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Diffuse Whistler Mode Waves Detected by Kaguya in Lunar Polar Region

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Key Points:

- Diffuse emission of whistler mode waves from 1 to 16 Hz were found over the polar regions of the moon in the solar wind.
- They were right-handed, parallel-propagating waves with respect to the background magnetic field, without significant Doppler-shift.
- They are thought to be generated by solar wind ions reflected by the moon and propagate along field lines convected by the solar wind.

25

26 Abstract

27 Solar wind particles reflected by the lunar magnetic field are major energy source of
28 electromagnetic wave activities around the moon. In addition to known waves such as 100 s
29 magnetohydrodynamic waves and 1 Hz whistler mode waves generated by protons, or non-
30 monochromatic whistler mode waves generated by mirror-reflected electron beams, Kaguya
31 found a new type of whistler mode waves at an altitude of 100 km above the polar regions of the
32 moon, not above intense magnetic anomalies. The frequency range 1-16 Hz was broad like the
33 non-monochromatic waves generated by electron beams, but their occurrence was less sensitive
34 to the magnetic connection like the waves generated by reflected protons. They appear diffuse
35 both in time and frequency domains, and the polarization was right-handed with respect to the
36 background magnetic field. The wave number vector was nearly parallel to the background
37 magnetic field which was perpendicular to the solar wind flow. The diffuse waves are thought to
38 be generated by solar wind ions reflected by the lunar magnetic field through cyclotron
39 resonance. The resonant ions were expected to have velocity component parallel to the magnetic
40 field larger than the solar wind bulk speed, but such ions were not always detected
41 simultaneously. There is a possibility that the waves were generated above the dayside moon and
42 then propagated along the magnetic field convected by the solar wind to reach polar regions to be
43 detected by Kaguya.

44 Plain Language Summary

45 As the moon is not shielded by global magnetic field like the Earth, the solar wind particles can
46 access the lunar surface. Although most of them are absorbed by the surface, a small fraction is
47 scattered by the surface or reflected by intense lunar magnetic fields (so called magnetic
48 anomalies) back into the solar wind and become an energy source of wave activities. Protons
49 reflected by the magnetic anomalies are responsible for generation of 0.01 Hz ultra-low
50 frequency waves or 1 Hz electron cyclotron waves. Electrons reflected by the lunar magnetic
51 field form a field aligned beam responsible for generation of non-monochromatic
52 electromagnetic waves whose detection is controlled by the magnetic connection. Kaguya found
53 a new type of electromagnetic waves with broad frequency range like the non-monochromatic
54 waves generated by electrons, but less sensitive to the magnetic connection like the waves
55 generated by reflected protons. They were preferentially observed above the polar region of the
56 moon, not above intense magnetic anomalies. They were thought to be generated by solar wind
57 ions reflected by the lunar magnetic fields and propagate along the solar wind magnetic field to
58 the polar region being convected down the solar wind flow.

59

60

61 **1 Introduction**

62 Due to the lack of global scale magnetic field or dense atmosphere, the solar wind
63 particles hit the moon directly and most of them are absorbed by the surface, leaving a plasma
64 void called a lunar wake on the downstream side (Lyon et al., 1967; Colburn et al., 1967;
65 Schubert & Lichtenstein, 1974; Bosqued et al. 1996; Ogilvie et al. 1996). On the other hand, a
66 small fraction of them have been found to be scattered, reflected, or diffracts by the lunar surface
67 or its crustal magnetic field. Observations from Kaguya and Chandrayaan-1 have revealed that a
68 small fraction (0.1 – 1%) of the incident plasmas was found to be backscattered by the lunar
69 surface, while 10 – 50% were reflected by the local crustal field, called magnetic anomaly (Saito
70 et al., 2008, 2010; Lue et al., 2011). Above the magnetic anomalies, deceleration of ions and
71 acceleration of electrons parallel to the magnetic field, together with heating of reflected ions
72 were observed (Saito et al., 2012). Chandrayaan-1 and IBEX have shown up to 20% of the
73 incident solar wind particles were backscattered in the form of energetic neutral hydrogen atoms
74 with energy of several tens of eV, and some of them experience charge exchange with the
75 ambient plasma to behave as reflected protons thereafter (McComas et al., 2009; Wieser et al.,
76 2009, 2011; Poppe et al., 2014; Bhardwaj et al., 2015).

77 The backscattered, reflected or diffracted particles are main source of the various wave
78 activities (e.g., Harada et al., 2015; Nakagawa, 2016; Harada & Halekas, 2016, and references
79 therein). In this paper, we concentrate low frequency waves. Among them, most prominent are
80 0.01 Hz ultra-low frequency (ULF) waves (Nakagawa et al., 2012) and 1 Hz whistler mode
81 waves generated by reflected protons (Halekas et al., 2006b; Tsugawa et al., 2011), and non-
82 monochromatic whistler mode waves in the extremely low frequency (ELF) range generated by
83 reflected electrons (Nakagawa et al., 2011). Figure 1 shows typical examples.

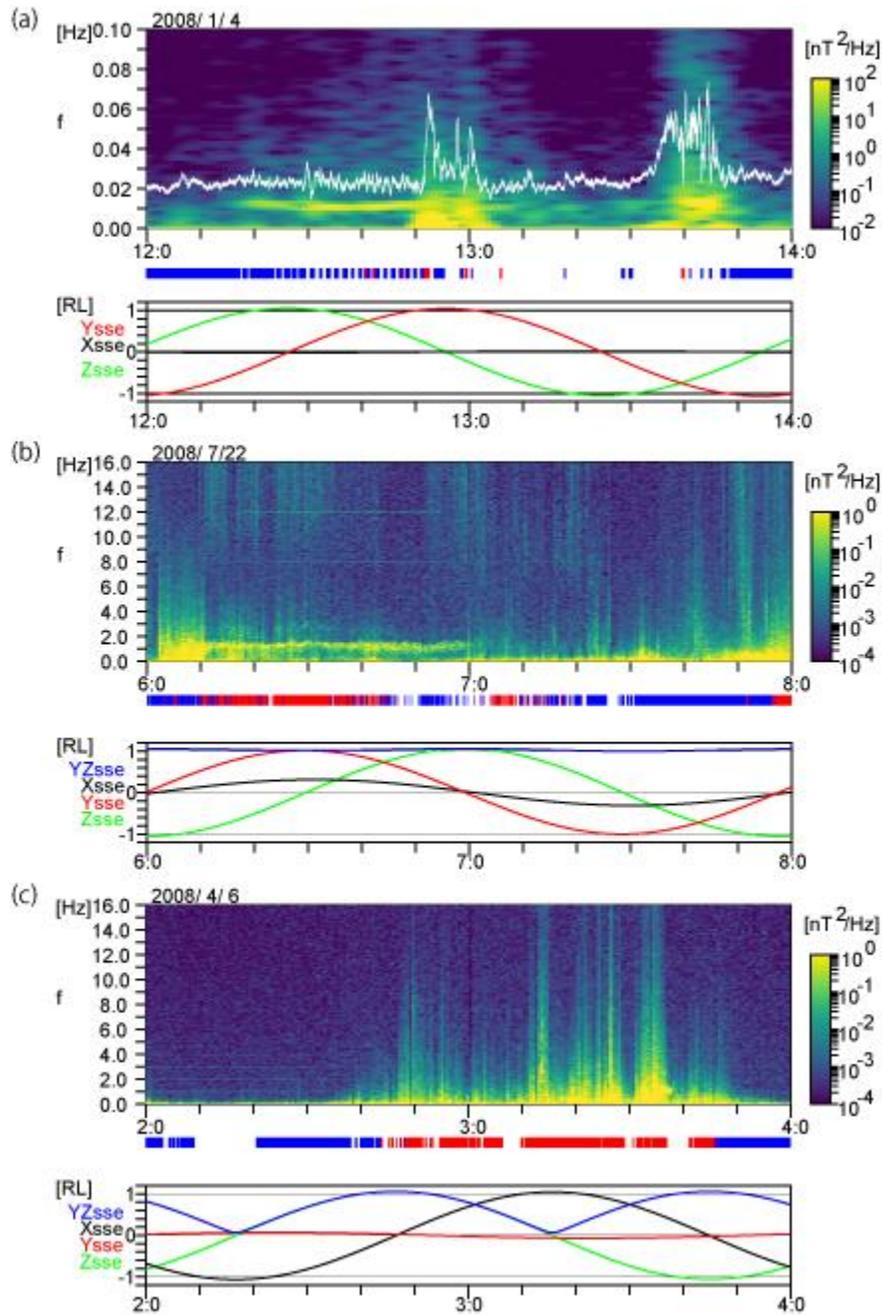
84 The ULF and whistler mode waves generated by protons were characterized by narrow
85 bandwidth and occurrence property less sensitive to the magnetic connection between the
86 observer and the lunar surface, due to the large gyro radius of the protons. Red and blue bars at
87 the bottom of each spectrum in Figure 1 indicate that linearly extrapolated line of force of the
88 magnetic field at the spacecraft intersects dayside or nightside surface of the moon, respectively.
89 The waves were continuously observed while the magnetic connection was intermittent, and the
90 appearance or disappearance were not controlled by the magnetic connection.

91 They were generated by ions reflected into the direction nearly antiparallel to the solar
92 wind flow through cyclotron resonant interaction with solar wind magnetohydrodynamic (MHD)
93 waves or whistler mode waves, and the Doppler-shifted and detected as narrow-band waves
94 often with left-handed polarization with respect to the background magnetic field.

95 On the other hand, mirror-reflected electrons above the lunar crustal magnetic field
96 generate non-monochromatic whistler mode waves over a frequency range from 0.1 to 10 Hz
97 (Nakagawa et al., 2011; Tsugawa et al., 2012). Their occurrence was severely controlled by
98 magnetic connection of spacecraft to the lunar surface, suggesting that the energy source was
99 particles bound to the magnetic field lines within a small gyroradius. The wave intensity was
100 high when the spacecraft was magnetically connected to the magnetic anomaly, while the wave
101 activity was depressed as soon as the magnetic field was disconnected from the lunar surface.

102 In addition to these known waves, a new type of diffuse ELF waves was found by
 103 Kaguya above the polar region of the moon. They are diffuse emission in a broad frequency
 104 range from 1 to 16 Hz like ELF waves generated by electrons, while their occurrence was not
 105 sensitive to the background magnetic field like the whistler mode waves generated by protons.
 106 This paper reports the property and the generation mechanism.

107



108

109 **Figure 1.** Examples of low-frequency waves detected by Kaguya at an altitude of 100 km above
 110 the lunar surface. (a) Ultra-low frequency (ULF) waves at 0.010-0.012 Hz and (b) whistler mode
 111 waves at 1.1 – 1.4 Hz generated by reflected ions, and (c) non-monochromatic extremely-low

112 frequency (ELF) waves generated by reflected electrons. Red (blue) bars at the bottom of each
 113 spectrum indicate that linearly extrapolated line of force of the magnetic field at the spacecraft
 114 intersects dayside (nightside) surface of the moon, respectively.

115 **2 Data**

116 Magnetic field and plasma data used in this study were obtained by Lunar
 117 MAGnetometer (LMAG) and Plasma energy Angle and Composition Experiment (PACE) of the
 118 MAGnetic field and Plasma experiment (MAP) (Saito et al., 2008, 2010; Tsunakawa et al., 2010;
 119 Shimizu et al., 2008) onboard Kaguya on its polar orbit around the moon during the period from
 120 January 1, 2008 to September 30, 2008. The altitude of the spacecraft was about 100 km above
 121 the lunar surface (Kato et al., 2010). The period of orbital motion was approximately 2 hours.

122 Magnetic field vectors obtained by LMAG at a sampling frequency of 32 Hz (Takahashi
 123 et al., 2009) were Fourier transformed every 32 sec. Minimum variance analysis (Sonnerup and
 124 Cahill, 1967) was applied to each Fourier component to obtain direction of wavenumber vector
 125 \mathbf{k} . The \mathbf{k} vector was assumed to be parallel to the minimum direction. The intermediate and
 126 maximum variance components were separated into left-hand or right-hand polarized
 127 components with respect to the background magnetic field. The sense of rotation (polarization)
 128 with respect to the background magnetic field of the wave was defined as $(B_L^2 - B_R^2) / (B_L^2 + B_R^2)$,
 129 where B_L and B_R are amplitudes of the left-handed or right-handed components, respectively.

130 Three-dimensional energy distribution of ions and electrons were obtained by 4 sensors
 131 of MAP-PACE, IEA (Ion Energy Analyzed), IMA (Ion Mass Analyzed) for ions and ESA
 132 (Electron Spectrum Analyzed)-S1 and ESA-S2 for electrons. The IMA and ESA-1 sensors were
 133 installed on the nadir-looking panel of the spacecraft to detect particles coming from the moon,
 134 while the IEA and ESA-2 sensors were on the zenith-looking panel (Saito et al., 2010).

135 Upstream solar wind condition was monitored by using Level 2 data from the Solar Wind
 136 Electron, Proton, and Alpha Monitor (SWEPAM) onboard the Advanced Composition Explorer
 137 (ACE) spacecraft. The data were extracted from NASA/GSFC's OMNI data set through
 138 OMNIWeb Plus.

139

140 **3 Observations**

141 3.1 Diffuse ELF waves in the solar wind

142 Figure 2 shows an example of diffuse ELF waves found by Kaguya on March 8, 2008,
 143 when the moon was in the solar wind (Figure 3a). A diffuse emission was observed in a
 144 frequency range from 4 Hz to 16 Hz during the period from 7:03 to 7:13 when the spacecraft was
 145 above the southern polar region of the moon (Figure 2f and Figures 3c-3d). In the previous
 146 evolution of the spacecraft, faint, but similar waves were seen from 5:11 to 5:17 in a range 6-12
 147 Hz over the same southern polar region. The frequency range was between ion- and electron
 148 cyclotron frequencies 0.075 Hz and 0.14 kHz (for the magnetic field 4.9 nT at 7:05), close to the
 149 lower hybrid frequency 3.2 Hz (calculated from with plasma density $8.0 \times 10^6 \text{ m}^{-3}$). Figure 4
 150 compares a cross-cut spectrum of power density of the diffuse wave (Figure 4b) with that for a
 151 quiet period (Figure 4a). The power density enhanced over a wide range from 4 Hz to 16 Hz.

152 The diffuse waves were detected on field lines disconnected from the moon. The blanks
153 in Figure 2b indicate that Kaguya was magnetically disconnected from the lunar surface. The
154 background magnetic field \mathbf{B}_0 was almost in y_{sse} direction of the selenocentric solar ecliptic
155 (sse) coordinate system during the detection of the diffuse ELF waves (Figure 2g).

156 Figure 2c shows the sense of polarization in spacecraft frame of reference for each
157 Fourier component whose power density was greater than $5 \times 10^{-3} \text{ nT}^2/\text{Hz}$. Red color indicates
158 right-hand polarizations with respect to the background magnetic field. The polarization of the
159 diffuse ELF waves was predominantly right-handed with respect to the background magnetic
160 field.

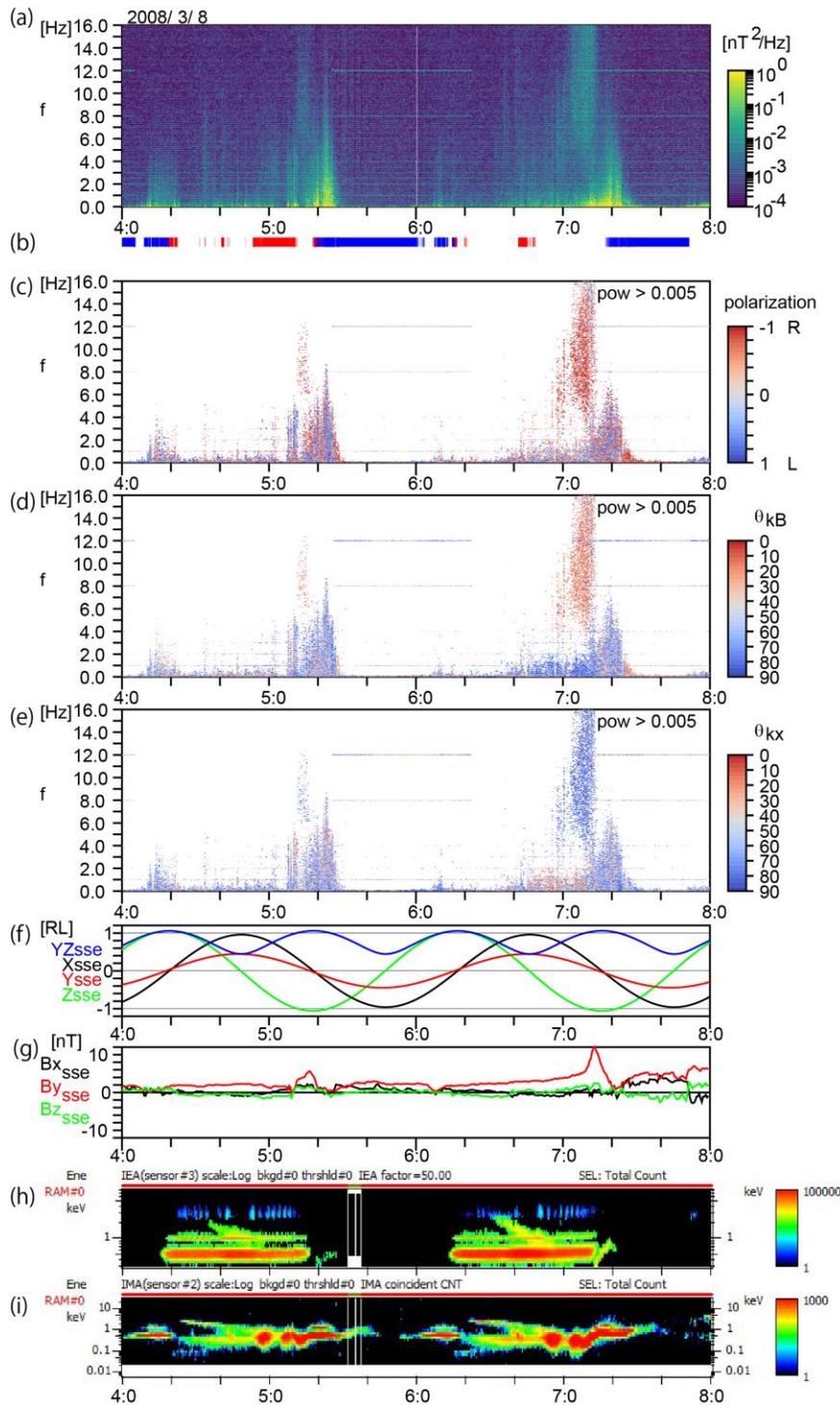
161 The wave number vector \mathbf{k} of the diffuse ELF was nearly parallel to the background
162 magnetic field \mathbf{B}_0 . Figure 2d shows the angle $\theta_{\mathbf{k}, \mathbf{B}_0}$ between the vectors \mathbf{k} and \mathbf{B}_0 . Red color
163 indicates that the vector \mathbf{k} was parallel to \mathbf{B}_0 . The polarization and the propagation direction
164 suggest that the diffuse ELF emissions were whistler mode waves. No significant Doppler shift
165 was expected because the \mathbf{k} vector was nearly perpendicular to the bulk flow of the solar wind in
166 x_{sse} direction (Figure 2e).

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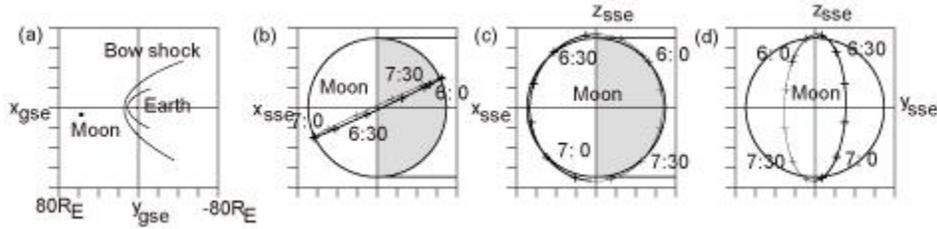
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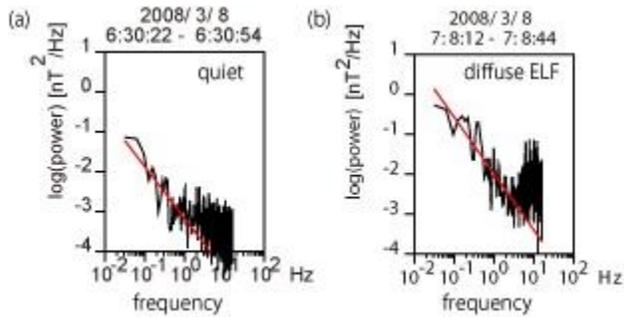
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172 **Figure 2.** A diffuse ELF event observed by Kaguya at an altitude of 100 km above the moon on
 173 March 8, 2008. (a) Power density of magnetic fluctuation, (b) magnetic connection of Kaguya to
 174 the nightside (blue) or dayside (red) surface of the moon, estimated by linearly extrapolated line
 175 of force of the magnetic field at Kaguya, (c) sense of rotation of the magnetic field variation with
 176 respect to the background magnetic field (red for right-hand and blue for left-hand), (d) the angle

177 between \mathbf{k} vector and the background magnetic field \mathbf{B}_0 for the Fourier components whose
 178 power density was larger than $0.005 \text{ nT}^2 / \text{Hz}$, (e) the angle between \mathbf{k} vector and the x_{sse} axis
 179 of the selenocentric solar ecliptic (sse) coordinate system, (f) position of Kaguya ($x_{sse}, y_{sse}, z_{sse}$)
 180 together with the distance $\sqrt{y_{sse}^2 + z_{sse}^2}$ from the x_{sse} axis, (g) 32s-averaged background
 181 magnetic field, (h) omni-directional energy-time spectrogram of ions from IEA sensor of PACE
 182 looking zenith direction and (i) from IMA sensor facing the lunar surface.
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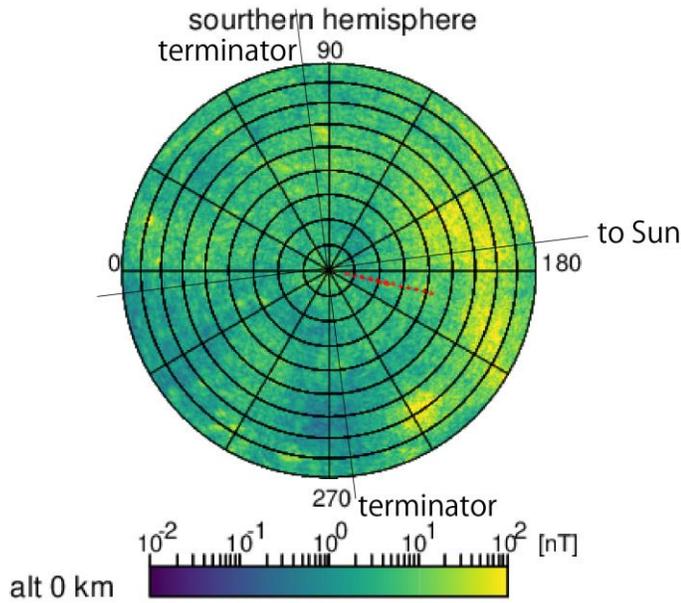


185
 186 **Figure 3.** Position of Kaguya at the detection of the diffuse ELF waves on March 8, 2008. (a)
 187 Position of the moon in geocentric solar ecliptic (gse) coordinates. (b)(c)(d) Kaguya position
 188 projected on (b) x-y, (c) x-z, and (d) y-z planes of the selenocentric solar ecliptic (sse) coordinate
 189 system.
 190
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192
 193 **Figure 4.** Spectra of magnetic field variation observed by Kaguya around the moon on March 8,
 194 2008. (a) A quiet period from 6:30:22 to 6:30:54 and (b) a diffuse ELF wave period from
 195 7:08:12 to 7:08:44. Black curves are power density calculated by Fourier transform of 32 Hz
 196 magnetic field data applied for every 32 s. Red lines are linear fit to the observed spectra in a low
 197 frequency range from 0.031 Hz to 1 Hz. The power density of higher frequency range in panel
 198 (a) gives a measure of noise level. Enhancements in panel (b) over a frequency range from 4 to
 199 16 Hz were significantly higher than the noise level.
 200

201 The diffuse waves were not detected above intense magnetic anomalies. Figure 5 shows
 202 Kaguya position during the detection of the diffuse wave. Color coded is the magnitude of the
 203 crustal magnetic field at the lunar surface calculated from Kaguya observation (Tsunakawa et al.,
 204 2015). There was no intense magnetic field at Kaguya position, while most intense magnetic
 205 anomalies on the moon extended sunward and equatorward of Kaguya on this day.
 206



207

208 **Figure 5.** Kaguya position during diffuse ELF waves and the lunar crustal magnetic field. Colors
 209 indicate magnitude of the magnetic field at an altitude of 0 km (Tsunakawa et al., 2015) on the
 210 Lambert azimuthal equal area projection. Kaguya position (red crosses) was plotted every 1
 211 minute from 5:11 to 5:17 and from 7:03 to 7:13 on March 8, 2008.

212

213 Figure 2g shows that the diffuse ELF waves were observed on the positive gradient of
 214 the magnitude toward a Lunar External Magnetic enhancement (LEME, see Halekas et al.,
 215 2006a) with peak magnitude at 7:13. It was also recognized at 5:17. The diffuse waves
 216 disappeared at the peak of the LEME. Another type of low frequency wave was observed at the
 217 LEME, but their properties of mixed polarization and direction of wave number vectors were
 218 quite different from the diffuse ELF waves.

219

220 Figures 2h and 2i show energy spectra of the ions detected by IEA and IMA sensors of
 221 MAP-PACE, respectively. During the detection of the diffuse ELF wave, IMA observed a bunch
 222 of protons reflected by a crustal field from 7:02 to 7:10 in the energy range from 0.1 to 1 keV,
 223 but the start and end times of the reflected ions were not exactly the same as that of the diffuse
 224 ELF waves. IEA detected incident solar wind ions centered at 0.5 keV consistently with ACE
 225 observation of 330 km/s at 1.52×10^6 km upstream in the solar wind shifted by about 1 hour for
 226 traveling the distance. The upstream number density of the solar wind at ACE was 2×10^7 m⁻³,
 227 which was higher than nominal value of the solar wind.

228

229 IMA detected another bunch of reflected protons from 6:53 to 7:00, but they were not
 230 accompanied by diffuse ELF waves.

230

231 3.2 Diffuse ELF waves in the magnetosheath

232 Figure 6 shows another diffuse ELF event found in the Earth's magnetosheath. Kaguya
 233 was on the nightside of the terminator but was exposed to the magnetosheath flow (Figure 7c-d
 234 ad Figure 8). A diffuse emission was found in a frequency range from 1 Hz to 8 Hz during the

235 period from 20:40 to 21:00 on June 14, 2008 above the northern polar region of the moon. The
236 emission appeared diffuse both in time and frequency domains. It makes a clear contrast with
237 broadband emissions with sharp appearance and mixed polarization observed from 21:00 to
238 22:00.

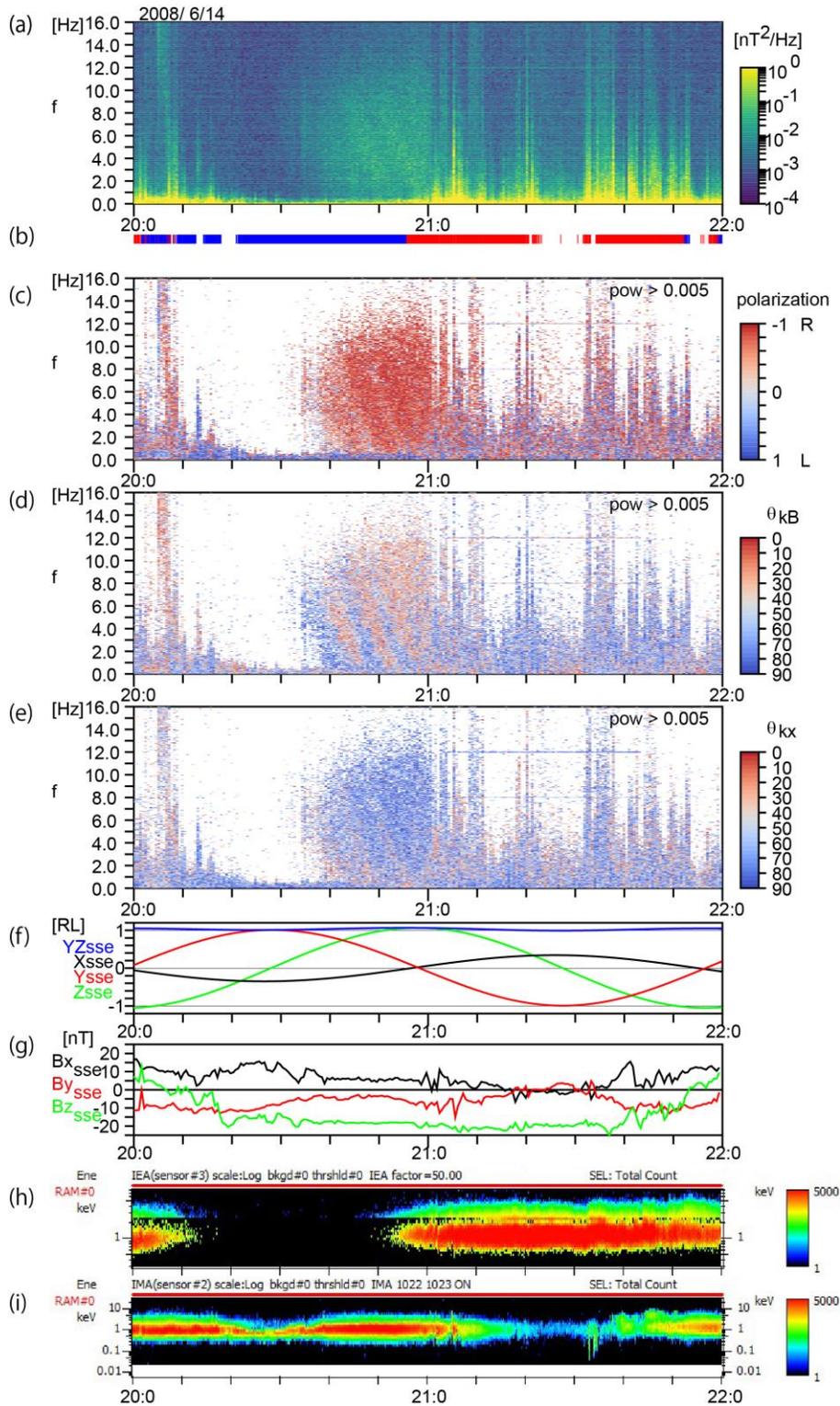
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240 The observed frequency 1 – 8 Hz corresponds to 3 – 27 times the ion cyclotron
241 frequency 0.3 Hz calculated from the magnitude of the background magnetic field 19.4 – 22.1 nT.
242 Three lanes of falling tone starting at around 20:40, 20:45 and 20:50 were recognized in Figure
243 6. The polarization was again right-handed with respect to the background magnetic field, and
244 the \mathbf{k} vector was again nearly parallel to the magnetic field. Because the magnetic field was
245 almost in southward direction (Figure 6g) the \mathbf{k} vector was nearly perpendicular to the bulk flow
246 of the magnetosheath plasma (Figure 6e), an effect of Doppler shift was supposed to be small.

247 Throughout the diffuse ELF wave event, Kaguya was magnetically connected to the
248 lunar surface as recognized from Figure 6b. Figures 8 and 9 show that there was no intense
249 crustal magnetic field at the foot of the magnetic field line.

250 The diffuse appearance and insensitiveness to the magnetic connection suggest that the
251 emission was generated by ions. An attempt was made to search ions that would generate the
252 waves in PACE observation in Figures 6h and 6i, but it was difficult to distinguish reflected ions
253 from the incident solar wind component because the latter also entered IMA sensor of Kaguya on
254 this orbit just behind the terminator. The ion energy was typically 0.5 – 2.0 keV, consistently
255 with the bulk speed of the sheath flow was 400 km/s during the period of diffuse ELF event. The
256 number density of ions was $1 \times 10^7 \text{ m}^{-3}$.

257

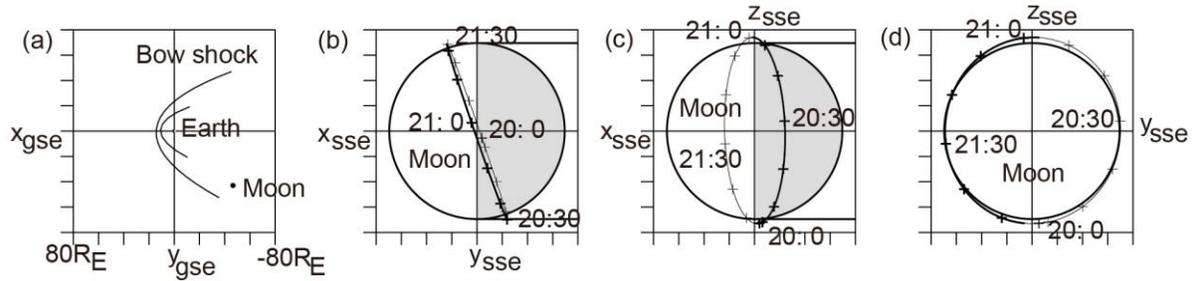


258

259 **Figure 6.** P A diffuse ELF event observed by Kaguya on June 14, 2008. See the legend of Figure
260 2.

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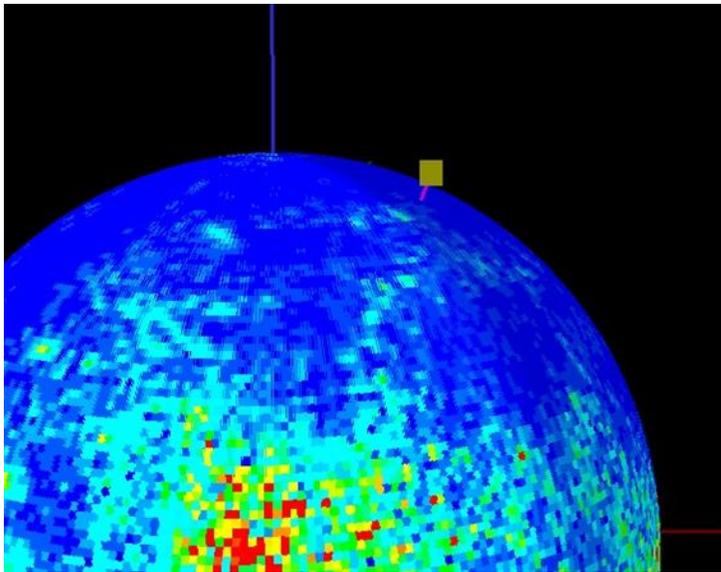


263

264 **Figure 7.** Position of Kaguya at the detection of the diffuse ELF waves on June 14, 2008. (a)
 265 Position of the moon in geocentric solar ecliptic (gse) coordinates. (b)(c)(d) Kaguya position
 266 projected on (b) x-y, (c) x-z, and (d) y-z planes of sse coordinate system.

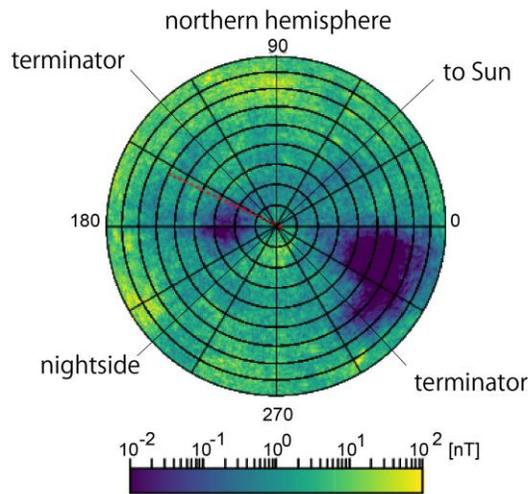
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269

270 **Figure 8.** Magnetic connection between Kaguya (not in scale) and the lunar surface at 20:50 on
 271 June 14, 2008. The purple bar represents the direction of the magnetic field observed by Kaguya.
 272



273

274 **Figure 9.** The position of Kaguya and the lunar crustal magnetic field on the northern
 275 hemisphere at an altitude of 0 km (Tsunakawa et al., 2015). Kaguya position from 20:40 to 20:59
 276 were plotted every 1 minute on the Lambert azimuthal equal area projection.
 277

277

278 4 Discussion

279 4.1 Summary of observation

280 The properties of the diffuse ELF waves observed by Kaguya around the moon are
 281 summarized as follows:

- 282 1) They are magnetic fluctuations in a frequency range from 1 to 16 Hz, between the local ion-
 283 and electron cyclotron frequencies.
- 284 2) They were right-hand polarized with respect to the background magnetic field.
- 285 3) The wave number vector was nearly parallel to the background magnetic field.
- 286 4) They were detected irrespective of magnetic connection to the lunar surface.
- 287 5) They were preferentially observed in polar region.

288 4.2 Possible explanation for broad frequency range

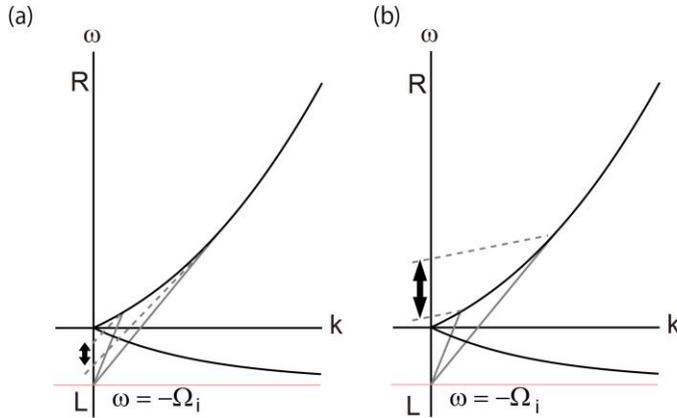
289 Considering the frequency range and right-handed polarization with respect to the
 290 background magnetic field, the diffuse ELF waves are thought to be whistler mode waves
 291 propagating nearly parallel to the background magnetic field. The energy source is supposed to
 292 be ions reflected by the crustal magnetic field, which have broader energy and angular
 293 distributions than the incident solar wind (Saito et al., 2010, 2012). They would form a ring-
 294 beam distribution in velocity space that can generate whistler mode waves through cyclotron
 295 resonance (e.g., Gary, 1991)

296 The broadband, diffuse emission is expected to be due to ineffectiveness of Doppler shift
 297 of the waves generated by ions reflected into directions perpendicular to the solar wind flow. If
 298 the wave number vector \mathbf{k} was antiparallel to the solar wind velocity \mathbf{V}_{SW} , the resonant waves
 299 would be heavily Doppler-shifted and the observed bandwidth would be narrowed with reversed
 300 polarization as illustrated in Figure 10a. The dashed lines represent Doppler-shift relationship

301
$$\omega + \mathbf{k} \cdot \mathbf{V}_{SW} = \omega_{OBS} \quad (1)$$

302 between the angular frequencies ω in the solar wind frame and ω_{OBS} in spacecraft frame. The
 303 polarization ω , positive (negative) for right-handed (left-handed) polarization, would be reversed
 304 if the $\mathbf{k} \cdot \mathbf{V}_{SW}$ term was large. In contrast, if the wave number vector \mathbf{k} was perpendicular to the
 305 solar wind flow, the term $\mathbf{k} \cdot \mathbf{V}_{SW}$ would be small and would not narrow the bandwidth or reverse
 306 the polarization, as illustrated in Figure 10b.

307



308

309 **Figure 10.** Schematic illustration of observed bandwidth for different Doppler-shift. Curves are
 310 dispersion diagram for plasma waves in extremely low frequency range with positive ω for right-hand
 311 polarized waves and negative ω for left-hand polarized waves, propagating parallel to the background
 312 magnetic field. Solid-lines are cyclotron resonance condition, and dashed lines represent Doppler-shift. In
 313 (a) heavy Doppler-shift case the bandwidth is narrowed and the polarization is reversed, while in (b)
 314 slight Doppler-shift case, bandwidth remains broad and polarization remains right-handed.

315

316

317 It turns out that the real resonance took place at higher frequency than that illustrated in
 318 Figure 10. In the following section, the resonant conditions will be investigated by using the
 319 dispersion curves drawn with parameters observed.

320 4.3 Dispersion relation and resonant condition

321 Figure 11 shows a dispersion relation $\omega(k)$ of parallel propagating whistler mode wave
 322 drawn with parameters of July 14, 2008 diffuse ELF event. The plasma density was 10 cm^{-3} and
 323 the magnitude of the magnetic field was 22.1 nT at 20:58. The observed frequency 1 – 8 Hz
 324 normalized by the ion cyclotron frequency 0.34 Hz corresponds to 3 – 24 Ω_i . The wave number
 325 vector is assumed to be parallel to the background magnetic field.

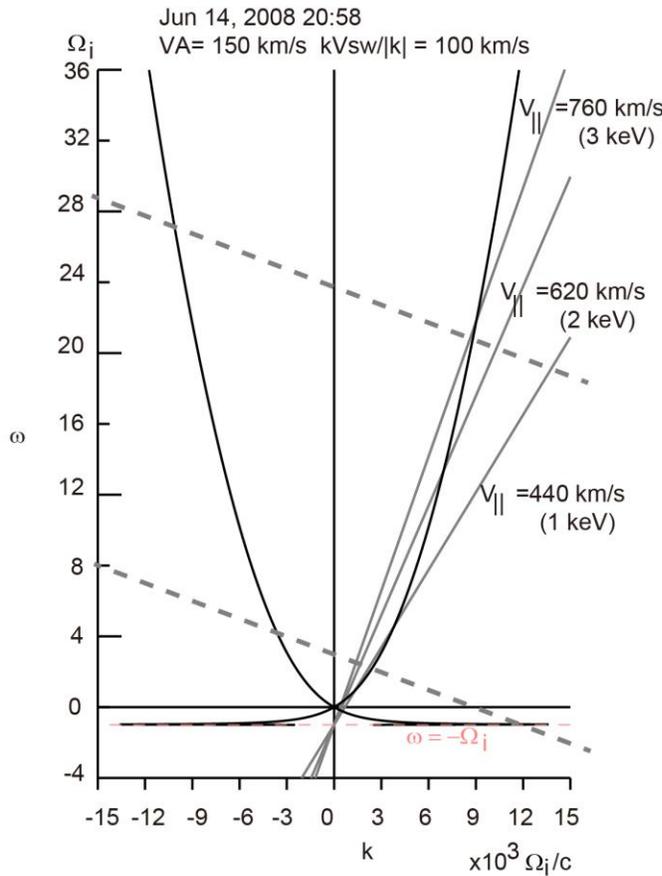
326 The dashed lines in Figure 11 represent Doppler-shift equation (1) for the upper and
 327 lower boundary of observed frequency. The inclination -100 km/s was calculated from the bulk
 328 flow 400 km/s observed by MAP-PACE on the assumption that the wave was propagating away from
 329 the moon and the \mathbf{k} vector was anti-parallel to the background magnetic field (5.6, -7.6 , -20.0)
 330 nT. The upper and lower lines intersect the dispersion curve at $(\omega, k) = (21 \Omega_i, 9 \times 10^3 \Omega_i c^{-1})$
 331 and $(2 \Omega_i, 3 \times 10^3 \Omega_i c^{-1})$, respectively. Thus, the frequency of the whistler mode wave in the

332 sheath flow frame was estimated to be in the range from 0.6 Hz to 7 Hz, and the wavelength was
 333 between 100 km and 300 km.

334 In Figure 11, the cyclotron resonance condition of reflected ions with velocity \mathbf{V}_R

335
$$\omega + \mathbf{k} \cdot \mathbf{V}_{SW} - \mathbf{k} \cdot \mathbf{V}_R = -\Omega_i \quad (2)$$

336 is represented by gray solid lines with positive inclinations. Three lines are for ions with parallel
 337 speed $V_{\parallel} \equiv (\mathbf{k} \cdot \mathbf{V}_{SW} - \mathbf{k} \cdot \mathbf{V}_R) / |\mathbf{k}|$ of 440 km/s (1 keV), 620 km/s (2 keV) and 760 km/s (3 keV) as
 338 a measure of the energy of solar wind (or magnetosheath) protons. A line with too small
 339 inclination does not intersect the curve in positive ω range. That is, too slow ions can't be
 340 resonant with the whistler mode wave. The line for 1 keV ions intersects the dispersion curve at
 341 two points around $1 \Omega_i$ and $4 \Omega_i$, and the latter agrees with the observed frequency range. This is
 342 different from illustrations in Figure 10 in which resonance was assumed to be at lower
 343 frequency. The lower limit of the possible intersection would be at around $2 \Omega_i$, consistently with
 344 the lowest frequency of the detected diffuse ELF waves in the sheath flow frame.
 345



346 **Figure 11.** Dispersion diagram, cyclotron resonance condition, and Doppler-shift for the diffuse ELF
 347 waves on June 14, 2008, 20:58. The angular frequency is normalized by the magnitude of ion cyclotron
 348 frequency $|\Omega_i|$ and the wave number is normalized by $|\Omega_i|/c$, where c is the speed of light.
 349

350
 351 In order to account for the upper boundary of the observed frequency, we need
 352 $V_{\parallel} = 760 \text{ km/s}$ (3 keV), about $\sqrt{3}$ times faster than the solar wind bulk speed, while we have

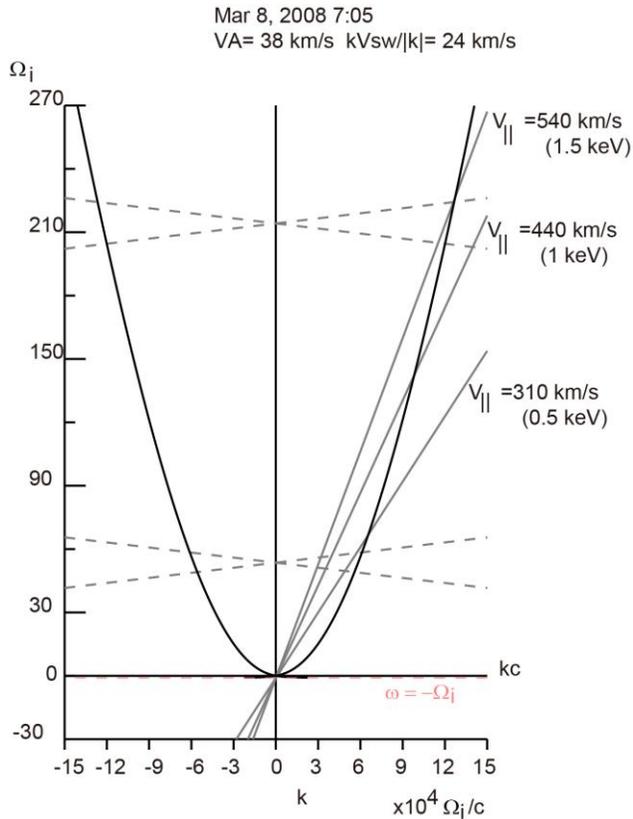
353 seen the upper boundary of the ion energy was about 2 keV in the spacecraft frame of reference
 354 in Figure 6. Reflected ions with velocity \mathbf{V}_R in the spacecraft frame have velocity $\mathbf{V}_R - \mathbf{V}_{sw}$ in
 355 the solar wind (or magnetosheath flow) frame of reference, but in this event, the wave number
 356 vector \mathbf{k} was nearly perpendicular to the solar wind flow and the term $\mathbf{k} \cdot \mathbf{V}_{sw}$ does not
 357 contribute to V_{\parallel} . The reflected ions must have higher velocity than the solar wind speed to have
 358 parallel component V_{\parallel} as estimated.

359 In Figure 6 we have seen a falling tone structure of the diffuse ELF waves. The magnetic
 360 field, plasma density and bulk velocity were stable during the period. Any distinct feature which
 361 might correspond to the falling tone structure was searched in MAP-PACE data, but no such
 362 feature was found. The decrease of frequency can be interpreted as decrease of parallel velocity
 363 component of reflected ions responsible for generation of the waves. It is not clear whether it
 364 was temporal or spatial variation. During the 5-minute interval of the 3 lanes of falling tone,
 365 Kaguya traveled about 15° in longitude. There is a possibility that the direction of velocities of
 366 reflected ions varied depending on distance from their reflection point, but it is not understood
 367 why the falling tone appeared repeatedly.

368 Figure 12 shows the Doppler-shift relation of the observed frequencies overlaid on a
 369 dispersion relation $\omega(k)$ of parallel propagating whistler wave for March 8, 2008 diffuse ELF
 370 event and cyclotron resonance condition. The plasma density 8 cm^{-3} and the magnitude of the
 371 magnetic field 4.9 nT at 07:05 were employed. The observed frequency 4 – 16 Hz normalized by
 372 the ion cyclotron frequency 0.075 Hz corresponds to $54 - 210 \Omega_i$. It should be noted that the
 373 upper boundary of the frequency range was limited by half of the sampling rate 32 Hz of the
 374 magnetic field observation.

375 Doppler shift was small for this case. Bulk velocity of the solar wind calculated from
 376 MAP-PACE observation was 250 km/s and the velocity component parallel to the background
 377 magnetic field (0.47, 4.6, 1.5) nT was as small as 24 km/s. The lines of Doppler-shift with
 378 positive and negative inclinations are drawn for two possible propagation directions parallel or
 379 antiparallel to the magnetic field. The frequency in the solar wind frame falls in the range from
 380 $54 \pm 8 \Omega_i$ ($4 \pm 0.3 \text{ Hz}$) to $210 \pm 10 \Omega_i$ ($16 \pm 0.75 \text{ Hz}$). The wave number $|\mathbf{k}|$ is estimated to be
 381 $6 \times 10^4 |\Omega_i|/c - 1.2 \times 10^5 |\Omega_i|/c$, which correspond to wavelength from 66 km to 33 km.

382 The gray lines in Figure 12 are cyclotron resonance conditions for ions with 3 different
 383 values of $V_{\parallel} = 310 \text{ km/s}$ (0.5 keV), 440 km/s (1 keV), and 540 km/s (1.5 keV). To account for
 384 the observed frequency range, ions should have velocity components V_{\parallel} in the range 310 km/s–
 385 540 km/s, that is about $1 - \sqrt{3}$ times larger than that of the incident solar wind.
 386



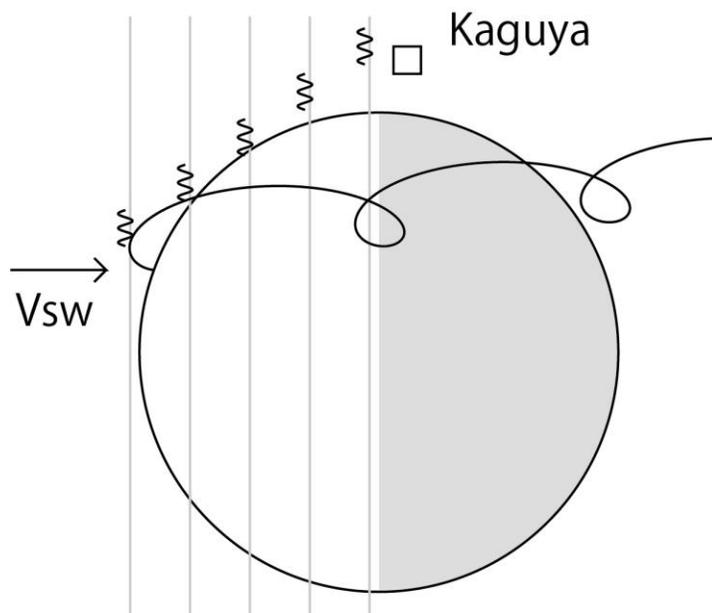
387
388 **Figure 12.** Dispersion diagram, cyclotron resonance condition, and Doppler-shift for the diffuse ELF
389 waves on March 8, 2008, 7:05. See the legend of Figure 11.
390

391 4.4 Possible generation mechanism

392 We have seen that the velocity component V_{\parallel} of reflected ions must be larger than the
393 solar wind bulk speed to be resonant with the waves observed. On the other hand, in a magnetic
394 field perpendicular to the solar wind flow, the velocity component V_{\parallel} cannot exceed the incident
395 speed. The speed of reflected ions can be 2 times as large as the incident speed in the solar wind
396 frame of reference, but it contributes perpendicular component, not parallel component V_{\parallel} . The
397 parallel component V_{\parallel} is maximized when the ions are reflected into the direction parallel to the
398 magnetic field with the initial speed. To obtain fast enough V_{\parallel} , we need initial speed larger than
399 the solar wind bulk speed. High energy components as well as core component of solar wind
400 ions need to be reflected into the direction of the magnetic field.
401

402 Another possibility is that the diffuse waves were generated in the upstream flow, not at
403 Kaguya position. In Figure 2i we have seen slight disagreement in appearance/disappearance
404 time of diffuse waves and of reflected ions. Bunches of reflected protons at around 6:55 (and
405 4:55) were not accompanied by diffuse ELF waves. In Figures 8 and 9 we can hardly find
406 magnetic anomalies that would reflect incident ions. The ions responsible for the generation of
407 diffuse waves might not be detected at Kaguya position.

408 Figure 13 illustrates wave generation and propagation schematically. The solar wind ions
 409 reflected by the lunar magnetic field can have large speed (Saito et al., 2010) and large
 410 gyroradius (Nishono et al., 2009, 2013). The whistler mode wave is generated in upstream solar
 411 wind by the reflected ions and begins to propagate along the magnetic field line convected by the
 412 solar wind flow. Slower components of the wave might crash into the lunar surface before they
 413 reach the limb. Only the fast-enough component of the wave can propagate to the limb (polar
 414 region or the terminator) to be detected by Kaguya. Since the group velocity of whistler mode
 415 wave is higher than the phase velocity, it can occur that the resonant ions can't reach Kaguya
 416 above the polar region. The ions might hit the moon to be absorbed by the surface or reflected by
 417 the lunar magnetic field again into different directions from that of the wave. Multiple reflection
 418 might increase the velocity of the ion by to the self-pickup process (Saito et al., 2010).
 419
 420



421
 422 **Figure 13.** A schematic illustration of whistler mode wave propagating parallel to the magnetic field
 423 convected by the solar wind. The trajectory of reflected ion is not in exact direction. Position and
 424 size of Kaguya is not in scale.
 425

426 5 Conclusions

427 Diffuse, right-hand polarized whistler mode waves propagating parallel to the magnetic
 428 field were found by Kaguya over the polar regions of the moon. They are thought to be generated
 429 by the solar wind ions reflected by the lunar magnetic field into directions perpendicular to the
 430 solar wind flow. Due to ineffectiveness of the Doppler shift, the polarization was not reversed
 431 and the frequency range was not narrowed. The reflected ions resonant with the whistler mode
 432 waves must have higher speed component parallel to the magnetic field than the solar wind bulk
 433 speed, although such higher energy ions did not necessarily accompany the diffuse waves. A
 434 possible explanation is that the cyclotron resonance occurred upstream in the solar wind above
 435 the lunar magnetic anomaly and the waves propagated along the magnetic field to the polar

436 region during the travel time of solar wind to pass from the resonant site to the observer at the
437 polar region.

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