

1                   **Downscaling CESM2 in CLM5 to Hindcast**  
2                   **Pre-Industrial Equilibrium Line Altitudes for Tropical**  
3                   **Mountain Glaciers**

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7                   **Key Points:**

- 8                   • Global model-forced standalone land model framework developed for simulating  
9                   tropical mountain glaciation  
10                  • Equilibrium line altitude can be estimated with a bias of  $237 \pm 340$  m where moun-  
11                  tain peaks sufficiently resolved  
12                  • Uncertainties in mean lapse rate of temperature or downwelling longwave radi-  
13                  ation are minor or irrelevant contributors to bias

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## 14 **Abstract**

15 Tropical mountain glaciers are an important water resource and highly impacted by re-  
 16 cent climate change. Tropical mountain glaciation also occurred in the deep past, rais-  
 17 ing questions about their global climate significance and presenting challenges for bridg-  
 18 ing the scales resolved by global models (100s of km) with the  $\approx$  1–10 km scale of glaciers  
 19 when paleotopography is poorly known. Here we hindcast tropical mountain glaciation  
 20 in pre-industrial time by using global climate model meteorology to force standalone sim-  
 21 ulations in its land component that use high resolution topography to resolve selected  
 22 tropical mountain glaciers. These simulations underestimate observed equilibrium line  
 23 altitudes (ELA) by  $237 \pm 340$  m, but the simulated ELA and snow lines capture observed  
 24 inter-mountain ELA variability. Uncertainties in flow and temperature/downward long-  
 25 wave radiation lapse rates do not fully explain ELA hindcast bias, suggesting diurnal vari-  
 26 ability not captured by downscaling may be an important bias factor.

## 27 **Plain Language Summary**

28 Shrinking glaciers in mountains near the Equator are commonly used to illustrate  
 29 present day climate change caused by greenhouse gas emissions from burning fossil fu-  
 30 els. These glaciers are not just picturesque but also can be an important source of wa-  
 31 ter for humans. Geologists have found the traces of larger, lower elevation glaciers from  
 32 the most recent ice age and hundreds of millions of years ago. These glaciers could be  
 33 big clues to how cold climate was in the past, if we knew how to interpret them. Climate  
 34 models could help, but they generally look at what is happening at scales much bigger  
 35 than glaciers. We would like to be able to predict how low glaciers reach in elevation in  
 36 a particular global climate model experiment. We do this by taking the weather from  
 37 a global climate model and putting into a model that looks at processes similar in scale  
 38 to glaciers. This approach underestimated the elevation of several well-observed glaciers,  
 39 even if we accounted for glaciers flowing and other potential problems in translating in-  
 40 formation from the global to glacier scale. But our method does get right how glacier  
 41 elevation varies from mountain to mountain, which is potentially useful.

## 42 **1 Introduction**

43 Mountain glaciers in the Earth’s tropics can be a striking part of the landscape,  
 44 because their high reflectivity at all visible wavelengths and their very nature as frozen  
 45 water can starkly contrast with the red, brown, and green colors and warmer and/or drier  
 46 climates at nearby lower elevations. The shrinking of tropical mountain glaciers over the  
 47 last century or so has been used as a potent illustration of the impact of anthropogenic  
 48 climate change on an aesthetically compelling feature of the environment (e.g., Mote &  
 49 Kaser, 2007; Thompson et al., 2011). But the shrinking of these glaciers has more prac-  
 50 tical consequences for those who depend on them for fresh water or other climate ser-  
 51 vices, principally in the Andes (e.g., Vuille et al., 2008; Mölg et al., 2008; Drenkhan et  
 52 al., 2015)

53 Tropical mountain glaciers make such a good and potentially misleading (see Mote  
 54 & Kaser, 2007) illustration of anthropogenic climate change, because they are highly sen-  
 55 sitive to changes in temperature and precipitation. The equilibrium line altitude (ELA),  
 56 the elevation at which long-term accumulation of ice balances long-term ablation of ice,  
 57 was typically  $\approx$  1 km lower at the Last Glacial Maximum (LGM) than the period around  
 58 or just after 1850 (Porter, 2001; Hastenrath, 2009). This change was coincident with a  
 59 2–4 K change in tropical mean temperatures (Annan & Hargreaves, 2013), which was  
 60 likely larger on mountains due to steeper lapse rates (Tripathi et al., 2014; Loomis et al.,  
 61 2017).

62 It is important to note that the ELA is a global property of a glacier. In areas with  
63 steeper slopes, glaciers can flow quite deeply into valleys, emplacing terminal moraines  
64 at elevations  $> 1$  km below the ELA that is rigorously obtained by taking of the mean  
65 elevation of the entire margin of the glacial front (Osmaston, 2004) and less rigorously  
66 by averaging the top and bottom elevation of the glacier (Porter, 2001).

67 Global climate at the LGM was relatively cold for Phanerozoic time and highland  
68 environments are preferentially eroded (Larsen et al., 2014; Mills et al., 2021), so evi-  
69 dence for tropical mountain glaciation would not be expected to be widespread in the  
70 geologic record. As early as the nineteenth century, however, past glaciation was recog-  
71 nized in a highland environment adjacent to tropical lowlands dating from the Late Car-  
72 boniferous Period of France (300 Ma) (Julien, 1895). Modern techniques have confirmed  
73 the Late Carboniferous age and paleolatitude of glacial deposits from France as well as  
74 in an ancient tropical mountain range far to the west in present day Colorado (e.g., Soreghan  
75 et al., 2014; Pfeifer et al., 2021, and references therein). These Carboniferous deposits  
76 seem to record terminal moraines at altitudes  $< 2000$  m, suggesting ELA was at least  
77 similar to the LGM (Soreghan et al., 2014). However, global climate model (GCM) sim-  
78 ulations using appropriate paleogeography and plausible greenhouse gas levels have been  
79 unable to reproduce stable glaciation at these elevations (Soreghan et al., 2008; Heav-  
80 ens et al., 2015).

81 A GCM might be unable reproduce past glaciation if it is under-resolving or in-  
82 completely representing glacial processes. Typical GCM resolution for lengthy deep time  
83 climate experiments are 200–400 km at the Equator (Soreghan et al., 2008; Heavens et  
84 al., 2015), while even pre-industrial tropical glaciers typically were  $\ll 10$  km in diam-  
85 eter (Kaser, 1999). And while deep time GCMs generally predict snowfall and some work  
86 has been done to couple deep time GCMs with models that simulate ice sheets (e.g., Hyde  
87 et al., 2000; Poulsen et al., 2007; Horton et al., 2012), prognostic climate simulations of  
88 mountain glaciation are relatively rare and require some form of downscaling from global  
89 GCM resolution (e.g., Kotlarski et al., 2010; Shannon et al., 2019).

90 Recently, a prognostic ice sheet model, the Community Ice System Model (CISM),  
91 was added as a fully coupled component to the Community Earth System Model (Lipscomb  
92 et al., 2019). CISM takes ice mass balance information from the Community Land Model  
93 (CLM), which CLM predicts on the basis of atmospheric component (Community At-  
94 mosphere Model: CAM) temperature and precipitation information downscaled into mul-  
95 tiple elevation classes of potential glaciers. Thus, the ice mass balance a large grid cell  
96 is considered at an elevation around 3000 m, 2500 m, etc. according to model settings.  
97 CISM then translates that ice mass balance onto a grid with resolution as fine as 4 km  
98 and simulates ice flow. But CLM (with or without CISM) is not designed to simulate  
99 mountain glaciation realistically because of concerns that under-resolving topography  
100 within the atmosphere model results in excessively warm climate and excessive runoff  
101 (UCAR, n.d.).

102 The purpose of this study is to use CESM and CLM when topography is explic-  
103 itly resolved to predict the ELA of tropical mountain glaciation in a limited area and  
104 test these predictions against observations. This hindcasting framework uses CLM forced  
105 by CAM to obtain ice surface mass balance (SMB) information but downscales atmo-  
106 spheric forcing to a high resolution topographic grid. Trying to connect global climate  
107 change quantitatively with the response of tropical mountain glaciation is nothing new  
108 (see Mölg and Kaser (2011); Roe et al. (2021) and references therein). The unique fea-  
109 ture of this study is modeling tropical mountain glaciation entirely within the framework  
110 of a latest generation global climate model and its land component. While this hindcast-  
111 ing framework is being developed for deep time climate studies, the validation test that  
112 it provides for CESM2 and CLM5 and could provide for global climate models of simi-  
113 lar capability should be of broader interest.

## 114 2 Methods

### 115 2.1 CESM2 and CLM Simulations

116 We performed standalone CLM5 simulations forced by a data atmosphere gener-  
 117 ated by a standard CESM2 simulation on the National Center for Atmospheric Research  
 118 (NCAR) supercomputer Cheyenne (CISL, 2019). Because this is a non-standard con-  
 119 figuration of CLM5, we have archived example case directories, configuration procedure  
 120 documentation, and input files for these simulations within the data archive associated  
 121 with this study (Heavens, 2021). CESM2 and CLM5 (its default land component) were  
 122 particularly chosen, because CLM5 was specifically modified to improve representation  
 123 of processes related to hydrology, snowfall, and ice mass balance (Lawrence et al., 2019).  
 124 Except for some simulations described later, the CLM5 code was modified to remove a  
 125 step in the downscaling of downward longwave radiation at the surface (FLDS) that re-  
 126 normalized the downscaled radiation fields between elevation classes. This change is con-  
 127 sistent with each point in the land model being treated as a single elevation class and  
 128 reduces FLDS on mountain summits by  $\approx 100 \text{ Wm}^{-2}$ .

129 The CESM2 data atmosphere came from 30 years of a branch simulation from year  
 130 1101 of the Climate Model Intercomparison Project 6 (CMIP6) standard pre-industrial  
 131 control for CESM2 at f09\_g17 resolution ( $0.9^\circ \times 1.25^\circ$ ) (Danabasoglu et al., 2020). Stan-  
 132 dalone CLM5 simulations then were run in 10 limited area domains roughly centered on  
 133 past or presently glaciated tropical mountains with well-documented estimates of ELA  
 134 (Table 1). Two areas with no recently glaciated mountains but with mountains that were  
 135 glaciated at the LGM (Table 1) were simulated to make sure ELA was not substantially  
 136 underestimated in pre-industrial climate and to set a baseline for a future study of LGM  
 137 tropical mountain glaciation. The selected areas cover a meridional transect in the trop-  
 138 ics of Central and South America as well as a few domains in Africa and the Maritime  
 139 Continent to cover a range of observed ELA and proximity to the ocean. This choice of  
 140 domains is meant to span the potential range of precipitation, though this choice can-  
 141 not be rigorous because of the sparseness of precipitation measurements and the hete-  
 142 rogeneity of precipitation in these areas (e.g., La Frenierre & Mark, 2017).

143 Each domain was  $2^\circ$  in latitude and  $1^\circ$  in longitude. The selection of domain size  
 144 ensured multiple glaciated mountains and topography  $< 2000 \text{ m}$  could be included in  
 145 the domain (except in the High Andes). The domain is similar in size to 1–2 global model  
 146 grid cells in the CESM2 simulation.

147 Each CLM5 simulation was initialized from high-resolution surface data and land  
 148 domain files (nominally 100 points per degree) in which the global model resolution land  
 149 surface properties except topography/slope were translated to the high-resolution do-  
 150 main by nearest neighbor interpolation. High resolution topography, standard deviation  
 151 of elevation, and slope data were then added using 30 arc-second resolution data from  
 152 GMTED2010 (Danielson & Gesch, n.d.). (Fig. 1a). The topography was used to assign  
 153 each grid point to one of 10 possible elevation classes and set its elevation. To ensure SMB  
 154 could be calculated, glacial column coverage was set to a minimum of 1% (or greater where  
 155 the original land surface dataset had greater glacial column coverage). This additional  
 156 glacial column coverage replaced coverage by vegetation. Glacier region was set to 2 (Green-  
 157 land). We have verified by appropriate simulations that using the different elevation class  
 158 treatments available for glacier regions 2 and 3 (Antarctica) or using 50% glacial cov-  
 159 erage does not affect the results of this type of simulation as long as the SMB and re-  
 160 lated calculations are analyzed on the glaciated land units alone. In effect, these exper-  
 161 iments impose a glacier of 50 m altitude (as evident from the documentation and ini-  
 162 tial grid cell ice content variable, ICE\_CONTENT1) over a limited grid cell area, in cir-  
 163 cumstances where glaciation has no or minimal impact on large-scale climate, and sim-  
 164 ulate how it accumulates or ablates over a climatological normal period.

□

**Table 1.** High resolution domains used for standalone CLM5 simulations. Features listed and ELA values come from Porter (2001) and Hastenrath (2009). Distance from the ocean was calculated using the distance calculator in Google Earth and is listed with a 5 km precision.

Number	Latitude Bounds (°N)	Longitude Bounds (°E)	Mountains/ Features	Est. Pre-Industrial ELA (m)	Minimum Distance from Ocean (km)
1	18.5, 20.5	-99.5, -98.5	Iztaccihuatl, Mexico	4880	225
2	8.5, 10.5	-84,-83	Cherro Chirripo, Costa Rica	>3819	50
3	4,6	-76,-75	Los Nevados de Santa Isabel y del Ruiz, Colombia	4750, 4850	220, 235
4	-2, 0	-79,-78	Chimborazo+ Antisana, Ecuador	4715, 4850	210, 215
5	-10,-8	-78,-77	Huascarán, Peru	5000	95
6	-18.5,-16.5	-69.85,-68.85	Nevado Sajama, Bolivia; Parinacota, Chile	5550, 5600	160, 115
7	-1,1	37,38	Mt. Kenya, Kenya	4712.5	440
8	-4,-2	37,38	Mt. Kilimanjaro, Tanzania (Kibo and Mawenzi peaks)	5030, 5407.5	285
9	-1,1	29.5,30.5	Mt. Ngaliema, Uganda	4495	1205
10	5,7	116,117	Kinabalu, Malaysia	>4095	40

165 The experiments were cold started (because only physical climate was of interest)  
 166 and used crop-biogechemistry physics routines, because agricultural activity occurs in  
 167 some of the domains and it was therefore necessary to include crop biomes. Lapse rate  
 168 was set to the mean free air temperature lapse rate for the domain derived from the CESM2  
 169 simulation. FLDS lapse rate was set to the standard CLM5 setting of  $0.032 \text{ Wm}^{-2} \text{ m}^{-1}$   
 170 Van Tricht et al. (2016); Lawrence et al. (2019). (Positive lapse rate is defined here as  
 171 decreasing with height.)

172 The mean free air lapse rate in each CLM5 domain was calculated by calculating  
 173 the mean lapse rate in the troposphere as defined by WMO (1957) for every grid point  
 174 of each monthly mean output file of the CESM2 simulation, interpolating this onto each  
 175 CLM5 domain in the same way as the CLM5 boundary condition files, and then aver-  
 176 aging over 30 years. The results in all cases are between 6 and 7  $\text{K km}^{-1}$  (Table 2).

177 To test sensitivity to FLDS and temperature lapse rate, six simulations were per-  
 178 formed in domain 4 (Table 1) that varied temperature lapse rate in six steps (9.8, 8, 7,  
 179 6, 5,  $3.2 \text{ K km}^{-1}$ ) without modifying the FLDS downscaling in CLM5. These values span  
 180 the dry adiabatic lapse rate and extreme values reported by Shen et al. (2016) for the  
 181 mid-latitude Tianshan Mountains. An additional simulation in domain 4 was performed  
 182 with the FLDS downscaling modified and a temperature lapse rate of  $7 \text{ K km}^{-1}$ .

## 183 2.2 Analysis

184 The results of each simulation then were analyzed to extract ELA and ELA-related  
 185 metrics. ELA, strictly speaking, is the elevation where ablation and accumulation are  
 186 in balance, that is, where long-term SMB is equal to zero. Following Vizcaíno et al. (2014),

$$187 \quad \text{SMB} = \text{SNOW} + \text{RAIN} - \text{RUNOFF} - \text{SUBLIMATION} \quad (1)$$

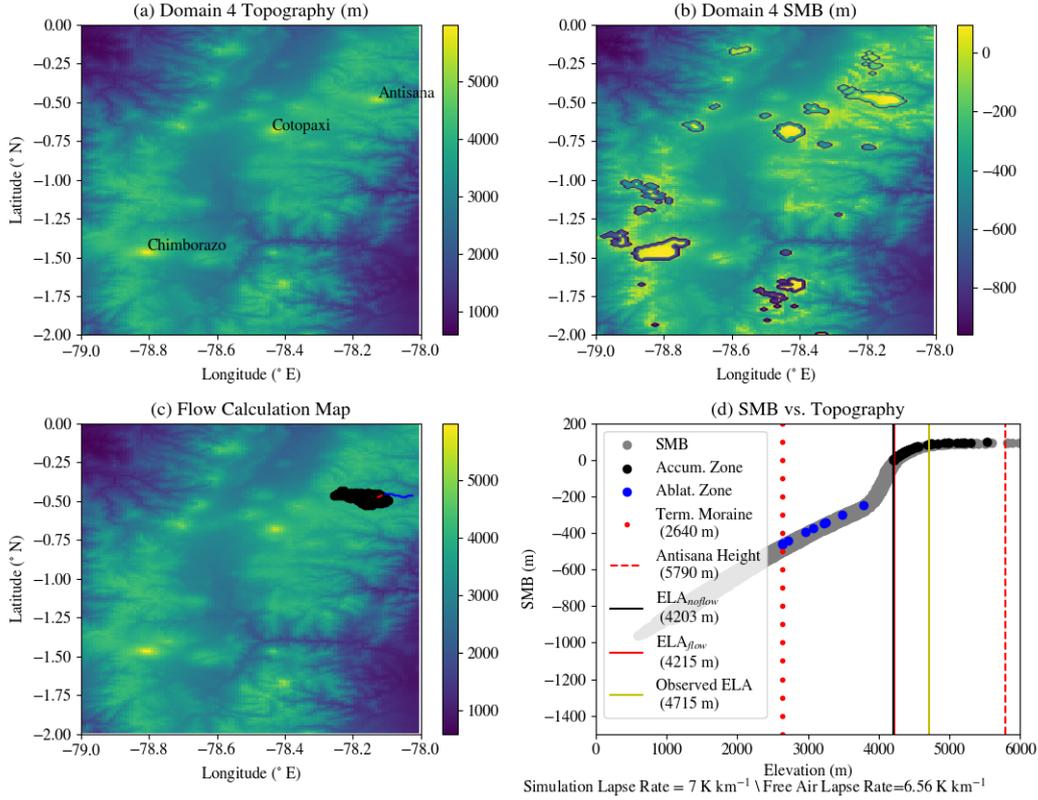
188 This balance can be expressed in CLM5 output variables restricted to glaciated land  
 189 units only.

$$190 \quad \text{SMB} = \text{SNOW\_ICE} + \text{RAIN\_ICE} - \text{QRUNOFF\_ICE} - \text{QFLX\_SUB\_SNOW\_ICE} \quad (2)$$

191 where the quantities in brackets correspond to the terms of Eq. 1 and SNOW\_ICE,  
 192 RAIN\_ICE, QRUNOFF\_ICE, and QFLX\_SUB\_SNOW\_ICE are variables output by CLM5.  
 193 From this point onward, we will use SMB to mean the integrated SMB over the 30 year  
 194 period of each simulation (Fig. 1b).

195 ELA in the absence of flow ( $\text{ELA}_{\text{noflow}}$ ) was estimated by dividing the domain into  
 196 connected regions with  $\text{SMB} > 0$ . ELA then was defined as the minimum altitude of  
 197 each region. By determining the maximum altitude of each region, it was possible to as-  
 198 sign each region to a mountain with observed ELA estimates. In some cases, however,  
 199 two mountain peaks with estimates were in the same connected region.

200 An ELA metric accounting for flow ( $\text{ELA}_{\text{flow}}$ ) was calculated by first estimating  
 201 the minimum possible elevation of a terminal moraine originating from each connected  
 202 regions with  $\text{SMB} > 0$ . The product of SMB and area for each connected region as well  
 203 as the path with steepest slope connected to the maximum altitude of the region were  
 204 determined. The product of SMB and area in the ablation region along this path were  
 205 integrated and subtracted from the sum of SMB and area in the accumulation zone formed  
 206 by the connected regions. This is equivalent to determining how low in elevation could  
 207 the accumulated ice go if ice were continuously delivered along a one grid cell wide val-  
 208 ley originating from the region.  $\text{ELA}_{\text{flow}}$  then was estimated as the average of the peak



**Figure 1.** Example CLM5 standalone simulation and its analysis, as labeled: (a) Topographic grid (m). Mountains of interest are labeled, but only Chimborazo and Antisana have ELA estimates; (b) Net SMB for the simulation (m). Connected regions (accumulation zones) are indicated by contours; (c) Topographic map (m) showing the accumulation zone for Antisana in black and the steepest path from the peak used to find the minimum elevation for a terminal moraine in blue; (d) SMB vs. topography for the entire domain with relevant estimates and observations for Antisana labeled.

209 altitude of the region and the elevation of the terminal moraine in line with a typical technique for estimating ELA in the field (Porter, 2001). This type of calculation is illustrated in Figs. 1c–d.

212 The snow line has been used to approximate ELA under some circumstances (Porter, 2001). So for comparison, two estimates of the permanent snow line. 213 SL and  $SL_{1m}$  were defined as the minimum altitude at which snow and snow of 1 m depth were present in each month during the last month of the simulation, respectively. 214 SL and  $SL_{1m}$  were defined as the minimum altitude at which snow and snow of 1 m depth were present in each month during the last month of the simulation, respectively. 215 These metrics were calculated for the whole domain by averaging the minimum elevation where snow is present and the maximum elevation where snow is absent by analogy with the glaciation-threshold method (Porter, 2001). In each case, snow depth was normalized 216 by the fraction of glacial coverage to obtain the true snow depth in the glacial column. 217 Note that  $SL_{1m}$  tends to highlight a small range of elevation where snow depth rapidly increases: a true snow line. Thus, choosing a much higher depth criterion only would marginally 218 change ELA. In one simulation,  $SL_{1m}$  is 4362 m, but  $SL_{10m}$  is only 4405 m. 219 220 221 222

### 3 Results

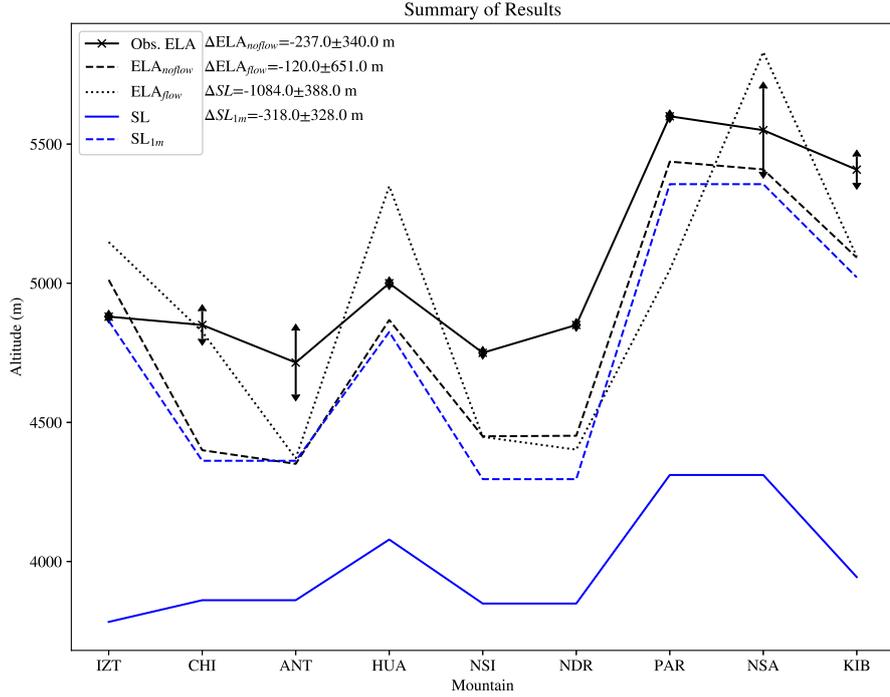
The results of this analysis are given in Table 2. The non-glaciated mountains of Ajusco, Cerro Chirripo, and Kinabulu all are hindcast as non-glaciated. However, the simulations also hindcast Mts. Kenya and Ngaliema as being non-glaciated. This is most likely a resolution problem. For Mt. Ngaliema, uncertainty in the observed ELA is large and the upper bound of ELA it implies is greater than the height of Mt. Ngaliema resolved by the model (Table 2). For Mt. Kenya, the observed ELA is within 100 m of the model-resolved height (Table 2). The model domains do not resolve the highest peaks in several other cases, but the highest elevation in the model is typically significantly greater than the ELA. A similar resolution problem makes it difficult to resolve Kibo from Mawenzi peaks on Kilimanjaro, so Kibo peak only will be considered in the remainder of the analysis.

For nine sufficiently resolved mountains with observed glaciation, the bias ( $\Delta$ ) in the simulated ELA for each of the metrics was estimated by taking the mean and standard deviation of the difference between the estimated and observed ELA (Fig. 2).  $ELA_{noflow}$  underestimates observed ELA by  $237 \pm 340$  m. Accounting for flow ( $ELA_{flow}$ ) reduces the underestimate to 120 m but widens the uncertainty. But as noted by (Porter, 2001), the method used to derive ELA from terminal moraine elevation may overestimate ELA by up to 150 m, making  $ELA_{flow}$  no superior to that derived based on SMB alone. The average simulated snow line is 1084 m below the observed ELA. However, requiring 1 m of permanent snow depth reduces this underestimate to 318 m with comparable uncertainty to ELA, suggesting that the snow line illustrated in Fig. S1 is a good approximation to ELA rather than a snow line based on a minimal amount of snow. The magnitude and variability of biases in all ELA metrics are large enough that they exceed the largest reported uncertainties in observed ELA.

The simulated ELA metrics follow the variability in observed ELA (Fig. 2). Higher observed ELA usually results in higher simulated ELA, suggesting that the simulated ELA is capturing the variability in observed ELA but underestimating its magnitude. For example, the correlation between  $ELA_{noflow}$  and  $SL_{1m}$  and observed ELA is 0.92 and 0.93 respectively ( $n=9$ ), which is significant to  $p < 0.001$ . This correlation is weaker for the other metrics but is still significant to  $p < 0.05$ .

Possible sources of error are the major free parameters of the experiments, the lapse rates of temperature and FLDS, particularly in domain 4. We first consider temperature lapse rate. In domain 4, ELA is underestimated by  $\sim 400$  m (Fig. 2). As implied by the relevant simulation in Table 2, increasing the lapse rate by 1 K lowers  $ELA_{noflow}$  by 330 m. So if the free air lapse rate were considerably higher than the near-surface lapse rate over high terrain, this effect could explain the bias. However, Córdova et al. (2016) used weather station data over the Ecuadorian Andes ( $\sim 50$  km south of domain 4 of Table 2) to show that mean lapse rate was  $6.9 \text{ K km}^{-1}$ ,  $0.3 \text{ K km}^{-1}$  greater than that of domain 4 and thus in the opposite direction necessary to explain the bias. It is unlikely that the lapse rate would decrease at elevations greater than the 4200 m elevation of the highest weather stations sampled by Córdova et al. (2016).

Despite being derived from observations over Greenland (Van Tricht et al., 2016), the lapse rate in FLDS also agrees well with available observations in domain 4. Wagon et al. (2009) measured annual mean FLDS on Antisana to be  $283 \text{ Wm}^{-2}$  during 2005–2006. We used the assumed lapse rate in FLDS to translate between the elevation of these observations and the elevation of the nearest grid point in the high resolution grid ( $\sim 300$  m). We then compared the annual mean FLDS at the nearest grid point in the CESM2 simulation with the annual mean FLDS for the period sampled by Wagon et al. (2009) in the CESM2 CMIP6 historical simulation (b.e21.BHIST.f09\_g17.CMIP6-historical.003) at the same grid point. This comparison implies FLDS was  $1.4 \text{ Wm}^{-2}$  greater during 2005–2006 than around 1850. With all of these adjustments made, the expected annual



**Figure 2.** Comparison of different ELA estimates with observed ELA and their uncertainties for mountains with both observed and simulated ELA. Abbreviated mountain names on the x-axis are abbreviated and in the same order as Table 2. The estimated mean bias and  $2\sigma$  uncertainty in each metric is listed next to the legend.

275 mean FLDS in standalone CLM5 simulations at Wagon et al. (2009)’s observation site  
 276 on Antisana should be  $275 \text{ Wm}^{-2}$ ,  $8 \text{ Wm}^{-2}$  lower than observed. This is equivalent to  
 277 a +8% error in the assumed FLDS lapse rate. If the standard CLM5 downscaling is used,  
 278 the annual mean FLDS is  $381.41 \text{ Wm}^{-2}$ . At a temperature lapse rate of  $7 \text{ K km}^{-1}$ , the  
 279 sensitivity in  $\text{ELA}_{noflow}$  to FLDS is  $9.2 \text{ m (Wm}^{-2})^{-1}$ , so this would explain an  $\text{ELA}_{noflow}$   
 280 underestimate of 77 m, 21% of  $\Delta\text{ELA}_{noflow}$  at Antisana. (Interpolating the results of  
 281 the standard CLM5 downscaling simulations to  $6.56 \text{ K km}^{-1}$  and differencing with the  
 282  $6.56 \text{ K km}^{-1}$  lapse rate modified downscaling simulation for domain 4 only changes this  
 283 result to 87 m and 24%).

#### 284 4 Discussion

285 Whether ELA is based on SMB or permanent snow cover, our CESM2–CLM5 frame-  
 286 work significantly underestimates ELA, implying a cold bias in simulating tropical moun-  
 287 tain climates in these models. This result is somewhat surprising in light of the concern  
 288 expressed in UCAR (n.d.) that using CLM5 to study mountain glaciation would be im-  
 289 pacted by a warm bias. On average, accounting for ice flow enhances the discrepancy  
 290 between simulated and observed ELA, implying neglecting flow is not a major source of  
 291 error. Nor do the lapse rate settings of the simulations seem to explain the bias between  
 292 hindcast and observed ELA. Moreover, while the high resolution grid does not perfectly  
 293 resolve mountain peaks and likely under-resolves the potentially smaller African glaciers

□

**Table 2.** Results of the CLM5 standalone simulations for each mountain of interest. Ice-free and snow-free indicate where glaciation is not observed or ELA cannot be defined, MWHP indicates merger of glaciation of that mountain with a higher peak. Italicized mountain names indicate simulations and mountains used to estimate bias in simulated ELA. ELA data come from Porter (2001) and Hastenrath (2009)

Mountain	Domain		Height		Obs. ELA (m)	ELA <sub>noflow</sub> (m)	ELA <sub>flow</sub> (m)	SL (m)	SL <sub>1m</sub> (m)
	Lapse Rate (K/km)	Longwave Downscaling	Height in Model (m)	Model					
<i>Iztaccihuatl</i>	6.39	Modified	5286	5012	4880	5012	5148	3783	4865
Ajusco	6.39	Modified	3937	3720	Ice-free	Ice-free	Ice-free	3826	Snow-free
Cerro Chirripo	6.45	Modified	3819	3656	Ice-free	Ice-free	Ice-free	Snow-free	Snow-free
<i>Chimborazo</i>	6.56	Modified	6310	5983	4850±50	4400	4826	3861	4362
Chimborazo	9.8	CLM5 Standard	6310	5983	4850±50	4048	4826	3270	4013
Chimborazo	8	CLM5 Standard	6310	5983	4850±50	4656	5035	3672	4588
Chimborazo	7	CLM5 Standard	6310	5983	4850±50	5072	5356	3971	5039
Chimborazo	6	CLM5 Standard	6310	5983	4850±50	5811	5905	4379	5703
Chimborazo	5	CLM5 Standard	6310	5983	4850±50	Ice-free	Ice-free	4959	Snow-free
Chimborazo	3.2	CLM5 Standard	6310	5983	4850±50	Ice-free	Ice-free	Snow-free	Snow-free
Chimborazo	7	Modified	6310	5983	4850±50	4255	4826	3729	4217
<i>Antisana</i>	6.56	Modified	5790	5529	4715±115	4351	4371	3861	4362
Antisana	9.8	CLM5 Standard	5790	5529	4715±115	MWHP	MWHP	3270	4013
Antisana	8	CLM5 Standard	5790	5529	4715±115	4552	4694	3672	4588
Antisana	7	CLM5 Standard	5790	5529	4715±115	5105	5191	3971	5039
Antisana	6	CLM5 Standard	5790	5529	4715±115	Ice-free	Ice-free	4379	5703
Antisana	5	CLM5 Standard	5790	5529	4715±115	Ice-free	Ice-free	4959	Snow-free
Antisana	3.2	CLM5 Standard	5790	5529	4715±115	Ice-free	Ice-free	Snow-free	Snow-free
Antisana	7	Modified	5790	5529	4715±115	4203	4215	3729	4217
<i>Huascaran</i>	6.65	Modified	6768	6293	5000	4868	5349	4079	4825
<i>Nevado de Santa Isabel</i>	6.56	Modified	4950	4814	4750	4450	4448	3849	4296
<i>Nevado del Ruiz</i>	6.56	Modified	5321	5215	4850	4452	4402	3849	4296
<i>Parímacota</i>	6.8	Modified	6348	6240	5600	5437	5048	4311	5356
<i>Nevado Sajama</i>	6.8	Modified	6542	6240	5550±150	5409	5831	4311	5356
Mt. Ngaliema	6.59	Modified	5109	4670	4495±225	Ice-free	Ice-free	3812	Snow-free
Mt. Kenya	6.54	Modified	5202	4839	4712.5±12.5	Ice-free	Ice-free	4023	Snow-free
Mawenzi (Kilimanjaro)	6.45	Modified	5147	blends with Kibo	5030	MWHP	MWHP	3944	5021
<i>Kibo (Kilimanjaro)</i>	6.45	Modified	5895	5794	5408±47.5	5092	5096	3944	5021
Kinabalu	6.66	Modified	4095	3985	Ice-free	Ice-free	Ice-free	3897	Snow-free

294 (areas on the order of 1 km<sup>2</sup>, Andean glacier areas are on the order of 10 km<sup>2</sup> in area  
295 and should be resolved (Kaser, 1999).

296 A possible source of error that cannot be easily evaluated is diurnal and spatial vari-  
297 ability in lapse rate at the topographic scale. Córdova et al. (2016) observed lapse rates  
298 in maximum temperatures of 8.8 K km<sup>-1</sup> and 5.5 K km<sup>-1</sup> in minimum temperatures.  
299 Studied of lapse rates over the mountainous regions in the mid-latitudes likewise suggest  
300 that the atmospheric lapse rate can be a poor approximation for the decrease in surface  
301 temperature and the near-surface air temperature with elevation because of preferential  
302 solar heating of slopes, drainage of cold air into valleys, and variations in surface cover  
303 by snow or vegetation (Minder et al., 2010; Pepin et al., 2016). Thus, failure of CLM5  
304 to account for diurnal variability or properly represent drainage flows or asymmetry in  
305 surface heating might result in excessive melting and substantially raise the simulated  
306 ELA. Adjusting our hindcasting framework to account for this variability likely would  
307 require an embedded mesoscale atmospheric model, raising computational cost and fur-  
308 ther limiting our ability to apply this framework to other global climate states where 1  
309 km scale topography is unknown.

310 There is a positive note for using this hindcasting framework for investigating past  
311 tropical mountain glaciation in other climate states. ELA based on SMB or substantial  
312 surface snow cover captures the variability in observed ELA. Thus, if the ELA bias is  
313 just an offset caused by insufficiently considering local thermal structure in the down-  
314 scaling or some other model bias, it still may be possible to hindcast the rise or fall in  
315 ELA with different global climate states by testing against an ensemble of mountains like  
316 those compiled for this study. It thus would be appropriate to repeat this study for LGM  
317 conditions and see if the observed depression in ELA between the LGM and pre-industrial  
318 climate is reproduced.

## 319 5 Summary

320 In this study, we have tested downscaling CESM2 global simulations in CLM5 to  
321 hindcast tropical mountain glaciation. Our eventual objective for developing this hind-  
322 casting framework is to interpret the significance of deep past tropical mountain glacia-  
323 tion for global climates. But this technique may be more broadly valuable for model val-  
324 idation for models analogous in capability to CESM2 and CLM5. While our framework  
325 well captures the variability in glaciation between different tropical mountains, it gen-  
326 erally underestimates glacier ELA by a larger margin than the uncertainty in the obser-  
327 vations. However, this bias is still smaller than the 1 km difference between ELA dur-  
328 ing the LGM and pre-industrial time, suggesting that this framework might accurately  
329 capture the change in ELA between different global climate states. This hypothesis could  
330 be verified by repeating this study for LGM conditions. Moreover, making ELA estimates  
331 based on snow line rather than surface mass balance looks promising for simulating ELA  
332 in circumstances where topography is poorly known.

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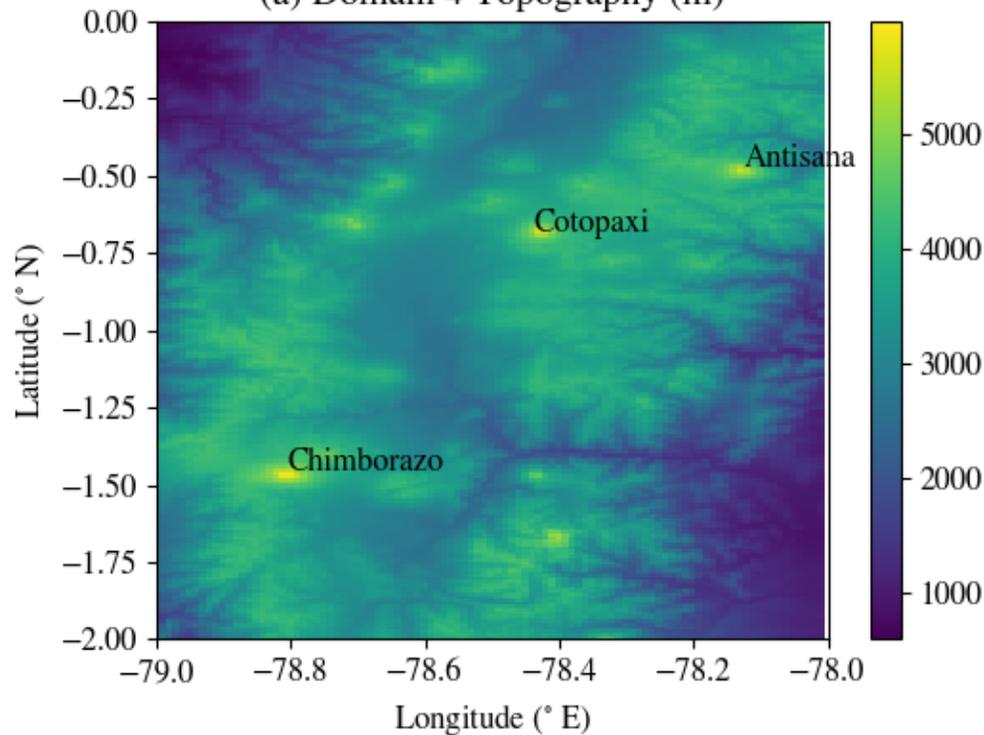
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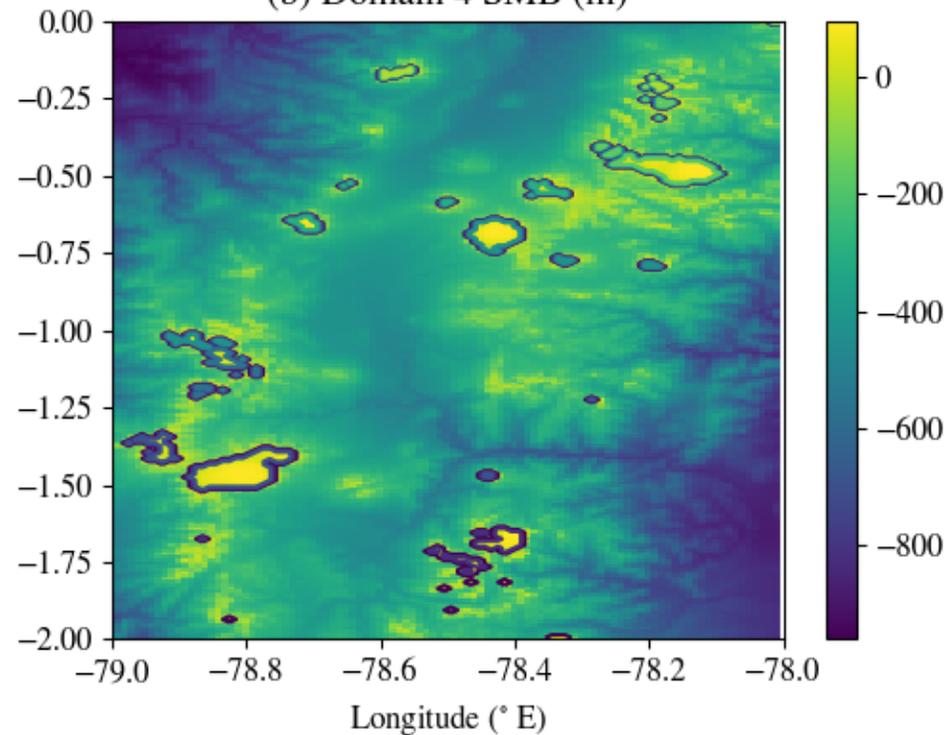
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Figure 1.

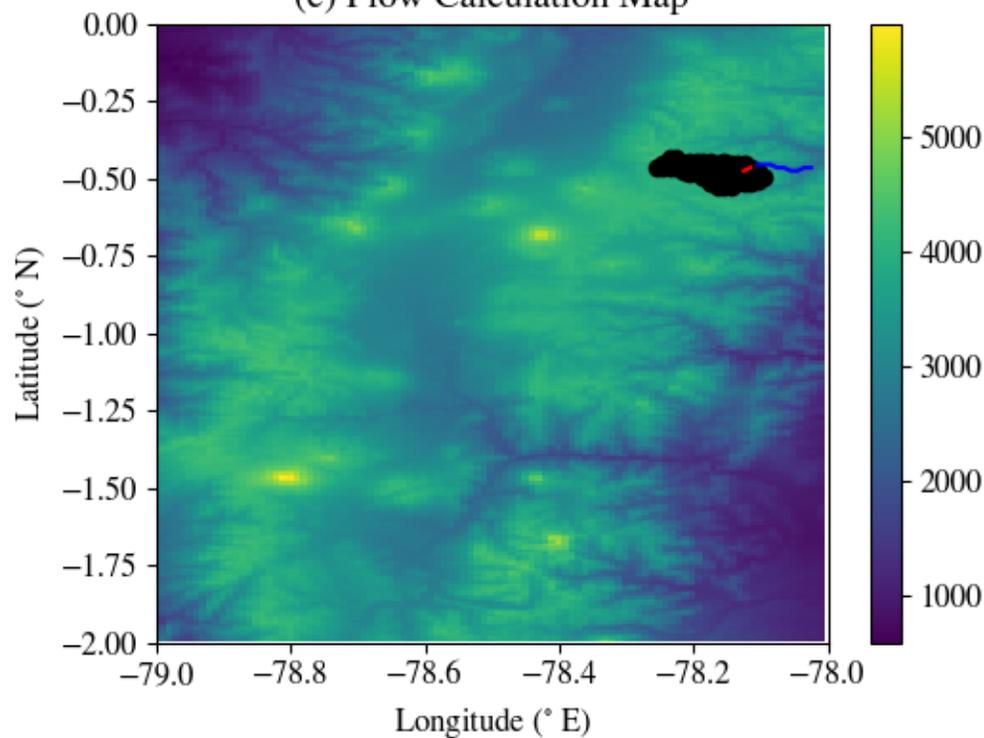
(a) Domain 4 Topography (m)



(b) Domain 4 SMB (m)



(c) Flow Calculation Map



(d) SMB vs. Topography

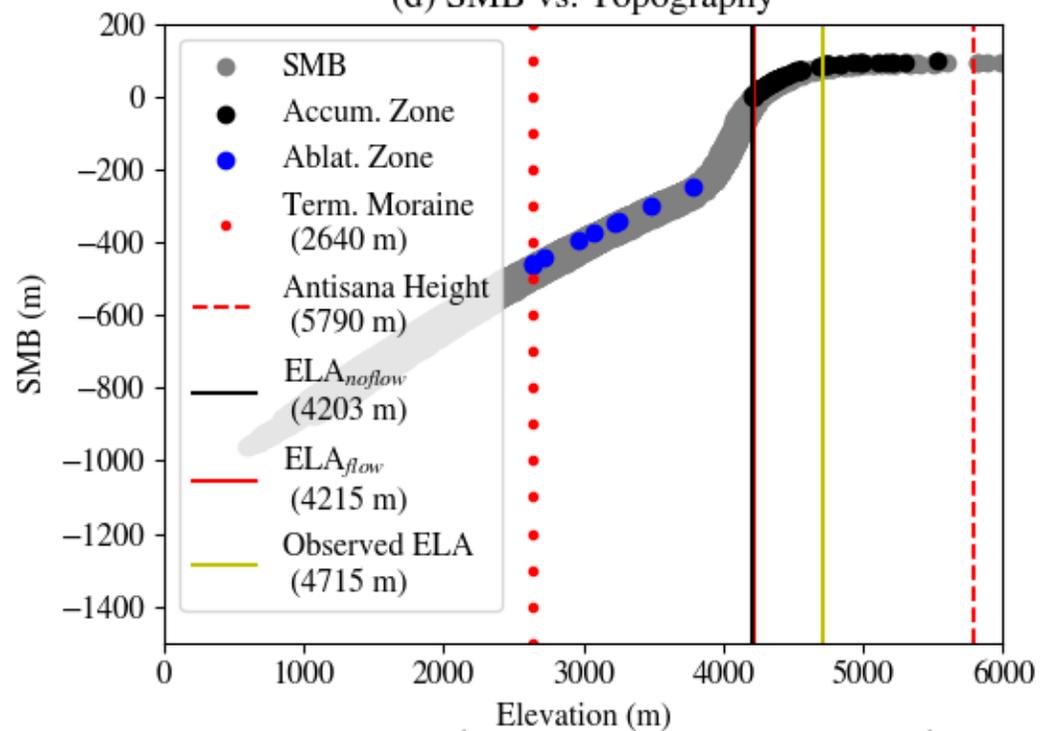


Figure 2.

### Summary of Results

