

1     **Simple hybrid sea ice nudging method for improving**  
2     **control over partitioning of sea ice concentration and**  
3     **thickness**

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6             **Key Points:**

- 7             • Already established sea ice nudging method leads to issues in the partitioning be-  
8             tween SIC and SIT.
- 9             • New hybrid nudging method significantly improves capture of both SIC and SIT  
10            targets for PAMIP, in particular for Arctic sea ice.
- 11            • Hybrid scheme increases Arctic turbulent heat flux and warming.

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**Abstract**

To assess the effect of ocean-atmosphere coupling in the climate response to forced sea ice loss, the PAMIP (Polar Amplification Model Intercomparison Project) protocol includes centennial coupled atmosphere-ocean general circulation model simulations with imposed sea ice loss. The protocol, which specifies sea ice concentration and thickness distribution targets, does not prescribe a method for achieving them. Although different methods for imposing sea ice loss (or growth) in models have been documented, testing of the method-dependence of the resulting climate responses has been limited. Achieving the targeted sea ice state has proven to be challenging using the so-called *ghost-flux* nudging method, which induces ice melt from below, as this method does not constrain the partitioning between thickness and concentration. We propose, describe and test a simple method that combines the advantages of direct sea ice nudging and ghost-flux nudging. The hybrid nudging method better captures the partitioning between thickness and concentration while conserving total water content. We document how this novel sea ice constraining method reaches specific targets, enhances surface turbulent heat flux responses to sea ice loss, and induces tropospheric warming for both polar regions.

**Plain Language Summary**

The Arctic is warming faster than the global average due to several processes that, once combined, lead to so-called Arctic Amplification. Part of this anomalous polar warming comes from an intense reduction in ice cover allowing heat into the ocean, warming the Arctic ocean near the surface, and hence melting more ice. A joint effort by several climate modeling groups called the Polar Amplification Model Intercomparison Project (PAMIP) aims at better understanding Arctic Amplification through a coordinated set of climate simulations. Among this ensemble of simulations is a set of centennial simulations performed with fully coupled state-of-the-art climate models. In these experiments, Arctic (and Antarctic) sea ice are forced to reach specific states in order to better isolate Arctic Amplification and sea ice loss from the rest of anthropogenic global warming. In this paper, we propose a simple technique to nudge sea ice models to specific states such as prescribed by PAMIP. This new method combines advantages from existing techniques to improve the control over the extent and the thickness of the ice. We document in detail how our novel method leads to surface warming that previous work has shown is closely connected to sea ice loss from greenhouse warming.

## 1 Introduction

Over the past decades, observed Arctic sea ice extent (SIE) has greatly decreased, diminishing by nearly 50% in September (NSIDC, 2022). In the newer generation of climate models of the sixth phase of the Coupled Model Intercomparison Project (CMIP6, Eyring et al., 2016), the Arctic is projected to become seasonally sea-ice free before the year 2050 in all emissions scenarios (Notz & Community, 2020). In the opposite polar region, until the most recent five years, Antarctic SIE had been slowly increasing (Comiso et al., 2017), but the trend appears to now be reversing as the Antarctic witnesses reductions in SIE (NSIDC, 2022; Roach et al., 2020). Along with the reduction of sea ice cover, Arctic temperatures are rising more than twice as fast as the global average (Cohen et al., 2014). In Antarctica, a clear amplification of the warming is less clear, but this hiatus in air temperature trends might be coming to an end (Carrasco et al., 2021). This anomalous polar warming, referred to as Polar Amplification (PA), is caused by several local feedbacks and remote effects (Pithan & Mauritsen, 2014). In return, PA has important consequences on the whole climate system (Serreze & Francis, 2006).

The role that sea ice loss plays is central to understanding changes to the polar climate as well as the linkages between lower latitudes and the polar regions (Overland, 2016; Blackport et al., 2019). The Polar Amplification Intercomparison Project (PAMIP, D. M. Smith et al., 2019) attempts to elucidate the effects of PA from sea ice loss through a thoroughly specified experimental protocol that has so far been applied using several state-of-the-art Earth system models (e.g., Audette et al., 2021; Labe et al., 2020; D. M. Smith et al., 2022). Within this protocol are fully coupled climate simulations including atmosphere, ocean, ice and land model components. In particular, the *Group 6* experiments of PAMIP are extended centennial-scale coupled simulations in which specific Arctic and Antarctic sea ice states are targeted. Because of the technical limitations of different models, the method through which sea ice is constrained in the models is not prescribed by PAMIP, but different options are suggested in the protocol.

In order to constrain sea ice loss to specific targets, different techniques to achieve this have been developed over the years (e.g. Sun et al., 2018; K. L. Smith et al., 2014; Blackport & Kushner, 2016; Simon et al., 2021). The techniques in use generally fall into four categories: *albedo forcing* (Scinocca et al., 2009; Blackport & Kushner, 2016), *di-*

75 *rect sea ice nudging* (D. M. Smith et al., 2017), *ghost-flux forcing* (McCusker et al., 2017;  
76 Sun et al., 2018; Deser et al., 2015, 2016) and *ice-coupling forcing* (Dai et al., 2019).

- 77 • The albedo forcing method consists of modifying the albedo of sea ice and snow  
78 on sea ice to favor or restrain sea ice melt. Because the albedo value is fixed for  
79 the whole simulation, there is less control over the final equilibrium state of sea  
80 ice. This method amplifies the seasonal cycle, but naturally conserves energy and  
81 total water content.
- 82 • The direct sea ice nudging method consists of constraining sea ice to a specific tar-  
83 get by measuring the difference between the simulated sea ice state and the tar-  
84 get, then adding or removing a restoring amount of ice to the model. The algo-  
85 rithm usually adds or remove thickness and concentration independently. This method  
86 allows for precise control of the sea ice state but does not conserve energy or wa-  
87 ter. It is important to point out that nudging the ice could introduce spurious noise  
88 in the sea ice state as each time step as ice is added or removes.
- 89 • The ghost-flux nudging method consists of applying a restoring heat flux that melts  
90 the ice towards a certain state. This heat flux is only directly seen by the sea ice  
91 model and then by the other model components through sea ice changes. This method  
92 can be applied either interactively (Sun et al., 2018), similarly to the direct nudg-  
93 ing method, or non-interactively (e.g. Deser et al., 2015), in which case it is usu-  
94 ally referred to as *ghost-flux forcing*. This last approach does not control the sea  
95 ice state directly as it injects heat at the bottom of the ice and lets the melt and  
96 growth algorithms of the sea ice model deal with the changes in thickness and con-  
97 centration of the ice. The ghost-flux nudging technique, however, conserves total  
98 water and salt content by construction, but does not conserve energy.
- 99 • The ice-coupling technique differs from the other methods above as it does not in-  
100 teract directly with the sea ice model. In this approach, the coupler and the at-  
101 mosphere and ocean models only see a fixed sea ice target state while the sea ice  
102 model evolves freely. Because of this, some sea ice melt occurs near the ice edges,  
103 requiring the use of climatological surface fluxes over grid cells without ice. This  
104 step is necessary because the sea ice model in the Community Earth System Model,  
105 version 1 (CESM1, Hurrell et al., 2013) does not calculate these fluxes over grid  
106 cells without ice. The approach looks at the problem of sea ice loss from another  
107 perspective. Instead of studying the effect of sea ice loss on the climate system,

108 this technique allows the study of the effect of a fixed sea ice cover over on the cli-  
109 mate including the ice itself through changes in the surface fluxes corresponding  
110 to this fixed state.

111 Although these methods have been used several times, very little direct compar-  
112 ison of them has been done. Because of this, it is still unclear how much the model's cli-  
113 mate response depends on the method used to impose sea ice changes. Sun et al. (2020)  
114 compared ice albedo forcing to the ghost-flux nudging technique. Both methods showed  
115 very similar temperature and zonal mean responses, but the albedo forcing approach failed  
116 to capture the full extent of the polar winter response due to its underestimation of sea  
117 ice loss during that season. Screen et al. (2018) provides an overview of different sea ice  
118 loss simulations that use different models and different sea ice constraining methods. This  
119 comparison study notes some robust atmospheric circulation signals in all models, while  
120 noting some discrepancies in other fields, attributed to differences in the magnitude of  
121 sea ice loss and model background state. Inconsistencies like these complicate compar-  
122 ison of sea ice loss simulations from different sources, especially in regions like the sub-  
123 polar North Atlantic, where the sea ice perturbation method can influence the oceanic  
124 response (e.g., Hay et al., 2022). We also note recent concerns that various sea-ice forc-  
125 ing methods might drive spurious Arctic amplification (England et al., 2021). The cen-  
126 tennial coupled simulations of PAMIP aim at understanding the causes and consequences  
127 of PA through sea ice loss in a multi-model ensemble. Determining the robust aspects  
128 of the response, and addressing the realism of these experiments, first requires the de-  
129 velopment of a common and easily implemented method that allows for relatively pre-  
130 cise consistency in the sea ice state.

131 The direct nudging method allows for precise control of the sea ice state, but can  
132 be difficult to implement because of the sea ice thickness (SIT) nudging part that can  
133 cause non-conservation issues in the advection step of the model. Modeling groups par-  
134 ticipating in PAMIP that are unable to constrain SIT are asked by PAMIP to only nudge  
135 sea ice concentration (SIC) directly and let SIT evolve freely. The ghost-flux nudging  
136 approach is more easily applicable in most climate models, but does not control the par-  
137 titioning between SIC and SIT. In CICE4 (Hunke & Lipscomb, 2010), the sea ice model  
138 used in this study, the first effect of a bottom heat flux like the ghost-flux is a change

139 in the thickness of the ice, leading to eventual changes in concentration after the com-  
140 plete melt of the thinner parts of the sea ice in a grid cell.

141 Since the extended coupled PAMIP experiments have separate SIC and SIT tar-  
142 gets, control over both variables is in principle required to reach the two targets. As de-  
143 scribed in more detail below, when we first attempted the PAMIP extended centennial  
144 coupled simulations, we used the ghost-flux nudging method by Sun et al. (2020). The  
145 results were, unfortunately, far from what we expected as the partitioning between SIC  
146 and SIT was not well captured. This motivated the development of the modified sea ice  
147 nudging method presented here. In this paper, we describe a novel, but still relatively  
148 simple, hybrid sea ice nudging method that combines part of the direct nudging method  
149 and the ghost-flux nudging method. The aim is to retain the water conservation prop-  
150 erty of ghost-flux nudging while increasing control over the extent of sea ice. This method  
151 is tested and compared to the ghost-flux nudging method using the PAMIP extended  
152 coupled simulations (pa-pdSIC-ext, pa-futArcSIC-ext & pa-futAntSIC-ext). Although  
153 we only show the results of this simple nudging method using one model (CESM1), we  
154 hope for this method to be applied to other models. In section 2, we describe the sim-  
155 ulations used and compare the two methods. The results are discussed in section 3 and  
156 we finish with some concluding remarks and recommendations in section 4.

## 157 **2 Methods**

### 158 **2.1 Model and simulations**

159 We conduct the Group 6 experiments of PAMIP: pa-pdSIC-ext, pa-futArcSIC-ext  
160 and pa-futAntSIC-ext (D. M. Smith et al., 2019). These experiments are centennial cou-  
161 pled experiments integrated for at least 100 years each, in which both SIC and SIT are  
162 constrained to specific targets.

#### 163 **2.1.1 Fully coupled simulations**

164 We conduct the sea ice loss perturbation experiments using CESM1. In particu-  
165 lar, we use the Whole Atmosphere Community Climate Model, version 4, (WACCM4,  
166 Marsh et al., 2013) as the atmospheric component in its specified chemistry setting (SC-  
167 WACCM4, K. L. Smith et al., 2014). SC-WACCM4 is coupled to the Parallel Ocean Pro-  
168 gram, version 2 (POP2, R. Smith et al., 2010), to the Los Alamos sea ice model, version

169 4 (CICE4, Hunke & Lipscomb, 2010) and to the Community Land Model, version 4, (CLM4,  
170 Kluzek, 2012). The atmosphere and land models are at nominal 1.9° latitude by 2.5° lon-  
171 gitude resolution and the sea ice and ocean models are run at a nominal resolution of  
172 1° that becomes finer near the poles. A repeating 28-month cycle of the quasi-biennial  
173 oscillation obtained from observed radiosonde data is imposed in the atmospheric model  
174 through a nudging of the equatorial stratospheric winds (Peings et al., 2021).

175 The different methods used in this paper to constrain sea ice are implemented in  
176 CICE4. This sea ice model was originally created to be compatible with the ocean model  
177 POP and is an integral part of CESM1. In CICE4, the sea ice state is described through  
178 an ice thickness distribution (ITD). By default, five different thickness categories of fixed  
179 upper and lower thickness bounds are used in the ITD, in addition to open water. Sea  
180 ice melt and growth in this model happens through growth and melt at the bottom sur-  
181 face of the ice, top melt from surface heat absorption, and lateral growth and melt. This  
182 latter process is parametrized and mainly depends on the temperature difference between  
183 the ice and the ocean. Sea ice is then redistributed through the different categories to  
184 ensure each category remains within its bounds and the total concentration does not ex-  
185 ceed 100%. Following melt and growth, a salt flux is added (or removed) to the ocean  
186 to compensate for the difference in salinity. As well, water is added or removed through  
187 water fluxes to the ocean when changes in sea ice volume occur.

188 In addition to the coupled experiments from PAMIP, we perform another fully cou-  
189 pled simulation that constrains sea ice to Year 2000 (Y2000) levels while doubling the  
190 CO<sub>2</sub> concentration compared to its Y2000 levels. The goal of this experiment is to char-  
191 acterize the ability of the hybrid nudging method to constrain sea ice in the presence of  
192 external and remote forcing.

### 193 *2.1.2 CICE4 stand-alone simulations*

194 In addition to the fully coupled simulations, we utilize a CICE4 “stand-alone” setup  
195 in the development of our hybrid nudging method. In this setup, the sea ice model is driven  
196 by a data atmosphere (DATM) and a data ocean (DOCN). These two components are  
197 non-interactive and are set to a Y2000 climatology (the default version of DATM and  
198 DOCN in CESM1). This implies that the atmospheric and oceanic forcings on sea ice  
199 will be constant in all simulations using this setup. These simulations were used in the

200 testing phase of our development of the hybrid nudging method because they are very  
 201 inexpensive to run. As discussed later in section 2.3, the tuning in nudging using this  
 202 stand-alone setup translate qualitatively to the fully coupled setup.

### 203 **2.1.3 Atmosphere-land general circulation model (AGCM) simulations**

204 For comparison purposes, we also utilize AGCM simulations performed using the  
 205 same atmospheric model (SC-WACCM4) as the fully coupled simulations described in  
 206 section 2.1.1. In these AGCM experiments, the sea surface temperature (SST) and sea  
 207 ice boundary conditions are prescribed. These simulations have identical SIC and SIT  
 208 targets as the fully coupled experiments, but prescribe a Y2000 climatological SST field  
 209 described in D. M. Smith et al. (2019). The PAMIP protocol only calls for two of these  
 210 experiments: pdSST-pdSICSIT and pdSST-futArcSICSIT, but for a comparison between  
 211 the coupled ocean-atmosphere GCM and AGCM simulations we require a complemen-  
 212 tary experiment that imposes future SIC and SIT in the Antarctic, which we call pdSST-  
 213 futAntSICSIT. The AGCM simulations consist of a 300-member ensemble for each ex-  
 214 periment; each realization is integrated for 14 months, starting on April 1st (D. M. Smith  
 215 et al., 2019). The first 2 months are discarded in the analysis to account for spin-up. The  
 216 AGCM is in the same configuration as for the fully coupled simulations.

### 217 **2.1.4 PAMIP sea ice targets**

218 Each simulation is performed with specific SIC and SIT targets. In experiments  
 219 with tags beginning pa-pdSIC-ext, Arctic and Antarctic sea ice fields are constrained to  
 220 Y2000 sea ice. In experiments with tags beginning pa-futArcSIC-ext, Arctic sea ice is  
 221 nudged to levels corresponding to a nominal +2°C global warming scenario from pre-  
 222 industrial temperatures while Antarctic sea ice is held to Y2000 levels. In pa-futAntSIC-  
 223 ext, Antarctic sea ice is nudged to +2°C global warming levels while Arctic sea ice is kept  
 224 to Y2000 levels. Figures 4a-d-g-j and 5a-d-g-j show the targeted sea ice states for Y2000  
 225 and the difference between the future states and Y2000 in both polar regions. Sea ice  
 226 in the model is constrained using two different methods: ghost-flux nudging as described  
 227 in McCusker et al. (2017) and Sun et al. (2018) (experiments using this method are de-  
 228 noted with *-ghost*), and our proposed hybrid nudging method combining direct SIC nudg-  
 229 ing and ghost-flux nudging for SIT (experiments using this method are denoted with *-*  
 230 *hyb*). A description of both methods and their implementation in CICE is given in sec-

**Table 1.** Summary table of the different simulations carried out for this study. The terminology is based on D. M. Smith et al. (2019).

Experiment name	Sea ice target	Nudging methodology
pa-pdSIC-ext-hyb	Y2000	Hybrid - Fully coupled
pa-futArcSIC-ext-hyb	Future Arctic, Y2000 Antarctic	Hybrid - Fully coupled
pa-futAntSIC-ext-hyb	Y2000 Arctic, Future Antarctic	Hybrid - Fully coupled
pa-pdSIC-2XCO2-ext-hyb	Y2000 (Double CO <sub>2</sub> concentration)	Hybrid - Fully coupled
pa-pdSIC-ext-ghost	Y2000	Ghost - Fully coupled
pa-futArcSIC-ext-ghost	Future Arctic, Y2000 Antarctic	Ghost - Fully coupled
pa-futAntSIC-ext-ghost	Y2000 Arctic, Future Antarctic	Ghost - Fully coupled
pa-pdSIC-cice-hyb	Y2000	Hybrid - CICE only
pa-futArcSIC-cice-hyb	Future Arctic, Y2000 Antarctic	Hybrid - CICE only
pa-futAntSIC-cice-hyb	Y2000 Arctic, Future Antarctic	Hybrid - CICE only
pa-pdSIC-cice-ghost	Y2000	Ghost - CICE only
pa-futArcSIC-cice-ghost	Future Arctic, Y2000 Antarctic	Ghost - CICE only
pa-futAntSIC-cice-ghost	Y2000 Arctic, Future Antarctic	Ghost - CICE only
pdSST-pdSICSIT	Y2000	Prescribed sea ice and SST
pdSST-futArcSICSIT	Future Arctic, Y2000 Antarctic	Prescribed sea ice and SST
pdSST-futAntSICSIT	Future Antarctic, Y2000 Arctic	Prescribed sea ice and SST

231 tion 2.2. We also perform the same simulations using the CICE4 stand-alone setup. A  
 232 summary of the thirteen simulations is given in Table 1.

## 233 2.2 Nudging methods

### 234 2.2.1 *Ghost-flux nudging*

235 Ghost-flux nudging (McCusker et al., 2017; Sun et al., 2018) constrains the sea ice  
 236 volume (SIV) at each model time step and grid cell in the sea ice model by applying a  
 237 heat flux underneath the ice. The heat flux is proportional to the SIV difference between  
 238 the target state and the model state, and is calculated according to

$$\delta F_{bot} = \frac{\rho_i L_i (h_i a_i - h_{target} a_{target})}{\tau}, \quad (1)$$

239 where  $\rho_i$  is the density of sea ice,  $L_i$  is the latent heat of fusion of sea water,  $h_i$  is the  
 240 simulated SIT,  $a_i$  is the simulated SIC,  $h_{target}$  is the target SIT,  $a_{target}$  is the target SIC  
 241 and  $\tau$  is the nudging timescale, set to 10 d as recommended in Sun et al. (2020). The  
 242 heat flux  $\delta F_{bot}$  is added to the basal heat flux boundary condition in the basal melt cal-  
 243 culation, and is thus only directly seen by the sea ice model, and indirectly felt by the  
 244 rest of the model only through changes in sea ice. This nudging method automatically  
 245 allows for conservation of salt content of the ocean and sea ice, while conserving total  
 246 water content. This automatic conservation of salt and total water follows from the way  
 247 sea ice melts with this technique. The heat flux applied on the lower ice boundary is added  
 248 to the normal volume change calculation, which is then used in the salt and water con-  
 249 servation scheme. On the other hand, by nudging the product of concentration and thick-  
 250 ness (volume), this method does not separately constrain concentration and thickness.

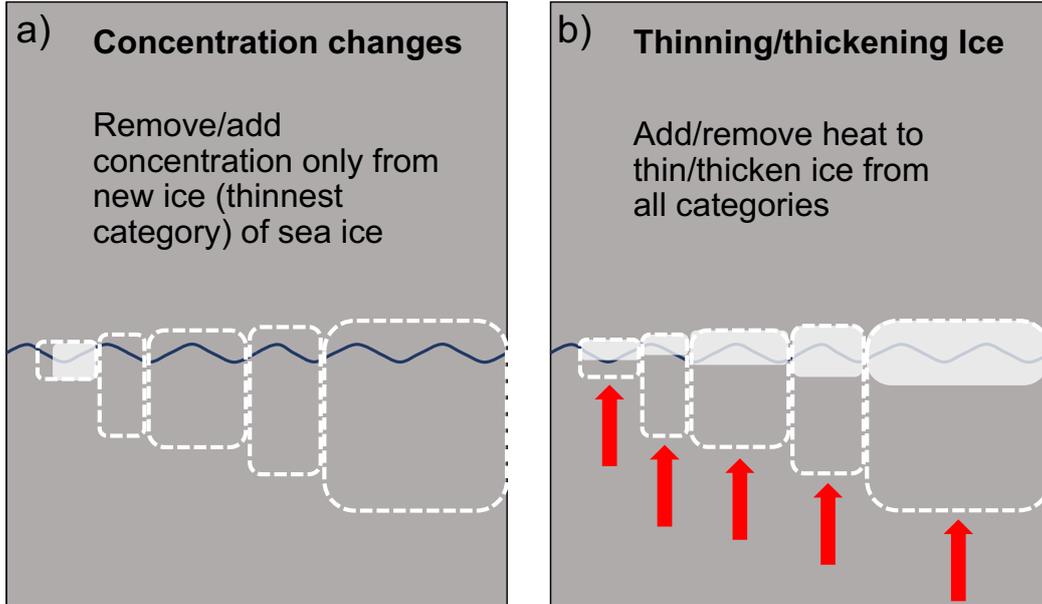
### 251 **2.2.2 Hybrid nudging**

252 We propose a simple hybrid between direct SIC nudging (D. M. Smith et al., 2017)  
 253 and the ghost-flux nudging just described. In this method, both SIT and SIC are nudged  
 254 independently to gain more control over the partitioning between concentration and thick-  
 255 ness. This is important in order to capture the circulation and temperature response in  
 256 the Arctic (Labe et al., 2020). First, the SIT is nudged using the ghost-flux method de-  
 257 scribed previously, which allows for automatic conservation of the salt content and to-  
 258 tal water content coming from the thickness changes. The ghost-flux for the thickness  
 259 nudging is given by

$$\delta F_{bot} = \frac{\rho_i L_i a_{target} (h_i - h_{target})}{\tau_{sit}} \quad \text{for all sea ice categories,} \quad (2)$$

260 where  $\tau_{sit}$  is a nudging timescale set to 5 d in this study. We discuss the choice of timescale  
 261 in section 2.3. The heat flux is also applied at the base of the sea ice.

262 In addition to the ghost-flux, we nudge SIC directly. To do this, we relax the SIC  
 263 towards a target state by adding (or subtracting) a small quantity of sea ice area at ev-  
 264 ery time step to the thinnest category of sea ice in the model. The restoring ice flux is



**Figure 1.** Schematic of nudging methodology for a) sea ice concentration nudging and b) sea ice thickness nudging via addition of a basal heat flux. Sea ice before nudging is represented by the white dash contour and sea ice after nudging is represented by the white shading. The red arrows represent the heat flux (measured in  $\text{W/m}^2$ ) applied to the bottom of the ice.

265 calculated at every time step and is given by

$$\delta SIC = \frac{a_i - a_{target}}{\tau_{sic}} dt \quad \text{for the thinnest ice category only,} \quad (3)$$

266 where  $\tau_{sic}$  is the nudging timescale for the SIC nudging and  $dt$  is the time step of the  
 267 sea ice model.  $\tau_{sic}$  is set to 1 d, where again the choice of timescale will be discussed in  
 268 section 2.3. At the end of the nudging step, the volume of snow on the ice is scaled to  
 269 the new sea ice concentration meaning that the freshwater flux from snow melt due to  
 270 nudging is neglected. This could be added into the algorithm in a future version.

271 As described above, CICE4 separates sea ice into five categories, from the thinnest  
 272 to the thickest, allowing for a more realistic representation of SIT distribution at a smaller  
 273 scale than the nominal resolution of the model. In order to avoid instabilities in the ice  
 274 advection scheme and reduce non-conservation, we choose to add  $\delta SIC$  to the thinnest  
 275 category of ice. This also avoids adding concentration to the thicker categories, which  
 276 adds a relatively large amount of ice volume at once. A schematic representation of the  
 277 hybrid nudging method is shown in Figure 1.

278 In addition to the ice flux, we add a freshwater flux ( $\delta f_{salt}$ ) and the equivalent wa-  
 279 ter mass ( $\delta f_{water}$ ) from the ice melt coming from the direct sea ice concentration nudg-  
 280 ing. Both fluxes are calculated after the thickness change from the model melt and the  
 281 nudging basal melt occur. The updated thickness  $h_{new}$  is multiplied by the change in  
 282 concentration due to SIC nudging from equation (3) to get the change in volume from  
 283 this part of the nudging. Both fluxes are described by

$$\delta f_{salt} = -\rho_i S_i h_{new} \delta SIC / dt \quad \text{for the thinnest ice category only,} \quad (4)$$

$$\delta f_{water} = -\rho_i h_{new} \delta SIC / dt \quad \text{for the thinnest ice category only,} \quad (5)$$

284 where  $S_i$  is the reference salinity of sea ice. These fluxes are added to the normal flux  
 285 calculation when calculating the contribution of the thinnest ice category only.

### 286 **2.3 Testing methods**

287 Having discussed how the hybrid nudging method is defined, the final part of this  
 288 section focuses on the methods we use to test this technique. To develop the hybrid nudg-  
 289 ing method, we first tested different variations of the ghost-flux nudging methods. These  
 290 tests were performed using the CICE4 stand-alone setup described in section 2.1.2. This  
 291 setup was chosen because it is inexpensive to run, and since after comparison with the  
 292 fully coupled model, the improvements in that stand-alone setup qualitatively translated  
 293 to the fully coupled version (see Figure S2 (S3) in comparison with Figure 4 (5)). The  
 294 data-driven CICE4 provides a good and inexpensive test bench for sea ice nudging tech-  
 295 niques. Overall, where the sea ice agreement with the target improved in the data-driven  
 296 CICE4, the agreement also improved in the fully coupled set up. In fact, the improve-  
 297 ment is better in the fully coupled simulations because the atmosphere and ocean can  
 298 respond to the sea ice forcing, strengthening the effect of the nudging algorithm. This  
 299 strengthening effect mostly comes through the ice albedo feedback which can act to in-  
 300 crease sea ice melt when the nudging algorithm reduces the ice cover, or decrease sea ice  
 301 melt when the nudging grows ice.

302 To determine good nudging timescales ( $\tau_{sic}$  and  $\tau_{sit}$ ) we perform 10-year long fully  
 303 coupled simulations with several combinations of nudging timescales for the pa-pdSIC-  
 304 ext experiment. An integration of ten years was deemed to be enough as the sea ice usu-  
 305 ally equilibrated after two to three years with the nudging. We optimize the values of

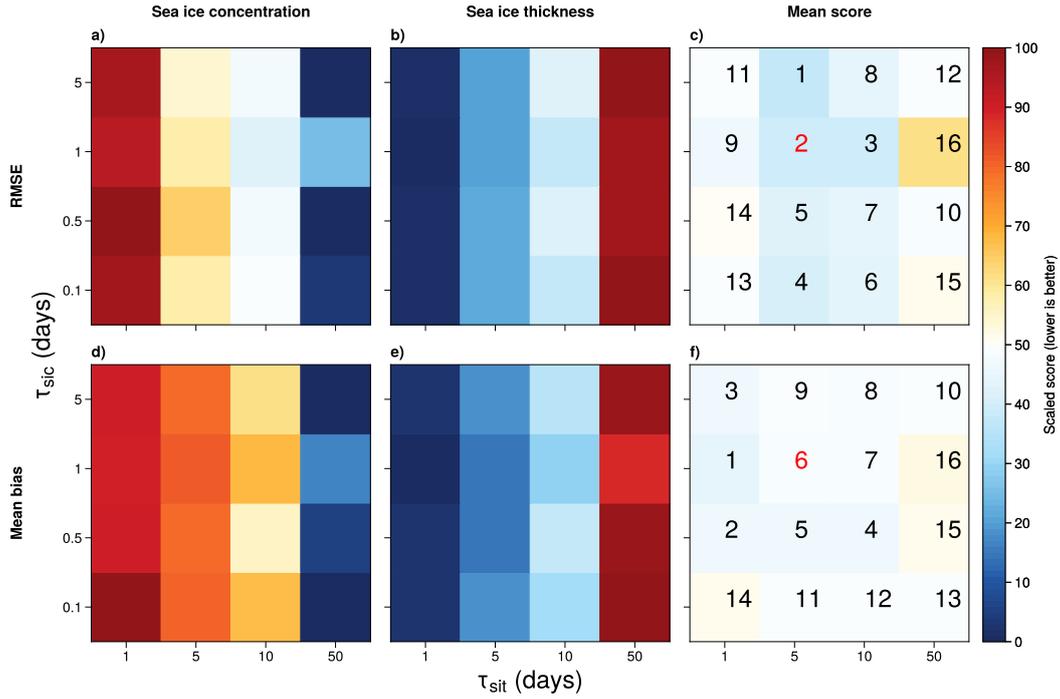
306 the nudging timescales for the present day run. We range  $\tau_{sit}$  over 1, 5, 10 and 50 d, and  
 307  $\tau_{sic}$  over 0.1, 0.5, 1 and 5 d. With this range of timescales, we span about two orders of  
 308 magnitude of timescales for both variables. We compare the root mean square error (RMSE)  
 309 and the mean bias of all simulations for both Arctic and Antarctic SIC and SIT. Both  
 310 the mean bias and RMSE are calculated over grid cells containing either sea water or  
 311 sea ice northward of 66°N for Arctic sea ice and southward of 60°S for Antarctic sea ice.

312 To compare the performance of SIC and SIT nudging, we offset the RMSE and mean  
 313 bias values by their respective minimum and scale by the range of values to put every-  
 314 thing on a scale between 0 and 100 where a score of 0 is best and 100 is worst. We then  
 315 average the score for both sea ice variables and rank the nudging timescale combinations  
 316 from best (1) to worst (16) (see Figure 2).

317 Most of the changes in performance for Arctic sea ice come from changes in  $\tau_{sit}$ ,  
 318 and the trends of changes in performance are opposite for SIC and SIT. For SIC, larger  
 319  $\tau_{sit}$  values (weaker bottom nudging) lead to smaller mean bias and RMSE values (Fig-  
 320 ures 2a and 2d). This is likely due to an overall weaker constraint on sea ice from that  
 321 part of the nudging, which allows for a better separate control by the SIC nudging. In-  
 322 versely and non surprisingly, smaller  $\tau_{sit}$  values lead to better performance for Arctic SIT  
 323 nudging. Variations in  $\tau_{sic}$  do not consistently affect the results in the northern polar  
 324 region (Figure 2b and 2e).

325 The opposition between the direction of the improvement in nudging between SIT  
 326 and SIC is less clear for the southern polar region (Figure S1). The overall tendency of  
 327 the quality of Antarctic SIC still improves with larger values of  $\tau_{sit}$ , but the stronger SIC  
 328 nudging leads to improvement in SIC (Figure S1a and S1d). The quality of the Antarc-  
 329 tic SIT nudging (Figure S1b and S1e) is very similar to the Arctic SIT nudging score,  
 330 improving with smaller  $\tau_{sit}$  values.

331 The timescale combinations are ranked from best (1) to worst (16) in Figure 2c and  
 332 2f (Figure S1c and S1f for Antarctic sea ice). Overall, the best performing timescale com-  
 333 bination for Arctic sea ice nudging is 1 day for  $\tau_{sic}$  and 5 days for  $\tau_{sit}$  and is highlighted  
 334 in red in these panels. This combination is not unsurpassed in any of the two metrics  
 335 we use, but is the best when looking at both combined. For Antarctic sea ice nudging,  
 336 the combination leading to the highest rank (0.5 day for  $\tau_{sic}$  and 1 day for  $\tau_{sit}$ ) differs



**Figure 2.** Root mean square error and mean bias scores of different nudging timescale combinations. a) RMSE score of Arctic SIC. b) As in a) but for Arctic SIT. c) Mean RMSE score of both Arctic SIC and SIT. In panel c), the numbers indicate the rank of each combination for the mean RMSE score. d)-f) As in a)-c) but for the mean bias. The numbers highlighted in red in panels c) and f) indicate the best overall score over both performance metrics.

337 from the Arctic nudging. However, when combining the scores for both poles, the best  
 338 combination remains 1 day for  $\tau_{sic}$  and 5 days for  $\tau_{sit}$ .

### 339 3 Results

340 This section describes the improvements that hybrid nudging provides compared  
 341 with ghost-flux nudging, based on achieving target Arctic and Antarctic sea ice states  
 342 and sea ice loss responses, and on aspects of surface warming and atmospheric circula-  
 343 tion responses from Arctic and Antarctic sea ice loss corresponding to +2°C of global  
 344 warming.

### 3.1 Agreement with PAMIP target

Figure 3 shows the seasonal cycle of the effective thickness (or mean SIV), the mean SIT, and the total sea ice area in the Arctic and Antarctic. The effective thickness/mean SIV is defined as the average volume of sea ice in a grid cell per area of that grid cell poleward of  $66^\circ$  North (or of  $60^\circ$  South for Antarctic sea ice) in grid cells where there is either sea ice or open water. In comparison, the mean SIT is defined as the mean thickness of sea ice, not counting regions with open water. All averages are weighted by grid cell area. The climatological average is taken over the final 40 years of the simulations.

The mean SIV is shown in Figures 3a-b for both methods. The mean absolute difference between the two methods is minimal for all targets in both polar regions (less than  $0.02 \text{ m}^3/\text{m}^2$  on average) for SIV. The mean and maximum differences are shown in Tables 2 and 3. When using the ghost-flux nudging technique, the effective SIT is well simulated. The hybrid nudging method maintains this characteristic of the ghost-flux nudging as the thickness is nudged similarly.

For mean SIT, the hybrid nudging method is able to capture the thicker sea ice in the Arctic better than the ghost-flux method during the boreal winter months in both present day and future states (Figure 3c). The hybrid nudging decreases the maximum magnitude of the bias by close to half in the Arctic for both targets and by about a third in the Antarctic for both targets (see Tables 2 and 3 for exact numbers), relative to the ghost-flux nudging. The boreal summer months do not show much differences in the Arctic in pa-futArcSIC-ext, but larger differences arise in pa-pdSIC-ext. Indeed, the hybrid nudging method better reaches the minimum in August, but does not capture the full extent of the local maximum in September through November, where the ghost-flux nudging method does better. Overall, the ghost-flux nudging method better captures the summer thickness in the Arctic than the hybrid nudging method, but the differences remain small (on the order of 10 cm). In the southern polar region, both methods show too thick ice during the Austral summer in both pa-pdSIC-ext and pa-futAntSIC-ext (Figure 3d). Overall, hybrid nudging brings the thickness down slightly in the Southern Ocean.

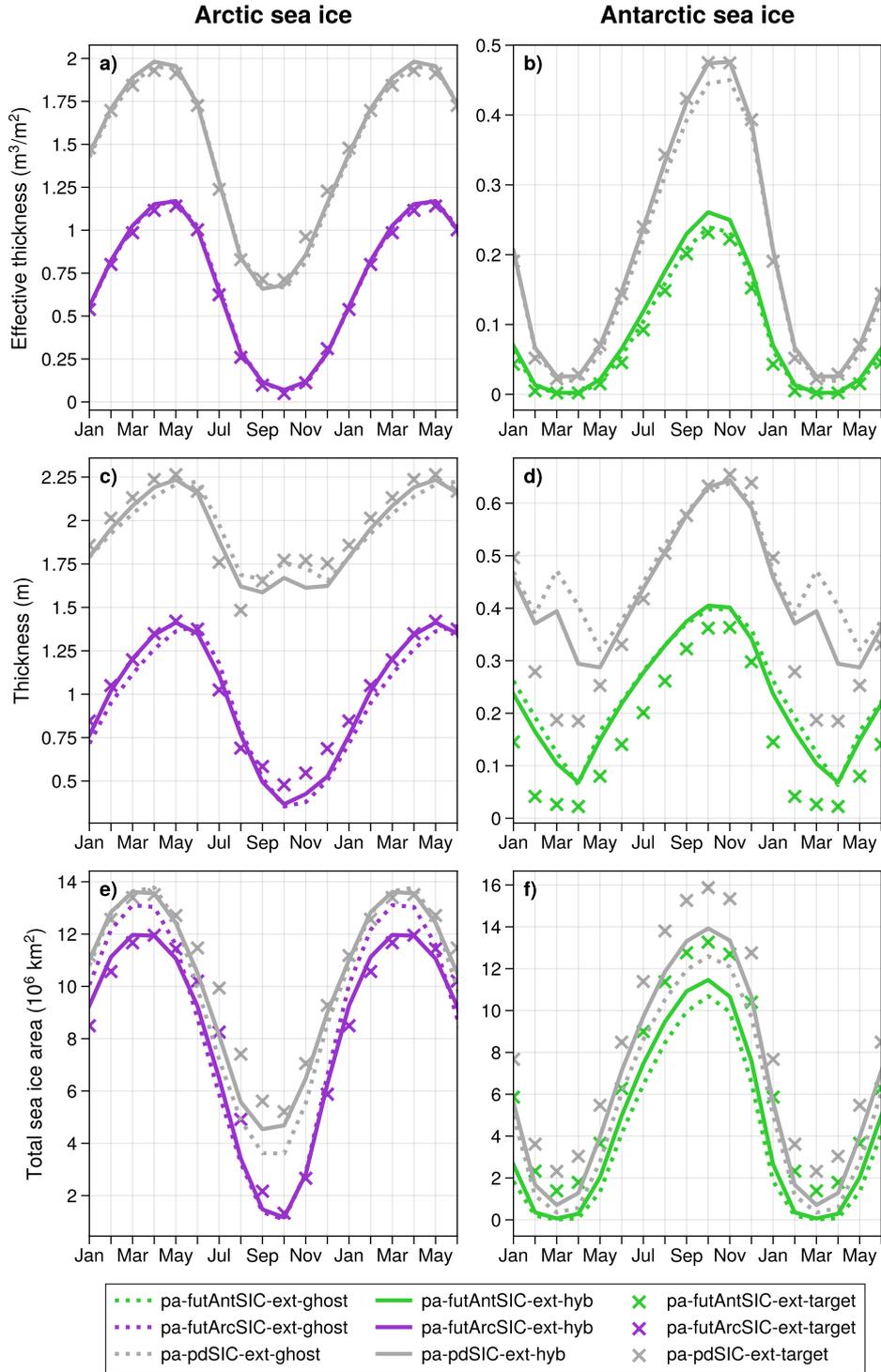
The total sea ice area seasonal cycle shows the largest difference between the two methods (Figures 3e and 3f). We show the total sea ice area agreement with the target. Overall, the hybrid nudging significantly improves sea ice area control in both hemisphere (reduces the mean absolute bias by about half). More specifically, Arctic sea ice area matches

**Table 2.** Mean absolute differences and differences of maximum magnitude for ghost-flux nudging, hybrid nudging and the PAMIP targets for the seasonal cycles of Arctic mean SIT, SIA and SIV in pa-pdSIC-ext and pa-futArcSIC-ext. The maximum difference (together with the sign of the difference) is shown in parentheses.

<b>Arctic sea ice in pa-pdSIC-ext</b>			
Comparison	Thickness (m)	Area ( $10^6$ km $^2$ )	Volume (m $^3$ /m $^2$ )
Ghost-flux minus Hybrid	0.05 (0.11)	0.51 (-1.1)	0.02 (-0.04)
Ghost-flux minus target	0.08 (0.21)	1.2 (-2.7)	0.04 (-0.14)
Hybrid minus target	0.08 (-0.15)	0.57 (-1.8)	0.04 (-0.10)
<b>Arctic sea ice in pa-futArcSIC-ext</b>			
Comparison	Thickness (m)	Area ( $10^6$ km $^2$ )	Volume (m $^3$ /m $^2$ )
Ghost-flux minus Hybrid	0.04 (-0.08)	0.53 (1.1)	0.00 (0.01)
Ghost-flux minus target	0.10 (-0.18)	1.1 (-2.4)	0.02 (0.04)
Hybrid minus target	0.06 (-0.16)	0.63 (-1.8)	0.02 (0.04)

377 very well with the target state when using hybrid nudging and this new method removes  
 378 the January-April positive bias in pa-futArcSIC-ext and a good portion of the July-November  
 379 negative bias in pa-pdSIC-ext exhibited by the ghost-flux nudging method. Although  
 380 agreement with the Antarctic sea ice area remains imperfect, the strategy adopted here  
 381 of concentration restoring on thin sea ice provides a net improvement. The low bias of  
 382 the model in the Antarctic case during the months of July to November might be asso-  
 383 ciated with the model climatology. In particular, the unforced (free-running) model's cli-  
 384 matological sea ice area is less than that of the PAMIP target (not shown). The nudg-  
 385 ing is thus working against the tendency of the coupled simulation to produce this low  
 386 sea-ice state, but is strong enough to push the sea ice state towards the PAMIP target.  
 387 Since different models might have different sea ice climatologies; the results found here  
 388 might change slightly with different models.

389 Figure 4 maps the annual mean Arctic SIC and thickness and their biases compared  
 390 to the target state. Figure 4a shows the annual mean SIC target for pa-pdSIC-ext and  
 391 Figure 4b displays the differences between the simulated climatological SIC using ghost-  
 392 flux nudging and the target climatology. The corresponding map for hybrid nudging is



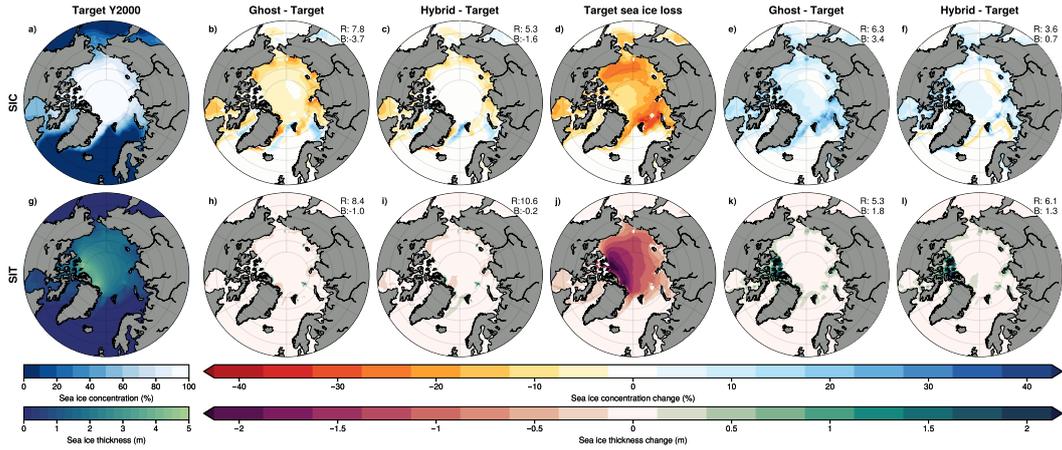
**Figure 3.** Seasonal cycle of the sea ice state for both the ghost-flux (dotted) nudging and hybrid nudging (solid) methods. a) Effective sea ice thickness (mean thickness over the Arctic) for pa-pdSIC-ext (grey), pa-futArcSIC-ext (magenta). b) As in a) but for Antarctic sea ice and pa-futArcSIC-ext (green). c) As in b) but for mean Arctic SIT north of  $66^\circ$ . d-f) As in a)-c) but for Antarctic sea ice. The “x” represent the targets for each variable, in grey for the Y2000 target, magenta for the future Arctic target and green for the future Antarctic target.

**Table 3.** Mean absolute differences and maximum differences between ghost-flux nudging, hybrid nudging and the PAMIP targets for the seasonal cycles of Antarctic mean SIT, SIA and SIV in pa-pdSIC-ext and pa-futAntSIC-ext. The maximum difference is shown in parentheses.

<b>Antarctic sea ice in pa-pdSIC-ext</b>			
Comparison	Thickness (m)	Area ( $10^6$ km $^2$ )	Volume (m $^3$ /m $^2$ )
Ghost-flux minus Hybrid	0.02 (0.11)	0.98 (-1.4)	0.01 (0.02)
Ghost-flux minus target	0.07 (0.28)	2.8 (-3.3)	0.01 (0.15)
Hybrid minus target	0.04 (0.20)	1.8 (-2.1)	0.00 (0.12)
<b>Antarctic sea ice in pa-futAntSIC-ext</b>			
Comparison	Thickness (m)	Area ( $10^6$ km $^2$ )	Volume (m $^3$ /m $^2$ )
Ghost-flux minus Hybrid	0.01 (0.07)	0.69 (-1.0)	0.01 (-0.02)
Ghost-flux minus target	0.07 (0.15)	2.6 (-4.0)	0.00 (0.01)
Hybrid minus target	0.06 (0.12)	1.9 (-3.2)	0.01 (0.02)

393 shown in Figure 4c. The ghost-flux nudging technique leads to local biases up to 25%  
 394 in the peripheral Arctic and exhibits an average negative bias of  $-3.7\%$  over the Arctic.  
 395 In comparison, hybrid nudging shows local biases that reach about 15% and reduces  
 396 the mean bias in SIC to  $-1.6\%$  and RMSE from 7.8% to 5.3% compared to the ghost-  
 397 flux nudging method. Figure 4d displays the target Arctic SIC melt between the pa-futArcSIC-  
 398 ext and pa-pdSIC-ext experiments, representing the CMIP5 multimodel mean under 2°C  
 399 of global warming (D. M. Smith et al., 2019). Most sea ice loss takes place in the Beau-  
 400 fort, Barents and Kara Seas. Figure 4e illustrates the difference between simulated SIC  
 401 loss with the ghost-flux nudging method and the targeted SIC loss. When using the ghost-  
 402 flux nudging method, not enough sea ice melts over most of the Arctic. This particu-  
 403 lar challenge in this method is imposing the intended SIC loss in the Barents and Kara  
 404 Seas. This leads to a mean bias of 3.4% and RMSE of 6.3%. As for the Y2000 SIC sim-  
 405 ulation, the hybrid nudging method (Figure 4f) greatly reduces the mean bias to 0.65%  
 406 and RMSE to 3.6%.

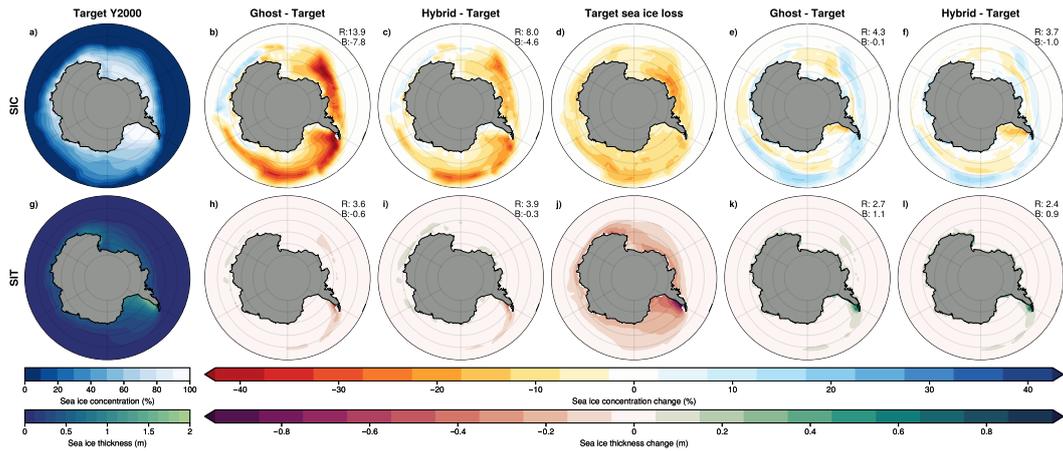
407 The equivalent maps for SIT are shown in Figures 4g-l. Overall, and as mentioned  
 408 above for the seasonal cycle of SIT, both methods are able to constrain sea ice thick-  
 409 ness in both pa-pdSIC-ext and pa-futArcSIC-ext. Both methods show similar RMSE and



**Figure 4.** Annual mean Arctic SIC and thickness in the PAMIP simulations. a) SIC target in pa-pdSIC-ext. b) Difference between simulated SIC and target SIC in pa-pdSIC-ext-ghost. c) As in b) but for the pa-pdSIC-ext-hyb experiment. d) Target SIC changes between pa-futArcSIC-ext-hyb and pa-pdSIC-ext-hyb. e) Difference in simulated melt between pa-futArcSIC-ext-ghost and pa-pdSIC-ext-ghost. f) As in e) but for pa-futArcSIC-ext-hyb and pa-pdSIC-ext-hyb. g-l) As in a)-f) but for SIT. The numbers on the top right corner of the panels in columns 2, 3, 5 and 6 indicate the area weighted root mean square error (R) and mean bias (B). For SIT (SIC), the RMSE and mean bias are displayed in units of cm (%).

410 mean bias for the Y2000 control state (Figures 4h-i), the hybrid nudging increases slightly  
 411 the RMSE by 1.8 cm, but reduces the mean bias by 0.8 cm. Similarly, the hybrid nudg-  
 412 ing method increases slightly the RMSE for the mean sea ice thickness (by 0.8 cm), but  
 413 reduces the mean bias by 0.5 cm when it comes to the targeted melt (Figure 4k-l).

414 The annual mean Antarctic SIC and SIT are shown in Figure 5. Although both  
 415 methods broadly underestimate SIC (Figure 3f), the hybrid nudging method shows sig-  
 416 nificant improvements in the Antarctic SIC over the ghost-flux nudging. This new method  
 417 is able to halve the mean bias that the ghost nudging produces in SIC nudging and re-  
 418 duces the RMSE by about a third (Figures 5b-c). Still, important SIC biases of about  
 419 20% remain in the Weddell Sea and the western part of the Ross Sea when using the hy-  
 420 brid nudging method. The improvement is also evident in Figures 5e-f. Indeed, the hy-  
 421 brid nudging method is able to reduce the RMSE from 1.0% to 0.3%, without any sub-  
 422 stantial improvement in the mean bias. Both methods display a common issue when it  
 423 comes to reaching the SIC melt target: sea ice appears to be too widely spread out north-

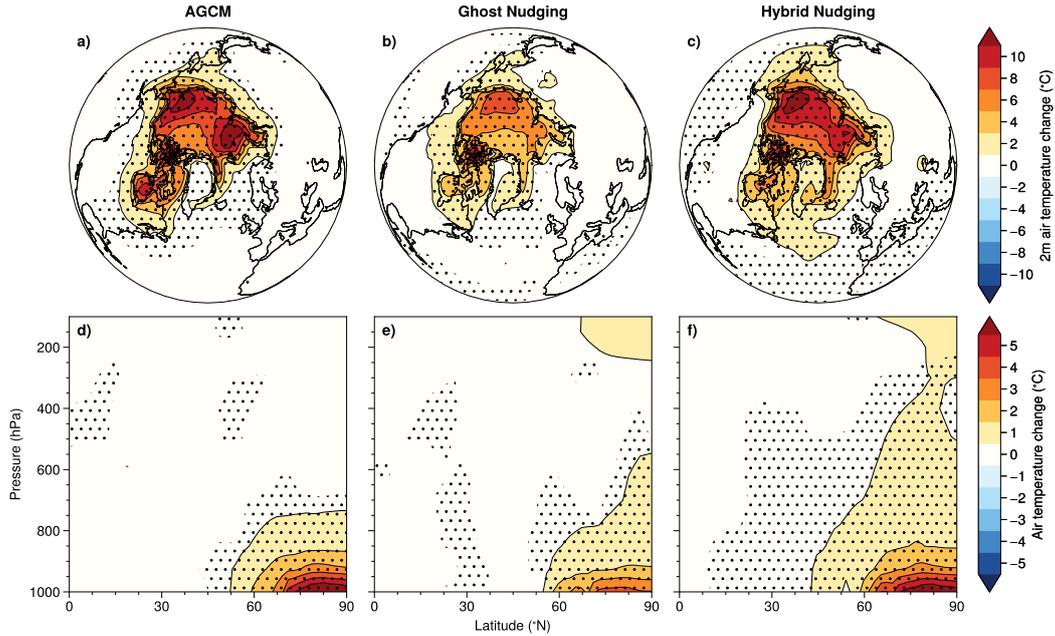


**Figure 5.** As in Figure 4 but for Antarctic sea ice using pa-futAntSIC-ext-hyb and pa-futAntSIC-ext-ghost instead of pa-futArcSIC-ext-hyb and pa-futArcSIC-ext-ghost

ward in most of the Southern Ocean. The ghost-flux nudging method shows this issue  
in a more pronounced way, but the hybrid nudging method has a stronger negative bias  
in the Weddell sea on the coast of the Antarctic Peninsula. In a similar fashion as for  
Arctic SIT, Antarctic SIT nudging sees little differences between the two methods for  
the Y2000 sea ice state (Figures 5h-i). Both methods show too thin ice near the tip of  
the Antarctic Peninsula and the SIT distribution changes very little. The RMSE increases  
by 1.8 cm with the hybrid nudging method, but this same method reduces the mean bias  
by 0.5 cm. The SIT melt using both methods is shown in Figures 5k-l. Both methods  
manage to constrain SIT melt within a RMSE of about 1 cm and both have a mean bias  
of less than 1 mm. Overall, the hybrid nudging improves significantly the constraining  
of SIC and only slightly improves SIT, although it creates a slightly larger error in SIC  
melt in the Weddell Sea.

### 3.2 Effect of nudging methods on Arctic and Antarctic climate

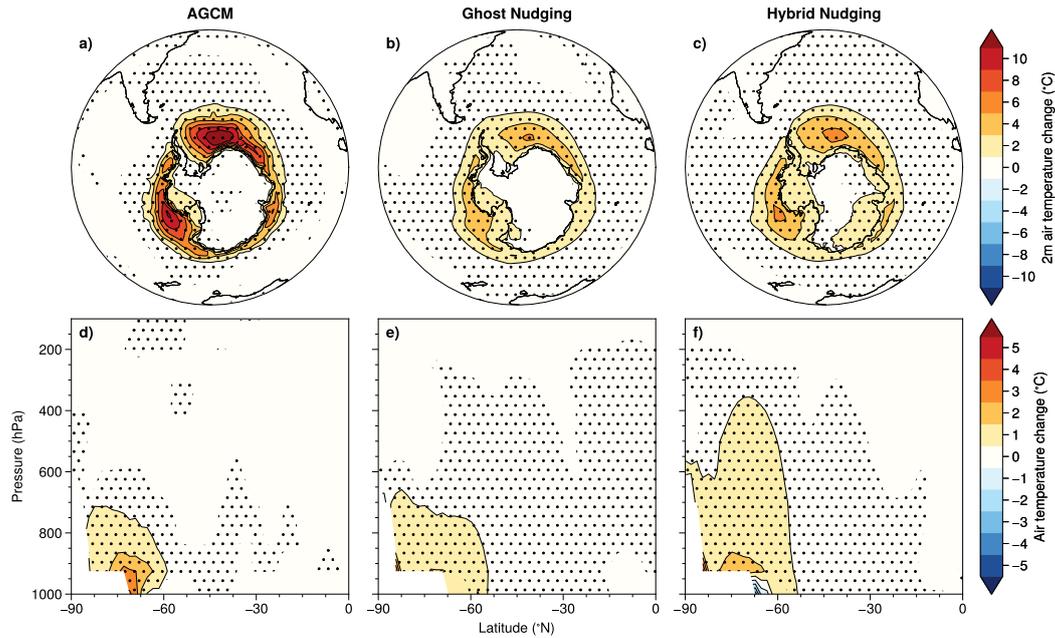
To assess aspects of the climate response to sea-ice forcing, we now compare the  
air temperature surface turbulent heat flux and circulation responses when using ghost-  
flux nudging, hybrid nudging and AGCM experiments, described in section 2.1.3, with  
the same prescribed SIC and SIT at the coupled experiments. For the AGCM experi-  
ments, we use the pdSST-pdSICSIT, pdSST-futArcSICSIT and pdSST-futAntSICSIT



**Figure 6.** DJF air temperature change with different sea ice constraining methods. a) 2m Arctic air temperature change between pdSST-futArcSICSIT and pdSST-pdSICSIT (prescribed SST and SIC). b) As in a) but between the pa-futArcSIC-ext-ghost and pa-pdSIC-ext-ghost experiments (ghost-flux nudging). c) As in a) but between the pa-futArcSIC-ext-hyb and pa-pdSIC-ext-hyb experiments (hybrid nudging). d)-f) As in a)-c) but for the DJF zonal mean air temperature response. The statistical significance to a 95% confidence level with a Student t-test is indicated by the stippling.

442 simulations as described in section 2.1.3. For the coupled simulations, the final 40 y of  
 443 simulations are averaged.

444 In Figure 6a, the 2m air temperature response to sea ice loss is shown for the AGCM  
 445 simulation. In this case, the 2m air temperature response displays four localized max-  
 446 ima over the Hudson Bay, the Canadian Arctic Archipelago, the Beaufort Sea and the  
 447 Barents and Kara seas. Each maximum matches very well with the prescribed SIC loss  
 448 pattern shown in Figure 4d, except the warming spot in the Canadian Arctic which matches  
 449 better with the SIT changes in Figure 4j, and apparently stems from thinning of SIT (Labe  
 450 et al., 2020). In comparison, the 2m air temperature response in the coupled experiment  
 451 using ghost-flux nudging (Figure 6b) only shows two clear maxima, one over the Beau-  
 452 fort Sea and the other over the Canadian Arctic Archipelago. The warming maxima lo-



**Figure 7.** As in Figure 6, but for the JJA air temperature response to Antarctic sea ice loss in the Southern Hemisphere.

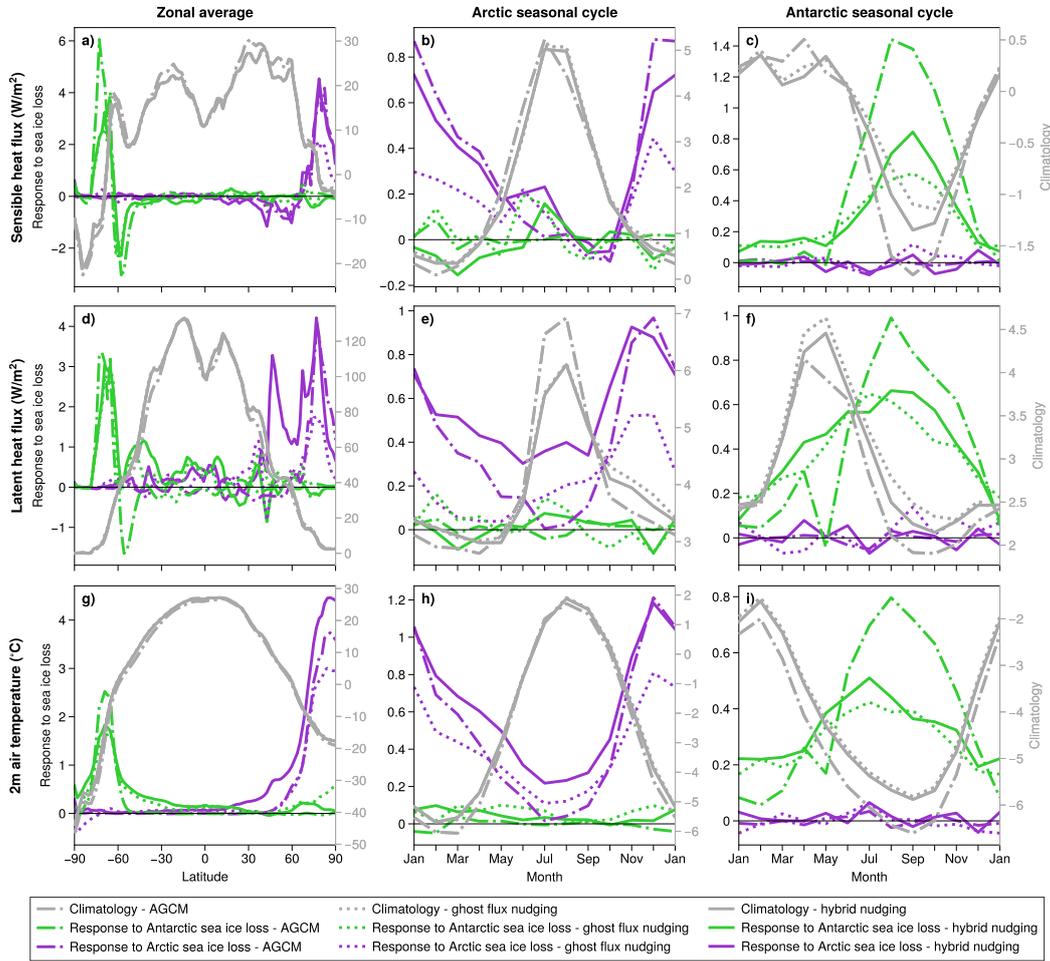
453 cated over the Barents and Kara Seas and Hudson Bay in Figure 6a are absent in Fig-  
 454 ure 6b. This lack of warming signals corresponds to the positive biases in SIC change  
 455 over these regions in Figure 4e. The 2m air temperature response when using the hy-  
 456 brid nudging method (Figure 6c) is qualitatively akin to the AGCM response (Figure  
 457 6a). This nudging method recovers the Barents and Kara seas signal and warms more  
 458 over Hudson Bay than than the ghost-flux nudging simulation. However, the warming  
 459 signal over Hudson Bay remains less than the AGCM simulation. Overall, the warming  
 460 signal with the hybrid nudging is also stronger than with the AGCM runs, similarly to  
 461 what other studies have found (Deser et al., 2016).

462 Furthermore, the vertical structure of the temperature response, represented by zonal  
 463 mean cross sections in Figure 6d-f, differs significantly when comparing the three set ups.  
 464 First, the AGCM response (Figure 6d) is confined to the lower troposphere and extends  
 465 equatorward of 50°N. The ghost-flux nudging response (Figure 6e) seems even more con-  
 466 fined to the lower troposphere North of 60°N, and is weaker in intensity. Finally, the zonal  
 467 mean temperature response with the hybrid nudging (Figure 6f) extends deeper into the  
 468 mid-latitudes and higher into the Arctic troposphere and lower stratosphere.

469 For the corresponding thermal responses for Antarctic sea ice loss, the AGCM re-  
 470 sponse is about 2-3 times stronger than the coupled model response with both methods  
 471 (Figures 7a-c), which is consistent with previous Antarctic sea ice loss experiments (D. M. Smith  
 472 et al., 2017, Figure 2). The surface warming from the hybrid nudging (Figure 7c) is stronger  
 473 than from ghost-flux nudging (Figure 7b), although both have very similar spatial pat-  
 474 terns. This is most likely due to the larger amount of sea ice loss in the hybrid nudging  
 475 simulations. Similarly to the Arctic warming warming signal, the vertical extent of the  
 476 warming is larger with the hybrid nudging (7f), the warming signal extending up to 400  
 477 hPa compared to 700 hPa for the AGCM response (7d) and 550 hPa for the ghost-flux  
 478 nudging experiment (7e).

479 In Figure 8, the surface sensible and latent heat fluxes are shown along with the  
 480 near-surface air temperature for the AGCM and coupled simulations. The climatologies  
 481 (grey lines), responses to Arctic sea ice loss (magenta lines) and responses to Antarctic  
 482 sea ice loss (green lines) are shown on the same plots. In the zonal and annual mean,  
 483 we note that the climatological sensible heat flux, latent heat flux, and 2m air temper-  
 484 ature are similar in the AGCM and coupled control simulations (grey lines in Figures  
 485 8a-d-g). This is consistent with our general observation that the climatological present-  
 486 day control state is similar in the PAMIP AGCM and coupled simulations (not shown),  
 487 providing a controlled background state for subsequent perturbations in the two types  
 488 of simulations. In response to Arctic sea ice loss (magenta lines), both nudging meth-  
 489 ods lead to an increase in sensible heat heat flux. However, the increase north of 60°N  
 490 is about twice as large (up to 4 W/m<sup>2</sup>) when using the hybrid nudging method compared  
 491 to the ghost-flux nudging method. The hybrid nudging method also matches very well  
 492 the AGCM response in the Arctic. The imbalance created in the climatological sensi-  
 493 ble heat flux is of similar intensity for the hybrid nudging method and the AGCM sim-  
 494 ulations, with the ghost-flux nudging leading to an apparent underestimation of the re-  
 495 sponse. This follows from a larger change in sea ice cover when using this method since  
 496 more ocean surface is exposed to the atmosphere.

497 The Arctic seasonal cycle in Figure 8b also demonstrates this consistency between  
 498 the AGCM and the coupled hybrid-nudging simulations with a larger response in sen-  
 499 sible heat flux in the winter season, where most of the improvement in SIC is seen in Fig-  
 500 ure 3e. The difference between the two methods is less clear in response to Antarctic sea  
 501 ice loss (green lines) in Figure 8a. Actually, both methods show an increase in sensible

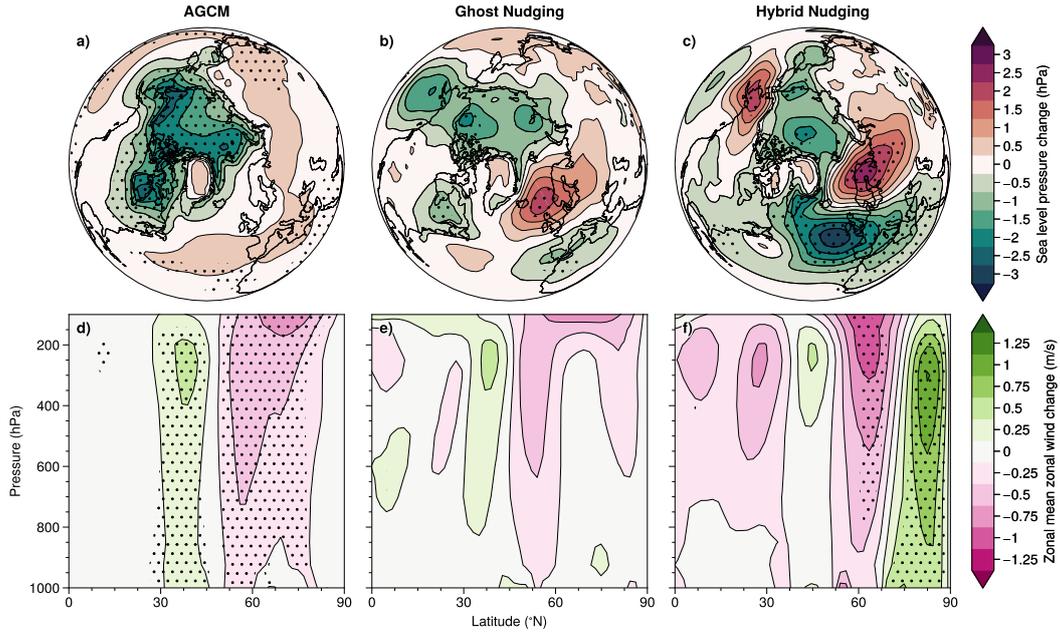


**Figure 8.** Zonal and annual average, and Arctic and Antarctic seasonal cycle of the turbulent heat fluxes (positive upward) and 2m air temperature climatologies and responses to Arctic sea ice loss in the AGCM simulations and in the the fully coupled simulations with both nudging methods. a) Annual mean sensible heat flux climatology (grey), change due to Arctic sea ice loss (magenta) and change due to Antarctic sea ice loss (green). b) As in a) but for the seasonal cycle of the Arctic averaged ( $60^{\circ}\text{N}$  to  $90^{\circ}\text{N}$ ) sensible heat flux. c) As in b) but for the Antarctic average ( $60^{\circ}\text{S}$  to  $90^{\circ}\text{S}$ ) d-f) As in a-c) but for the latent heat flux response. g-i) As in a-c) but for the 2m air temperature response.

502 heat flux of about  $3 \text{ W/m}^2$  around  $70^\circ\text{S}$  and a decrease of  $1.5 \text{ W/m}^2$  on the equatorward  
503 flank of the  $60^\circ\text{S}$  latitude line. Most of the difference between the two methods in re-  
504 sponse to Antarctic sea ice loss is seen in the Antarctic seasonal cycle in Figure 8c. In  
505 fact, the largest difference occurs during the austral spring, when the hybrid nudging method  
506 leads to a larger increase in sensible heat flux (30% stronger) than the ghost-flux method,  
507 likely due to differences in sea ice cover. The AGCM response in this case shows an in-  
508 crease in sensible heat flux over the Antarctic that is about twice as strong as the cou-  
509 pled model responses. This could explain the stronger warming signal showed in Figure  
510 7a and visible in Figure 8g (green lines).

511 A similar story can be told about the turbulent latent heat flux (Figures 8d-f). With  
512 both methods, a net upward latent heat flux release takes place at high latitudes in re-  
513 sponse to Arctic and Antarctic sea ice loss. However, the hybrid nudging method increases  
514 the response to Arctic sea ice loss by a factor of 2 to 3 whilst both methods show a very  
515 similar response to Antarctic sea ice loss. Akin to the sensible heat flux response, the  
516 latent heat flux response to Arctic sea ice loss weakens the Arctic seasonal cycle (Fig-  
517 ure 8e). The weakening with the hybrid nudging method is stronger than with the ghost-  
518 flux method. Once again, this is mostly due to the larger change in sea ice as the model  
519 better captures the sea ice targets with the hybrid nudging method. In the case of the  
520 latent heat flux, the AGCM response to Arctic sea ice loss matches the ghost-flux nudg-  
521 ing response in the mid-latitudes before increasing and getting to the levels of the hy-  
522 brid nudging method at high latitudes. On the other hand, both methods show very sim-  
523 ilar Antarctic seasonal responses to Antarctic sea ice loss (Figure 8f). Indeed, both meth-  
524 ods lead to a net increase in upward latent heat flux in the Antarctic, a response that  
525 acts to weaken the abrupt seasonal decline in latent heat flux control climatology dur-  
526 ing the boreal autumn into the boreal winter.

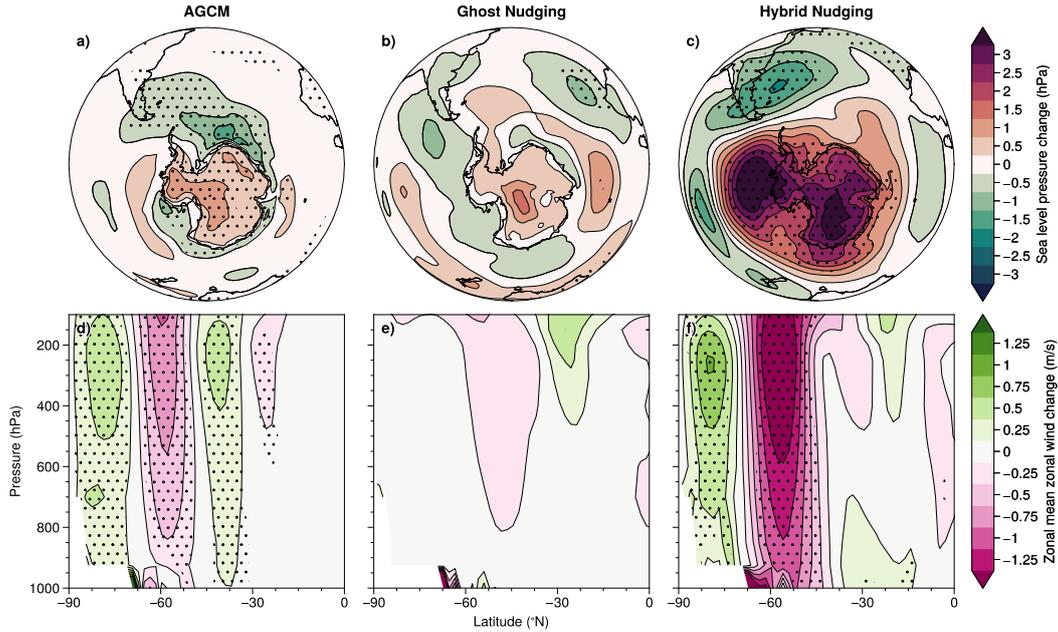
527 Finally, the 2m air temperature response (Figures 8g-i) shows a similar pattern to  
528 the latent heat flux. The zonal mean near surface warming is similar for both methods  
529 in response to Antarctic sea ice loss, but the hybrid nudging methods leads to a stronger  
530 Arctic warming signal by about  $1.5 \text{ }^\circ\text{C}$  at high latitudes in response to Arctic sea ice loss  
531 (Figure 8g). More warming arises from a larger amount of sea ice extent loss with the  
532 hybrid nudging method. The Arctic response to Arctic sea ice loss with both methods  
533 also acts to weaken the seasonal cycle in the the Arctic, although this effect is stronger



**Figure 9.** DJF sea level pressure and zonal mean zonal wind change with different sea ice constraining methods. a) Sea level pressure pdSST-futArcSICSIT and pdSST-pdSICSIT (prescribed SST, SIC and SIT). b) As in a) but between the pa-futArcSIC-ext-ghost and pa-pdSIC-ext-ghost experiments (ghost-flux nudging). c) As in a) but between the pa-futArcSIC-ext-hyb and pa-pdSIC-ext-hyb experiments (hybrid nudging). d-f) As in a)-c) but for the DJF zonal mean zonal wind response. The statistical significance to a 95% confidence level with a Student t-test is indicated by the stippling.

534 with the hybrid nudging. Over the southern polar region (Figure 8i), the two methods  
 535 show very little difference.

536 In addition to the surface turbulent heat fluxes and temperature responses, we com-  
 537 pare the winter time circulation response that the different methods generate. The sea  
 538 level pressure (SLP) response to Arctic sea ice loss with the AGCM setup (Figure 9a)  
 539 differs significantly from the two coupled model responses (Figures 9b-c). The AGCM  
 540 model leads to a SLP reduction all over the Arctic ocean with maxima that generally  
 541 coincide with the temperature response in Figure 6a. The coupled model response with  
 542 both nudging methods lead to a SLP increase over Scandinavia and a SLP reduction south-  
 543 ward of the positive anomaly, a pattern absent in the AGCM signal. The response is also  
 544 stronger and generally more significant when using the hybrid nudging method.



**Figure 10.** As in Figure 9, but for the JJA response to Antarctic sea ice loss in the Southern Hemisphere.

545 The zonal mean zonal wind responses also show some differences in response to Arctic  
 546 sea ice loss (Figures 9d-f). In all setups, the zonal winds weaken on the poleward flank  
 547 of the jet, however, the response is not significant for the ghost-flux nudging. In addition  
 548 to this weakening around  $60^{\circ}\text{N}$ , the AGCM and the hybrid nudging simulations show  
 549 an increase in the zonal mean zonal wind, but do not agree on the location of this signal.  
 550 The AGCM response shows a significant equatorward shift of the mid-latitude jet,  
 551 but the hybrid nudging experiment displays a westerly response at high latitudes. This  
 552 response in the hybrid setup can be associated through thermal wind balance with the  
 553 warming plume located around  $70^{\circ}\text{N}$  in Figure 6f. Also, the westerly response at high  
 554 latitudes can be seen in other AGCMs in response to similar forcings (M. M. Smith et  
 555 al., 2022, Figure 2).

556 The austral winter circulation response to Antarctic sea ice loss also shows marked  
 557 differences between the different setups (Figure 10). The AGCM SLP response (Figure  
 558 10a) shows an increase in SLP over land in Antarctica and the response shifts to a decrease  
 559 over the Southern Ocean, mainly between South America and Australia. In comparison,  
 560 the response obtained with the coupled model using the ghost-flux nudging shows  
 561 close to no significant signal (Figure 10b). The response is noisy and is only significant

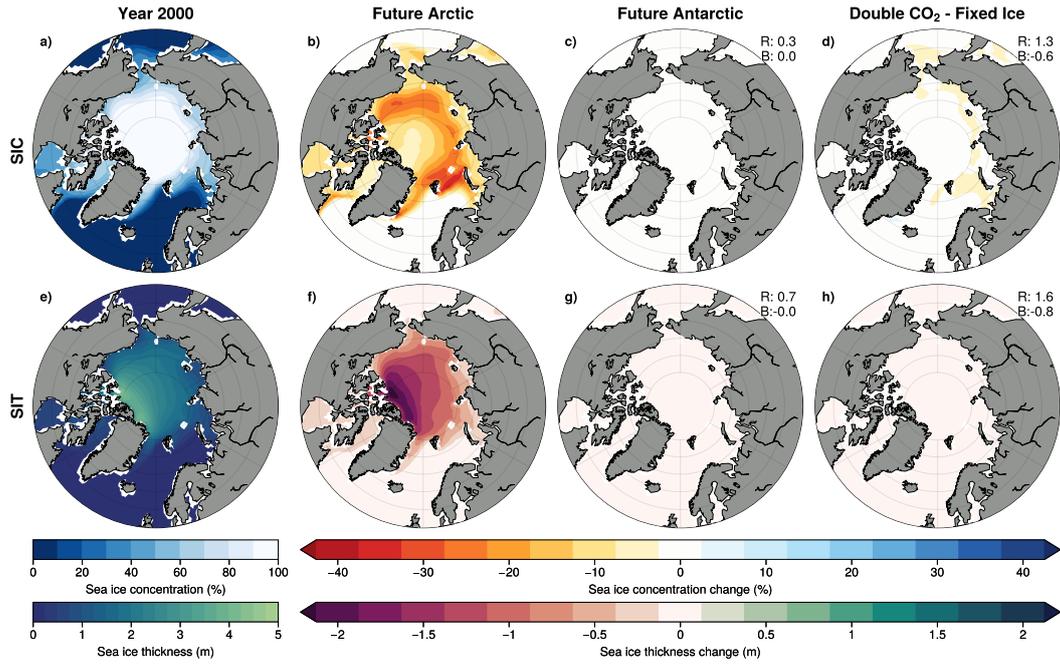
562 on the southwestern coast of Australia, where SLP weakens. However, the most strik-  
 563 ing difference is with the hybrid nudging response, where there is a strong positive SLP  
 564 response at higher latitudes and weaker, but still significant, reductions in the midlat-  
 565 itudes, mostly over the southern part of South America.

566 The zonal mean zonal wind response to Antarctic sea ice loss is similar to the re-  
 567 sponse to Arctic sea ice loss (Figures 10d-f). Again, the ghost-flux nudging experiment  
 568 does not show a significant tropospheric signal, and the AGCM and hybrid nudging se-  
 569 tups show a weakening of the poleward flank of the midlatitude jet. Here again, the AGCM  
 570 shows an equatorward shift of the jet while the coupled model with hybrid sea ice nudg-  
 571 ing only shows a weakening of the easterlies at high latitudes. This time though, the AGCM  
 572 response also shows this weakening at high latitudes.

### 573 **3.3 Effect of remote and external forcing on the nudging**

574 Finally, to more broadly survey characteristics of the hybrid nudging technique, we  
 575 take a look at Arctic sea ice when we nudge Antarctic sea ice to the pa-futAntSIC-ext  
 576 targets and when we instantaneously double the CO<sub>2</sub> concentrations while attempting  
 577 to keep sea ice fixed to Y2000 levels. We again analyze the final 40 years of each cou-  
 578 pled simulation.

579 In Figures 11a (11e) and 11b (11f), the simulated annual mean SIC (SIT) and an-  
 580 nual mean SIC difference (SIT difference) in pa-futArcSIC-ext are shown. Both panels  
 581 are there for reference and are very close to their respective targets shown in Figures 4a  
 582 (4g) and 4d (4h). When Antarctic sea ice is nudged to a future state in pa-futAntSIC-  
 583 ext, Arctic SIC and SIT show close to no changes (Figures 11b and 11g), as intended.  
 584 Indeed, in comparison to the sea ice states in Figures 11a and 11e, SIC in pa-futAntSIC-  
 585 ext-hyb has RMSE and mean bias of less than 1% and SIT has a RMSE and mean bias  
 586 of less than 1 cm. Finally, when doubling the CO<sub>2</sub> whilst nudging sea ice in both polar  
 587 regions to the pa-pdSIC-ext targets, a small amount of SIC is lost (RMSE of 1.3% and  
 588 mean bias of -0.6%, Figure 11d), but no significant changes to SIT occur (RMSE of 1.6  
 589 cm and mean bias of -0.8 cm, Figure 11h). Overall, the hybrid nudging approach allows  
 590 for good control over SIC and SIT even in the presence of external forcings.



**Figure 11.** Annual mean Arctic SIC and SIT in the PAMIP simulations with the hybrid nudging method. a) Arctic SIC in the pa-pdSIC-ext experiment. b) Simulated Arctic SIC change between pa-futArcSIC-ext and pa-pdSIC-ext. c) As in b) but between pa-futAntSIC-ext and pa-pdSIC-ext. d) As in b) but between pa-pdSIC-2XCO2-ext and pa-pdSIC-ext. e)-h) As in a)-d) but for SIT. In the last two columns, the RMSE and mean bias are indicated as in Figures 4 and 5, treating the target here as Y2000 SIC and SIT (first column).

## 4 Concluding remarks

We have developed a hybrid nudging technique that combines the ghost-flux (McCusker et al., 2017; Sun et al., 2020) and direct nudging methods (D. M. Smith et al., 2017). This novel, but still relatively simple, approach allows for better control over the partitioning between SIC and SIT, but keeps the total water conservation benefit from the ghost-flux nudging method. We tested the technique using the PAMIP extended coupled simulations targets that specify the control sea ice state and projected sea ice loss in the Arctic and Antarctic separately. The hybrid nudging reduces significantly the biases over both polar regions, although the agreement with the Arctic sea ice targets remains better than for the Antarctic targets.

For the development of the nudging algorithm, we used both the fully coupled version of CESM1 with SC-WACCM4 and the sea ice component of CESM1, CICE4, driven by a data atmosphere and data ocean. Our results show that CICE4 in a stand-alone setup is a useful tool to quickly and inexpensively test sea ice constraining methods. While the full effect of the nudging method is not captured in the stand-alone setup, the results translate well qualitatively to the fully coupled model. We also note that the hybrid nudging method has only been implemented in one model (CESM1 with CICE4) and the results might vary depending on the model used. We are making the code modifications used here available to apply to other models.

Overall, the hybrid nudging method increases thin-ice melt and brings SIC much closer to target specifications. For PAMIP, this leads to greater air-sea turbulent heat exchange and warming relative to the ghost-flux nudging method. In the Arctic, this makes coupled responses more comparable to AGCM responses. The question of why the Antarctic AGCM warming is greater than the coupled warming remains to be investigated.

Our finding that the ghost-flux method does not well reproduce the PAMIP target SIC and is characterized by attenuated Arctic warming was unexpected, since the ghost-flux method has previously been shown to lead to considerable sea ice loss and strong Arctic warming in a similar model, consisting of the atmospheric component CAM4 instead of SC-WACCM4 used here (Sun et al., 2020). In the case of Sun et al. (2020), however, the target sea ice forcing is stronger (RCP8.5 forcing at the end of the twenty-first century), and we are indeed able to largely produce similar results to theirs, in our model setup with SC-WACCM4, when using their forcing (not shown). This suggests that the

623 inability of the ghost-flux method to capture the partitioning of between SIC and SIT  
624 is due to the weaker forcings of PAMIP, pointing to a potential source of nonlinearity  
625 and inconsistency between different sea-ice perturbation methods.

626 The hybrid nudging method also allows for good control over sea ice while other  
627 external or remote forcings, such as CO<sub>2</sub> forcing or Antarctic sea ice melt, are applied  
628 to models, meaning that this method can be very useful to isolate the impact of sea ice  
629 loss alone, as well as the effect of all other forcings without sea ice loss. We recommend  
630 this hybrid sea ice nudging method for sea ice loss coupled simulations when the effect  
631 of sea ice needs to be isolated, in particular for sea ice loss simulations with weak forc-  
632 ings such as the Group 6 PAMIP experiments. We argue that this method is a suitable  
633 tool for more fully addressing the issue of whether these methods generally lead to spu-  
634 rious polar amplification under ice loss (England et al., 2021).

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639 AlexAudette/hybrid-nudging](https://github.com/AlexAudette/hybrid-nudging). The data used for this study is available on this data-  
640 verse page: <https://doi.org/10.5683/SP3/MRPMTM>.

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