and all mice died on 10 DPI, 9
220 DPI, 12 DPI and 8 DPI, respectively (Figure 3A and 3B). Three mice from
221 infected group and the control group were euthanized on 3 and 5 DPI to monitor viral
222 replication in different organs. As expected, no virus was detected in the lungs from
223 the control group. As a comparison, robust replication was observed in the lungs from
224 mice infected with the four avian-origin H5N6 viruses. The mean titers of the SD01,
225 SD02, SD03, and SD04 viruses in the lungs reached 6.3×10^7 EID₅₀/0.1 ml on 3 DPI,
226 10^7 EID₅₀/0.1 ml on 3 DPI, respectively, and 10^7 EID₅₀/0.1 ml on 5 DPI, respectively. To determine if the H5N6 viruses could
227 reach other organs of the mice after intranasal infection, we collected tissue samples
228 of brains, spleens and kidneys from the control and the infected mice on 3 and 5 DPI.
229 We found no evidence of viral replication in any of the organs tested in the control
230 mice, however, H5N6 viruses were detected in the brains of the infected mice on both
231 days. However, SD01, SD02, and SD03 viruses replicated to lower titers in brains
232 comparing with SD04 virus on 5 DPI, with titer 10^4 EID₅₀/0.1 ml.
233 The SD01, SD02, SD03, and SD04 viruses

235 efficiently in the mouse spleens. The mean titers reached 3.9, 2.8, 2
236 $\log_{10} \text{EID}_{50}/0.1 \text{ ml}$ on 3 DPI, respectively, and
237 $\log_{10} \text{EID}_{50}/0.1 \text{ ml}$ on 5 DPI, respectively. These four H5N6 viruses could be
238 detected in kidneys, with the mean titer
239 $\log_{10} \text{EID}_{50}/0.1 \text{ ml}$ on 3 DPI, respectively, and
240 $\log_{10} \text{EID}_{50}/0.1 \text{ ml}$ on 5 DPI, respectively (Figure 3C and 3D).

241 These results suggested that the H5N6 viruses showed high virulence in mice and
242 could establish replication in multiple organs. Therefore, these viruses may have
243 ability to infect other mammals including humans.

244 **Pathogenicity studies in chickens**

245 To investigate the pathogenicity and transmissibility of the four H5N6
246 viruses in chickens, we inoculated SPF chickens intranasally with the four
247 viruses. Chickens in each group showed typical clinical symptoms including
248 depression, inappetence/reduction in food and water intake, nasal
249 discharges, dyspnoea and/or conjunctivitis, incoordination, and
250 neurological dysfunction. Chickens inoculated with the SD01 virus, the
251 SD02 virus, the SD03 virus and the SD04 virus showed 100% (8/8) mortality within
252 4 and 5 DPI, respectively (Figure 4A). Viruses could be detected from the infected
253 chickens in each in all tested organs at 3 DPI, including the hearts, livers, spleens,
254 lungs, kidneys, brains, and tracheas (Table 1). All four H5N6 viruses
255 replicated efficiently in lungs; the mean titers were 7.67 $\log_{10} \text{EID}_{50}/0.1 \text{ ml}$,
256 8.50 $\log_{10} \text{EID}_{50}/0.1 \text{ ml}$ and 9.67 $\log_{10} \text{EID}_{50}/0.1 \text{ ml}$, respectively. The
257 four viruses could also replicate in the brains; the mean titers ranged from
258 7.67 $\log_{10} \text{EID}_{50}/0.1 \text{ ml}$ to 8.17 $\log_{10} \text{EID}_{50}/0.1 \text{ ml}$. These H5N6 viruses also replicated
259 efficiently in the hearts, livers, spleens, kidneys, and tracheas of infected chickens.
260 The mean titers were 7.67–8.67 $\log_{10} \text{EID}_{50}/0.1 \text{ ml}$, 5.75–7.42 $\log_{10} \text{EID}_{50}/0.1 \text{ ml}$, 6.67–
261 7.50 $\log_{10} \text{EID}_{50}/0.1 \text{ ml}$, 8.08–8.17 $\log_{10} \text{EID}_{50}/0.1 \text{ ml}$, and 5.58–6.42 $\log_{10} \text{EID}_{50}/0.1 \text{ ml}$,
262 respectively.

263 Additionally, shedding of the four H5N6 viruses from the inoculated chickens was
264 detected in oropharyngeal and cloacal swabs at 1, 3, 5, 7, 9, 11 and 14 DPI (Table 2).
265 At 1 DPI, virus shedding could be detected in 7 out of 8 inoculated chickens in the
266 SD01 group from oropharyngeal and cloacal swabs. All of the 8 chickens in the SD02

267 group exhibited virus shedding observed from oropharyngeal swabs and 5 chickens
268 from cloacal swabs. The SD03 virus was recovered from oropharyngeal swabs of all
269 inoculated chickens, and from cloacal swabs of 5 out of 8 chickens. Virus shedding
270 was detected in all the chickens evidenced by oropharyngeal and cloacal swabs. At 3
271 DPI, the four viruses were recovered from oropharyngeal and cloacal swabs from all
272 chickens inoculated.

273 To understand the transmission of these H5N6 viruses, three naïve chickens were
274 housed with the inoculated animals. During the observed period, contact chickens
275 showed the clinical symptoms similar to those of infected chickens. All the contact
276 chickens of the SD01, SD02, SD03, and SD04 viruses died within 6 DPI, 7 DPI, 8
277 DPI, and 6 DPI, respectively (Figure 4B).

278 Additionally, 2/3 of the contact chickens could be detected shedding the SD01
279 virus from oropharyngeal swabs and cloacal swabs at 1 DPI. All contact chickens
280 could be detected the SD01 virus from oropharyngeal swabs and cloacal swabs at
281 both 3 DPI and 5 DPI. No contact chicken could be detected shedding the SD02 virus
282 from oropharyngeal swabs and cloacal swabs at 1 DPI. All contact chickens could be
283 detected the SD02 virus from oropharyngeal swabs and cloacal swabs at 3 DPI, 5
284 DPI and 7DPI.

285 There was no contact chicken shedding the SD03 virus from oropharyngeal swabs
286 and cloacal swabs at 1 DPI. All contact chickens could be detected the SD03 virus
287 from oropharyngeal swabs and cloacal swabs at 3 DPI, 5 DPI and 7DPI. 2/3 of the
288 contact chickens could be detected shedding the SD04 virus from oropharyngeal
289 swabs and cloacal swabs at 1 DPI. All contact chickens could be detected the SD04
290 virus from oropharyngeal swabs and cloacal swabs at both 3 DPI and 5 DPI.

291 Overall, our results indicated that the tested H5N6 viruses were highly pathogenic
292 to chickens, and could be transmitted among chickens by contact.

293 **Protective efficacy of the current vaccine against the challenge of the four H5N6** 294 **viruses**

295 To evaluate if the current vaccine could provide protection for the chickens against
296 these four H5N6 isolates, chickens were vaccinated with reassortant avian influenza
297 virus (H5+H7) trivalent inactivated vaccine (cell culture-based vaccine, H5N2 Re-11
298 strain+Re-12 strain, H7N9 H7-Re-2 strain) and challenged with th

299 viruses (Table 3).

300 At 28 days post-immunization, sera of all chickens were collected to monitor the
301 HI titer H5. The results demonstrated that the mean HI antibody titers of the PBS
302 group were 0 log₂ and was therefore considered negative. The mean HI antibody of
303 the chickens in the vaccination group from the different vaccination groups ranged
304 from 9.4 log₂ to 9.9 log₂ (Figure 5).

305 At 28 days post-immunization, both vaccinated and control
306 challenged with 10⁶EID₅₀/0.1ml of the SD01 virus, SD02 virus, SD03 virus, or SD04
307 virus. Chickens in the control groups shed virus from both oropharynx and cloaca at
308 day 3 after challenge and all died at day 5 after challenge. All vaccinated chickens
309 were asymptomatic and survived during the observation period.
310 recovered from oropharyngeal and cloacal swabs from the vaccinated chickens.

311 Therefore, the results indicated that the current vaccine, reassortant avian influenza
312 virus (H5+H7) trivalent inactivated vaccine, could provide complete protection
313 chickens from the HPAI H5N6 viruses.

314

315 Discussion

316 The antigenic shift is an important evolutionary mechanism which can result in
317 modification of host range, pathology, and transmission of the IAVs and generate the
318 influenza A viruses
319 potential (Urbaniak & Markowska-Daniel, 2014). Since 2005, clade 2.3.4 HPAI H5N1
320 viruses had been introduced into and established
321 China (Li et al., 2007), clade 2.3.4.4 was first identified
322 (Yang et al., 2017). Since 2009, the clade 2.3.4.4 H5 viruses reassorted with viruses of different
323 NA subtypes, generating the HPAI H5N6
324 viruses (Gu et al., 2013). Since 2011, there have been three kinds of the reassortant
325 H5N6 viruses found (L. Yang et al., 2017). One kind of the reassortant H5N6 virus
326 bears the HA gene from H5N2 viruses reassorting H6N6 with the full-length NA gene
327 and clade 2.3.2.1c H5N1 viruses. Another kind of the reassortant H5N6 virus
328 generated by reassorting HA gene from H5N8 viruses, and the NA gene from H6N6
329 viruses with the deletion from positions 59 to 69 in the stalk region, and six internal
330 genes from clade 2.3.2.1c H5N1 viruses. Since 2015, consecutive reassortment

331 H5N6 viruses with six internal genes from chicken H9N2 viruses generated the novel
332 reassortant H5N6 viruses. In our study, the results demonstrated that
333 SD02, SD03, and SD04 viruses are all novel triple-reassortant viruses. The HA gene
334 of the four H5N6 viruses belonged to the clade 2.3.4.4 of the Asian HPAI H5 virus.
335 The NA genes originated from the H6N6 viruses of the Eurasia lineage. The PB2
336 genes of these viruses were uniquely derived from H9N2 viruses of the C
337 lineage. And the PB1, PA, NP, M, and NS genes of the four viruses all originated from
338 the H5N1 viruses, which circulated in Vietnam and China. Our results suggested a
339 possible existence of a different kind of the reassortant H5N6 v
340 Therefore, it is important to monitor the ecology and evolution of the potent
341 zoonotic avian influenza viruses in order to prepare the public health responses to the
342 threat posed by emerging and re-emerging influenza viruses timely.

343 It is well known that the RNA-polymerase in IAVs lack the ability of proofreading
344 (Ahlquist, 2002; Chen & Holmes, 2006) As a result, mutations (antigenic drift) may
345 generate during virus replication. Significant mutations
346 evolution, the host species
347 vir(uCsaersr at & Fla hault, 2007; Shao, D
348 2017.) Similar to the previous (Kwinn et al., 2018; Lee, Bertran, Kwon, &
349 Swayne, 2017; Mei et al., 2019; Mine et al., 2019; Qu et al., 2019; Song et al., 2019;
350 Sun et al., 2018; Uchida et al., 2019) all of the four H5N6 viruses in our study were
351 highly pathogenic to chickens, which contained a series of multiple basic amino acids
352 in the HA cleavage, and they also could transmit to contact chickens. However, our
353 viruses exhibited high virulence in mice and could replicate lungs, brains, spleens,
354 and kidneys. We observed mutations and deletions in the HA, NA, PB1, M1, and NS
355 genes. For example, although amino acid residues in the 226 and 228 still were Q and
356 G, T160A changes in the four H5N6 viruses earmarked a binding specificity
357 human-like (Herfst et al., 2012; Linster et al., 2014; Y
358 2020). Some studies have demonstrated that N30D, and T215A mutations in the M1
359 and P42S, D92E mutations in the NS1 could increase the pathogenicity of the avian
360 influenza virus in mice (Jiao et al., 2008; Seo, Hoffmann, & Webster, 2002; Yamaji et
361 al., 2020) Additional investigation is required to determine if these mutations could
362 influence the virulence of IAVs in mammals.

363 Vaccination is an important way to control and prevent the outbreaks of H5 HPAI
364 in poultry in endemic countries. In China, inactivated vaccines are widely used in
365 poultry industry. The conventional inactivated vaccines are generated
366 genetically. The seed virus always bears the HA and NA genes of the epidemic virus and
367 the six internal genes of the high-growth A/Puerto Rico/8
368 virus (Horimoto & Kawaoka, 2006; Luke & Subbarao, 2006; Wood & Robertson
369 2004). In general, the antigenic match between a vaccine and circulating viruses is
370 one of the most important factors to determine protective efficacy. If the vaccine does
371 not match with the circulating viruses antigenically, the seed virus of the vaccine
372 should be then updated (C. Li et al., 2014). Since 2004, the HA gene of the vaccine
373 strains used in China have been updated several times (Zeng et al., 2018). In 2018, in
374 response to the new emerging highly pathogenic avian influenza virus, a new H5/H7
375 bivalent inactivated vaccine was authorized by the Ministry of Agricultural and Rural
376 Affairs of the People's Republic of China. Given these four H5N6 viruses used in this
377 study belonging to the clade 2.3.4.4, we evaluate the protection of the current vaccine
378 against these H5N6 isolates. The results demonstrated that these H5N6 viruses have
379 slightly antigenic drifted away from Re-11, however, the current used H5/H7 bivalent
380 inactivated vaccine could provide complete protection to chickens from the H5N6
381 H5N6 viruses. Mutations in the HA gene often happen and may alter antigenicity of
382 avian influenza viruses. As a result, the currently used vaccine may not be able to
383 provide solid protection. Therefore, active surveillance still needs to be enforced and
384 any newly detected viruses must be carefully evaluated.

385 In summary, our results demonstrated that the four H5N6 HPAI viruses were novel
386 triple-reassortant viruses which bear genes from H5N1, H6N6 and H9N2 viruses. All
387 of the four viruses were highly pathogenic to chickens tested and could be effectively
388 transmitted among chickens via direct or indirect contact. They also caused lethal
389 infections in mice. More importantly, some amino acid substitutions indicated that
390 these H5N6 viruses possessed the ability to infect humans. Therefore, more effective
391 control measures should be taken to prevent the circulation and evolution
392 H5N6 avian influenza virus.

393

394 **Date Availability** The data used to support the findings of this study are included

395 within the article.

396

397 **Acknowledgments:** This work was supported by the National Key and Development

398 P l a n o f C h i n a (G r a n t N O . 2 0 1 7 Y F D 0 5 0 2 3 0 0) ,

399 Science

400 Foundation Youth Fund (31802201).

401

402 **Conflict of Interest:** authors declare that the research was conducted in the

403 absence of any commercial or financial relationships that could be construed as

404 potential conflict of interest.

405

406 **References**

407

408 Ahlquist, P. (2002). RNA-dependent RNA polymerases, viruses, and

409 s i e n (5 5 7 1

410 doi:10.1126/science.1069132

411 Alexander, D. J. (2007). An overview of the epidemiology of avian influenza.

412 *Vaccine*, 25(30), 5637-5644. doi:10.1016/j.vaccine.2006.10.051

413 Carrat, F., & Flahault, A. (2007). Influenza vaccine: the challenge of antigenic

414 d r V i a f (c t 3 c . 9 i - n 4

415 doi:10.1016/j.vaccine.2007.07.027

416 Chen, R., & Holmes, E. C. (2006). Avian influenza virus e

417 evolutionary dynamics. *Mol Biol Evol*, 23(12), 2336-2341. doi:10.1093/

418 molbev/msl102

419 Gao, R., Gu, M., Liu, K., Li, Q., Li, J., Shi, L., . . . Liu, X. (2018). T16

420 mutation-induced deglycosylation at site 158 in hemagglut

421 critical determinant of the dual receptor binding properties of

422 2 . 3 . 4 . 4 H 5 N X s u b t y p e a v i a n M i t r o p h a ,

423 217, 158-166. doi:10.1016/j.vetmic.2018.03.018

424 Gu, M., Zhao, G., Zhao, K., Zhong, L., Huang, J., Wan, H., . . . Liu, X. (2013).

425 Novel variants of clade 2.3.4 highly pathogen

426 A (H 5 N 1) v i r u s *Emerg Infect Dis*, 2021-2024 .
427 doi:10.3201/eid1912.130340

428 Herfst, S., Schrauwen, E. J., Linster, M., Chutinimitkul
429 Munster, V. J., . . . Fouchier, R. A. (2012). Airborne transmission of
430 influenza A/H5N1 virus between *Science*, 312(5788), 1534-
431 1541. doi:10.1126/science.1213362

432 Horimoto, T., & Kawaoka, Y. (2006). Strategies for developing
433 against H5N1 influenza A viruses *Trends Mol Med*, 12(1), 506-514.
434 doi:10.1016/j.molmed.2006.09.003

435 Jiao, P., Tian, G., Li, Y., Deng, G., Jiang, Y., Liu, C., . . . Chen, H. (2008). A
436 single - amino - acid substitution in the NS
437 pathogenicity of H5N1 avian
438 *J Virol*, 82(3), 1146-1154. doi:10.1128/JVI.01698-07

439 Kwon, H. I., Kim, E. H., Kim, Y. I., Park, S. J., Si, Y. J., Lee, I. W., . . . Choi,
440 Y. K. (2018). Comparison of the pathogenic po
441 pathogenic avian influenza (HPAI) H5N6, and H5N8 viruses isolated in
442 South Korea during t
443 *Emerg Microbes Infect*, 7(1), 29. doi:10.1038/s41426-018-0029-x

444 Lee, D. H., Bertran, K., Kwon, J. H., & Swayne, D. E. (2017). Evol
445 global spread, and pathogenicity of highly pathogenic avian influenza
446 H 5 N x c l a d l e e 2 . (*J Virol* 91(4)), 4128-4136
447 doi:10.1128/JVI.01698-07

448 Li, C., Bu, Z., & Chen, H. (2014). Avian influenza vaccines against H5N1 'bird
449 f l T u r ' e . n d (*J Virol* 88(1)), 1-10
450 doi:10.1016/j.tibtech.2014.01.001

451 Li, Y., Shi, J., Zhong, G., Deng, G., Tian, G., Ge, J., . . . Chen, H. (2010).
452 Continued evolution of H5N1 influenza viruses in wild birds, domestic
453 p o u l t r y , a n d h u m a n s i n C
454 *J Virol*, 84(17), 8389-8397. doi:10.1128/JVI.00413-10

455 Linster, M., van Boheemen, S., de Graaf, M., Schrauwen, E. J. A., Lexmond,
456 P., Manz, B., . . . Herfst, S. (2014). Identification, characterization, and
457 natural selection of mutations driving airborne transmission of A/H5N1

458 virus. *Cell*, 157(2), 329-339. doi:10.1016/j.cell.2014.02.040

459 Long, J. S., Mistry, B., Haslam, S. M., & Barclay, W. S. (2019). Host and viral
460 d e t e r m i n a n t s o f i n f l u e n
461 *Nat Rev Microbiol*, 17(2), 67-81. doi:10.1038/s41579-018-0115-z

462 Luke, C. J., & Subbarao, K. (2006). Vaccines for pand
463 *Emerg Infect Dis*, 12(1), 66-72. doi:10.3201/eid1201.051147

464 Mei, K., Guo, Y., Zhu, X., Qu, N., Huang, J., Chen, Z., . . . Jiao, P. (2019).
465 Different Pathogenicity and Transmissibility of Goose-
466 A v i a n I n f l u e n z a V i r u s e s
467 doi:10.3390/v11070612

468 Mine, J., Uchida, Y., Nakayama, M., Tanikawa, T., Tsunekuni, R., Sharshov,
469 K., . . . Saito, T. (2019). Genetics and pathogenicity of H5N6 highly
470 pathogenic avian influenza viruses isolated from wild b
471 chicken in Japan during w *In vitro* 2019, 175 2018.
472 doi:10.1016/j.virol.2019.04.011

473 Pan, M., Gao, R., Lv, Q., Huang, S., Zhou, Z., Yang, L., . . . Shu, Y. (2016).
474 Human infection with a novel, highly pathogenic avian influ
475 (H 5 N 6) v i r u s : V
476 *J Infect*, 72(1), 52-59. doi:10.1016/j.jinf.2015.06.009

477 Qu, N., Zhao, B., Chen, Z., He, Z., Li, W., Liu, Z., . . . Jiao, P. (2019). Genetic
478 c h a r a c t e r i s t i c s , p a t h o g e n i c i t y a n d t r a n
479 p a t h o g e n i c a v i a n i n f l u e n
480 *Transbound Emerg Dis*, 66(6), 2411-2425. doi:10.1111/tbed.13299

481 Seo, S. H., Hoffmann, E., & Webster, R. G. (2002). Lethal H5N1 influenza
482 v i r u s e s e s c a p e h o s
483 *Nat Med*, 8(9), 950-954. doi:10.1038/nm757

484 Shao, W., Li, X., Goraya, M. U., Wang, S., & Chen, J. L. (2017). Evolution of
485 I n f l u e n z a A V i r u s b y
486 *Int J Mol Sci*, 18(8). doi:10.3390/ijms18081650

487 Song, Y., Li, W., Wu, W., Liu, Z., He, Z., Chen, Z., . . . Jiao, P. (20
488 Phylogeny, Pathogenicity, Transmission, and Host Immune Responses
489 o f F o u r H 5 N 6 A v i a n I n f l u e n z a V i r u s e s i n C h

490 *Viruses*, 11(11). doi:10.3390/v11111048

491 Sun, W., Li, J., Hu, J., Jiang, D., Xing, C., Zhan, T., & Liu, X. (2018). Genetic
492 analysis and biological characteristics of different internal gene origin
493 H5N6 reassortment avian influenza v
494 *Vet Microbiol*, 219, 200-211. doi:10.1016/j.vetmic.2018.04.023

495 Taubenberger, J. K., & Kash, J. C. (2010). Influenza virus evolution,
496 adaptation, and pandemic formation. *Cell Host Microbe*, 7(6), 440-451.
497 doi:10.1016/j.chom.2010.05.009

498 Thakur, A. K., & Fezio, W. L. (1981). A computer program for estimating LD50
499 and its confidence limits using modified B
500 cumulative distribution function (dm3). *Toxicology*,
501 doi:10.3109/01480548109018136

502 Tong, S., Li, Y., Rivaller, P., Conrardy, C., Castillo, D. A., Chen, L. M., . . .
503 Donis, R. O. (2012). A distinct lineage of influenza A virus from bats.
504 *Proceedings of the National Academy of Sciences*, 109(16), 5162-5169.
505 doi:10.1073/pnas.1116200109

506 Tong, S., Zhu, X., Li, Y., Shi, M., Zhang, J., Bourgeois, M., . . . Donis, R. O.
507 (2013). New world bats harbor diverse
508 *PLoS Pathog*, 9(10), e1003657. doi:10.1371/journal.ppat.1003657

509 Uchida, Y., Mine, J., Takemae, N., Tanikawa, T., Tsunekuni, R., & Saito, T.
510 (2019). Comparative pathogenicity of H5N6 subtype highly pathogenic
511 avian influenza viruses in chicken, Pekin duck and Muscovy
512 *Transbound Emerg Dis*, 66(3), 1227-1251. doi:10.1111/tbed.13141

513 Urbaniak, K., & Markowska-Daniel, I. (2014). In vivo reassortment of influenza
514 viruses. *Acta Biochim Pol*, 61(3), 427-431.

515 WHO. (2016). Weekly epidemiol
516 <https://www.who.int/wer/2016/wer9111.pdf>

517 WHO. (2020). Antigenic and genetic characteristics of zoonotic influenza A
518 viruses and development of candidate vaccine viruses for pandemic
519 preparedness
520 [https://www.who.int/influenza/vaccines/virus/202002_zoonotic_vaccine](https://www.who.int/influenza/vaccines/virus/202002_zoonotic_vaccine_virusupdate.pdf?ua=1)
521 [virusupdate.pdf?ua=1](https://www.who.int/influenza/vaccines/virus/202002_zoonotic_vaccine_virusupdate.pdf?ua=1)

522 Woo, C., Kwon, J. H., Lee, D. H., Kim, Y., Lee, K., Jo, S. D., . . . Jeong, J.
523 (2017). Novel reassortant clade 2.3.4.4 avian influenza A (H5N8) virus
524 in a grey heron in South Korea in *Arch Virol*, *(162)*, 3887-
525 3891. doi:10.1007/s00705-017-3547-2

526 Wood, J. M., & Robertson, J. S. (2004). From lethal virus to I
527 vaccine: developing inactivated vaccines for pa
528 *Nat Rev Microbiol*, *2*(10), 842-847. doi:10.1038/nrmicro979

529 Yamaji, R., Saad, M. D., Davis, C. T., Swayne, D. E., Wang, D., Wong, F. Y.
530 K., . . . Zhang, W. (2020). Pandemic potential of highly pathogen
531 avian influenza clade 2.3.4.4 A(H5N8) viruses. *Emerg Infect Dis*, *26*(3),
532 e2099. doi:10.1002/rmv.2099

533 Yang, L., Zhu, W., Li, X., Bo, H., Zhang, Y., Zou, S., . . . Shu, Y. (2017)
534 Genesis and Dissemination of Highly Pat
535 Influenza Viruses. *J Virol*, *91*(5). doi:10.1128/JVI.02199-16

536 Yang, Z. F., Mok, C. K., Peiris, J. S., & Zhong, N. S. (2015). Human Infection
537 with a Novel Avian Influenza A(H5N6) Virus
538 *N Engl J Med*, *373*(5), 487-489. doi:10.1056/NEJMc1502983

539 Zeng, X., Tian, G., Shi, J., Deng, G., Li, C., & Chen, H. (2018). Vaccination of
540 poultry successfully eliminated human infection with H7N9
541 *China Sci China Life Sci*, *61*(12), 1465-1473. doi:10.1007/s11427-
542 018-9420-1

543
544
545
546
547
548
549
550
551
552
553

554

555

556

557

558

559

560

561

562 Table 1. Virus loads in different organs in chickens inoculated intranasally ^a with the A/duck/Shandong/SD01/2019(H5N6), A/duck/Shandong/
 563 SD02/2019(H5N6), A/chicken/Shandong/SD03/2019(H5N6), and A/chicken/Shandong/SD04/2019(H5N6).

564

Strains	Virus replication on 3 DPI ($\log_{10}EID_{50}/0.1ml$) ^b in						
	Heart	Liver	Spleen	Lung	Kidney	Brain	Trachea
SD01	8.25±0.43	7.42±0.14	7.42±0.14	8.50±0.25	8.17±0.58	7.00±0.66	6.42±0.14
SD02	7.67±0.14	7.17±0.58	7.50±0.25	8.67±0.14	8.08±0.52	6.75±0.50	6.42±0.14
SD03	8.42±0.14	7.17±0.58	7.42±0.14	8.50±0.25	8.17±0.58	7.33±0.52	6.42±0.14
SD04	8.67±0.38	5.75±0.66	6.67±1.04	9.67±0.52	8.17±0.38	8.00±0.50	5.58±0.88

568 a Six-week-old SPF chickens were inoculated intranasally (i.n.) with 10⁶EID₅₀ of SD01, SD02, SD03 and SD04 viruses in a volume of 0.1 ml,
 569 respectively; three chickens in each group were euthanized on 3 DPI, and virus titer was determined in samples of heart, liver, spleen,
 570 kidney, brain and Trachea in SPF eggs.

571 b For statistical analysis, a value of 1.5 was assigned if the virus was not detected from the undiluted sample in three embryonated hen eggs (Sun
 572 et al.,2011). Virus titers are expressed as means ± standard deviation in log₁₀EID₅₀/0.1 ml of tissue.

573

574

575

576

577 Table 2 Virus shedding in oropharyngeal and cloacal swabs from SPF chickens

597 strain, H7N9 H7-Re-2 strain) against the four H5N6 viruses challenge in chickens

598

Challenge virus	Group	Virus isolation from swabs (shedding/total) p.c				No. Protection/total
		Day 3		Day 5		
		oropharyngeal	cloacal	oropharyngeal	cloacal	
SD01	Vaccinated	0/10	0/10	0/10	0/10	10/10
	Control	6/6 ^a	6/6	0/0 ^b	0/0	0/10
SD02	Vaccinated	0/10	0/10	0/10	0/10	10/10
	Control	7/7	7/7	3/3 ^c	3/3	0/10
SD03	Vaccinated	0/10	0/10	0/10	0/10	10/10
	Control	6/6	6/6	2/2 ^c	2/2	0/10
SD04	Vaccinated	0/10	0/10	0/10	0/10	10/10
	Control	4/4	4/4	3/3 ^c	3/3	0/10

599 ^a some chickens died before day 3 p.c

600 ^b all the chickens died.

601 ^c some chickens died on day 4 p.c

602

604 **Figure Legends**

605 **Figure 1. Phylogenetic tree of H5N6.** tree was generated by using the neighbor
606 joining method with the Maximum Composite likelihood model and MEGA version
607 4.0. Viruses highlighted with black triangles (▲) were the H5N6 viruses isolated in
608 our study.

609

610 **Figure 2. Reassortant patterns of the four HPAI H5N6 viruses.** The
611 **potential donor viruses** whose eight gene segments of the virus, represented by
612 horizontal bars, from top to bottom, are PB2, PB1, PA, HA, NP, NA, M, and NS.

613

614 **Figure 3. Weight change lethality and replication of BALB/c mice during the 14**
615 **days postinoculation.** Mice were inoculated intranasally with the H5N6 virus in a
616 volume of 50 µl. Mice inoculated with PBS served as a control group.

617

618 **Figure 4. Lethality of the infected chickens (A) and contact chickens (B) in each**
619 **group.**

620

621 **Figure 5. HI antibody duration induced by inactivated vaccine in SPF chickens.**

622 Three-week-old white Leghorn SPF chickens were injected intramuscularly (i.m.)
623 with 0.3 ml of reassortant avian influenza virus (H5+H7) trivalent inactivated
624 vaccine, and sera were collected from chickens on 28 days post-immunization for HI
625 antibody detection. The bars indicated the standard deviation.

626