

1                    **Macroporosity and Grain Density of Rubble Pile**  
2                    **Asteroid (162173) Ryugu**

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16                    **Key Points:**

- 17                    • Ryugu's large bulk porosity is distributed between intrinsic boulder microporos-  
18                    ity and macroporosity due to void spaces in-between boulders.
- 19                    • We use the boulder size-frequency distribution as observed on the surface together  
20                    with mixing models to estimate Ryugu's macroporosity.
- 21                    • We find that macroporosity is  $16\pm 3$  %, indicating that Ryugu's large bulk poros-  
22                    ity of close to 50 % is governed by microporosity.

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23 **Abstract**

24 Rubble pile asteroids such as (162173) Ryugu have large bulk porosities, which are be-  
 25 lieved to result from void spaces in between the constituent boulders (macroporosity)  
 26 as well as void spaces within the boulders themselves (microporosity). In general, both  
 27 macroporosity and microporosity are estimated based on comparisons between the as-  
 28 teroid bulk density and both the bulk and grain density of meteorite analogues, and rel-  
 29 atively large macroporosities are usually obtained. Here we use semi-empirical models  
 30 for the macroporosity of multi-component mixtures to determine Ryugu’s macroporos-  
 31 ity based on the observed size-frequency distribution of boulders on the surface. We find  
 32 that Ryugu’s macroporosity can be significantly smaller than usually assumed, as the  
 33 observed size-frequency distribution allows for an efficient packing of boulders, result-  
 34 ing in a macroporosity of  $16 \pm 3$  %. Therefore, Ryugu’s high bulk porosity must be a  
 35 direct consequence of a very large boulder microporosity. Furthermore, using estimates  
 36 of boulder microporosity around 48 % as derived from in-situ measurements, the aver-  
 37 age grain density in boulders is  $2751 \pm 236$  kg m<sup>-3</sup>, similar to values obtained for CM,  
 38 CI, and the Tagish lake meteorites. Ryugu’s bulk porosity corresponding to the above  
 39 values is 57 %.

40 **Plain Language Summary**

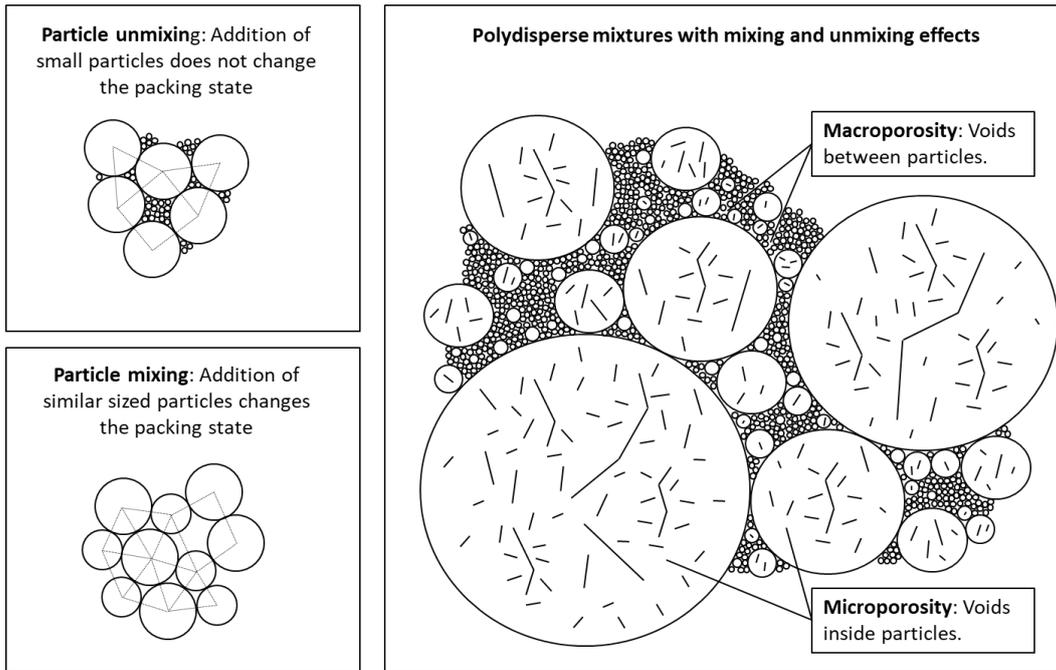
41 The carbonaceous asteroid (162173) Ryugu formed from fragments which re-accreted  
 42 after its parent body was disrupted by a catastrophic collision. Asteroids of this type  
 43 are also known as rubble piles and the re-accumulation process is thought to be one of  
 44 the causes for their large bulk porosity. We have applied mixing models to determine the  
 45 amount of inter-boulder porosity taking the observed abundance of large and small boul-  
 46 ders on the surface into account. We find that the relative abundances of differently sized  
 47 boulders allow for a very efficient packing, such that inter-boulder porosity in Ryugu is  
 48 rather small and only  $16 \pm 3$  %. This implies that a large part of the estimated 50 %  
 49 total porosity must reside inside the boulders themselves. Using estimates of boulder in-  
 50 trinsic porosity as derived from thermal infrared measurements, we furthermore constrain  
 51 the average density of the boulder’s constituent minerals to  $2751 \pm 236$  kg m<sup>-3</sup>, which  
 52 is consistent with values measured for carbonaceous meteorites as collected on Earth.  
 53 If Ryugu is representative of the carbonaceous asteroid population, inter-boulder poros-  
 54 ity of rubble pile asteroids may have been systematically overestimated in the past.

## 1 Introduction

Upon arrival of the Hayabusa2 spacecraft the C-complex asteroid (162173) Ryugu was found to be a spinning top-shaped rubble pile (Watanabe et al., 2019) with Cb-type spectrum and very low albedo around 0.045, consistent with thermally metamorphosed CM/CI meteorites (Sugita et al., 2019). Observations further show that a weak 2.7  $\mu\text{m}$ -absorption is present, suggesting a small amount of hydrated minerals to be present (Kitazato et al., 2019). Furthermore, the surface was found to be dominated by large blocks and boulders (Sugita et al., 2019; Michikami et al., 2019). A bulk density of  $1190 \pm 20 \text{ kg m}^{-3}$  was determined using the SFM20180804 shape model (Watanabe et al., 2019), which allowed for an estimate of asteroid porosity. Assuming typical grain densities for carbonaceous chondrites (Britt & Consolmagno S.J., 2001; Macke et al., 2011; Flynn et al., 2018), bulk porosity estimates close to 50 % are obtained (Watanabe et al., 2019), which is consistent with the bulk porosity estimates for C-complex asteroids.

The bulk porosity inside rubble pile asteroids can be separated into two contributions: the first one stems from the intrinsic porosity of rocks and boulders and is termed microporosity, while the second contribution refers to voids in-between particles and is termed macroporosity (Britt et al., 2002). The latter is directly related to the geometrical arrangement of the constituent blocks, also known as the packing state, and macroporosity of the average C-complex is estimated to be 25-30 % (Britt et al., 2002). This is generally consistent with models of the reassembly of blocks after a catastrophic disruption, which result in macroporosities of 20-40 % (Wilson et al., 1999). However, simulations suffer from unrealistically large lower cutoff sizes for the considered boulder population, such that lower macroporosities cannot be ruled out.

Little is known about the packing state of rubble pile asteroids following reaccretion, which depends on many parameters like, e.g., the distribution of angular momentum in the reaccreting system as well as the size distribution and shape of reaccreting fragments. Laboratory experiments on the disruption of monoliths (Nakamura & Fujiwara, 1991; Durda et al., 2015; Michikami et al., 2016) indicate that shattered particles have elongated shapes, and while large deviations from a spherical shape would reduce packing efficiency, results of disruption experiments need to be interpreted with caution, as the high strain rates imposed during the experiment may not be representative for the destruction of larger blocks. In general, small boulders on bodies such as Itokawa,



**Figure 1.** Top Left: Illustration of particle unmixing for particles with strongly disparate diameters. Bottom Left: Illustration of particle mixing for particles with similar diameters. Right: Two dimensional illustration of the random packing structure of strongly polydisperse spheres. As compared to monodispersed configurations, porosity is reduced by the filling of void spaces. Macroporosity refers to the porosity generated by the void spaces between particles, while microporosity is caused by void spaces and cracks that formed inside individual particles. Figure adapted from Yu & Zou (1998).

87 Benu, and Ryugu are considered to be relicts of the direct formation of those asteroids  
 88 by gravitational reaccumulation following the disruption of their parent bodies (Michel  
 89 & Richardson, 2013; Michel et al., 2020) rather than the result of impact events after  
 90 formation has been completed.

91 Here we investigate the macroporosity of asteroid Ryugu using semi-empirical mod-  
 92 els for the porosity of multi-component mixtures of non-spherical, cohesive particles (Zou  
 93 et al., 2011). Such models predict the macroporosity of granular material given the par-  
 94 ticle shape as well as a particle size distribution applying linear mixing and using the  
 95 concept of controlling mixtures (Yu & Standish, 1991) to calculate the packing state. In  
 96 general, polydisperse particle mixtures can have a macroporosity which is considerably  
 97 smaller than the canonical 36 % for a random close packing or 40 % for a random loose

98 packing of spherical, monosized particles (Scott, 1960), and values down to 10 % can be  
99 reached (Dullien, 1991).

100 In binary mixtures, the way particles interact depends on their size ratio, and if  
101 this ratio is less than 0.154 (Graton & Fraser, 1935), small particles will not affect the  
102 packing state and simply fill the gaps between larger ones. In contrast to this unmix-  
103 ing of particles, similar sized particles will mix, creating a new packing structure (also  
104 see Yu & Zou (1998) for a discussion of mixing and unmixing effects). Applying these  
105 concepts to polydisperse mixtures, particle unmixing will take place for very small and  
106 very large particles, as smaller particles start filling the gaps and larger particles com-  
107 pletely fill some regions with solid material. The component controlling the porosity of  
108 the mixture is then defined by intermediate sized particles, which do not change their  
109 packing state by the addition of unmixing components (Yu & Zou, 1998). An illustra-  
110 tion of particle mixing and unmixing is shown in Fig. 1.

111 The semi-empirical models by Yu & Zou (1998) and Zou et al. (2011) can be ap-  
112 plied to particle mixtures in loose and dense packing states. They have been shown to  
113 reproduce the porosity of mixtures created using the funnel method, in which particles  
114 are carefully poured into a container, as well as the porosity of mixtures tapped many  
115 times to reach maximum compaction. As the initial packing state is a free parameter in  
116 the mixing theory, we will systematically vary it in the analysis below. It is worth not-  
117 ing, however, that the packing state of rubble pile asteroids like Ryugu is likely best rep-  
118 resented by a random close packing structure, as meteoritic bombardment (Sugita et al.,  
119 2019) and seismic shaking will result in particle rearrangement and a reduction of pore  
120 spaces.

121 In order to apply the theory of multi-component mixtures, the shape and size dis-  
122 tributions of boulders need to be known. Here we use the boulder size and shape dis-  
123 tributions determined by Michikami et al. (2019), who extend the analysis in Sugita et  
124 al. (2019) using images from the Hayabusa2 optical navigation camera (ONC) which have  
125 near global coverage and were acquired at altitudes between 20 km and 6.5 km. These  
126 have spatial resolutions of up to 0.65 m/pixel, and smaller boulders, cobbles and peb-  
127 bles with sizes of 0.02 to 9.1 m were studied using close-up images of the sample areas,  
128 where images taken at altitudes from 67 m to 620 m with resolutions down to  $< 0.01$   
129 m/pixel are available (Sugita et al., 2019). Overall, size-frequency and shape distribu-

130 tions were determined in the 0.02 to 140 m size range and we assume that the same dis-  
 131 tribution holds in the interior.

132 To estimate asteroid macroporosity from mass and volume as measured by space-  
 133 craft, some assumption on the average density of the constituent rocks and boulders needs  
 134 to be made. Usually, densities of spectrally similar meteorite samples are assumed (Britt  
 135 & Consolmagno S.J., 2001; Watanabe et al., 2019; Sugita et al., 2019), which effectively  
 136 fixes the material’s microporosity and grain density. In the special case of Ryugu, mi-  
 137 croporosity has been estimated from thermal inertia as determined in situ (Grott et al.,  
 138 2019) and from orbit (Sugita et al., 2019; Okada et al., 2020), such that some constraints  
 139 on the material’s grain density can be derived based on the observation that the vast ma-  
 140 jority of boulders corresponds to the low thermal inertia type (Okada et al., 2020).

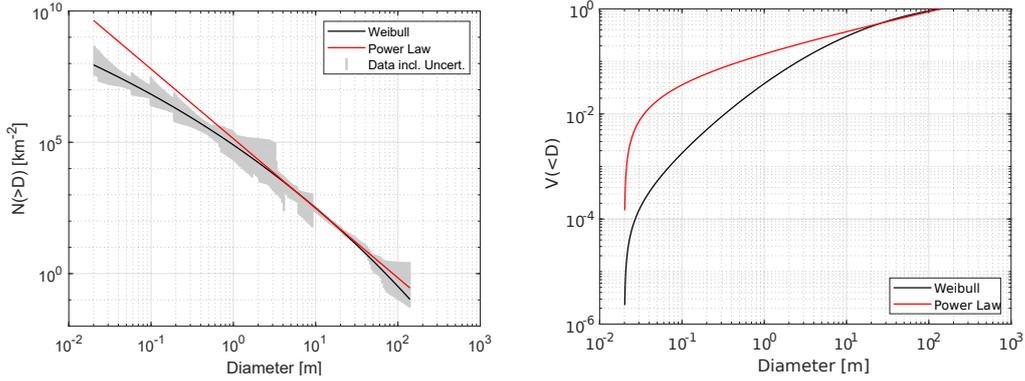
141 Therefore, we will in the following first introduce the theory of determining aster-  
 142 oid macro-porosity from a given size and shape distribution for the rocks and boulders.  
 143 We will then derive a simple equation relating grain density to macro- and microporos-  
 144 ity, and results of the macroporosity calculation and relevant uncertainties will then be  
 145 used in a Monte-Carlo approach to estimate grain density of Ryugu’s constituent ma-  
 146 terial. Finally, results, assumptions, and implications will be discussed.

## 147 2 Methods

### 148 2.1 Particle Size and Shape Distributions

149 To estimate Ryugu’s macroporosity or packing state, the constituent boulder’s size  
 150 and shape distributions need to be known. These were determined by Michikami et al.  
 151 (2019) who fitted size-frequency data using power laws. Power law exponents between  
 152 1.65 and 2.65 were obtained, with 2.65 being the best fit for the global dataset. Further-  
 153 more, particles were generally found to be elongated, and axis ratios for boulders > 2  
 154 m are close to 0.70, consistent with boulder generation by impact processes (Michikami  
 155 et al., 2019). The data provided by Michikami et al. (2019) can be adequately represented  
 156 by a cumulative Weibull (Rosin-Rammler) distribution (Rosin & Rammler, 1933; Weibull,  
 157 1951; Wingo, 1989; Brown & Wohletz, 1995), and the cumulative size-frequency distri-  
 158 bution  $N(D)$  is then given by

$$N(D) = N_T e^{-3(D/\lambda)^\beta/\beta} \quad (1)$$



**Figure 2.** Left: Cumulative particle size frequency distribution (SFD) as derived for Ryugu by Michikami et al. (2019) in different size-bins. Our Weibull fit to the data (black) is shown along with a power law fit with exponent  $p = 2.65$  (red). Right: Cumulative volume fraction distribution for the SFDs on the left hand side of the figure.

159 where  $D$  is the mean horizontal diameter, and we determined the fit parameters  $\beta =$   
 160  $0.09495$ ,  $\lambda = 33.78$  m, and  $N_T = 5.28 \cdot 10^{14}$  km $^{-2}$  by a weighted least-squares ap-  
 161 proach. The resulting distribution  $N(D)$  is shown together with the uncertainty of the  
 162 data in Fig. 2. It is worth noting that representing the data using a single power law for  
 163 the entire size range does not adequately represent the data.

164 Given the size-frequency distribution  $N(D)$  as determined from surface counts of  
 165 boulders, the cumulative volume distribution  $V(D)$  can be calculated by numerical in-  
 166 tegration. It is given by

$$V(D) = c \left( N_{\text{tot}} D_{\text{min}}^3 - N(D) D^3 + \int_{D_{\text{min}}}^D N(D') 3D'^2 dD' \right) \quad (2)$$

167 where  $N_{\text{tot}}$  is the total number of particles counted per unit area,  $D_{\text{min}}$  is the minimum  
 168 particle size of the particle size distribution  $N(D)$ , and  $c$  is a normalization factor cho-  
 169 sen such that  $V(D_{\text{max}}) = 1$ .

170 In addition to the Weibull distribution fit to the data represented by Eq. 1, we will  
 171 also consider a simple power law to systematically study the influence of the particle size  
 172 distribution's power law exponent  $p$  on the obtained results. The distribution can then  
 173 be expressed as

$$N(D) = N_{\text{tot}} (D/D_{\text{min}})^{-p} \quad (3)$$

174 where  $p = 2.65$  represents the best fit to the global dataset (Michikami et al., 2019).  
 175 For the power law defined by Eq. 3, Eq. 2 can be integrated analytically and the vol-  
 176 ume size distribution is then given by

$$V(D) = \frac{D^{3-p} - D_{\min}^{3-p}}{D_{\max}^{3-p} - D_{\min}^{3-p}} \quad (4)$$

177 for  $D_{\min} \leq D \leq D_{\max}$ . For  $D \geq D_{\max}$ ,  $V(D) = 1$ , whereas for  $D \leq D_{\min}$   $V(D) = 0$ .

178 Michikami et al. (2019) give the shape of boulders in terms of the maximum dimen-  
 179 sions in three mutually orthogonal planes ( $a \geq b \geq c$ ). Here we primarily regard the  
 180 axis ratio  $b/a$ , with  $a$  being the maximum and  $b$  the intermediate dimension. As reported  
 181 by Michikami et al. (2019), shape of particles on Ryugu appears to be largely indepen-  
 182 dent of geographical longitude, whereas some dependence on latitude may indicate boul-  
 183 der migration. Nevertheless, average  $b/a$  is only weakly size-dependent and close to 0.71.

184 In general, particle sphericity is defined as the ratio of the surface area of a sphere  
 185 (with the same volume as the particle) to the surface area of the particle (Wadell, 1932).  
 186 However, this is difficult to evaluate in practice, and the Krumbein (Krumbein, 1941)  
 187 or Riley (Riley, 1941) simplifications are usually applied. Working with two dimensional  
 188 (image) data, we define sphericity  $\Psi$  as

$$\Psi = \sqrt{\frac{D_i}{D_c}} \quad (5)$$

189 where  $D_i$  is the diameter of the largest inscribed circle and  $D_c$  is the diameter of the small-  
 190 est circumscribing circle for a given particle (Riley, 1941). Using Eq. 5, the shape pa-  
 191 rameter  $b/a$  derived by Michikami et al. (2019) then translates into a sphericity of  $\Psi =$   
 192  $0.833 \pm 0.014$ . In addition, Michikami et al. (2019) also estimated the third axis,  $c/a$ ,  
 193 of 121 arbitrarily selected boulders. The mean axes ratio  $c/a$  was found to be 0.44, and  
 194 the sphericity of a parallelepiped with axis ratios a:b:c of 1:0.71:0.44 is 0.796. On the other  
 195 hand, sphericity of a triaxial ellipsoid with the same axis ratios is 0.913. Therefore, spheric-  
 196 ity depends not only on axis ratios, but also on particle shape, and we will use  $\Psi = 0.85 \pm$   
 197  $0.06$  as an average sphericity in the following. It is worth noting that while sphericity  
 198 is a second order effect for the packing model if it is independent of particle size and larger  
 199 than 0.8 (which is the case studied here), it directly enters the calculation of the Bond  
 200 number, which accounts for inter-particle forces (compare Eq. 14 below).

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## 2.2 Macroporosity

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The macroporosity of Ryugu can be calculated from the volume size-frequency distribution (Eq. 2) assuming linear mixing models (Yu & Zou, 1998; Zou et al., 2011). In the mixing theory, the macroporosity achieved by the particular size distribution will be a function of the volume fractions  $X_i$ , the initial porosity  $\phi_i$ , as well as the nominal equivalent volume diameter  $d_i$  of particles in each bin. The latter represents the diameter of a volume-equivalent sphere. Further,  $i = 1, \dots, n$  is the number of size bins used and  $d_1 > d_2 > \dots > d_n$  for convenience. Then, the macroporosity  $\phi_{Macro}$  can be expressed as

$$\phi_{Macro} = f(X_1, \dots, X_n; d_1, \dots, d_n; \phi_1, \dots, \phi_n). \quad (6)$$

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Note that the equivalent volume diameter  $d_i$  of particles is not strictly identical to the mean horizontal diameter as defined by Michikami et al. (2019), but as the observed boulder axes ratios on Ryugu change only little as a function of horizontal diameter, the shape factor relating horizontal diameter to the equivalent volume diameter  $d_i$  is close to constant. It can thus be factored out for the mixing model below and has a negligible effect on the Bond number.

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The above formulation holds if particle sphericity is independent of particle size, which is the assumption made in the following and consistent with results obtained for Ryugu (Michikami et al., 2019). However, we note for completeness that the method to estimate macroporosity used here can be generalized to arbitrary sphericity-size relations  $\Psi(d)$  by introducing the equivalent packing diameter  $d_p$ , which then accounts for particle shape effects, i.e., mixing of particles that have different sphericities at different sizes. Then, the equivalent volume diameter  $d$  in Eq. 6 needs to be replaced by the equivalent packing diameter  $d_p$ , which is related to the observed equivalent volume diameter  $d$  through sphericity  $\Psi(d)$  by (Yu & Zou, 1998)

$$\frac{d}{d_p} = \Psi(d)^{2.785} e^{2.946(1-\Psi(d))} \quad (7)$$

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The specific volume, i.e., the volume per unit mass finally attained by the mixture is governed by intermediate-sized particles, as the addition of unmixed components will not change the packing state (Yu & Zou (1998), also compare Fig. 1). Specific volume  $V_i$  and porosity  $\phi_i$  are related by

$$V_i = \frac{1}{1 - \phi_i} \quad (8)$$

229 and while the size-bin number  $i$  of the controlling mixture is not known a priori, the spe-  
 230 cific volume  $\tilde{V}_i$  of the particular packing can in general be expressed as

$$\tilde{V}_i = \sum_{j=1}^{i-1} [V_j - (V_j - 1)g(d_i, d_j)]X_j + V_i X_i + \sum_{j=i+1}^n [V_j(1 - f(d_i, d_j))]X_j \quad (9)$$

231 where small particles have indices  $j = 1 \dots i - 1$  and large particles have indices  $j =$   
 232  $i+1 \dots n$ . The functions  $f(d_i, d_j)$  and  $g(d_i, d_j)$  are referred to as interaction functions  
 233 between components  $i$  and  $j$  and were derived experimentally (Yu et al. (1997), Zou et  
 234 al. (2011)). They are given by

$$f(d_i, d_j) = f(r_{ij}) = (1 - r_{ij})^{3.33} + 2.81r_{ij}(1 - r_{ij})^{2.77} \quad \text{and} \quad (10)$$

$$g(d_i, d_j) = g(r_{ij}) = (1 - r_{ij})^{1.97} + 0.36r_{ij}(1 - r_{ij})^{3.67} \quad (11)$$

235 and depend on the equivalent packing diameter size ratios  $r_{ij}$  between small and large  
 236 particles of the two components. Parameters  $r_{ij}$  can be expressed as (Zou et al., 2011)

$$r_{ij} = (1 - x_{ij})R_{ij}^k + x_{ij}R_{ij} \quad (12)$$

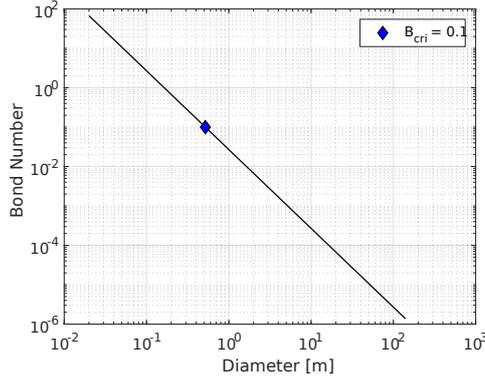
237 where  $R_{ij} = d_j/d_i$  is the small-to-large size ratio and  $i < j$ . The parameter  $k$  is 0.451  
 238 (Zou & Yu, 1996), and  $x_{ij}$  depends on the type of particle-particle interaction (Zou et  
 239 al., 2011). It is given by

$$x_{ij} = \begin{cases} 1 & d_j \geq d_{cri} \\ 0 & d_i \leq d_{cri} \\ 1 - 1.543 \cdot e^{-0.697d_i/d_{cri}} & d_j \leq d_{cri} \leq d_i \end{cases} \quad (13)$$

240 In the above equation, the critical particle diameter  $d_{cri}$  divides fine and coarse par-  
 241 ticles, i.e., it is the particle diameter below which cohesion between particles starts to  
 242 influence particle interactions. Under Earth gravity conditions,  $d_{cri}$  is close to 150  $\mu\text{m}$   
 243 (Zou et al., 2011), but under micro-gravity conditions, cohesion can be relevant even for  
 244 decimeter-sizes boulders (Scheeres et al., 2010; Kiuchi & Nakamura, 2015; Zou et al., 2011).  
 245 Here, we define the critical diameter based on the Bond number  $B$ , i.e., the ratio between  
 246 interparticle forces and the weight of the particles (Scheeres et al., 2010). Including ef-  
 247 fects of particle sphericity  $\Psi$  and roundness  $\Omega$ , we define the Bond number as

$$B(d) = \frac{1.1 \cdot 10^{17} A \Psi \Omega}{\rho g (d/2)^2} \quad (14)$$

248 where  $d$  is particle diameter,  $A = 4.1 \cdot 10^{-20}$  J is the Hamaker constant (valid for olivine  
 249 in high vacuum, Perko et al. (2001)), and  $g = 0.9825 \cdot 10^{-4}$  m s $^{-2}$  is volume averaged



**Figure 3.** Bond number, i.e., the ratio between interparticle forces and particle weight, as a function of particle diameter, assuming parameters as appropriate for Ryugu. The diameter corresponding to a critical Bond number of  $B_{crit} = 0.1$  is indicated.

250 gravity. We choose boulder bulk density  $\rho = 1420 \text{ kg m}^{-3}$  to match a macroporosity  
 251 of 16 % and a bulk density of  $1190 \text{ kg m}^{-3}$  (Watanabe et al., 2019) for consistency. Fur-  
 252 thermore, we choose a particle roundness  $\Omega$  of 0.24, as appropriate for angular to sub-  
 253 angular particles (Powers, 1953).

254 The resulting Bond number for parameters appropriate for Ryugu is shown in Fig.  
 255 3 as a function of particle diameter. The critical diameter  $d_{crit}$  corresponding to a crit-  
 256 ical Bond number  $B_{crit} = 0.1$  is indicated in blue and has been calculated using Eq. 14.  
 257 We use  $B_{crit} = 0.1$  as a baseline, i.e., we assume that adhesion starts to have a notice-  
 258 able effect on porosity once the interparticle forces exceed 10 % of the particle weight.  
 259 For Ryugu,  $B_{crit} = 0.1$  corresponds to  $d_{crit} = 0.52 \text{ m}$ , but the influence of varying  $B_{crit}$   
 260 will also be discussed.

261 To evaluate Eq. 9, we first discretize the size range between  $D_{min} = 0.02 \text{ m}$  and  
 262  $D_{max} = 140 \text{ m}$  into  $\log(D_{max}/D_{min})/\log(q)$  logarithmically spaced bins. We use a size  
 263 factor of  $q = 1.05$  from one bin to the next, resulting in a total of 182 size bins. Then,  
 264 initial specific volumes  $V_i$  and therefore initial porosities  $\phi_i$  in the individual size-bins  
 265 need to be prescribed. While initial porosities of coarse monosized spherical particles gener-  
 266 ally vary between 0.42 for loose random packing and 0.36 for dense random packing  
 267 (Scott, 1960), cohesive forces between small particles can considerably increase porosi-  
 268 ties (Scheeres et al., 2010; Kiuchi & Nakamura, 2015). We use the empirical relation (Ki-

269 uchi & Nakamura, 2015; Kiuchi & Nakamura, 2015)

$$\phi_i = \phi_0 + (1 - \phi_0)e^{-\alpha B(d_i)^{-\gamma}} \quad (15)$$

270 to determine initial porosity, where  $\phi_0$  is the porosity of the non-cohesive particles and  
 271 we choose  $\phi_0 = 0.395$  as appropriate for intermediate packing densities. Note that we  
 272 here implicitly assume initial porosities as appropriate for spherical particles, as for the  
 273 relevant range of observed sphericities the influence of deviations from an ideal spher-  
 274 ical shape on initial porosity is negligible (Zou & Yu, 1996). In Eq. 15,  $B(d_i)$  is the Bond  
 275 number (Eq. 14) at particle diameter  $d_i$  and the constants  $\alpha = 2.414$  and  $\gamma = 0.1985$   
 276 have been derived from a new fit to the data of Kiuchi & Nakamura (2015). Finally, the  
 277 specific volume occupied by the mixture is obtained by calculating the maximum of all  
 278 specific volumes for the different controlling mixture sizes and

$$V = \max\{\tilde{V}_1, \dots, \tilde{V}_n\} \quad (16)$$

279 Mixture macroporosity is then given by  $\phi_{Macro} = 1 - 1/V$ .

### 280 **2.3 Average Grain Density**

281 While the main goal of the present paper is a determination of the macro-porosity  
 282 of rubble-pile asteroid Ryugu, additional information on the asteroid's average grain den-  
 283 sity can be derived. As macroporosity  $\phi_{Macro}$ , microporosity  $\phi_{Micro}$ , and bulk density  
 284  $\rho_{Bulk}$  are related by

$$\phi_{Macro} = 1 - \frac{1 - \phi_{Bulk}}{1 - \phi_{Micro}} \quad (17)$$

285 information on grain density  $\rho_{Grain}$  can be extracted from

$$\phi_{Bulk} = 1 - \frac{\rho_{Bulk}}{\rho_{Grain}} \quad (18)$$

286 Eq. 18 requires the macroporosity, microporosity, and bulk density to be known.  
 287 While the bulk density of Ryugu was estimated to be  $1190 \pm 20 \text{ kg m}^{-3}$  (Watanabe et  
 288 al., 2019), the boulders' microporosity cannot currently be unambiguously constrained  
 289 due to the difficulties associated with extrapolating meteorite thermal conductivities to  
 290 porosities in excess of 20 % (Grott et al., 2019; Macke et al., 2011). However, end-member  
 291 models (Flynn et al., 2018; Henke et al., 2016) suggest microporosities  $\phi_{Micro}$  either be-  
 292 tween 28 to 35 % or between 41 to 55 % for Ryugu's dark and rugged boulders (Grott

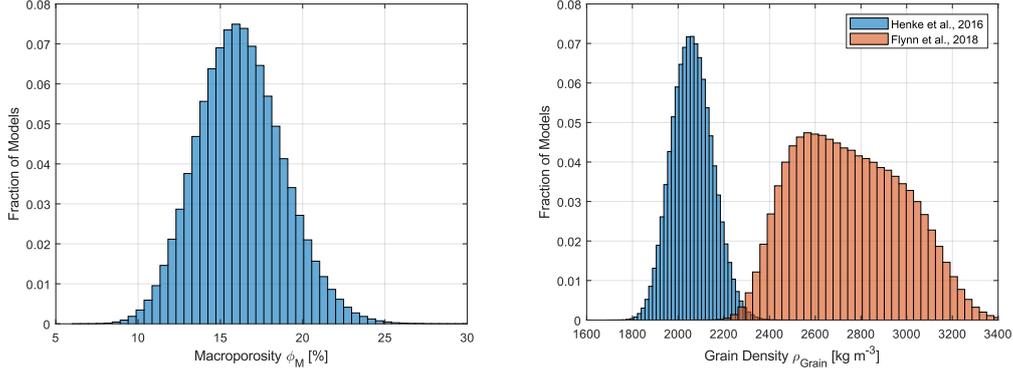
et al., 2017, 2019) which comprise the vast majority of all boulders observed on the surface (Sugita et al., 2019). We will use Monte-Carlo simulations to propagate these uncertainties to the determination of Ryugu’s grain density, while simultaneously taking the uncertainty associated with Ryugu’s macroporosity as derived from the linear mixing theory (Sec. 2.2) into account.

### 3 Results

Given the parameterization of the size-frequency distribution (Eq. 1) for the boulders observed on the surface of Ryugu, and assuming the distribution also applies to the interior, we have first calculated the corresponding volume frequency distribution using Eq. 2. Given roundness  $\Omega$ , Hamaker constant  $A$ , particle bulk density  $\rho$ , and volume average gravity  $g$  (see Eq. 14), we then varied the initial porosity  $\phi_i$  in each size bin using a Gaussian distribution centered around 39.5 % with standard deviation of 3 %. In addition, particle sphericity was varied using a Gaussian distribution centered around 0.85 with standard deviation of 0.06, and  $10^6$  draws from these distributions were used in a Monte-Carlo simulation to calculate the resulting macroporosity according to Eq. 16.

Results of the calculation are shown in the left hand panel of Fig. 4, where a histogram of the obtained macroporosities  $\phi_M$  is shown. The range of macroporosities obtained in the calculations is  $\phi_M = 16.2 \pm 2.6$  %, and thus considerably smaller than porosities of monodisperse packings. This is not surprising given the broad particle size distribution observed on the surface of Ryugu. Here and in the following, stated error-bars refer to one standard deviation and thus represent 68 % confidence intervals.

Given the range of macroporosities derived above as well as estimates for the boulder microporosities derived from in-situ thermal inertia measurements (Grott et al., 2019), we calculated the range of grain densities compatible with the observed bulk porosity of Ryugu (Watanabe et al., 2019) using Eq. 17 and 18. We applied two endmember models for the microporosity  $\phi_{Micro}$ : for the first model (Flynn et al., 2018)  $41\% < \phi_{Micro} < 55\%$ , while for the second model (Henke et al., 2016)  $28\% < \phi_{Micro} < 34\%$ . In the  $10^6$  Monte-Carlo simulations performed, we varied microporosity using uniformly distributed random numbers between 41 % and 55 % as well as 28 % and 34 %, respectively. Furthermore, we varied bulk density using a Gaussian distribution centered around 1190

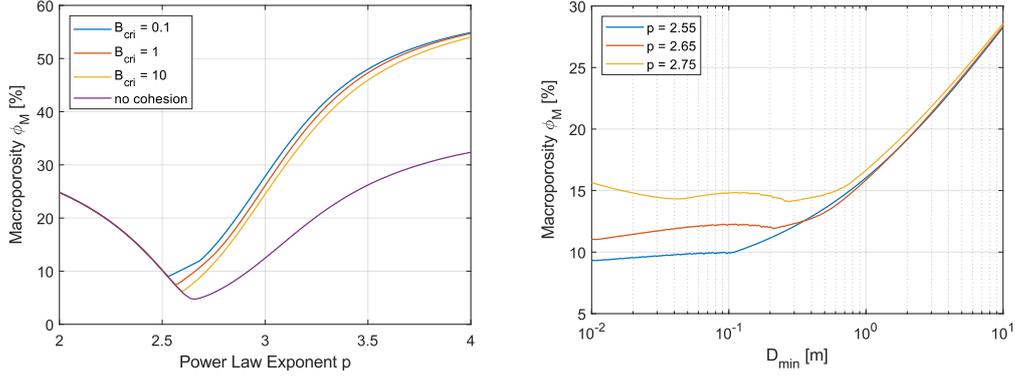


**Figure 4.** Left: Histogram of macroporosities  $\phi_M$  obtained using Monte-Carlo simulations. Right: Ryugu grain densities derived from a second set of Monte-Carlo calculations (see text for details). The bimodal distribution results from the uncertainty of microporosity for Ryugu’s boulders (Grott et al., 2019), and two end-member models for the microporosity have been assumed.

324  $\text{kg m}^{-3}$  with a standard deviation of  $20 \text{ kg m}^{-3}$  (Watanabe et al., 2019) and macroporosity using a Gaussian distribution centered around 16.2% with a standard deviation  
 325 of 2.6 %.  
 326

327 Results of the calculation are shown in the right hand panel of Fig. 4, where the  
 328 resulting histograms for the grain densities  $\rho_{Grain}$  are shown for the two endmember mod-  
 329 els. Owing to the two different models used to estimate boulder microporosity, two sep-  
 330 arate peaks are obtained for the distribution of grain densities. For the model of Flynn  
 331 et al. (2018), we find grain densities of  $\rho_{Grain} = 2751 \pm 236 \text{ kg m}^{-3}$ , whereas the model  
 332 of Henke et al. (2016) results in  $\rho_{Grain} = 2062 \pm 89 \text{ kg m}^{-3}$ . As expected, higher mi-  
 333 croporosities (Flynn et al., 2018) yield larger grain densities and vice versa to satisfy the  
 334 constraint posed by Ryugu’s bulk density.

335 Results of a systematic study of the influence of critical Bond number  $B_{cri}$  and lower  
 336 diameter cutoff sizes  $D_{min}$  on the obtained macroporosities  $\phi_{Macro}$  are shown in Fig. 5.  
 337 Here, the size-frequency distribution of boulders has been approximated by a power law  
 338 with exponent  $p$  to facilitate a comparison of Ryugu with other rubble pile asteroids. For  
 339 smaller power law exponents, the size-frequency distribution is shallower as compared  
 340 to distributions with larger  $p$ , and as a result, such distributions represent surfaces with  
 341 a higher ratio of large particles.



**Figure 5.** Left: Macroporosity  $\phi_{Macro}$  (porosity caused by void spaces in-between particles) as a function of the power law exponent of the underlying size-frequency distribution and critical Bond number  $B_{cri}$ . For comparison, results obtained neglecting cohesion between particles are also shown. Right: Macroporosity  $\phi_{Macro}$  as a function of lower cutoff size  $D_{min}$  for three different power law exponents  $p$ . For reference, the power law exponent for Ryugu as derived from the observed surface boulder size-frequency distribution is  $p = 2.65$  on average (Michikami et al., 2019).

342 In general, the macroporosities  $\phi_{Macro}$  obtained using the above mixing theory show  
 343 a distinct minimum at intermediate power law exponents  $p$ , whereas distributions which  
 344 have too many small or too many large particles result in unfavorable mixing and larger  
 345  $\phi_{Macro}$  are obtained. This minimum around  $p = 2.5$  is known as the Fuller parabola  
 346 in the engineering literature and has long been known as the optimum packing size dis-  
 347 tribution for spherical particles (Fuller & Thompson, 1907). Results obtained varying  
 348 the critical Bond number are shown in the left panel of Fig. 5, where the critical Bond  
 349 number parametrizes the particle size below which interparticle forces result in signif-  
 350 icant cohesion. As expected, low critical Bond numbers, corresponding to larger contri-  
 351 butions from cohesive particles, result in larger macroporosities. However, the overall ef-  
 352 fect is small and in the few percent range. The low critical Bond number of 0.1 adopted  
 353 above therefore results in a conservative upper limit on macroporosity. It is also worth  
 354 noting that results obtained using a power law distribution with  $p = 2.65$  are lower than  
 355 those obtained using the Weibull representation of the data by 4-5 %, such that results  
 356 obtained using global power law fits must be interpreted with caution. For comparison,  
 357 results obtained neglecting cohesion are also shown in the left panel of Fig. 5, and macro-  
 358 porosity approaches a limit of 39.5 % (compare Eq. 15) for large  $p$  (not shown).

359 The influence of varying the lower cutoff diameter  $D_{\min}$  of the size-frequency dis-  
 360 tribution on the obtained macroporosity  $\phi_{Macro}$  is shown in the right panel of Fig. 5,  
 361 where  $\phi_{Macro}$  is shown as a function of  $D_{\min}$  for three power law exponents  $p$ . In the  
 362 calculations, a critical Bond number of  $B_{cri} = 0.1$  has been assumed. While the min-  
 363 imum macroporosity that can be achieved by the packing is close to constant for small  
 364  $D_{\min}$ , predicted macroporosity drastically increases for cutoff diameters larger than a  
 365 few decimeters. In this case, unfavorable mixing is a result of the sparsity of smaller rocks  
 366 to fill the gaps between larger blocks. These results indicate that image data with cen-  
 367 timeter resolution are necessary to properly characterize the packing state of rubble pile  
 368 asteroids, and that results presented above are largely independent of the cutoff size of  
 369  $D_{\min} = 0.02$  m imposed by the image data available for Ryugu.

#### 370 4 Discussion and Conclusions

371 In the present paper, we have used semi-empirical models for the porosity of multi-  
 372 component mixtures to estimate the macroporosity of Cb-type asteroid (162173) Ryugu  
 373 based on the observed size-frequency distribution of boulders on the asteroid's surface  
 374 and the assumption that the surface distribution of boulders is representative for the bulk  
 375 asteroid. Using the concept of controlling mixtures (Yu & Standish, 1991; Yu & Zou, 1998;  
 376 Zou et al., 2011), we estimated the macroporosity of Ryugu to be  $\phi_M = 16.2 \pm 2.6$  %.  
 377 Based on estimates of boulder microporosity, we furthermore constrained the average grain  
 378 density of Ryugu's to  $\rho_{Grain} = 2751 \pm 236$  kg m<sup>-3</sup> or  $\rho_{Grain} = 2062 \pm 89$  kg m<sup>-3</sup>, de-  
 379 pending on the microporosity model used. While the applied model takes cohesion be-  
 380 tween particles into account, results obtained for Ryugu do not significantly depend on  
 381 the chosen critical Bond number. This is a result of the low volume fraction of small co-  
 382 hesive particles, and models disregarding cohesion result in similar macroporosities as  
 383 those reported here.

384 The cratering experiment performed by Hayabusa2's small carry-on impactor re-  
 385 sulted in the formation of a crater in the gravity-dominated regime (Arakawa et al., 2020),  
 386 indicating that particle cohesion played a minor role in the crater formation process. On  
 387 the other hand, particles with diameters well below 0.2 m were observed in the ejecta,  
 388 which, according to Eq. 14, have Bond numbers close to unity and should therefore in-  
 389 teract cohesively. This apparent discrepancy could be resolved if either the Bond num-  
 390 ber has been overestimated here, which could for example be caused by neglecting small

391 surface asperities or the presence of adsorbed gases, or if small particles are not volu-  
 392 metrically dominant inside Ryugu. The latter is indicated by the shallow particle size  
 393 distribution for particles smaller than 1 m on the surface (Sugita et al., 2019; Michikami  
 394 et al., 2019) and inside the artificial crater (Arakawa et al. (2020), Fig. S5), where the  
 395 particle size distribution shows a power law exponent  $p \sim 2$  (also compare the volume-  
 396 size distribution on the right hand side of Fig. 2). In any case, results of the cratering  
 397 experiment indicate that cohesion might have a small influence on the packing state, and  
 398 the above macroporosities should therefore be regarded as upper limits. However, co-  
 399hesion may become significant for rubble pile asteroids with a steep particle size distri-  
 400bution, e.g., power-laws with  $p > 3$ , where - in contrast to Ryugu - the mixture is dom-  
 401inated by a high volume fraction of very small particles.

402 Although a full analysis using empirical fits of the cumulative boulder size-frequency  
 403 distribution of other small bodies has not been performed here, macroporosity results  
 404 can be qualitatively compared by considering the power law exponents of their respec-  
 405 tive size distributions and assuming similar size cutoffs  $D_{\min}$  and  $D_{\max}$ . The former have  
 406 been widely used to describe size distributions in the literature, and values of  $p = 2.91 \pm$   
 407  $0.3$  and  $p = 3.52 \pm 0.20$  have been obtained for Bennu (Lauretta et al., 2019) and Itokawa  
 408 (Michikami et al., 2008; Mazrouei et al., 2014; Michikami et al., 2019), respectively. As-  
 409 suming  $B_{cri} = 1$  to obtain a best estimate, these correspond to macroporosities between  
 410 8.5 and 37 % for Bennu and 42 to 51.5 % for Itokawa. Assuming average grain densi-  
 411 ties of  $2600 \text{ kg m}^{-3}$ , Bennu's low bulk density of  $1190 \pm 13 \text{ kg m}^{-3}$  (Lauretta et al., 2019)  
 412 implies a bulk porosity of 54 %, indicating significant microporosity. For Itokawa, av-  
 413 erage grain density has been estimated based on the modal abundance of minerals in the  
 414 returned samples, and densities of  $3400 \text{ kg m}^{-3}$  have been obtained (Tsuchiyama et al.,  
 415 2011, 2014). This implies a bulk porosity of  $39 \pm 6$  % (Abe et al., 2006; Fujiwara et al.,  
 416 2006; Tsuchiyama et al., 2011), consistent with the results obtained from the mixing the-  
 417 ory. For Eros, the power law exponent of  $p = 3.31 \pm 0.06$  (Thomas et al., 2001) im-  
 418 plies macroporosities of 39 - 43 %. This is inconsistent with the inferred bulk porosity  
 419 of Eros, which is estimated to be 21-33 % (Yeomans et al., 2000; Wilkison et al., 2002).  
 420 However, although Eros is a heavily fractured body, there is little evidence that it was  
 421 ever catastrophically disrupted and later reaccumulated into a rubble pile (Wilkison et  
 422 al., 2002), such that the theory presented here can probably not be applied.

423 Results for Ryugu have been obtained assuming minimum and maximum particle  
 424 sizes of 0.02 m and 140 m, respectively, and these results are robust with respect to the  
 425 cut-off at small particle sizes  $D_{\min}$ . Only shifting the cut-off  $D_{\min}$  to values larger than  
 426 0.30 m has a noticeable effect on the macroporosity. The upper cut-off size  $D_{\max}$  was  
 427 chosen to correspond to the Otohime boulder, which is the largest boulder observed on  
 428 Ryugu's surface. However, boulders larger than Otohime could potentially reside in Ryugu's  
 429 interior, which would decrease the obtained macroporosity through a filling of void spaces.  
 430 Reasonable upper limits on monolith sizes are 200 m, as derived from observations of fast  
 431 rotators in the asteroid population (Pravec & Harris, 2000) and the catastrophic disrup-  
 432 tion threshold for disruption of asteroids (Benz & Asphaug, 1999; Jutzi et al., 2010). As-  
 433 suming  $D_{\max} = 200$  m reduces  $\phi_{Macro}$  to 15 %.

434 One way to increase macroporosity in the above models would be an increased ini-  
 435 tial porosity in each size bin, which may for example be caused by mechanical interlock-  
 436 ing of particles due to particle angularity. For a random loose packing, non-cohesive ini-  
 437 tial porosity can increase from  $\sim 42$  % for smooth frictionless particles to  $\sim 44$  % for very  
 438 rough particles (Onoda & Liniger, 1990; Jerkins et al., 2008). In the frame of the applied  
 439 mixing model, this effect is taken into account in the chosen initial porosity (Eq. 15),  
 440 and shifting the applied Gaussian distribution in the performed Monte-Carlo simulations  
 441 by 2 % results in slightly increased macroporosities of  $18.0 \pm 3$  %. Therefore, while rough-  
 442 ness and particle interlocking can increase macroporosity, this is likely not a significant  
 443 effect.

444 While the obtained macroporosity may appear to be relatively low, a significant  
 445 reduction with respect to the porosity of random close packings of monodisperse spheres  
 446 can be expected. Even binary mixtures of particles can be arranged in packing states  
 447 with porosities of 15-20 % (Yu & Standish, 1991; Yu et al., 1992), such that it should  
 448 not be surprising to achieve similar packing densities with the broad size distributions  
 449 used here. Ternary mixtures can achieve  $\phi_{Macro} < 10$  % (Yu & Standish, 1991), and  
 450 while most common loose or compact granular materials have macroporosities between  
 451 0.3 and 0.5, almost any degree of macroporosity between 10 and 90 % can be obtained  
 452 for non-uniform angular particles (Dullien, 1991). Experimentally, macroporosities down  
 453 to 10 % have been produced in the lab (Latham et al., 2002). Therefore, the macropo-  
 454 rosity of Ryugu obtained here falls within a reasonable range, and Ryugu's high bulk  
 455 porosity is a direct consequence of the very large microporosity of Ryugu's boulders.

456 The average grain densities obtained here are much lower than typical grain den-  
 457 sities of ordinary chondrites, which range from 3520 to 3710 kg m<sup>-3</sup> (Flynn et al., 2018),  
 458 and also lower than those of most carbonaceous chondrites, which typically have grain  
 459 densities in excess of 3360 kg m<sup>-3</sup> (Flynn et al., 2018). Only the CM and CI sub-classes  
 460 show lower grain densities, and  $\rho_{CM,Grain} = 2960 \pm 40$  kg m<sup>-3</sup> while  $\rho_{CI,Grain} = 2420$   
 461 kg m<sup>-3</sup> (Consolmagno et al., 2008; Macke et al., 2011; Flynn et al., 2018). The Tagish  
 462 Lake meteorite, an ungrouped carbonaceous chondrite, exhibits similar grain densities  
 463 in the range between 2430 and 2840 kg m<sup>-3</sup> (Ralchenko et al., 2014). While the larger  
 464 grain densities of  $2751 \pm 236$  kg m<sup>-3</sup> are consistent with the CM, CI, and Tagish Lake  
 465 results, the lower densities of  $2062 \pm 89$  kg m<sup>-3</sup> are inconsistent with those of known  
 466 meteorite samples.

467 Estimates of grain densities discussed above indicate that extrapolating boulder  
 468 porosities as a function of thermal conductivity using the model by Flynn et al. (2018)  
 469 is preferred to extrapolations using the model by Henke et al. (2016). In addition, lab-  
 470 oratory measurements of thermal conductivity (Hamm et al., 2019) using the UTPS Tag-  
 471 ish Lake meteorite simulant (Miyamoto et al., 2018) provide further evidence of high boul-  
 472 der microporosity. The UTPS simulant has a grain density of 2813 kg m<sup>-3</sup> and a poros-  
 473 ity of 47.5 %, while thermal conductivity was determined to be similar to that of Ryugu’s  
 474 rugged boulders (Hamm et al., 2019). It therefore seems likely that boulder porosity on  
 475 Ryugu falls within the high range determined by Grott et al. (2019), but more labora-  
 476 tory measurements of thermal conductivity at high porosity are needed to confirm these  
 477 results and reduce uncertainties. If grain densities are indeed of the order of 2750 kg m<sup>-3</sup>,  
 478 Ryugu’s bulk porosity is estimated to be 57 % (cp. Eq. 18).

479 It is noted that close-up images have revealed that many boulders on Ryugu and  
 480 Bennu exhibit morphologic properties consistent with a brecciated structure (Sugita et  
 481 al., 2019; Walsh et al., 2019). Breccia would have much larger microporosities than pris-  
 482 tine rocks, consistent with the large microporosities preferred here. Furthermore, the pres-  
 483 ence of breccia on Ryugu and Bennu is consistent with the fact that many carbonaceous  
 484 chondrites and, in particular, all CM and CI meteorites found on Earth are known to  
 485 be brecciated (Bischoff et al., 2006). However, it remains to be investigated if breccia-  
 486 tion is the main mechanism providing microporosity, or whether the boulder’s highly porous  
 487 structure is a result of the formation mechanisms acting in Ryugu’s parent body (Neu-  
 488 mann et al., 2014, 2015).

489 If microporosity in typical carbonaceous asteroids is as high as predicted here for  
490 Ryugu, macroporosities of rubble pile asteroids may have been systematically overesti-  
491 mated in the past (e.g., Consolmagno et al., 2008). Macroporosities have been estimated  
492 based on measurements of asteroid bulk density and porosities of meteorite samples, the  
493 latter of which could have been underestimated compared to values for actual carbona-  
494 ceous material on asteroids derived from in-situ measurements (Grott et al., 2019). This  
495 bias could be the result of filtering by the Earth’s atmosphere, as only the strongest, dens-  
496 est carbonaceous meteoroids would survive atmospheric entry, while weaker samples would  
497 break up (Popova et al., 2011). This could explain the absence of high porosity samples  
498 in our meteorite collections, where the most porous sample reported to date is the Tag-  
499 ish Lake meteorite, which shows porosities in the range from 26 to 36 % (Ralchenko et  
500 al., 2014). The samples to be returned from Ryugu by the Hayabusa2 mission will pro-  
501 vide crucial information on this issue.

502 Results presented here assume that the size-frequency distribution observed on the  
503 surface of Ryugu is representative for the entire asteroid, but it has been argued that  
504 particle size sorting may take place during rubble pile re-accretion, with larger blocks  
505 accreting first and thus in the center (Britt & Consolmagno S.J., 2001). On the other  
506 hand, seismic shaking and the Brazil Nut Effect could lead to an overrepresentation of  
507 large boulders on the surface (Maurel et al., 2017), as particle motion could for exam-  
508 ple be induced by seismic shaking. This topic can be addressed once average grain den-  
509 sity and possibly also microporosity have been determined from the returned samples,  
510 as has been done for Itokawa (Tsuchiyama et al., 2011). Then, Ryugu’s macroporosity  
511 can be derived given the measured bulk density (Watanabe et al., 2019). Any significant  
512 deviation from the macroporosity value calculated here will indicate a non-homogeneous  
513 boulder size distribution in the bulk volume of the asteroid.

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