

1 Adjuvant therapy of histopathological risk factors of retinoblastoma in

2 Europe: a survey by the European Retinoblastoma group (EURbG)

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91 **Abbreviation table**

92	CNS	central nervous system
93	EURBG	European Retinoblastoma group
94	GALOP	Grupo de America Latina de Oncologia Pediatrica
95	ICRB	International retinoblastoma classification
96	IRSS	International retinoblastoma staging system
97	OS	overall survival

98 **Abstract**

99 **Introduction:** Advanced intraocular retinoblastoma can be cured by enucleation, but
100 spread of retinoblastoma cells beyond the natural limits of the eye is related to a high
101 mortality. Adjuvant therapy after enucleation has been shown to prevent metastasis
102 in children with risk factors for extraocular retinoblastoma. However, histological
103 criteria and adjuvant treatment regimens vary and there is no unifying consensus on
104 the optimal choice of treatment.

105 **Method:** Data on guidelines for adjuvant treatment in European retinoblastoma
106 referral centres were collected in an online survey among all members of the
107 European Retinoblastoma group (EURbG) network. Extended information were
108 gathered via personal Email communication.

109 **Results:** Data were collected from 26 centres in 17 countries. Guidelines for
110 adjuvant treatment were in place at 92.3% of retinoblastoma centres. There was a
111 consensus on indication for and intensity of adjuvant treatment among more than
112 80% of all centres. The majority of centres use no adjuvant treatment for isolated
113 focal choroidal invasion or prelaminar optic nerve invasion. Patients with massive
114 choroidal invasion or postlaminar optic nerve invasion receive adjuvant
115 chemotherapy, while microscopic invasion of the resection margin of the optic nerve
116 or extension through the sclera are treated with combined chemo- and radiotherapy.

117 **Conclusion:** Indications and adjuvant treatment regimens in European
118 retinoblastoma referral centres are similar but not uniform. Further biomarkers in
119 addition to histopathological risk factors could improve treatment stratification. The
120 high consensus in European centres is an excellent foundation for a common
121 European study with prospective validation of new biomarkers.

122 **Introduction**

123 Retinoblastoma is a malignant tumour of the retina in early childhood. In most
124 European countries, 5-year survival rates of retinoblastoma are above 95% ¹⁻³.
125 Advances in multidisciplinary care and early diagnosis prevent the spread of tumour
126 cells beyond the natural border of the eye and, as a consequence, metastasized
127 retinoblastoma is very rare. However, the prognosis of metastatic disease remains
128 poor even with intensive multimodal therapy in high-income countries ^{3,4}. In contrast,
129 low- and middle income countries facing problems of late diagnosis and lower
130 resources report a higher number of patients with advanced retinoblastoma disease.
131 In these countries, systemic metastases are the cause of a significant mortality of
132 retinoblastoma patients ⁵.

133 Most eyes with small or medium sized intraocular retinoblastoma are treated with
134 eye-preserving therapies, but for advanced ocular disease, especially in unilateral
135 retinoblastoma, primary enucleation remains the standard therapy ⁶. Most children in
136 Europe are cured after enucleation without any further therapy. However, children
137 diagnosed with histopathological risk factors for metastatic spread receive a risk-
138 stratified adjuvant treatment after enucleation to reduce the risk of metastasis.
139 Retrospective data demonstrate that without adjuvant therapy about 20 % of patients
140 with histological intermediate and high risk factors developed metastatic disease ^{7,8}.
141 After introduction of risk-stratified adjuvant treatment, only 0-6% patients with
142 histological risk factors developed metastatic disease ^{8,9}. Recent non-randomized
143 prospective trials using risk stratified adjuvant chemotherapy demonstrate overall
144 survival rates for children with advanced retinoblastoma as high as 100% for most
145 risk groups ^{10,11}.

146 In 2009, the International Retinoblastoma Staging and Working Group established
147 consensus guidelines for the pathological examination of the extension of
148 retinoblastoma after enucleation ¹². The histopathological risk factors for metastatic
149 spread include choroidal invasion, invasion of the anterior chamber, scleral invasion
150 and infiltration of the optic nerve to different extents. Choroidal and scleral invasion
151 favour hematogenous spread, whereas the infiltration of the optic nerve increases the
152 risk of central nervous system (CNS) metastases. Commonly used staging systems
153 are the International retinoblastoma staging system (IRSS) ¹³, the TNM classification
154 ¹⁴ and modified St. Jude Classification ¹⁵. For a risk-stratified use of adjuvant
155 treatment, histopathological risk factors are further subgrouped into low risk,
156 intermediate risk and high risk factors.

157 Although the benefit of adjuvant chemotherapy is apparent, data supporting the
158 prognostic impact of different intensities of chemotherapy and individual
159 histopathological risk factors are limited due to the number of patients and a lack of
160 randomized clinical trials in high income countries ^{16,17}. Treatment for retinoblastoma
161 in European referral centres is similar but not uniform and a variety of different
162 chemotherapy and radiotherapy regimens have been used for adjuvant treatment in
163 the last decades. The European Retinoblastoma group (EURbG) is a pan-European
164 partnership between professionals involved in the care of patients affected by
165 retinoblastoma and their families with a common goal to share and disseminate
166 knowledge and experience within Europe (<http://www.eurbg.org>). The results of the
167 here presented survey conducted by the EURbG summarizes and compares the
168 recommendations used for adjuvant treatment in Europe with the aim to agree on a
169 consensus regimen and to build the foundation for a prospective international clinical
170 trial for advanced localised retinoblastoma in Europe.

171

172 **Methods**

173 **Data collection**

174 Representatives of European retinoblastoma referral centres were contacted via the
175 EURbG network. First data collection was conducted with SurveyMonkey® between
176 2.3.2018 and 16.3.2018. All EURbG members were invited to submit one response
177 per retinoblastoma referral centre. Extended information including treatment
178 protocols and outcome data were gathered via personal communication until October
179 2020 addressing all responders to the survey.

180

181 **Results**

182 **Patient characteristics at the participating centres**

183 Data were collected with an Online Survey from 26 centres from 17 countries (11 x 1
184 centre/country, 4 x 2 centres/country, 1 x 3 centres/country and 1 x 4
185 centres/country). The participating centres were in the following European countries:
186 Austria, Czech Republic, England, Estonia, France, Germany, Hungary, Israel, Italy,
187 Lithuania, Netherlands, Poland, Portugal, Spain, Switzerland, Turkey and Ukraine.
188 The size of the centres and the number of patients with retinoblastoma treated in
189 each centre differ. For this survey we only requested data about those patients
190 treated with primary enucleation. The number of patients with retinoblastoma treated
191 with primary enucleation depends not only on the total number of patients with
192 retinoblastoma at each centre but also on the rate of patients receiving eye-
193 preserving treatment. Because neither of these aspects were relevant for our study
194 question, the survey focused on absolute numbers of patients with primary

195 enucleation. Most centres (19 of 26 (73.0 %)) treat less than 10 patients per year with
196 primary enucleation, while 5 centres (19.2 %) and 2 centres (7.7%) perform primary
197 enucleation on 10-19 patients and > 20 patients per year, respectively. The number
198 of patients receiving adjuvant therapy after enucleation is less than 10 patients in
199 88.5 % of responding centres and 10-19 patients in the remaining 11.5% of centres
200 each year.

201 **Differences in staging systems and treatment guidelines**

202 All but two retinoblastoma centres (92.3%) had guidelines for the indication and type
203 of adjuvant treatment in place. In detail, 23.1% participated in a prospective IRB
204 approved protocol, 30.8% followed national guidelines, 30.8% institutional guidelines
205 and 15.4% other type of recommendations. The contents of the guidelines of some
206 centres are summarized in table 1. Histopathological risk factors were determined
207 based on the international guidelines for pathological evaluation in 21 of 26 centres
208 (80.8%)¹². The most common staging systems for extraocular disease were the
209 International Retinoblastoma Staging System (IRSS, applied in 61.5% of centres)
210 and the TNM classification (in 42.3% of all centres) with some centres using both
211 staging systems. One centre used the modified St. Jude classification.

212 **Diagnostics prior to enucleation**

213 Nearly all centres (88.5%) routinely perform a cross-sectional imaging (magnet
214 resonance imaging or computed tomography scan) of the neurocranium and both
215 eyes after ophthalmological diagnosis of retinoblastoma via indirect ophthalmoscopy
216 in anaesthesia. In most centres, invasive staging procedures such as lumbar
217 puncture and bone marrow aspirates are reserved for patients with high risk
218 histopathological risk factors (data retrieved from personal communication and
219 treatment guidelines after the survey).

220 **Consensus on indications for risk-stratified adjuvant treatment in most centres**

221 Indications for risk-stratified treatment with either chemotherapy and/or radiotherapy
222 are summarized in table 2 and displayed in figure 1. In 84.4% of centres, isolated
223 focal choroidal invasion or isolated prelaminar optic nerve invasion are considered as
224 low risk histopathological risk factors and are not considered an indication for
225 adjuvant therapy. However 8 of 26 centres (30.8%) added as an additional comment
226 that a combination of prelaminar optic nerve infiltration and focal choroidal infiltration
227 is treated with adjuvant chemotherapy according to their guidelines. Nearly all
228 centres treat patients with intermediate risk factors defined as massive choroidal
229 invasion (84.6% with chemotherapy) and postlaminar optic nerve invasion (80.8%
230 with chemotherapy and 11.8 % with chemo- and radiotherapy) with adjuvant therapy.
231 In all centres, patients with invasion of the resection margin of the optic nerve receive
232 adjuvant therapy (19.2% with chemotherapy alone, 80.8% with chemotherapy and
233 radiotherapy). In line with this, nearly all centres treated the finding of microscopic
234 extension through the sclera into the orbit with adjuvant therapy (3.9 % without
235 adjuvant therapy, 61.5 % with chemotherapy alone, 34.6 % with chemotherapy and
236 radiotherapy). In the survey, 69.2% of centres added that they treat invasion of the
237 anterior segment of the eye with adjuvant chemotherapy. The definition of invasion of
238 anterior segment varied and included tumour cell seeding in the anterior chamber
239 and invasion of tumour cells into the iris, trabecular mesh or ciliary body.

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242 **The combination of chemotherapy agents and regimens are similar**

243 Current chemotherapy regimens in most countries include a combination of
244 vincristine (88.5%), etoposide (96.2%) and carboplatin (100%). In some centres,
245 additional cyclophosphamide (26.9%), ifosfamide (7.7%) or topotecan (7.7%) is
246 applied. Cumulative doses of a selection of chemotherapy regimens are summarized
247 in table 3. In some centres, high-dose chemotherapy followed by autologous stem
248 cell transplant is used for the treatment of patients with high-risk factors such as
249 extrascleral microscopic spread or invasion of the resection margin of the optic nerve
250 ¹¹.

251 **Intrathecal therapy**

252 Consensus guidelines in some centres recommend additional intrathecal therapy for
253 treatment of patients with invasion of the resection margin of the optic nerve, while
254 other centres use intrathecal therapy only for the treatment of metastatic disease or
255 do not use it at all. The chemotherapy agents used for intrathecal therapy of
256 retinoblastoma in European centres vary and include thiothepa, topotecan,
257 etoposide, cytarabine or cyclophosphamide.

258 **Adjuvant treatment results in high overall survival of localized advanced**
259 **retinoblastoma despite histopathological risk factors**

260 Only a minority of European centres have published their rates of overall and event-
261 free survival after adjuvant treatment. The reported 5-year overall survival rates are
262 as high as 100 % in most risk groups. In published data, relapses only occurred in
263 the group of patients with invasion of the resection margin or transscleral invasion,
264 resulting in a 5-year overall survival of 80% (table 4).

265 **Discussion**

266 European Retinoblastoma Referral Centres agree on most aspects of a risk-stratified
267 adjuvant treatment after primary enucleation for retinoblastoma. However, adjuvant
268 treatment protocols vary between all centres and the small number of patients in
269 each centre complicates gathering of evidence to improve and advance
270 recommendations. There is a consensus that focal choroidal invasion and pre- and
271 intralaminar infiltration of the optic nerve are considered low risk histopathological
272 features and that these patients should be treated with enucleation alone without
273 adjuvant chemotherapy. This is supported by a 2-year overall survival of 100%
274 without adjuvant treatment ^{11,18}. In most retinoblastoma centres, patients with
275 intermediate histopathological risk factors receive chemotherapy including vincristine,
276 carboplatin and etoposide as adjuvant treatment. In some guidelines, intermediate
277 risk factors are subdivided into a subgroup with massive choroidal infiltration and a
278 subgroup with retrolaminar optic nerve infiltration or intrascleral invasion. The
279 subgroup with isolated massive choroidal infiltration was considered lower
280 intermediate risk and received a reduced number of chemotherapy cycles and,
281 despite this treatment reduction, the reported event-free and overall survival rates
282 were 100% (Institute Curie, France, unpublished data). This high survival rate
283 supports that reduction of adjuvant therapy is safe in patients with massive choroidal
284 invasion. The finding also raises the question, whether this treatment can be further
285 reduced or omitted. Indeed, results from a multicentre trial in Latin America (Grupo
286 de America Latina de Oncologia Pediatrica [GALOP]) demonstrate a probability of
287 event free survival of 100% without adjuvant treatment for patients with massive
288 choroidal invasion alone ^{17,19,20}.

289 There is a controversy about the risk for metastasis associated with involvement of
290 anterior segment of the eye. Among other reasons, this is a result of varying
291 definitions of involvement of anterior segment and the common combination of
292 anterior segment involvement with other risk factors for metastasis. Definition of
293 anterior segment involvement includes tumour cell seeds in the anterior chamber,
294 invasion of the iris, of the trabecular meshwork or the ciliary body. Especially isolated
295 seeding into the anterior chamber is rare. In most patients, it occurs in combination
296 with multiple other risk factors that are an indication for adjuvant chemotherapy by
297 themselves ^{10,21}. As a result, some studies conclude that isolated seeding into the
298 anterior chamber is an indication for adjuvant chemotherapy while others emphasize
299 that it does not add additional risk for metastasis ^{8,21,22}. The latter is in contrast to the
300 current practice in most European centres.

301 Most, but not all, European centres apply not only adjuvant chemotherapy but also
302 radiotherapy of the orbit for transscleral invasion and for invasion of the resection
303 margin of the optic nerve (high risk factors, microscopic extraocular spread [IRSS
304 stage II]). Adjuvant chemotherapy regimens for IRSS II in Europe nearly always
305 comprise of six cycles of polychemotherapy with vincristine, carboplatin and
306 etoposide. The modality of radiotherapy of the orbit varies from external beam photon
307 and proton therapy to orbital brachytherapy with 125 iodine seeds while
308 recommended doses are 40-50 Gray ^{23,24}. Only small number of patients are treated
309 in this high-risk group in Europe, but extraocular disease recurrence is observed
310 even after adjuvant treatment and the reported 5-year overall survival is 80% ¹⁸.
311 Some centres that perform high-dose chemotherapy followed by autologous stem
312 cell transplant as consolidation treatment for IRSS II report a 100% cure rates ¹¹. In
313 line with this, prospective trials of the GALOP demonstrate excellent results with

314 nearly 100 % overall survival in patients with extra-scleral involvement after adjuvant
315 treatment with intensive chemotherapy regimen but without radiotherapy ²⁵. Some
316 European retinoblastoma centres and the current GALOP protocol use intrathecal
317 chemotherapy as part of the adjuvant treatment
318 (<https://clinicaltrials.gov/ct2/show/NCT03475121>). There is rational for intrathecal
319 therapy to prevent spread to the CNS, but evidence from prospective studies
320 evaluating the benefit of different agents is scarce ²⁶.

321 The benefit of adjuvant therapy to reduce the risk for metastasis has to be balanced
322 with the potential side effects. Reported short-term side effects of adjuvant
323 chemotherapy regimens include transient bone marrow suppression and a risk for
324 fever in neutropenia. A treatment related mortality of 4% was reported by the
325 AHOPCA group in Central America after VEC chemotherapy ⁵. However, in
326 European and in Northern American treatment related mortality after conventional
327 chemotherapy for retinoblastoma has been reported as close to 0% ^{9,18}. Ototoxicity
328 has to be monitored regularly, but it seems to be rare in most cohorts ^{27,28,29,30}.
329 Nonetheless, it remains a possible side effect after high dose chemotherapy for
330 patients with infiltration of the resection margin of the optic nerve who already have a
331 visual handicap . Adjuvant treatment also prolongs the treatment for retinoblastoma
332 and may increase the psychosocial burden for patients and their families. There is
333 evidence that chemotherapy with alkylating agents or topoisomerase inhibitors
334 increase the risk for second malignancies, especially in patients with heritable
335 retinoblastoma, but the number of second malignancies after adjuvant therapy alone
336 are low ^{9,31,32}. In summary, side effects of adjuvant treatment are tolerable but not
337 neglectable. For this reason, adjuvant treatment has to be restricted to those patients
338 with a significant risk of metastatic disease.

339 The number of patients receiving primary enucleation was low in all participating
340 European retinoblastoma referral centres. Since the introduction of intra-arterial
341 chemotherapy in 2008 and intravitreal chemotherapy treatment in 2012, an
342 increasing number of patients with advanced retinoblastoma receives first line eye-
343 preserving therapies ^{6,33}. Risk factors diagnosed on magnet resonance imaging at
344 diagnosis correlate with diagnosis of histopathological risk factors and may assist to
345 evaluate the need for enucleation and histopathological assessment of risk factors
346 ^{34,35}. However, radiological risk factors are only a proxy for histopathological risk
347 factors and there is a consensus to indicate adjuvant therapy only on the basis of
348 proven histopathological risk factors. Some potential molecular biomarkers for
349 disseminated retinoblastoma were described among these are the detection of *cone-*
350 *rod homeobox (CRX)* mRNA or GD2 protein expression and the detection of somatic
351 pathogenic *RB1* variant in blood, bone marrow aspirate or cerebral spinal fluid ^{36,37, 38}.
352 Some of these biomarkers correlate with metastatic relapse in high risk patients, but
353 have not been evaluated in a prospective study or in low-risk patients ^{39,40}.

354 In summary, there is evidence that risk-stratified adjuvant treatment for advanced
355 retinoblastoma with histopathological risk factors improves survival. Indications and
356 treatment regimens in European Retinoblastoma Referral centres are similar but not
357 uniform. The low number of patients with retinoblastoma that receive primary
358 enucleation requires an international prospective approach to gather evidence and to
359 adjust the intensity of adjuvant treatment for each patient. The good level of
360 consensus in treatment regimens and the collaboration within the EURbG network
361 allows to envisage a common European study with prospective validation of new
362 biomarkers. Especially in the light of an increasing number of patients treated with

363 eye-preserving therapies, there is a high need for further molecular and radiological
364 biomarkers in addition to histopathological risk factors for treatment stratification.

365

366 **Conflict of interest**

367 None

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498

499 **Figure legend**

500 **Figure 1:** Recommendations and guidelines for adjuvant therapy for different risk
501 factors among 26 European Retinoblastoma centres

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