

# Integrated Assessment of Urban Overheating Impacts on Human Life

N. Nazarian<sup>1,2,3</sup>, E. S. Krayenhoff<sup>4</sup>, B. Bechtel<sup>5</sup>, D. Hondula<sup>6</sup>, R. Paolini<sup>1</sup>, J. Vanos<sup>7</sup>, T. Cheung<sup>8</sup>, W. T. L. Chow<sup>9</sup>, R. de Dear<sup>10</sup>, O. Jay<sup>11</sup>, J. K. W. Lee<sup>12,13,14,15,16</sup>, A. Martilli<sup>17</sup>, A. Middel<sup>18</sup>, L. K. Norford<sup>19</sup>, M. Sadeghi<sup>1</sup>, M. Santamouris<sup>1</sup>, S. Schiavon<sup>20</sup>

<sup>1</sup>School of Built Environment, University of New South Wales, Sydney, NSW, Australia. <sup>2</sup>ARC Centre of Excellence for Climate Extremes, Sydney, NSW, Australia. <sup>3</sup>City Futures Research Centre, University of New South Wales, Sydney, NSW, Australia. <sup>4</sup>School of Environmental Sciences, University of Guelph, Guelph, ON, Canada. <sup>5</sup>Institute of Geography, Ruhr University Bochum, Bochum, Germany. <sup>6</sup>School of Geographical Sciences and Urban Planning, Arizona State University, AZ, USA. <sup>7</sup>School of Sustainability, Arizona State University, AZ, USA. <sup>8</sup>Berkeley Education Alliance for Research in Singapore, Singapore. <sup>9</sup>School of Social Sciences, Singapore Management University, Singapore, Singapore. <sup>10</sup>School of Architecture, Design and Planning, University of Sydney, Sydney, NSW, Australia. <sup>11</sup>Thermal Ergonomics Laboratory, University of Sydney, Sydney, NSW, Australia. <sup>12</sup>Human Potential Translational Research Programme, Yong Loo Lin School of Medicine, National University of Singapore, Singapore, Singapore. <sup>13</sup>Department of Physiology, National University of Singapore, Singapore. <sup>14</sup>Global Asia Institute, National University of Singapore, Singapore. <sup>15</sup>N.1 Institute for Health, National University of Singapore, Singapore. <sup>16</sup>Institute for Digital Medicine, National University of Singapore, Singapore. <sup>17</sup>Centre of Research in Energy, Environment, and Technology (CIEMAT), Spain. <sup>18</sup>School of Arts, Media and Engineering, Arizona State University, Tempe, AZ, USA. <sup>19</sup>Department of Architecture, Massachusetts Institute of Technology, Cambridge, MA, USA. <sup>20</sup>Centre for the Built Environment (CBE), University of California, Berkeley, USA.

Corresponding author: Negin Nazarian (n.nazarian@unsw.edu.au)

†2026, Red Centre West Wing, University Mall, University of New South Wales, NSW, 2052, Australia

## Key Points:

- Urban overheating is the exceedance of locally-defined thermal thresholds that lead to negative impacts on people and urban systems
- Exposure, sensitivity and adaptive capacity of people and infrastructure, and socio-political-economic factors determine overheating impacts
- Research and application should provide integrated solutions to mitigate exposure, reduce sensitivity, and increase adaptive capacities.

**Abstract**

Urban overheating, driven by global climate change and urban development, is a major contemporary challenge which substantially impacts urban livability and sustainability. Overheating represents a multi-faceted threat to well-being, performance, and health of individuals as well as the energy efficiency and economy of cities, and it is influenced by complex interactions between building, city, and global scale climates. In recent decades, extensive discipline-specific research has characterized urban heat and assessed its implications on human life, including ongoing efforts to bridge neighboring disciplines. The research horizon now encompasses complex problems involving a wide range of disciplines, and therefore comprehensive and integrated assessments are needed that address such interdisciplinarity.

Here, the objective is to go beyond a review of existing literature and provide a broad overview and future outlook for integrated assessments of urban overheating, defining holistic pathways for addressing the impacts on human life. We (i) detail the characterization of heat exposure across different scales and in various disciplines, (ii) identify individual sensitivities to urban overheating that increase vulnerability and cause adverse impacts in different populations, (iii) elaborate on adaptive capacities that individuals and cities can adopt, (iv) document the impacts of urban overheating on health and energy, and (v) discuss frontiers of theoretical and applied urban climatology, built environment design, and governance toward reduction of heat exposure and vulnerability at various scales. The most critical challenges in future research and application are identified, targeting both the gaps and the need for greater integration in overheating assessments.

**Plain Language Summary**

Many major cities are faced with compounding effects of climate change and rapid urbanization. One of the main challenges that results is urban overheating, which leads to negative impacts on human life (deteriorating health, productivity, and wellbeing) and urban infrastructure. Heat exposure in cities, however, is only the trigger and there are other factors that influence impacts. Urban heat vulnerability exists when sensitive people and infrastructure are exposed to extreme heat, and negative impacts ensue if there is a lack of capacity to respond and adapt. Accordingly, to combat overheating challenges, it is critical that multi-disciplinary solutions are integrated to mitigate exposure, reduce sensitivity, and increase adaptive capacities.

This paper provides a review of urban overheating literature, defining pathways for addressing the impacts on human life. We review the state-of-the-art methods used to quantify heat exposure, detail the sensitivity of people and infrastructure to overheating, and elaborate on the adaptive capacities that individuals and cities can undertake in response. We provide recommendations for both researchers and policymakers that will minimise overheating impacts. These recommendations range from modifications to urban and building design to engaging citizens and informing urban overheating governance.

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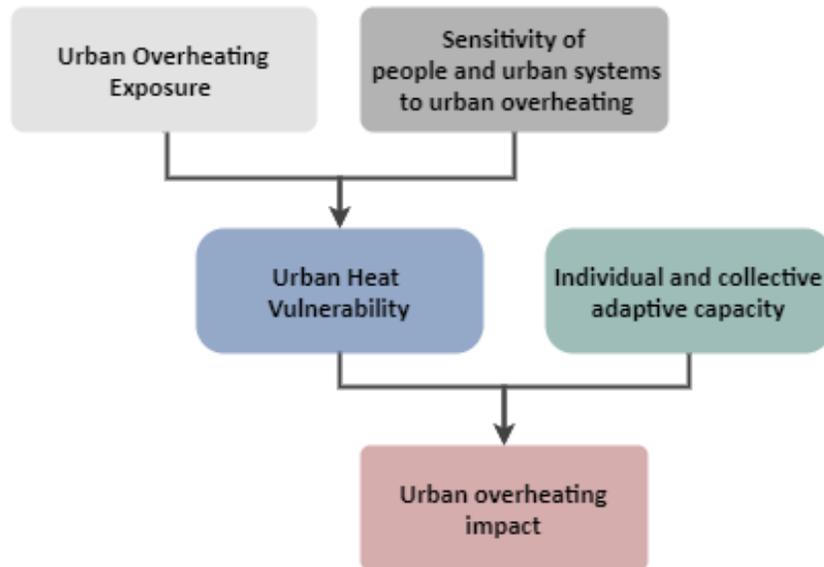
## 74 **1 Introduction: Current and projected urban overheating in the face of future** 75 **urban development and climate change**

76 The 21<sup>st</sup> century is acknowledged to be an urban century. By 2050, an additional 2.5 billion  
77 people are expected to live in urban areas, with up to 90% of this increase concentrated in the  
78 regions of Asia and Africa, particularly in India, China and Nigeria where 35% of urban growth is  
79 projected to occur (United Nations Department of Economic and Social Affairs, 2019). This urban  
80 growth will entail considerable additions of urban infrastructure, and a larger population of urban  
81 residents vulnerable to crises or stresses such as extreme heat (Pelling & Garschagen, 2019).

82 The impact of such development leads to direct changes to city-scale climate, most notably  
83 manifested as the urban heat island (UHI). Defined as the increase in air and surface temperatures  
84 in settlements compared to their surroundings, the UHI is caused by physical changes in the surface  
85 energy balance of the pre-urban site upon which the city is built (Oke et al., 2017; Stewart, 2019),  
86 combined with waste heat emissions from anthropogenic sources, e.g. heating/cooling in buildings,  
87 transportation, and biological metabolism (Chow et al., 2014; Sailor, 2011). The land cover and  
88 morphology of cities further lead to substantive intra-urban variations of air and surface  
89 temperatures (Stewart & Oke, 2012). These absolute intra-urban temperatures are more directly  
90 relevant to urban residents compared to simple urban vs. “rural” temperature differences (e.g., UHI  
91 intensity; (Martilli et al., 2020)).

92 The UHI is driven by *separate* mechanisms than larger-scale temperature changes linked to  
93 regional and global climate change, which arise, in particular, from global anthropogenic  
94 emissions of greenhouse gases and regional land cover change. Unequivocal increases in both  
95 maximum and minimum air temperatures have been observed since the 1950s across all climate  
96 zones and regions in which settlements are located (Stocker et al., 2013). Since 1980, cities  
97 worldwide have also experienced significant increases in the number of heatwaves and hot days  
98 and nights (Mishra et al., 2015).

99 The combined result, i.e. the interacting impacts of the local-scale UHI with increased mean and  
100 extreme temperatures from larger-scale climate change, is projected to exacerbate overheating in  
101 cities globally (Argüeso et al., 2014; S. Chapman et al., 2017; Emmanuel & Loconsole, 2015;  
102 Kotharkar & Surawar, 2016; Krayenhoff et al., 2018; Roaf et al., 2013; Santamouris et al., 2015;  
103 Santamouris & Kolokotsa, 2015; Wouters et al., 2017). The initial use of the term “overheating”  
104 focused on building energy consumption, ambient indoor environmental conditions, and the health  
105 of urban residents from an architectural or building design perspective (Santamouris et al., 2015;  
106 Taylor et al., 2014). **Here, we define “urban overheating” as the exceedance of locally-defined  
107 thermal thresholds that correspond to negative impacts on people (e.g., health, comfort,  
108 productivity) and associated urban systems.** These thermal thresholds depend not only on local  
109 urban climates and associated exposure to heat, but also the sensitivity and adaptive capacity of  
110 people and urban systems exposed to the heat, which in turn depend on socio-political and  
111 economic factors. Figure 1 depicts the integrated framework that describes factors involved in  
112 realizing the negative impact of overheating. Heat exposure in cities is the trigger, but in itself does  
113 not lead to impacts. Urban heat vulnerability exists when sensitive individuals, populations, and  
114 infrastructures are exposed to heat. Should there be a lack of adaptive capacities to respond (both  
115 at the individual and city level), negative overheating impacts ensue. The multi-scale interactions  
116 that relate to urban overheating, from its causes to risks and impacts, represent a multifaceted and  
117 multi-disciplinary challenge.

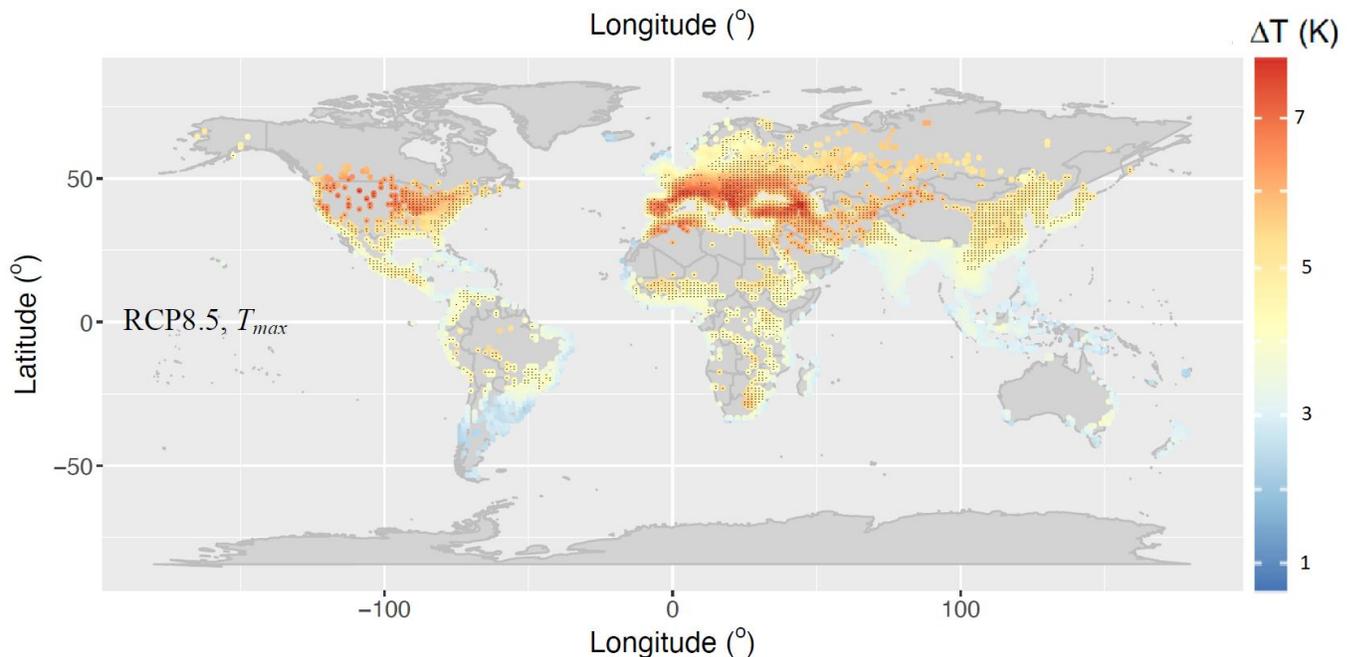


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**Figure 1:** Holistic framework that describes factors involved in urban overheating impact.

120 Without local heat mitigation and adaptation, urbanization and climate change are projected to  
 121 increase heat exposure. Global projections of future urban temperatures up to the end of the century  
 122 indicate substantial geographic variations of added warmth in cities, including maximum air  
 123 temperature increases of 0.7–7.6 °C by the end of the century (Figure 2). Urban areas sited in  
 124 different geographical contexts will require unique, site-specific adaptation options to reduce  
 125 exposure to the additional warmth.



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**Figure 2:** Projected seasonal urban warming between 2006–2015 and 2091–2100 for the diurnal maximum temperature ( $T_{max}$ ) under the high-emissions ‘RCP8.5’ warming scenario based on the 26-member CMIP5 earth system model ensemble in combination with an urban emulator. Stippling indicates substantial change ( $\Delta T \geq 4$  K) with high inter-model robustness. Adapted from (Zhao et al., 2021).

131 Although our understanding of urban overheating has progressed, an integrated outlook and  
132 perspective on this multifaceted challenge are yet to be achieved. Previous research on urban  
133 overheating has largely focused on the UHI or climate change individually (S. Chapman et al.,  
134 2017). Moreover, assessments that include both local and global drivers of urban heating have  
135 predominantly focused on North American, European and Chinese cities (S. Chapman et al.,  
136 2017), neglecting large fractions of the global urban population, and they have rarely addressed  
137 growing urban populations (Ashley Mark Broadbent et al., 2020) or changing demographics  
138 (Dialesandro et al., 2021; Grineski et al., 2015). Furthermore, assessments rarely integrate outdoor  
139 and indoor exposures, with implications for actual individual levels of heat exposure (Kuras et al.,  
140 2017; Nazarian & Lee, 2021) and future vulnerability to urban heat (Sailor et al., 2019). Lastly,  
141 assessments of cooling from urban heat mitigation strategies (e.g., green infrastructure, shade  
142 structures and cool materials) would benefit from better integration across different scales and  
143 exposure variables (Santamouris et al., 2017a). Accordingly, we argue for a broader, multi-  
144 disciplinary approach that critically examines the emergent complexities of urban overheating  
145 towards an integrative assessment. These include:

- 146 ● Quantification of heat exposure arising from urban overheating, accounting for differences in  
147 spatial (e.g. personal- to local- to city-wide) and temporal (e.g. diurnal, seasonal and extreme  
148 heat event) scales.
- 149 ● Assessment of the impacts of overheating on important components of the urban environment,  
150 including physiological and psychological effects of increased exposure to heat, and impacts  
151 of outdoor overheating on indoor microclimates or building energy use.
- 152 ● Robust projection of urban climates and associated exposures accounting for regional and  
153 global climate changes, local urban development, demographic changes, exposures of  
154 populations, heat mitigation strategies, and uncertainties in key parameters and projections.
- 155 ● Provision of recommendations for both researchers and policymakers that account for the  
156 multidisciplinary nature of urban overheating, ranging from modifications to urban and  
157 building design to engaging citizens and informing urban overheating governance,  
158 representing an integrated approach to mitigate exposure, reduce sensitivity, and increase  
159 adaptive capacities.

160 These topics will be discussed in subsequent sections. To contribute to the theoretical  
161 understanding of overheating, we first provide an overview of how overheating exposure is  
162 characterized across different (human, street, and city) scales and using different observational and  
163 numerical methodologies (Sec. 2). We then focus on the human-scale impacts of overheating,  
164 noting several physiological and psychological contributors to individual sensitivities as well as  
165 adaptive capacities that individuals can afford in response (Sec. 3). At the population level, we  
166 note the integrated impact of exposure with individual sensitivities that lead to vulnerability to  
167 overheating, and set out to document two key impacts, health and urban energy (Sec. 4). Lastly,  
168 we discuss the state-of-the-art methodologies as well as future approaches and solutions in urban  
169 planning and governance that aim to address this multi-faceted challenge and mitigate exposure,  
170 reduce sensitivity, and increase adaptive capacities at the individual and population levels (Sec.  
171 5). Each section will further identify key priorities in research (for better understanding  
172 overheating exposure and impacts) and application (for mitigating or adapting to overheating  
173 challenges). The information generated will be critical in informing holistic and integrated research

174 in the field and will provide important discussion points to develop science-based policies for cities  
175 desiring reduction of urban overheating in the future.

## 176 **2 Characterizing urban overheating exposure at different scales**

177 In this section, we focus on quantifying and documenting the levels of thermal exposure  
178 arising from urban overheating, accounting for differences in spatial (e.g. personal- to local- to  
179 city-wide) scales. By detailing the representation of heat in indoor and outdoor urban climates  
180 (Sec. 2.1), we set out to discuss the key priorities of research in quantifying overheating intensity,  
181 location, and duration in the built environment. We then address emerging methodologies in  
182 sensing - i.e. IoT, crowdsourcing, and ubiquitous monitoring - used for infilling heat sensing  
183 networks in cities and better describing the impact on urban residents (Sec. 2.2). Lastly, we discuss  
184 numerical modeling as a powerful tool at multiple scales for characterizing current and projected  
185 urban overheating exposure in cities as well as evaluating the efficacy of various mitigation and  
186 adaptation solutions proposed to address ensuing impacts. Collectively, these sections provide a  
187 comprehensive outlook on observational and numerical methods, as well as metrics and indicators,  
188 available to characterize and quantify the extent of overheating exposure in cities, while outlining  
189 key priorities in research to better understand this challenge.

### 190 **2.1 Environmental sensing of heat exposure in indoor and outdoor climates**

191 Outdoor urban heat can be characterized in multiple ways and is often quantified by either  
192 simple temperature metrics (such as air, surface, and radiant temperature) or comprehensive  
193 indices (such as thermal comfort and heat stress indices) that aim to quantify the impact of heat on  
194 the human body. The relevance of these metrics highly depends on the underlying motivation for  
195 monitoring, assessing, or modeling the urban thermal environment, as well as the scale of analysis  
196 (Table 1).

197 At the city level, environmental heat has been traditionally quantified using air temperature  
198 reported by meteorological services. However, weather stations are sparse, stationary, often remote  
199 from human activities, and not representative of the complex and heterogeneous conditions in  
200 urban canyons (Harlan et al., 2006). To overcome these limitations and evaluate the microclimate  
201 variability in the built environment, two methods are often deployed: a) establishing an urban  
202 network of environmental sensors (examples included in Sec. 2.2) and b) field campaigns using  
203 mobile measurements at street level (Hüb, Middel, et al., 2015; Oke et al., 2017; Seidel et al.,  
204 2016). Mobile measurements provide a finer spatial and temporal resolution of air temperature as  
205 a heat metric, but have often poor temporal resolution and require detailed post-processing for  
206 interpretation (Hüb, Ruddell, et al., 2015; Middel & Krayenhoff, 2019).

207 A well-known metric of ambient temperature measurements to describe heat in cities is the UHI,  
208 dating back to the early 19<sup>th</sup> century in Urban Climate research (Stewart, 2019). The UHI intensity  
209 describes the temperature difference between urban and rural areas and therefore is less relevant  
210 than the absolute temperature to which people are exposed (Martilli et al., 2020). Moreover, intra-  
211 urban distributions of ambient conditions are more relevant here, as formalized in the Local  
212 Climate Zone (LCZ) scheme (Stewart et al., 2014). Inter-LCZ variability of air temperature  
213 (Fenner et al., 2017) represents a critical research direction to assess urban heat vulnerability at the  
214 neighborhood scale (e.g., as a function of urban design and socio-economic status; see Sec. 4.1),  
215 but the local nature of the scheme renders it too coarse for human-centered heat stress analyses at  
216 the street scale.

217 At larger scales, thermal remote sensing platforms (which use non-contact instruments to sense  
218 thermal infrared radiation) provide information on urban heat at large spatial scales. In recent  
219 decades, land surface temperatures (LST) from satellite remotely sensing products such as  
220 Landsat, MODIS, and ASTER have been widely used to assess the surface UHI (SUHI) (Imhoff  
221 et al., 2010; Voogt & Oke, 2003; D. Zhou et al., 2018), analyze the impact of urban form on land  
222 surface temperature (Bechtel et al., 2019; X. Li et al., 2016; Yujia Zhang et al., 2019), and find  
223 urban hot spots (Harlan et al., 2013; Huang et al., 2011). Satellite-based observations represent a  
224 powerful tool for assessing city-scale urban heat, but are limited by clouds and have physical  
225 tradeoffs between temporal and spatial resolution (Bechtel et al., 2012). Remotely-sensed LSTs  
226 are also subject to effective anisotropy, i.e. they vary as a function of sensor view angle due to sun-  
227 surface-sensor geometry (Voogt, 2008).

228 Importantly, while remotely sensed images help illustrate intra-urban surface temperature  
229 distributions, canopy layer air temperature, a key indicator for urban environmental health (Sec.  
230 4.1) and energy (Sec. 4.2), cannot be directly inferred. It is widely acknowledged that the  
231 relationship between the two temperature types is complex (Roth et al., 1989; D. Zhou et al., 2018).  
232 The usability of satellite-based LSTs at human-relevant scales is also limited. First, the remotely  
233 sensed temperatures are based on urban objects visible to the sensor and do not completely  
234 represent canopy walls and ground surfaces (e.g., tree canopy temperature vs. surface temperature  
235 under the tree; (Krayenhoff et al., 2020)). Second, satellite-based LSTs are biased towards  
236 horizontal surfaces, and it is questionable how useful roof temperatures are to assess pedestrian  
237 overheating. Third, LSTs sensed by satellites cannot yet resolve thermal extremes at the sub-meter  
238 touch-scale relevant to human health (Vanos et al., 2016), or at the scale of individual streets  
239 relevant to personal heat exposure.

240 These findings indicate that at the human scale, neither air temperature nor surface temperature is  
241 sufficient for quantifying overheating in cities. Recently, human biometeorological research has  
242 highlighted the importance of the radiative environment for accurate outdoor human thermal  
243 assessments (Hondula et al., 2017; Johansson et al., 2014; Kántor & Unger, 2011; Middel et al.,  
244 2021; Middel & Krayenhoff, 2019). Mean Radiant Temperature (MRT) – a synthetic parameter  
245 that summarizes short and longwave radiation fluxes to quantify the radiant heat load on the human  
246 body – was identified as the main meteorological driver of thermal comfort in the warm season in  
247 hot dry regions and under sunny conditions (Lin et al., 2010; Middel et al., 2018). MRT  
248 observations apply different instruments with varying levels of accuracy and complexity (Höppe,  
249 1992; Thorsson et al., 2007).

250 Further acknowledging the complex interaction of various environmental parameters with  
251 individual thermal comfort and heat stress response (Sec. 3), the scientific community has  
252 developed indices to better capture individual thermal sensation and provide a single integrated  
253 value that represents a more comprehensive assessment of environmental heat stress than air or  
254 radiant temperature alone (Fiala & Havenith, 2015). Potchter et al. (2018) identified over 165  
255 thermal comfort indices developed over the past 60 years that link human thermal responses and  
256 perceptions to atmospheric conditions. Five thermal indices identified as most widely used (also  
257 see B.3) were the Physiologically Equivalent Temperature (Höppe, 1999; Mayer & Höppe, 1987),  
258 Predicted Mean Vote (Fanger, 1973; Gagge et al., 1986), Universal Thermal Climate Index  
259 (Jendritzky et al., 2012; Jendritzky & Tinz, 2009), Standard Effective Temperature (Gagge et al.,  
260 1986; Gonzalez et al., 1974) and its outdoor variant (Pickup et al., 2000), and Wet Bulb Globe  
261 Temperature (Yaglou & Minard, 1957). While these indices account for the radiative environment

262 – as opposed to merely temperature-humidity metrics – they all make assumptions related to  
 263 clothing, activity speed, and metabolic rate. Accordingly, the ability to assess human overheating  
 264 using these indices is critically limited, particularly for working populations where metabolic rate  
 265 during activity is the most critical factor in predicting core temperature (Cramer & Jay, 2015). The  
 266 generic assumptions of these models – often, an “average” human male, low activity, and static  
 267 conditions – present a critical challenge for accurately predicting heat exposure of different  
 268 individuals and populations, as detailed in Secs. 3.1 and 4.1. More efforts are needed to update  
 269 these indices to account for the duration of heat exposure as well as varied physical activities (for  
 270 instance, for outdoor workers), as detailed in (Bröde et al., 2016). Finally, most thermal indices do  
 271 not work equally well in dry and humid conditions since the neutral or “no-stress” range varies  
 272 greatly for different climate zones (Heng & Chow, 2019; Potchter et al., 2018). Therefore, indices  
 273 need to be calibrated to quantify heat exposure in the context of local thermal adaptation, behavior,  
 274 and differences in climatic zones (Sec. 3.2).

275 **Table 1.** summarizing the key metrics, motivations, and methods for sensing and representing urban  
 276 overheating across different scales.

Scale	Relevant Metrics	Motives	Methods	Reviews & examples
City	<ul style="list-style-type: none"> <li>- Land Surface Temperature</li> <li>- 2-m air temperature</li> <li>- Intra-urban temperature variability</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Urban energy efficiency</li> <li><input type="checkbox"/> Urban environmental health</li> <li><input type="checkbox"/> Urban heat mitigation</li> <li><input type="checkbox"/> Climate-responsive design</li> <li><input type="checkbox"/> Urban emission mitigation</li> </ul>	<ul style="list-style-type: none"> <li>➤ Remote sensing</li> <li>➤ Mobile sensing</li> <li>➤ Climate modeling (Sec. 2.3)</li> </ul>	(D. Zhou et al., 2018)  (Voogt & Oke, 2003)
Street	<ul style="list-style-type: none"> <li>- Canopy air temperature</li> <li>- Mean radiant temperature</li> <li>- Outdoor thermal comfort/Heat stress indices</li> <li>- Outdoor thermal comfort autonomy maps</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> District energy efficiency</li> <li><input type="checkbox"/> Canopy heat mitigation</li> <li><input type="checkbox"/> Promoting healthy urban lifestyle</li> </ul>	<ul style="list-style-type: none"> <li>➤ Fixed and mobile weather stations</li> <li>➤ Net radiometer or globe thermometers</li> <li>➤ Urban climate informatics using data sources (such as Google street view) for MRT monitoring</li> <li>➤ Microscale climate modeling (Sec. 2.3)</li> </ul>	(Potchter et al., 2018)  (Middel & Krayenhoff, 2019)  (Nazarian et al., 2019)
Building	<ul style="list-style-type: none"> <li>- Indoor air temperature</li> <li>- Indoor thermal comfort indices</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Building energy efficiency</li> <li><input type="checkbox"/> Indoor environmental quality</li> <li><input type="checkbox"/> Work productivity</li> <li><input type="checkbox"/> Human comfort, health &amp; wellbeing</li> </ul>	<ul style="list-style-type: none"> <li>➤ Smart WiFi thermostat</li> <li>➤ Conventional or IoT environmental sensor network (Sec. 2.2)</li> </ul>	(Rodriguez & D’Alessandro, 2019)
Human	<ul style="list-style-type: none"> <li>- Indoor/Outdoor thermal comfort/Heat stress indices</li> <li>- Individually-experienced temperature</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Human comfort, health, and wellbeing</li> <li><input type="checkbox"/> Human performance (cognitive and physical)</li> </ul>	<ul style="list-style-type: none"> <li>➤ Personalized heat monitoring devices (Sec. 3.1) such as wearable sensors</li> <li>➤ Personal comfort/heat stress modeling</li> </ul>	(Kuras et al., 2017) (Nazarian & Lee, 2021)

277 Indoor characterization of heat exposure uses similar methods and metrics as those identified  
278 outdoors, such as monitoring microclimate parameters and calculating thermal comfort indices.  
279 However, most studies assume low wind speeds and radiant heat transfer indoors, and therefore,  
280 consider air temperature and humidity as key indicators for indoor thermal environments - a  
281 limiting assumption for naturally-ventilated buildings with large window-to-wall fractions. More  
282 importantly, most studies are focused on office buildings instead of residential heat exposure  
283 (Nazarian & Lee, 2021; Rodriguez & D'Alessandro, 2019), and a fraction of those focused on  
284 vulnerable populations detailed in Sec. 4 (White-Newsome et al., 2012). These factors - in addition  
285 to the complex and heterogeneous human behavior and adaptive capacities indoors - represent a  
286 significant gap in providing a holistic characterization of heat exposure in different cities and  
287 climates, as well as the impact on human health and energy (Sec. 4).

288 Despite recent advances in the development and application of methods to characterize heat  
289 exposure across different scales, several considerations persist. First, quantification of urban heat  
290 generally does not capture individual duration of thermal exposure and therefore cannot describe  
291 the cumulative effects of heat. Additionally, due to limitations in sensing methods, little is known  
292 about the real-time thermal discomfort and strain people experience as they go about their daily  
293 lives (Kuras et al., 2017; Nazarian & Lee, 2021), limiting the realistic datasets that can inform  
294 dynamic and unsteady index development. These limitations further motivate more investment in  
295 novel sensing methodologies that provide ubiquitous, real-time, and human-centric monitoring of  
296 heat exposure (Sec. 2.2).

## 297 **2.2 Infilling the climate networks with ubiquitous sensing, IoT, and crowdsourced** 298 **monitoring**

299 With recent advancements in low-cost sensor solutions, Internet-of-Things (IoT), and Big  
300 Data, an innovative approach has emerged to comprehensively characterize urban heat exposure.  
301 Over the last decade, ubiquitous sensing (i.e. distributed, real-time, and spatial data collection) and  
302 crowdsourcing (in which a community is leveraging sensing devices to collectively share data)  
303 have presented a paradigm shift in heat exposure assessments (L. Chapman et al., 2017), presenting  
304 several key advantages in characterizing urban heat exposure. First, compared to traditional  
305 sensing units, a network of sensors is able to cover higher spatial and temporal resolutions at a  
306 lower cost and with less centralized effort. This further enables us to a) assess inter- and intra-  
307 urban overheating patterns (Fenner et al., 2017; Meier et al., 2017) and b) address local-scale urban  
308 effects and their spatial and temporal variation, which traditional climate station networks overlook  
309 (Oke, 2006). Second, given that sensors are distributed or carried with individuals, ubiquitous  
310 sensing provides unprecedented and dynamic information regarding the population impact of  
311 urban overheating. This advantage permits human-centric assessment of heat exposure (Kuras et  
312 al., 2017; Nazarian & Lee, 2021), in which we combine information regarding the thermal  
313 environment with a) corresponding physiological responses (Buller et al., 2018; Liu et al., 2019;  
314 Nazarian et al., 2021), b) objective and subjective momentary feedback (Jayathissa et al., 2019),  
315 and c) detailed human activity, via portable sensors or smartphones and smartwatch applications.  
316 Consequently, deeper insight into human bioclimatic impact in a real-world experiment can be  
317 obtained. Lastly, real-time and high-resolution data collection provide valuable information for  
318 developing emergency responses in the face of extreme events as well as informing and validating  
319 climate and weather modeling at various scales (Sec. 2.3).

320 Several successful examples of emerging methods for characterizing heat exposure can be noted.  
321 Pioneering crowdsourcing studies using Netatmo citizen weather stations (CWS) were able to

322 characterize intra-urban air temperature variability in several European cities (Fenner et al., 2017;  
323 Meier et al., 2017; Varentsov et al., 2020; L. de Vos et al., 2020) and Oceania (Potgieter et al.,  
324 2021) at a higher resolution than otherwise achieved with traditional sensing. Other work exploited  
325 daily temperature signals from phone battery temperatures (Droste et al., 2020) and further  
326 combined them with Machine Learning algorithms (Trivedi et al., 2021) to predict ambient air  
327 temperature within 2°C accuracy. Wearable weather stations were also proposed and deployed to  
328 predict the impact of heat exposure on heat stress and perceived activity level (Nazarian et al.,  
329 2021).

330 Despite this significant growth, however, it appears that IoT measurements have heavily  
331 emphasized the monitoring of air temperature and humidity as proxies for the thermal  
332 environment, neglecting key environmental and personal factors that holistically link overheating  
333 to the health, wellbeing, and lifestyle (Sec. 3.1-2). This is mainly due to the fact that measurements  
334 of radiation and wind speed, as well as the physiological response of individuals to urban heat, are  
335 harder to achieve through existing low-cost and non-intrusive sensing solutions. Moreover, a  
336 fundamental question raised by (Muller et al., 2013) and (L. Chapman et al., 2017) is still far from  
337 being answered: how can crowdsourced data provide an acceptable level of accuracy, certainty,  
338 and reliability, particularly in dynamic and realistic conditions of our cities? One of the critical  
339 gaps in IoT environmental sensing arguably pertains to the quality of the sensors and the collected  
340 data, as a universally accepted set of procedures, standards, or guidelines for standardization and  
341 quality control is yet to be developed. In general, low-cost sensors tend to be less accurate than  
342 scientific and operational instruments, usually lack proper calibration, and are subject to sensor  
343 drift over time. In addition, they have errors due to inadequate or missing radiation shielding and  
344 sensor ventilation and may be sensitive to changing user context. The latter is particularly the case  
345 for sensors in smartphones and wearable devices, which fluently change between indoor and  
346 outdoor settings, pocket and palm, and are also influenced by the phone's CPU load or display  
347 intensity (Martilli et al., 2017). Moreover, the sensors usually react slowly and thus integrate over  
348 previous settings and contexts spatially and temporally. In addition to these errors, ubiquitous  
349 sensors exhibit greater variation due to realistic microclimatic effects resulting from differences in  
350 observation height, proximity to buildings, or local ventilation. In summary, there are both  
351 statistical and systematic errors, but also challenges with realistic spatio-temporal  
352 representativeness that can be considered a feature. All types are difficult to detect, distinguish,  
353 and most of all to correct. Nonetheless, more recent studies demonstrate the potential of  
354 crowdsourcing by combining various sensing methods and data layers over a wider range of  
355 meteorological parameters (including rainfall, solar radiation, air pressure, and humidity), which  
356 will pave the way towards assessment of thermal comfort (L. de Vos et al., 2020).

357 In addition to technological and scientific limitations of state-of-the-art IoT sensing,  
358 crowdsourcing methods face challenges in scientific communities as well as the general public.  
359 There is still a lack of acceptance in scientific communities for adopting commercially available  
360 low-cost sensors for research applications. As a result, many solutions go untested in application,  
361 creating more questions than answers regarding the capability of IoT sensing in addressing urban  
362 heat challenges. Additionally, there are concerns regarding the digital divide across age groups,  
363 income levels, and geographic location. So far, no analysis has been done to understand what  
364 percentage of IoT (or conventional) sensing for urban heat is covering low-income versus affluent  
365 neighborhoods, which can further influence the governance and policy implications of urban  
366 overheating (Sec. 5.3). Finally, justified concerns related to privacy hinder the penetration and  
367 availability of collected data. For instance, useful sensor data from mobile devices always has to

368 record the exact position and thus can likewise be used to derive environmental information and  
 369 to track individuals over days and months.

370 Future research should focus on merging crowdsourced and IoT environmental sensing with  
 371 behavioral and mobility data, helping us better understand and characterize heat exposure and the  
 372 ensuing impacts in cities. The innovations thus need to be technological, scientific, and societal.  
 373 Rapid progress has been made in the past years in the development of small and low-cost sensors  
 374 (mostly driven by private companies) that can similarly contribute to more comprehensive  
 375 monitoring of heat exposure in the future. More importantly, critical and highly innovative  
 376 research questions for inter- and trans-disciplinary work are present, which together constitute a  
 377 joint agenda for science, citizens, and the public sector for at least a decade:

- 378 ● Merging crowdsourced thermal environment data with behavioral and mobility data to more  
 379 accurately characterize overheating exposure, vulnerability levels, and ensuing impacts. This  
 380 further assists future research in quantifying how urban heating impacts people's interaction  
 381 with the built environment (Sec. 3.2).
- 382 ● Quality assessment to derive useful urban heat exposure information from mass data and  
 383 integration of data from various sources and devices into a joint analysis system. This can  
 384 include combining air temperature observations with other parameters that influence human  
 385 thermal comfort.
- 386 ● Further research that distinguishes errors in data (bug) from realistic microclimatic variation  
 387 (feature).
- 388 ● More comprehensive characterization of heat exposure both outdoor and indoor (where people  
 389 spend most of their time) and better understand the relations of both (Sec. 5.2).
- 390 ● Use the data for personal recommendation systems in application to enable more adaptive  
 391 capacities for individuals, i.e. avoiding the heat by different routes or travel times.

392

### 393 **2.3 Multi-scale urban climate modeling**

394 Process-based numerical models of urban climate are generally more cost-effective and  
 395 provide greater spatial and temporal coverage of potential heat exposure relative to measurements.  
 396 Critically, they can be applied to evaluate future urban overheating or infrastructure-based heat  
 397 adaptation scenarios (Sec. 5.1), and associated uncertainties, informing decision-makers about  
 398 potential overheating exposure and adaptive responses well ahead of potential consequences  
 399 (Krayenhoff et al., 2018; Martilli, 2014; Wouters et al., 2017; Zhao et al., 2017, 2021). However,  
 400 numerical models rely on imperfect abstractions of the urban structure and atmosphere, and they  
 401 must be appropriately tested if they are to have such utility (Krayenhoff et al., 2021). Moreover,  
 402 models capable of simulating urban climates currently have varying abilities to represent actual  
 403 human exposures to urban heat, which depend on multiple environmental variables (Sec. 2.1).

404 Numerical assessment of urban overheating must focus on the climate in the urban canopy layer  
 405 (UCL), the atmosphere below the mean building height, where most of the world population  
 406 spends their lives. We classify existing models that aim to capture the range of scales of  
 407 phenomena relevant to UCL climates as follows:

- 408 a) Microscale models reproduce circulations at the scale of streets and buildings (wakes, flow  
 409 blocking, channeling, etc.) and/or the complex patterns of shading and radiation exchange

- 410 resulting from individual buildings. These phenomena influence heat and radiation  
411 exchanges between the atmosphere, buildings, streets, trees, and pedestrians.
- 412 b) Mesoscale models are built to represent the state of the atmosphere within and above the  
413 city (i.e., the urban boundary layer), which is characterized by phenomena at scales of tens  
414 to hundreds of kilometers, such as land/sea breezes and mountain/valley winds, directly  
415 simulating regional impacts on neighbourhood-scale climate.
- 416 c) Global-scale models simulate larger space and time scales associated with climate change  
417 and provide the context for future meso- and microscale urban climate phenomena,  
418 including overheating.

419 This diversity of modelling scales arises from current limitations of computational power, which  
420 render impossible the simulation of microscale features relevant to urban heat across numerical  
421 domains large enough to account for mesoscale processes. Similarly, mesoscale processes are  
422 typically not captured by global climate models, although adaptive grid-scale approaches may soon  
423 permit them to do so for selected cities. Microscale models, by virtue of their explicit  
424 representation of buildings and other urban elements, can address human-scale variability of wind  
425 and radiation (e.g., sun/shade) that is critical for personal heat exposure, whereas meso to global  
426 scale models have so far been focused more extensively on air temperature and humidity (to a  
427 lesser extent), whose spatial variation is smoother.

428 At broad scales, the urban overheating burden is exacerbated by two interacting effects: land cover  
429 and land use changes driven by urbanization, and global-scale climate change and associated  
430 increases to heatwave severity. Numerous meso-global scale modelling studies have quantified the  
431 substantial urban scale overheating risk from unmitigated global climate warming, including 4 K  
432 mean summer temperature increases globally (Zhao et al., 2021) and 10-fold increases in extreme  
433 heat day frequency in select regions (Krayenhoff et al., 2018), accounting for uncertainty related  
434 to greenhouse gas emissions pathways and climate model variability. Urban development includes  
435 both expansion of urban areas, and densification of existing urban areas. Urban construction on  
436 land that was previously cropland or forest, for example, generates large warming locally,  
437 especially at night, and additionally contributes smaller warming to existing urban areas downwind  
438 (Doan & Kusaka, 2018). Numerical evidence suggests that seasonal-scale urban-induced warming  
439 may either be unstable or static as a result of larger scale warming (Doan & Kusaka, 2018; Oleson,  
440 2012); at shorter times scales, observations and modelling suggest that the UHI and heat waves  
441 are synergistic and controlled by multiple factors (Ao et al., 2019; D. Li & Bou-Zeid, 2013), in  
442 particular, the variable responses of non-urban lands to heat waves (P. Wang et al., 2019).

443 Meso- and global-scale models have also been widely applied to study potential reductions of air  
444 temperature in cities from the widespread implementation of heat mitigation strategies, for  
445 example, green and cool roofs, street trees, and shorter vegetation (Krayenhoff et al., 2021;  
446 Santamouris et al., 2017a), as well as their ability to offset climate change warming (Krayenhoff  
447 et al., 2018). While meso-global scale modelling can help reveal potential overheating risks based  
448 on air temperature changes and the associated cooling efficacy of infrastructure-based heat  
449 adaptation, microscale modelling more often addresses the complete heat exposure of individuals,  
450 including microscale variations of solar and longwave radiation and wind and turbulence. In  
451 particular, models at this scale have been used to assess the impacts of street-neighbourhood scale  
452 design on individual thermal exposure, using metrics that go beyond air temperature and account  
453 for radiation and wind, for example (Aminipouri et al., 2019; H. Lee et al., 2016; Tan et al., 2016);  
454 see Sec. 2.1). Here, detailed configurations of buildings, trees, shade devices, as well as the

455 radiative and thermal effects of construction materials, can be considered in terms of their radiative  
456 impacts. Microscale computational fluid dynamics models are additionally used to evaluate wind  
457 flow and associated effects on pedestrian thermal comfort (Chew et al., 2017; Nazarian et al.,  
458 2017). However, microscale models require boundary conditions that provide information about  
459 the larger-scale meteorological conditions in which their domain is embedded. Moreover, both  
460 microscale and mesoscale modelling would benefit from better accounting for the actual or optimal  
461 locations of people who may be exposed to urban heat (Middel et al., 2017; Jiachuan Yang et al.,  
462 2019). Nevertheless, the need for careful assessment of microscale radiative and flow-based heat  
463 mitigation strategies is emphasized given the aforementioned imbalance between potential climate  
464 change warming and air temperature cooling achievable from the aggressive implementation of  
465 heat mitigation strategies (Krayenhoff et al., 2018).

466 The long-term goal of performing simulations that can fully resolve both meso-global scale and  
467 microscale phenomena is likely several decades away. In the meantime, paths forward should  
468 involve increasing interaction between these modeling scales, and closer attention to the complete  
469 thermal exposure of individuals within the urban environment. These new developments must be  
470 “fit-for-purpose”, e.g., tailored for assessment and mitigation of the impacts of urban overheating.  
471 In particular, we define the following medium- and short-term objectives.

472 As *medium-term objectives*, we should aim to develop high resolution (hundreds of meters)  
473 mesoscale models in which to two-way nest highly parameterized and fast microscale models. The  
474 main challenges for this task will be to 1) develop new multi-scale boundary-layer closures to be  
475 used in mesoscale models, and 2) identify the most relevant phenomena to be introduced in the  
476 highly parameterized microscale models.

477 As *short-term objectives*, key priorities for future research are as follows. At the mesoscale, of  
478 paramount importance is improvement in the accuracy of model predictions of environmental  
479 variables relevant to the estimation of indoor and outdoor biometeorological stresses (Secs. 2.1,  
480 C.2, D.1), and building energy consumption (Sec. 4.2). Models of urban canopy processes  
481 embedded in mesoscale models must be improved based on microscale simulations, in particular  
482 representations of radiation and convection fluxes in the canopy. Simplified parameterizations for  
483 evaluation of mean radiant temperature and wind speed, and their spatial variability within urban  
484 grid squares in mesoscale models, are needed. Moreover, better quantification of key parameters  
485 that characterize urban neighbourhoods are crucial requirements to take advantage of improved  
486 model physics (Ching et al., 2018). At the microscale, there is a need for new techniques to  
487 accurately use mesoscale model outputs to force microscale simulations (and in this way account  
488 for boundary-layer scale processes on microscale phenomena in the urban canopy layer).  
489 Moreover, it is critical that we improve surface energy and radiation budgets with detailed flow  
490 prediction. At all scales, future model development should include better representation of indoor-  
491 outdoor exchanges and improve the capability of the models to account for climate impacts of  
492 existing and future heat mitigation strategies (vegetation, albedo, high-performance materials, etc;  
493 see Sec. 5.1), with a specific focus on the evaluation of the sub-models introduced to represent  
494 these strategies (Krayenhoff et al., 2021). Accurate assessment of infrastructure-based adaptation  
495 effectiveness is critical for the provision of appropriate guidance to planners and policymakers  
496 tasked with addressing urban overheating. Critically, applied research based on numerical  
497 simulations should make increasing efforts to quantify and communicate uncertainty related to  
498 greenhouse gas emissions and urban development scenarios, global climate model ensemble, and  
499 modelling assumptions, with a specific focus on uncertainties related to the intensity, duration and

500 frequency of future extreme heat and the efficacy of urban heat mitigation. Initiatives that enhance  
501 communication between urban climate scientists and municipal decision-makers are crucial to  
502 better integrate scientific knowledge in decision making, and also better target urban climate  
503 modelling to practical needs. Furthermore, linkages between climate and agent-based models can  
504 help determine probable human heat exposure based on individual agency and decision-making in  
505 addition to urban meteorological variability.

506 The short- and medium-term objectives mentioned above must involve rigorous and standardized  
507 model evaluation procedures that focus more on particular physical processes and less on output  
508 variables that result from multiple physical processes (e.g., air or surface temperature) where  
509 compensating errors obscure issues with model representation of processes.

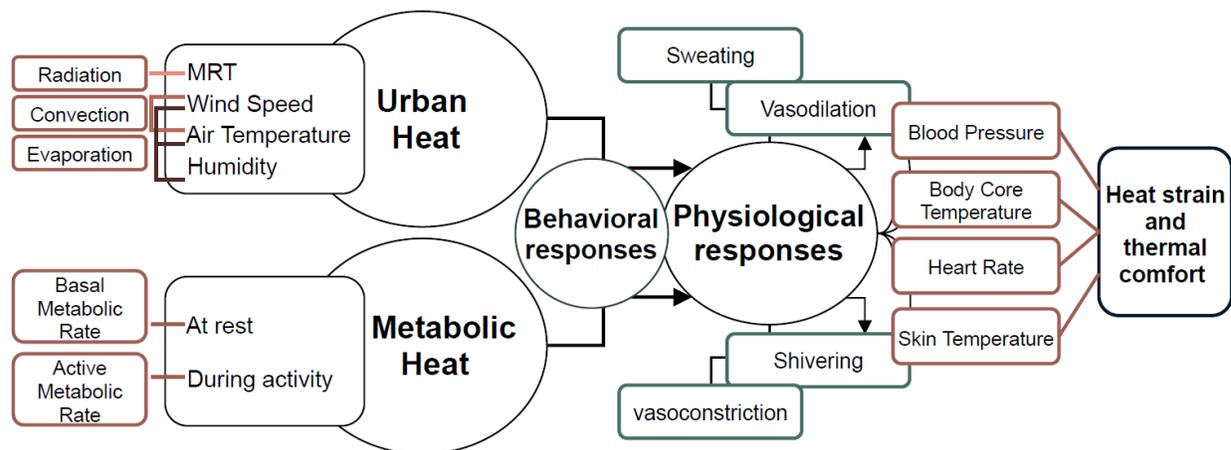
### 510 **3 Understanding individual sensitivity and adaptive capacity to urban heat**

511 The following sections discuss some of the most pressing research and applied questions  
512 related to development of an integrated view of thermo-physiology, human behavior, and  
513 psychology in response to heat, such that we better understand the impact of heat exposure on  
514 individuals in the built environment. Here, we aim to extend the discussion of urban heat exposure  
515 (Sec. 2) to detail individual sensitivities that modulate the ensuing impacts of overheating.  
516 Understanding individual sensitivities - caused by physiological stress and strain (Sec. 3.1) as well  
517 as subjective, perceptive, and psychological responses to heat (Sec. 3.2) - is also critical for  
518 understanding available adaptive capacities at an individual scale.

#### 519 **3.1 Biometeorological strain and physiological responses to heat exposure**

520 Heat stress refers to the combination of environmental conditions, metabolic heat  
521 production and clothing characteristics that alter human heat balance and ultimately contribute to  
522 the accumulation of heat energy inside the human body. Heat strain refers to the resultant  
523 physiological responses from heat stress, such as the rise in thermal strain, cardiovascular strain,  
524 and dehydration (Fig. 3) (Sawka et al., 2014). Accurate risk assessment of human heat strain  
525 requires a comprehensive and in-situ representation of all four parameters that define a thermal  
526 environment, namely air temperature, mean radiant temperature, absolute humidity and wind  
527 speed. Often these parameters are integrated into a single thermal comfort or heat stress index (Sec.  
528 2.1). However, environmental determinants alone are insufficient to understand the implications  
529 of urban heat exposure; physiological responses must also be assessed to fully understand the  
530 impact of overheating on individuals and populations. Figure 3 outlines the environmental drivers  
531 of heat exposures across different scales (Sec. 2.1) with human behavioral and physiological  
532 responses that lead to individual sensitivity to heat exposure and ensuing impacts.

533



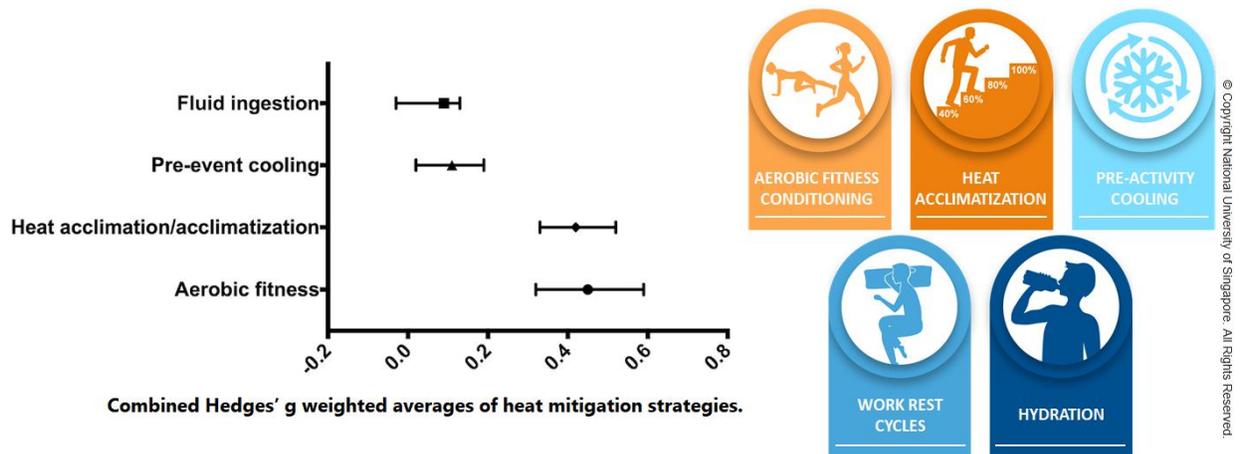
**Figure 3.** Physical, physiological, and behavioral mechanisms in response to heat.

534 Human core temperature is tightly regulated at around 37 °C, despite variations in environmental  
 535 conditions (Parsons, 2014). The maintenance of thermal homeostasis is achieved through both  
 536 physiological and behavioral responses (Flouris, 2019). During heat exposure, increases in deep  
 537 and peripheral tissue temperatures are sensed by thermoreceptors and integrated in the  
 538 hypothalamus to activate heat loss (mainly cutaneous vasodilation and sweating (Fig. 3).  
 539 Behavioral thermoregulation reduces the need for autonomic thermoregulation as humans  
 540 consciously engage in actions (e.g., moving to the shade, removing or putting on more clothing)  
 541 to maintain thermal equilibrium, based on perceptions of thermal comfort and sensation (Schlader  
 542 & Vargas, 2019). (Sec. 3.2). This suggests that our behavioral responses are triggered by sensations  
 543 of thermal discomfort (Schlader et al., 2010).

544 There is robust epidemiological evidence demonstrating the negative health effects of hot weather  
 545 and heat extremes (Bi et al., 2011; Kovats & Hajat, 2008; Luber & McGeekin, 2008; Semenza et  
 546 al., 1996). These impacts are predominantly concentrated within specific clinical and socio-  
 547 economic sub-groups (Sec. 4.1). Focusing on individual health, people with cardiovascular or renal  
 548 diseases are at an elevated risk of heat-related mortality/morbidity during heat extremes (Hansson  
 549 et al., 2020), while people who do not own or cannot afford to operate air-conditioning have a  
 550 significantly higher chance of heat-related illness during heatwave (35-times higher risk of heat-  
 551 related illness reported during the 1999 heatwave in Cincinnati, Ohio (Kaiser et al., 2001)).  
 552 Extreme heat is often reported to acutely worsen these diseases, so understanding the specific  
 553 physiological pathways for the increased heat sensitivity of people with specific diseases is  
 554 essential for identifying the optimal heat mitigation strategy. For example, people with  
 555 cardiovascular disease may not be able to tolerate the increased cardiovascular strain associated  
 556 with the elevated skin blood flow required for heat dissipation, thus increasing their risk of  
 557 cardiovascular collapse (Ebi, Vanos, et al., 2021). In this scenario, an intervention or a drug that  
 558 increases skin blood flow to promote heat loss may be counter-protective as it may inadvertently  
 559 exacerbate cardiovascular strain; instead, skin cooling strategies that reduce skin blood flow  
 560 requirements may be a more suitable heat mitigation strategy, regardless of its efficacy in reducing  
 561 core temperature (Jay et al., 2021).

562 Besides heat-related illnesses, urban heat stress can also exacerbate underlying health conditions  
563 and adversely impact fertility (Grace, 2017), work productivity (Kjellstrom et al., 2016), work-  
564 related accidents (Morabito et al., 2006), and decision-making (C.-H. Chang et al., 2017;  
565 Obradovich et al., 2018). Understanding the biophysical aspects of heat exchange between the  
566 human and surrounding environment is essential for determining the efficacy of various cooling  
567 strategies under different environmental conditions, thus informing evidence-based heat-health  
568 advisories. For example, many public health authorities currently recommend against the use of  
569 electric fans when ambient temperature exceeds 35° C (skin temperature), as it would increase  
570 convective heat gain (Hajat, O'Connor, et al., 2010). However, this does not consider humidity  
571 and a person's ability to sweat, which influence the rate of evaporative heat loss (Jay et al., 2015;  
572 Morris et al., 2021). Research has demonstrated the cooling benefits of electric fan use at ambient  
573 temperatures of 42°C with 50% relative humidity in healthy, young males with intact sweating  
574 responses (Ravanelli et al., 2015). However, fan use under similar ambient conditions may not  
575 benefit individuals with reduced sweating ability (e.g., elderly, people taking anticholinergic  
576 medications) (Gagnon et al., 2017; Morris et al., 2021). Therefore, advice concerning fan use  
577 during heat exposure (particular in indoor spaces as detailed in Sec. 5.2) should be specific to the  
578 population and humidity levels (Jay et al., 2015; Morris et al., 2021).

579 Furthermore, strategies designed to alleviate physiological strain (mainly by altering core  
580 temperature) associated with exertional heat stress can potentially be adapted to combat urban heat  
581 stress. Individuals performing physical activity (e.g., occupational work, exercise) are at an  
582 increased risk of heat illnesses as heat stress from the environment is compounded by increased  
583 metabolic heat production (J. K. W. Lee et al., 2010). A common behavioral adjustment is the use  
584 of work-rest cycles (alternating periods of work and rest) to prevent excessive body heat storage  
585 (J. K. W. Lee et al., 2013). This strategy is particularly relevant for outdoor workers who are  
586 specifically vulnerable to urban heat challenges but are underrepresented in research (Nazarian &  
587 Lee, 2021). Physiological strategies such as improving aerobic fitness (Alhadad et al., 2019), heat  
588 acclimatisation (J. K. W. Lee et al., 2012), pre-exercise cooling (J. K. W. Lee et al., 2012, 2015)  
589 and fluid ingestion (Luippold et al., 2018) are also often used to optimise work productivity and  
590 performance in the heat (Fig. 4). However, it is important to note that the most appropriate strategy  
591 for combating urban heat stress must be tailored according to context and needs, particularly in  
592 extending their efficacy in vulnerable populations. For example, aside from questions regarding  
593 the sustainability of air conditioning use, being sedentary indoors for prolonged periods will  
594 potentially degrade inhabitants' aerobic fitness and heat acclimatisation status, therefore reducing  
595 their heat tolerance. These factors are currently neglected in heat-health advisories and should be  
596 considered to increase the population's resilience to urban overheating.



597

598 **Figure 4.** Overall efficacy of physiological strategies to reduce heat strain and augment work productivity  
 599 and performance, based on a meta-analysis of 118 studies (Alhadad et al., 2019). Figure shows the overall  
 600 effect sizes (Hedges'  $g$ ) of each strategy in altering body core temperature during  
 601 exertional heat stress. Values are interpreted as trivial ( $<0.20$ ), small  
 602 ( $0.21-0.49$ ), moderate ( $0.50-0.79$ ) and large ( $\geq 0.80$ ) effects, respectively.

603 Diagram adapted from (Alhadad et al., 2019).

604 To reiterate, heat-health advisories that are solely based on climatic conditions have limited  
 605 efficacy. Given the subjectivity of thermal comfort, future research should focus on the  
 606 development and implementation of personalized heat mitigation guidelines that are tailored  
 607 according to an individual's health, environment and adaptive capacity. This can be achieved by  
 608 coupling climatic data with biophysical inputs and known influencing factors of heat illnesses  
 609 (e.g., sex, age, body size, aerobic fitness). With emerging IoT and wearable devices (Sec. 4.2), this  
 610 is becoming increasingly feasible. Besides personalization, the physiological capacity of the  
 611 population of interest must also be considered, to improve the accuracy of future projections of  
 612 work capacity and heat-related health outcomes (Byrne & Lee, 2019). For example, (Cramer &  
 613 Jay, 2015) and (Notley et al., 2019; Vanos et al., 2020) noted that several inter- and intra-individual  
 614 factors (e.g., age, sex, aerobic fitness, hydration status) that influence a person's physiological  
 615 strain (thus, risk of heat-related illness) for a given level of heat stress are neglected in current heat  
 616 exposure limits for exertional settings. Consequently, the current "one size fits all" approach may  
 617 induce unnecessary productivity losses for heat-tolerant individuals while under-protecting heat-  
 618 intolerant workers who may suffer heat injury under moderate heat stress. This further underscores  
 619 the importance of developing personalized heat mitigation strategies to optimise human health,  
 620 well-being and productivity in the face of urban overheating. However, to do so effectively, further  
 621 research is warranted in several areas, including (but not limited to) potential interactions among  
 622 the various individual factors on heat strain and the relative importance of each factor in  
 623 determining heat illness risk (Notley et al., 2019).

624

625

### 626 3.2 Biometeorological stress and psychological response in the face of urban overheating

627 In addition to environmental heat exposure and physiological responses, behavioral and  
 628 psychological determinants are critical components of urban overheating. From the perceptual

629 point of view, the individual sensitivity to urban overheating is related to the difference between  
630 the thermal environmental conditions at hand, and those normally expected of the city in question.  
631 For example, typical urban meteorological conditions in Shanghai during summer are readily  
632 accepted by the residents of that city who have no difficulty going about their day-to-day routines  
633 under those conditions. But were the same climatic conditions to occur in say, London UK, they  
634 would greatly exceed expectations of Londoners who would rate them ‘off the chart’ and deem  
635 them unacceptable, if not debilitating. This relativity in thermal perception is the phenomenon  
636 known as adaptive thermal comfort in which there are no absolutes, and comfort perceptions are  
637 benchmarked against climatic expectations (Brager & de Dear, 1998). The empirical evidence for  
638 adaptive comfort has largely evolved in indoor settings (De Dear et al., 2020; Nicol & Humphreys,  
639 2002), but the underlying principles are equally relevant at the urban scale and recent field studies  
640 in outdoor settings confirm this generalization in the literature (Jendritzky et al., 2012; Lin et al.,  
641 2011). The adaptive model of thermal perception indicates that the psychological response to  
642 thermal exposure as well as the zones of “no heat stress” for thermal comfort indices (Sec. 2.1)  
643 should be explored and calibrated in cities with different climates to reflect local thermal  
644 adaptation strategies, behavioral patterns, and differences in climatic zones (Heng & Chow, 2019;  
645 Potchter et al., 2018). Such adaptive considerations of heat exposure are yet to be quantified and  
646 documented for all climate classes in both northern and southern hemisphere, and in developing  
647 countries susceptible to heat-health impacts (Baker & Standeven, 1996).

648 Additionally, it is critical to recall that thermal comfort of individuals is defined as “the condition  
649 of mind that expresses satisfaction with the thermal environment and is assessed by subjective  
650 evaluation” (Standard 55, 2017). Various studies have confirmed that approximately 50% of a  
651 person’s thermal sensation can be explained through environmental factors, while the other 50%  
652 are induced by personal, psychological, and physiological characteristics. These components can  
653 only be assessed through mixed methods combining subjective and objective evaluation (Chen &  
654 Ng, 2012; Johansson et al., 2014; Middel et al., 2016; Nikolopoulou et al., 2001) or personalized  
655 assessments that monitor physiological and behavioral responses of individuals, as detailed in  
656 Secs. 2.2 and C.1 (Kuras et al., 2017; Nazarian & Lee, 2021).

657 Furthermore, people's perceptions of heat and their psychological responses drive their behavior,  
658 which then modulates the indirect and direct impacts of urban overheating (Sec. 4). In the absence  
659 of outdoor adaptation and mitigation strategies for heat exposure, the default behavioral response  
660 to perceived urban heat discomfort is often the minimization of exposure, i.e. reduced time  
661 outdoors and correspondingly increased time indoors and an increasingly sedentary lifestyle  
662 (Nazarian et al., 2021). This further results in over-reliance on air-conditioned indoor comfort and  
663 preference for private vehicles over the active modes of transport, particularly in developed  
664 countries, with life-style-related health impacts ensuing (i.e. cardiovascular, obesity, and diabetes).  
665 This hypothesis of obesogenic cities, and the deleterious impacts of urban overheating on  
666 walkability of the city, raises important multidisciplinary research questions that are yet to be  
667 addressed. Empirical verification of causal links between urban heat and residents’ behavior, their  
668 sedentariness, and heat-health impacts at the individual and population levels are essential  
669 directions for future research such that evidence-based urban planning and policy can be effective  
670 in a warming urban world.

671 Implementing this knowledge in practice, adaptive opportunities that individuals can afford to  
672 reduce heat exposure require more explicit consideration. Adaptive options for an individual to  
673 control their local environment (Baker & Standeven, 1996) are circumscribed by the built

674 environment (Baker 1996). For instance, in the humid tropics, the key urban adaptive opportunities  
675 relate to wind resources available at the pedestrian level to enhance the body's convective and  
676 evaporative heat losses (Ng & Cheng, 2012), and in the hot-dry climatic setting, pedestrian thermal  
677 comfort relies primarily on solar shade opportunities afforded by the urban geometry, street  
678 furniture, verandas and overhangs, and trees (Hwang et al., 2011). Additionally, greening of  
679 streetscapes, precincts, and facets of individual buildings - which can also reduce canopy-level  
680 ambient air temperature in hot-dry climates - can create thermally pleasant conditions in adjacent  
681 residential and commercial precincts if implemented at sufficient scale (C.-R. Chang & Li, 2014).  
682 Green infrastructure integrated in design further improves the walkability of urban precincts and  
683 increases the likelihood of outdoor spaces being used by residents. Enhanced city walkability and  
684 livability promotes higher levels of outdoor activities that, in turn, facilitate deeper thermal  
685 adaptation and acclimatization through a variety of physiological, psychological, and behavioral  
686 interactions which ultimately reduces heat strain risks in individuals (Sec. 3.1).

687 Beyond the passive urban design approaches described above are the active engineering solutions,  
688 such as mechanical ventilation to enhance convective and evaporative cooling of pedestrians,  
689 misting to enhance evaporative cooling of air in outdoor urban settings, and even energy-intensive  
690 air-conditioning of semi-outdoor urban spaces. For example, in Qatar where the average outdoor  
691 dry bulb temperature is 34°C, an outdoor air-conditioning system was designed and installed into  
692 the perimeter of a football field. The system projected conditioned air at 14°C into a vast, open  
693 space occupied by about seven thousand attendees at a live-streamed FIFA World Cup match  
694 (Ghani et al., 2021). As effective as these brute-force design strategies for urban thermal comfort  
695 may be, they carry considerable financial and environmental costs that need to be carefully  
696 weighed before being implemented in workplaces (such as construction sites) as well as on  
697 precinct and urban scales. A more parsimonious and environmentally responsible approach to the  
698 design and implementation of active outdoor comfort conditioning may be to think of it as  
699 temporary thermal respite such that outdoor activities are encouraged despite higher heat exposure  
700 projected in cities.

701 To better utilize outdoor spaces, urban planning solutions (Sec. 5) could also be developed by  
702 incorporating adaptive behaviors in addition to environmental determinants (such as MRT and  
703 wind speed) responding to urban morphology and local climate (Nazarian et al., 2019; Ng et al.,  
704 2011). Further examples of strategies that can promote climatically adaptive comfort behaviors at  
705 the individual scale include pedestrian routing recommendation engines to maximize exposure to  
706 shade resources (Deilami et al., 2020), development of cool street furniture (high thermal mass,  
707 low surface temperature, with vegetated awnings or shading), and active engagement in water-  
708 based recreation. Accordingly, in addition to city-scale urban heat mitigation efforts, localized cool  
709 oases in hot environments, or cool refuges, are needed to tap into adaptive opportunities in the  
710 built environment.

711

#### 712 **4 Assessing the impacts of overheating on populations**

713 Understanding the key sensitivities to urban heat at the human scale (Sec. 3.1-2) is  
714 fundamental to characterizing and addressing population-level vulnerability and impacts in the  
715 face of extreme heats. To further clarify the negative impacts of heat, this section details the ways  
716 in which the impacts are realized at the population and city level, particularly with regards to urban  
717 environmental health and energy. Here, we focus on urban dwellers - 55% of the global population

718 now and 67% by 2050 (Ritchie & Roser, 2018) - exposed to and often negatively affected by  
719 extreme or chronic urban heat (i.e., urban overheating).

#### 720 **4.1 Urban Environmental Health**

##### 721 *Urban Environmental Health & Heat Epidemiology*

722 Urban environmental health focuses on the health of people as it relates to environmental  
723 conditions in cities (e.g., water and air pollution, greenspace, hazards such as flooding or heat).  
724 Recent definitions of “health” focus on a state of complete physical, mental, and social well-being,  
725 and not merely the absence of disease (World Health Organization, 2021). Despite this definition,  
726 extreme heat impacts have generally been studied as either the presence or absence of a heat illness  
727 or heat death as opposed to assessing well-being and liveability. In recent years, worker  
728 productivity and economic losses related to heat exposure have been used to quantify the  
729 intermediate impacts of heat (Lucas et al., 2015; Vanos et al., 2019; Zander et al., 2015), with a  
730 focus on developed countries in the northern hemisphere. Yet globally, reduced well-being and  
731 death from heat stress are common, and the associated vulnerabilities are often poorly documented  
732 in the research (Ebi, Capon, et al., 2021).

733 Epidemiology applies various methodologies for quantifying the contribution of *extreme heat* to  
734 human health outcomes at a population-scale across cities or counties, both *directly and indirectly*.  
735 At finer scales (e.g., neighborhoods), studies apply vulnerability indices that can explicitly assess  
736 social vulnerability, thus focusing on those demographic and socioeconomic factors that may  
737 increase or attenuate the hazards (such as heat) on a local population (Tierney et al., 2002).  
738 Common country-, city-, or neighborhood-level methods to quantify direct heat-health impacts are  
739 listed in Table 2. The literature strongly demonstrates positive associations between heat and  
740 mortality or morbidity in large cities (Gasparrini et al., 2015; Guo et al., 2017), regardless of  
741 climate zone or country income level (H. Green et al., 2019). Heat vulnerability studies at census  
742 tract or neighborhood scales are better able to ascertain location-specific factors such as income,  
743 poverty, social isolation, education, race/ethnicity, age (elderly) and vegetation as important  
744 predictors of heat death or illness during locally-defined heat events (Harlan et al., 2006; Reid et  
745 al., 2009), resulting in the creation of numerous city-specific heat vulnerability indices (HVIs)  
746 (Harlan et al., 2013; Rey et al., 2009; Wolf & McGregor, 2013).

747

748 **Table 2:** Common methods used to quantify the contribution of extreme heat to human health across spatial  
 749 and temporal scales, often with historical data.

Methods	Description	Examples (citations)
Years of Life Lost (YLL)	A measure of premature mortality, in this case, due to heat mortality.	(Sewe et al., 2018) (Yunquan Zhang et al., 2018)
Heat Vulnerability Indices	Summarize the key socioeconomic and physical factors that may increase or attenuate the effects of heat. The weighting (importance) of different factors will differ by location. Often mapped across spatial scales, such as zip code or neighborhood.	(Reid et al., 2009) (Harlan et al., 2013) (Conlon et al., 2020)
Time-series Epidemiological Approaches	Used to estimate temporal changes in relative risk (RR) of short-term mortality associated with increased temperatures (e.g., min, mean, max, range); account for confounding of effect modifiers; assess lagged and/or cumulative effects; often at city- or county-scale. Also used to assess change in RR over time (years), evaluate heat warning systems, and applied in climate projections.	(Bobb, Obermeyer, et al., 2014) (Petkova et al., 2014) (Gasparrini et al., 2015) (Benmarhnia et al., 2016)
UHI Attribution	Assess heat-related impacts with and without UHI impacts caused by urban development (see Sec. 5.1).	(Dang et al., 2018) (Heaviside et al., 2017)
Climate Change Attribution Studies	Determines whether climate change has contributed to observed changes in a given outcome (e.g., the number of deaths with or without a change in climate)	(D. Stone et al., 2013) (Vicedo-Cabrera et al., 2021) (Ebi et al., 2017)

750 Heat-related health issues are better understood in high-income countries due to data availability  
 751 and more advanced health systems (H. Green et al., 2019), and thus greater challenges to heat  
 752 adaptation exist in low- and middle-income countries (LMICs). Within developed countries (e.g.,  
 753 Australia, Italy, Czech Republic, South Korea, United States, Sweden) heat-related mortality has  
 754 been steadily declining in large cities over the last 30+ years (Bobb, Peng, et al., 2014; Coates et  
 755 al., 2014; J. Ha & Kim, 2013; Kysely & Plavcová, 2012; Petkova et al., 2014; Schifano et al.,  
 756 2012) while the rate of decline varies regionally and across different population groups (Sheridan  
 757 et al., 2021). Reasons for the recent decline in developed countries may include increasing adaptive  
 758 capacity, such as heat warning systems, air conditioning prevalence, education, and behavioural  
 759 modifications. Nonetheless, many heat-related mortality projections for the coming century point  
 760 to substantial increases (Hondula et al., 2015). Whether or not declining trends will continue in  
 761 high-income countries depends on continuing and advancing these adaptation strategies,  
 762 population demographics, migration, urbanization rates (Heaviside et al., 2017), climate change  
 763 mitigation, and heat adaptation strategies, all of which must be considered in future pathways to  
 764 project heat related mortality (Gosling et al., 2017). However, a recent study shows that 37.0%  
 765 (range 20.5–76.3%) of warm-season heat-related deaths across 43 countries (many high-income)  
 766 globally from 1991-2018 can be attributed to climate change (Vicedo-Cabrera et al., 2021); hence,  
 767 even with adaptive capacity increases, 1/3 of lives lost may not have occurred without climate  
 768 change. Such trends, both past, current, and future, are largely unknown for LMICs.

769 While population-level epidemiological studies in urban areas are a critical starting point, they can  
770 only provide a broad overview of potential individual-level challenges outlined in C.1 (i.e., thermal  
771 discomfort, physiological strain). There are well-known physiological limits related to heat strain  
772 and sensitivities to heat (discussed in **C.1**) that can substantially increase vulnerability even at  
773 lower heat exposures and that should be considered in heat projections (Vanos et al., 2020).

#### 774 ***Direct and Indirect Health Impacts of Urban Heat on Humans***

775 In addition to the direct physiological impacts of heat exposure (Sec. 3.1), numerous  
776 indirect impacts (e.g., cardiovascular events, respiratory distress, and inhibition of sleep, learning,  
777 mood, and behaviour) are linked to extreme heat (see review by Jay et al., 2021)). Each case of  
778 heat illness or death is highly individualized and context specific, based on a person's activities  
779 and "pathway" to heat exposure, as discussed in Sec. 2.

780 The patterns of personal heat exposure can vary considerably between individuals and between  
781 urban versus rural locations. Certain advantages may be present within urban versus rural  
782 environments, specifically a greater access and ability to find cooling centers; a higher presence  
783 of shading in some instances (e.g., desert regions); greater access to clean water; more access to  
784 transportation; proximity to hospitals and emergency personal; and closer social ties, among  
785 others, that directly or indirectly affect heat vulnerability.

#### 786 ***Vulnerable Sub-Groups within Cities***

787 Population sub-groups that are more physiologically or psychologically vulnerable and  
788 more likely to experience heightened levels of heat include children and infants, athletes, outdoor  
789 workers, warfighters, those with pre-existing illnesses and/or on medication, homeless, and the  
790 elderly (Ebi, Capon, et al., 2021). While many urban amenities (shade, water, cooling) help support  
791 the homeless population, they can be at higher risk because of challenges including barriers to  
792 accessing sufficient healthcare and community cooling centers, or compromised physical and/or  
793 mental health, making them one of the most at-risk populations to heat deaths (Nicolay et al.,  
794 2016).

795 Athletes and outdoor workers are more likely to experience exertional heat stroke (EHS), which  
796 typically strikes active and young athletes and workers when coupled with high metabolic loads  
797 and clothing/equipment that impair heat loss (Hosokawa et al., 2019). Within these groups, those  
798 at the highest risk of exertional heat injury are already compromised by illness, large body type,  
799 recent illness, and/or medication (Hosokawa et al., 2019).

800 Children's activity patterns and access to (or use of) heat adaptive strategies within urban  
801 environments are important factors in their personal heat exposure and thus health outcomes. At  
802 the population-level, studies in children point to a higher risk of heat morbidity rather than  
803 mortality (Bartlett, 2008; Knowlton et al., 2009; Kravchenko et al., 2013). Within many  
804 contemporary playgrounds, extreme surface temperatures may cause thermal burns (e.g., from sun-  
805 exposed plastic, rubber, metal; (Pfautsch et al., 2020; Vanos et al., 2016)). Infants and children  
806 face the greatest risk to the dangers of pediatric heat stroke (PHS) in overheated vehicles, which  
807 is an ever-present, critical concern: in U.S. cities alone, 888 children died of PHS since 1998 (Null,  
808 2021; Vanos et al., 2016).

809 Finally, excessive heat exposure to pregnant women during the later stages of pregnancy is  
810 associated with increased risk for still- and premature-births (Chersich et al., 2020; S. Ha et al.,

811 2017), yet moderate bouts of exercise in the second and third trimester was recently shown to not  
812 pose a greater risk to pregnant women in their second and third trimesters (Smallcombe et al.,  
813 2021).

#### 814 ***Challenges and recommendations***

815 Studies must also address adaptive capacity, which is strongly associated with heat-related  
816 illness and death, rather than rising temperatures alone, in order to improve the ability to predict  
817 individual or population-level health detriments deriving from overheating in cities. The following  
818 recommendations in research and application are suggested:

- 819 ● Collect appropriate data (health and weather) to conduct research into heat-health associations  
820 in LMICs and lower SES communities.
- 821 ● Develop and validate more rigorous approaches to account for adaptive capacity and  
822 demographic change in projecting future heat-health impacts.
- 823 ● Research indirect effects of heat and include well-being more broadly.
- 824 ● Create city-specific early warning and response systems for heat extremes that are supported  
825 by heat vulnerability maps and that are more tailored to specific individuals; evaluate all such  
826 systems.
- 827 ● Develop and implement passive (i.e., sustainable) cooling strategies to support heat mitigation  
828 in cities and in homes (Sec. 5.2), as the cost of AC often leaves the most vulnerable without  
829 power ((Jay et al., 2021), and as detailed in Sec. 4.2).
- 830 ● Improve resources, policies, public health messaging, and technologies that are needed for the  
831 most vulnerable populations to respond appropriately to heat (e.g., to prevent PHS or isolated  
832 heat deaths in elderly populations), leveraging spaces, tools, and resources already present in  
833 urban areas.

834

#### 835 **4.2 Urban Energy**

836 Urban energy systems both impact and are impacted by urban overheating. Urban  
837 overheating results in higher cooling energy needs, while urban energy systems release  
838 anthropogenic sensible heat and moisture into the urban atmosphere, increasing urban temperature.  
839 High urban temperatures further decrease the performance of photovoltaic modules and air  
840 conditioning (AC). Thus, urban energy systems represent a cascade of integrated systems, where  
841 the consequences of design and planning decisions and inefficiencies rapidly propagate, pushing  
842 socio-economically-disadvantaged urban populations into energy poverty. With the term “urban  
843 energy systems”, we refer to the interconnected components of energy generation, distribution,  
844 and end uses in the built environment, together with buildings and human users. Here, we discuss  
845 the challenges in addressing these cascading systems in relation to urban overheating.

846 In the context of urban overheating, urban energy systems should also be critically assessed when  
847 they fail to provide the indoor thermal comfort they were designed to offer (Sec. 5.2). For  
848 increasing fractions of the urban population, the failure arises from transient or permanent  
849 exclusion from the energy system itself, and thus increased exposure to heat-related health  
850 outcomes. This is the condition faced by the energy poor, who are defined as having energy  
851 expenditures that exceed 10% of their household income (Moore, 2012).

852 Urban energy systems often reach a critical state at the occurrence of extreme heat events that act  
853 in synergy with local contributions to overheating, both inland (Zhao et al., 2018) and in coastal  
854 areas (Khan et al., 2020). Under stress conditions, thermally-inefficient buildings are subject to  
855 inadequate indoor conditions, even in developed countries (Thomson et al., 2019). Another  
856 relevant risk comes from food safety, when inadequate temperatures during transport and storage  
857 lead to the biological proliferation of mycotoxins or pathogenic bacteria in food (Miraglia et al.,  
858 2009), while exposure to hotter temperatures reduces food safety inspections (Obradovich et al.,  
859 2018). This risk is especially increased during heatwaves for the energy poor, whose dwellings  
860 show high indoor air temperatures, impacting the performance of refrigerators, even in the absence  
861 of black or brownouts. Chillers and condensing units of air conditioners see their performance  
862 decrease with increasing temperature and humidity (Kabeel et al., 2017), and the same dynamic  
863 applies to photovoltaic solar panels (Skoplaki & Palyvos, 2009). Therefore, building-integrated  
864 PV may decrease the electricity output during heatwaves, thus resulting in increased demand from  
865 the power grid. As less solar radiation is converted into electricity, more is dissipated as heat, thus  
866 worsening the contribution of photovoltaic panels to urban overheating, as documented at utility  
867 scale (Ashley M. Broadbent et al., 2019).

868 The last of these highly non-linear dynamics relates to anthropogenic sensible heat and moisture,  
869 which is released into the built environment contributing to increases of the ambient air  
870 temperature and humidity (Sailor, 2011). Mesoscale climate modelling coupled to building models  
871 estimate an increase of the ambient temperature by 1-2 °C in peak conditions in most cities driven  
872 by exhaust heat from condensing units (Sailor, 2011; Salamanca et al., 2014). Instead, evaporative  
873 cooling towers can decrease urban temperatures, even by 1.5 °C in the evening, although with a  
874 substantial increase in specific humidity, which then may worsen thermal comfort and increase the  
875 energy needs for dehumidification (Y. Wang et al., 2018). During heatwaves, the release of  
876 anthropogenic heat from buildings may increase by more than 20%, of which more than 85% is  
877 contributed by air-conditioners (Luo et al., 2020), due to reduced efficiency and increased demand.  
878 Also, during heatwaves, air conditioners fail to provide comfort conditions or may not operate  
879 because of blackouts (B. Stone et al., 2021).

880 To design and manage building stocks for resiliency in the context of worsening urban overheating,  
881 it is necessary to manage them as connected systems rather than individual buildings. This vision,  
882 among other technological advancements, requires granular energy utility data to better understand  
883 and quantify interconnected impacts of urban energy systems. However, often utility datasets are  
884 neither easily accessible nor include appropriate and consistent contextualized metadata in non-  
885 smart grids (Nagasawa et al., 2013; Yu et al., 2015). Consequently, the development of district-  
886 scale electricity demand models capable of high-resolution assessments in different boundary  
887 conditions is complicated. Moreover, the uncertainty in the definition of the population in small  
888 areas is an intrinsic issue (Tayman, 2011), which prevents a detailed understanding of the semi-  
889 hourly demand, area by area (Bhattarai et al., 2019), without a widespread implementation of smart  
890 metering.

891 Realistic representation of the complex meteorological boundary conditions for building  
892 simulation has been addressed with increasingly convergent efforts by the building simulation and  
893 urban climatology communities (Ferrando et al., 2020). Still, practitioners consider shadowing by  
894 nearby buildings at most, with a deterministic input in response to a probabilistic problem, and use  
895 typical weather data from airports that exclude climate anomalies. Further, while heating energy  
896 needs can be robustly estimated with typical weather years, cooling energy needs are strongly

897 affected by heatwaves, therefore resulting in a significant bias (Paolini et al., 2017). Practitioners  
898 also model individual buildings, despite the growing opportunities for urban energy modelling  
899 (Hong, Chen, et al., 2020). The availability of reliable 3-D stock models, now limited to a few  
900 cities (Evans et al., 2017), may overcome the limitations of archetypes (i.e., typical buildings) to  
901 represent the whole building stock (Ferrando et al., 2020). Additionally, urban energy codes could  
902 offer a pathway towards collaborative energy design of buildings, no longer treating buildings as  
903 stand-alone entities.

904 Perhaps the most significant gaps in model assessment of urban overheating impacts on urban  
905 energy (and vice versa) concern the interconnections of urban energy systems, especially at the  
906 neighbourhood scale. First, disentangling the connections between the layers of urban energy  
907 systems entails addressing a problem affected by high uncertainty, and focusing on the links  
908 between the different parts (Pappaccogli et al., 2020). Notably, the quantification of anthropogenic  
909 heat and moisture emissions is one of the terms in the urban energy balance showing the greatest  
910 variability depending on the model and assumption (Sailor, 2011; Y. Wang et al., 2018).  
911 Specifically, even very detailed bottom-up models (Hong, Ferrando, et al., 2020) do not take into  
912 consideration the thermal dissipation from different components of the electrical grids (e.g.,  
913 transformers), which requires attention in the future.

914 On the other hand, the synergies between urban overheating and heatwaves have been investigated  
915 (Zhao et al., 2018), but the current framework does not support the quantification of the chain of  
916 effects involving the electrical grid, buildings, and air conditioning, which can lead to reduced  
917 energy performance and energy poverty. In fact, only a limited number of studies have addressed  
918 this frontier (Luo et al., 2020) despite its critical impact on health outcomes of overheating.

919 The second cluster of gaps relates to the fragmentation of the study of energy transformation and  
920 uses, social inequality, and spatial differentiation (Bouzarovski & Thomson, 2018). High cooling  
921 energy consumption in wealthy areas drives demand and energy prices, harshening energy poverty  
922 in less affluent and denser suburbs (Simshauser et al., 2011), where the vulnerable population is  
923 confined to thermally unsafe and inefficient buildings. Further, to achieve net-zero energy cities,  
924 net-zero energy users and constant metering are needed (Yan Zhang et al., 2018), motivating  
925 further research on citizen engagement together with technological advancements. Furthermore,  
926 climate extremes, and consequent blackout and brownout models need to inform the design  
927 process of urban energy systems, with a balanced approach to energy curtailment, and enforcement  
928 of maximum cooling set points during extreme heat events. Other possible solutions include  
929 heatwave shelters and energy sharing during non-extreme conditions, which can mitigate  
930 inequalities (Salvia & Morello, 2020), with people's affiliation networks driving remarkable  
931 energy savings at building scale (Xu et al., 2012), especially in plug loads.

932 In conclusion, the urban energy problem should be reframed to support human health, in addition  
933 to reduction of energy use. Otherwise, there is a risk of further polarisation and increasing energy  
934 poverty (Santamouris, 2020), with only the wealthy dwelling in net-zero energy buildings  
935 equipped with on-site renewables. Cities should be designed and managed as complex systems,  
936 and while the single components have been developed, the response of the integrated model is not  
937 known. Therefore, to develop new knowledge, first, a new integrated energy space has to be  
938 developed so that new applied research can find novel opportunities and solutions to the energy  
939 problem.

## 940 **5 Multidisciplinary solutions to address urban overheating**

941 This section discusses the state-of-the-art methodologies and solutions for mitigating heat  
942 exposure, reducing sensitivity, and increasing adaptive capacities at the individual and city levels.  
943 We focus on cooling strategies that can be implemented in urban design (Sec. 5.1) or indoor  
944 spaces (Sec. 5.2) as well as urban heat governance (Sec. 5.3) needed to mitigate or adapt to this  
945 multi-faceted challenge.

### 946 **5.1 Heat mitigation strategies integrated in urban design**

947 Urban design and architecture have traditionally been developed to enhance immediate  
948 thermal environments of individuals, a design process that has since been obscured due to the  
949 prevalent use of air-conditioning and cheap fuel (Pearlmutter, 2007), exacerbating urban heat  
950 challenges in cities (Sec. 4.2). Inspired by traditional interventions and novel technologies, various  
951 heat mitigation methodologies have been developed over the last three or more decades (Akbari  
952 & Kolokotsa, 2016; Rosenfeld et al., 1995), aiming to decrease the local ambient temperature using  
953 solar control, reflective and green roofs (D. Li et al., 2014; Santamouris, 2014), urban greenery  
954 (Santamouris et al., 2018), water and irrigation (Coutts et al., 2013) and the use of light color  
955 materials for urban facades and pavements (Santamouris, 2013). Apart from these traditional  
956 methods, several new and efficient mitigation technologies presenting a high cooling capacity are  
957 developed and used in large scale urban projects. Most of the newly presented technologies deal  
958 with the development of advanced materials for the urban fabric and building envelope, as well as  
959 with scientific developments to enhance the cooling potential of urban greenery (Akbari et al.,  
960 2015). In parallel, significant new knowledge has been generated on the optimum use of water and  
961 evaporation systems in cities (Gao & Santamouris, 2019).

962 A combination of advanced and traditional mitigation technologies and systems can be considered  
963 in urban design, selected based on the urban morphology, local climate class, water availability,  
964 and seasonal climate variability. On average, it is feasible to decrease the peak air temperature of  
965 cities up to 2.5-3 °C (Feng et al., 2021; Santamouris et al., 2017a, 2020). Addition of green  
966 infrastructure often represents a re-integration of landscape elements better able to store  
967 precipitation and fuel evapotranspiration and reduce temperatures during hot spells. Examples  
968 include green roofs and green building facades, trees, and ground-level vegetation such as parks,  
969 lawns, and gardens (Bowler et al., 2010). Street trees not only evapotranspire, but provide shade  
970 to pedestrians, buildings, and heat-absorbing ground-level infrastructure, dramatically reducing  
971 radiation and consequently overall daytime heat exposure and nighttime heat release (Coutts et al.,  
972 2016; Oke, 1989). However, trees can warm temperatures at night (Gillner et al., 2015; Krayenhoff  
973 et al., 2020) and slow winds and prevent dispersion of pollutants emitted at ground level (Santiago  
974 et al., 2017; P. E. J. Vos et al., 2013), such as those from vehicle tailpipes, and interfere with  
975 subsurface infrastructure. Surface and air temperature cooling from green roofs and low  
976 vegetation, and to a lesser extent, trees, is critically dependent on adequate soil moisture, either  
977 from precipitation or irrigation (Heusinger et al., 2018; Krayenhoff et al., 2021). Nevertheless, to  
978 date there is evidence that urban trees are most effective for pedestrian-level cooling, followed by  
979 ground level vegetation, and finally by green roofs (Krayenhoff et al., 2021; Santamouris et al.,  
980 2017b; Shashua-Bar et al., 2009); however, green roofs can have greater impacts on building  
981 energy and/or internal thermal environments (Sailor et al., 2012). Reviews of vegetation cooling  
982 effectiveness suggest about 0.1-0.3°C of cooling per 0.1 plan area increase in vegetation area  
983 (Bowler et al., 2010; Krayenhoff et al., 2021). Recent observational results suggest that trees may  
984 reduce air temperature much more effectively as total canopy cover increases (Ziter et al., 2019).

985 Critically, each urban vegetation strategy has copious non-climatic benefits and, in some cases,  
986 select drawbacks, related to aesthetics, function, hydrology, health, historical context, etc, that will  
987 differ with local context (Krayenhoff et al., 2021; Santamouris et al., 2018). There is opportunity  
988 to better optimize urban vegetation combinations and arrangements accounting for all impacts,  
989 including adaptation to urban overheating.

990 However, the intensity of contemporary and especially projected urban overheating exceeds the  
991 potential of existing heat mitigation technologies, especially at night when the canopy urban heat  
992 island is maximized, and when heat mitigation approaches that rely on solar radiation (e.g.,  
993 increased albedo or evapotranspiration) are less effective (Krayenhoff et al., 2018). This requires  
994 that we consider more efficient mitigation technologies with a considerably higher cooling  
995 capability. Therefore, achievements in the field of heat mitigating materials are the focus of the  
996 remaining discussion in this section.

997 Materials used in the urban fabric and building envelope absorb solar radiation, absorb and emit  
998 infrared radiation, store and release heat via conduction, and exchange heat with the air through  
999 convective processes. Materials that exhibit high radiation absorptivity have a high surface  
1000 temperature during daytime, heating the ambient air, emitting large amounts of longwave  
1001 radiation, and deteriorating thermal comfort. To decrease the materials' surface temperatures  
1002 several principles are used separately or in a combined way:

- 1003 ● Increase the reflectivity of the materials in the visible, infrared or both parts of the solar  
1004 radiation spectrum,
- 1005 ● Increase the thermal inertia of the materials (however, doing so warms evening and  
1006 nighttime periods),
- 1007 ● Exploit fluorescent materials to enhance their thermal losses,
- 1008 ● Exploit chromic materials to adjust their reflectivity according to the climatic conditions,
- 1009 ● Increase the emissivity of the materials in the whole infrared spectrum, or
- 1010 ● Increase the emissivity of the materials in the so-called atmospheric window.

1011 White artificial materials of extremely high reflectivity in the visible solar spectrum may present  
1012 up to 6°C lower surface temperature than white natural materials like marble (Synnefa et al., 2006).  
1013 However, reflectivity decreases considerably over time because of the deposition of dust and other  
1014 atmospheric constituents and the effects of UV radiation. Near-infrared reflective colored  
1015 materials present a much higher broadband solar reflectivity than conventional materials of the  
1016 same color, increasing broadband reflectivity by up to four times (Levinson et al., 2005), and  
1017 lowering surface (air) temperature by as much as 10°C (1.5°C) compared to conventional surfaces  
1018 of the same color (Santamouris, 2016; Synnefa et al., 2007). Ageing and deposition of dust are  
1019 issues that can potentially be mitigated by self-cleaning IR reflecting coatings (Kyriakodis &  
1020 Santamouris, 2018).

1021 The addition of phase change materials (PCM) in the mass of reflecting coatings, which store latent  
1022 heat, can increase material thermal storage and consequently decrease the release of sensible and  
1023 longwave heat, and reduce material surface temperature by up to 2.5°C (Karlessi et al., 2011). Use  
1024 of thermochromic materials, which change color and reflectivity as a function of surface  
1025 temperature, may be an excellent mitigation solution for temperate climates. Leuko dye-based  
1026 thermochromic materials (Ma et al., 2001) are found to yield surface temperatures up to 22°C

1027 lower than conventional surfaces of the same color (Karlessi et al., 2009), however the use of  
1028 optical filters is required to protect them when exposed to the sun (Karlessi & Santamouris, 2015).  
1029 Modern chromic materials appear to provide a high potential for efficient deployment for cooling  
1030 in cities (Garshasbi & Santamouris, 2019). Fluorescent materials absorb solar radiation and re-  
1031 emit photons at longer wavelengths, enhancing thermal losses. Materials based on ruby fluorescent  
1032 crystals, for example, showed surface temperature about 6.5°C lower than conventional samples  
1033 (Berdahl et al., 2016). Preliminary testing of mitigation materials based on quantum dots, another  
1034 chromic material, showed spectacular cooling effectiveness, however several problems with their  
1035 ageing are yet to be solved (Garshasbi & Santamouris, 2019).

1036 Daytime radiative cooling materials presenting an extremely high reflectivity to solar radiation and  
1037 a very high emissivity in the atmospheric window can reach sub-ambient surface temperatures  
1038 while sunlit (Zhai et al., 2017). Metamaterials, photonic, and plasmonic materials, when used to  
1039 form active or passive daytime radiative cooling coatings and components, may present surface  
1040 temperatures up to 17°C below ambient (Santamouris & Feng, 2018). Overcooling of surfaces  
1041 during the winter period and reduced performance in humid climates seem to be the main  
1042 limitations of this technology. The use of variable emissivity materials like PCMs to control the  
1043 temporal variation of the emissivity of radiative coolers (Ono et al., 2018) may be an efficient way  
1044 to overcome these problems.

#### 1045 ***Future Research Priorities***

1046 The emerging energy and environmental problems in cities that arise from regional and  
1047 global climate change require optimal application of existing climate moderation strategies such  
1048 as urban vegetation, combined with development and implementation of advanced technologies  
1049 able to further enhance urban cooling.

1050 Development of innovative mitigation technologies. Current mitigation technologies may decrease  
1051 the peak ambient air temperature by up to 2.5 – 3.0°C. Given the projected magnitude of urban  
1052 overheating, research efforts should concentrate towards the development of more efficient  
1053 mitigation technologies able to decrease peak ambient temperatures by up to 5°C. The main  
1054 research priorities and developments should target the following areas:

- 1055 ● Development of sub-ambient temperature materials. Photonic and plasmonic technologies  
1056 used for daytime radiative cooling exhibit large potential for functional improvement and  
1057 technology simplification. Passive radiative cooling technologies in the form of paints,  
1058 sprays or simple coatings may decrease the surface temperature of roofs and pavements up  
1059 to 10°C below the ambient temperature. In parallel, the development of photonic shading  
1060 devices can reduce surface temperatures (and associated mean radiant temperature; see Sec.  
1061 2.1) in open spaces, reduce the ambient temperature, and improve outdoor thermal comfort.
- 1062 ● Further development of fluorescent materials combined with thermochromic or photonic  
1063 substrates may yield high cooling potential.
- 1064 ● Development of alternatives to leuco dyes thermochromic materials may be a high research  
1065 priority. Recent research demonstrated that thermochromic quantum dots, plasmonics,  
1066 photonic crystals, conjugated polymers, Schiff bases and liquid crystals offer fascinating  
1067 and impressive mitigation characteristics and potential.

- 1068 ● More integrated analyses of plant ecology together with urban climate measurements and  
1069 modeling, such that we understand the desired traits and locations of green infrastructures  
1070 for relevant city climate and resources (such as access to water).
- 1071 ● Continued re-integration of vegetation into urban landscapes, including tree planting, green  
1072 roofs, and added ground-level vegetation, particularly when it provides co-benefits (e.g.,  
1073 recreational greenspace, urban agriculture, etc).
- 1074 ● Continued research into effective methods for cooling cities during evening and nighttime.

1075 Large scale urban projects demonstrating the use of efficient technologies may further enhance  
1076 our knowledge and understanding of the best way to implement these new technologies for  
1077 improved heat resilience. Additionally, the specific impact and the potential improvements  
1078 achieved through the implementation of efficient mitigation technologies have to be assessed  
1079 through well defined evaluation protocols to better understand their impact.

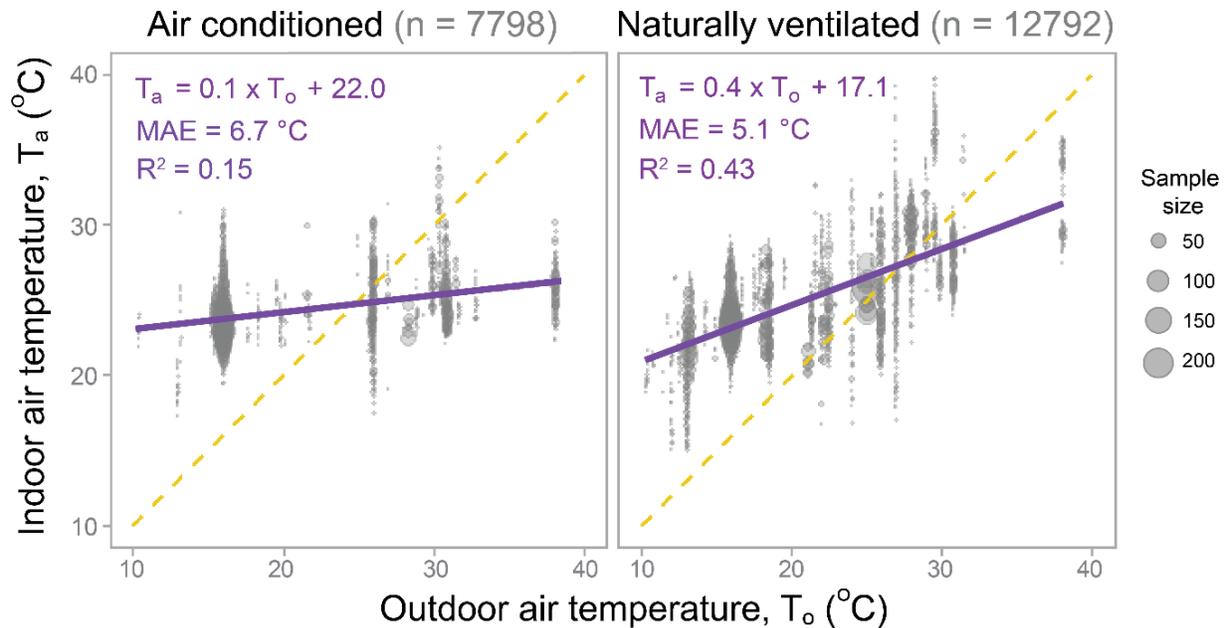
## 1080 **5.2 Indoor thermal environment and innovative cooling strategies**

1081 In addition to mitigating overheating outdoors, it is important to quantify and address  
1082 indoor thermal exposure to minimize the negative impacts on humans. In the United States, for  
1083 example, people spend 90% of their time indoors, on average (US Environmental Protection  
1084 Agency, 1989). Even in moderate heat periods, people may experience elevated indoor  
1085 temperatures in both workplace and residential buildings (Kjellstrom & Crowe, 2011; Uejio et al.,  
1086 2016; White-Newsome et al., 2012), which could lead to significant impacts on people's health,  
1087 safety, finances, and well-being (Sec. 4).

1088 Raising outdoor air temperature increases the indoor air temperature and/or the energy demand for  
1089 cooling. The relationship between outdoor and indoor temperatures is influenced by many factors,  
1090 such as building design and operation (e.g., full glass building vs well insulated building with  
1091 external shading device) and cooling strategy (e.g., air-conditioned vs naturally ventilated  
1092 buildings). The [ASHRAE Global Thermal Comfort Database II](#) (Földvary Licina et al., 2018) is  
1093 largest thermal comfort field survey database that can provide insight on how the outdoor air  
1094 temperature ( $T_o$ ) is related to the indoor air temperature ( $T_a$ ) in both air conditioned and naturally  
1095 ventilated buildings (Fig. 5). From simple weighted linear regressions, we find an increment of 0.1  
1096 °C and 0.4 °C, respectively for air conditioned and naturally ventilated buildings, for every degree  
1097 Celsius increment in outdoor temperature. It is clear that indoor temperature can be regulated  
1098 through heating and cooling in air-conditioned buildings regardless of the outdoor environments;  
1099 but a slope of ~0.4 in naturally ventilated buildings suggests that the indoor temperature does not  
1100 follow exactly the outdoor conditions. We observe with concern that in some naturally ventilated  
1101 buildings (above the yellow dotted line in Fig. 5), the indoor temperature is higher than the outdoor  
1102 temperature, which itself is elevated. This indicates that outdoor temperature may in some cases  
1103 underestimate the overheating exposure and that there exist other heat sources that are yet to be  
1104 characterized.

1105 Indoor temperature is increased by heat gains via conduction from the building envelope,  
1106 convection from outdoor hot air, direct or indirect solar radiation through windows and openings,  
1107 and heat released from occupants and equipment within the space. Indoor overheating challenges,  
1108 particularly for vulnerable and socio-economically-disadvantaged urban populations, are more  
1109 likely to occur in thermally-inefficient buildings (Sect 4.1). Thermal exposure perceived by  
1110 humans, however, does not only link to air temperature, it also relates to mean radiant temperature,

1111 relative humidity, airspeed, and occupant's clothing insulation and activity level (Fanger, 1970;  
 1112 Standard 55, 2017). Moreover, as noted in Sec. 4.2, it is important to assess the ability of a building  
 1113 to provide passive survivability during extended power outages in peak summer conditions (LEED  
 1114 BD+C, 2021).



1115 **Figure 5.** Indoor and outdoor air temperature relationships in air conditioned and naturally ventilated buildings  
 1116 obtained from the [ASHRAE Global Thermal Comfort Database II](#) (Földváry Ličina et al., 2018). The yellow dotted  
 1117 line indicates the hypothetical line where  $T_o = T_a$ .  $n$  indicates the number of measurements.  
 1118

1119 Indoor heat exposure can be minimized by two major strategies: Reduce heat gains and actively  
 1120 remove indoor thermal load. Heat gains can be reduced by building design and effective operation  
 1121 with established strategies, for example: avoid direct solar heat by altering building orientation  
 1122 (Axaopoulos et al., 2014), block solar radiation by installing outside shading (Cheung et al., 2005;  
 1123 Chua & Chou, 2010), reduce heat gain by applying insulation in the building façade (Fang et al.,  
 1124 2014; Schiavoni et al., 2016) and install cool roofs or green roofs (Junjing Yang et al., 2018), use  
 1125 high performance glazing (Karlsson & Roos, 2001), and maximize natural ventilation to remove  
 1126 indoor heat by advanced building design and control (Etheridge, 2011). There are also more  
 1127 innovative solutions not yet ready for implementation, such as terrestrial radiative cooling (X. Yin  
 1128 et al., 2020; M. Zhou et al., 2021) and cooling textiles (Hsu et al., 2017; Zeng et al., 2021).

1129 Air conditioning is most effective in removing indoor heat load and regulating the indoor  
 1130 environment, but its applicability is limited by financial and resource constraints, especially for  
 1131 mid- and low-income communities, and by the possibility of power outages during heat waves.  
 1132 Moreover, air conditioning has a high negative environmental impact. It is energy intensive, and  
 1133 it releases heat to the outdoors, increasing temperature at different scales (Sect 4.2). It also  
 1134 increases pollution from refrigerants, and if the space is not ventilated, it leads to high indoor CO-2  
 1135 levels if people close windows to save energy (Dahl, 2013; Gall et al., 2016).

1136 In practice, there are several energy efficient strategies that can reduce cooling loads and relieve  
 1137 occupants' thermal discomfort in buildings, for example: thermal mass and storage (Faraj et al.,  
 1138 2020; Yau & Rismanchi, 2012), evaporative cooling (Y. Yang et al., 2019), free cooling at night

1139 (Solgi et al., 2018), and water- / air-side economizers (Habibi Khalaj & Halgamuge, 2017; Ham  
1140 et al., 2015). Among all potential strategies, an affordable, effective, scalable and market-ready  
1141 solution is to increase air movement in built environments with fans in both indoor and outdoor  
1142 areas (Jay et al., 2019). Subjective thermal discomfort under a high temperature environment can  
1143 be offset by an elevated air speed due to the fan-generated cooling effect (Arens et al., 1998;  
1144 Schiavon & Melikov, 2009; Tanabe et al., 1993). The increased air movement is perceived as  
1145 pleasant and is aligned with the physiological principle of alliesthesia (Cabanac, 1971; Parkinson  
1146 & de Dear, 2015). The main advantage of this solution is that the energy used to increase air speed  
1147 is much lower than the energy used to lower the temperature while maintaining an equivalent  
1148 thermal comfort condition (Hoyt et al., 2015; Rim et al., 2015; Schiavon & Melikov, 2008). It may  
1149 also potentially provide better air quality (Pantelic et al., 2020). In addition, this solution can be  
1150 easily adapted to different ventilation types (i.e., air-conditioning, natural ventilation or mixed  
1151 mode) in both new and existing buildings. Evidence from the literature suggested occupants were  
1152 thermally more satisfied in a condition of higher indoor air temperature (e.g. 26 °C) with fans than  
1153 a condition of lower air temperature (e.g. 23 °C) without fan, in both a climatic chamber  
1154 experiment (Schiavon et al., 2017) and a field study (Lipczynska et al., 2018).

1155 Despite the energy saving benefits and increased occupant satisfaction, we find that the  
1156 implementation of this higher temperature cooling with elevated air movement strategy is not  
1157 common in commercial buildings, while it is in residential buildings. Possible barriers could relate  
1158 to air-conditioning being perceived to be of a higher quality than fans (Chappells & Shove, 2005;  
1159 Lorch & Cole, 2003), the aesthetic concerns related to having an object spinning in the space, the  
1160 reduced effectiveness of convection for occupants with formal office dress (e.g. long sleeve and  
1161 trousers) (Holmér et al., 1999) the lack of open source guidelines to inform adequate elevated  
1162 airspeed system design, and operation and maintenance concerns (noise, dust and wobbling)  
1163 (Present et al., 2019). To address the benefit of fan usage, more research regarding elevated  
1164 airspeed cooling strategies in different building types and climate zones are needed to demonstrate  
1165 their efficacy with respect to energy efficiency and indoor thermal comfort improvement. In  
1166 addition, practical guidelines should be developed to encourage system deployment in actual  
1167 buildings and facilitate building practitioners' needs.

### 1168 **5.3 Addressing sensitivity and adaptive capacity: Governance, policy, and citizen** 1169 **engagement**

1170 The wide suite of impacts of overheating on urban systems, as well as the array of tools  
1171 and solutions for understanding and reducing adverse impacts, raises important questions related  
1172 to governance and community engagement. Among them: Which actors and institutions are  
1173 responsible for the governance of urban overheating? How do they interact with each other, and  
1174 with the public at large? What is the contemporary state of urban overheating governance, and  
1175 what may be in store for the future?

1176 Conceptually, governance of urban overheating can be framed as an extension of—or perhaps even  
1177 an explicit component of—climate change governance more broadly defined (Fröhlich &  
1178 Knieling, 2013). In the case of urban overheating, the drivers and impacts of climate change occur  
1179 at local and regional scales, rather than global, which alters the magnitude of collective action  
1180 challenges posed for global climate change mitigation and adaptation (Georgescu, 2015;  
1181 Georgescu et al., 2014; Jay et al., 2021). However, many other governance challenges for urban  
1182 overheating closely parallel those framed for global climate change, including those related to  
1183 geographic scale and boundaries, participation and needs of a wide range of sectors and

1184 stakeholders, time horizons for decision-making, and uncertainty (Fröhlich & Knieling, 2013).  
1185 Urban overheating governance can also be framed as an aspect of climate adaptation, for which a  
1186 rich suite of definitions, conceptual models, and theories have been proposed (Keith et al., 2021;  
1187 Moser & Ekstrom, 2010).

1188 Within climate adaptation literature, scholars are increasingly examining barriers to effective  
1189 adaptation. Among the barriers particularly relevant to urban heating are those related to authority,  
1190 responsibility, agreement, resources, and path dependency (following (Moser & Ekstrom, 2010)).  
1191 While public sector leaders are in many cases detecting problems related to urban overheating, and  
1192 indicating that those problems are crossing thresholds for concern and response needs, tackling  
1193 urban overheating remains a relatively new challenge for traditional governance actors. As such,  
1194 ambiguity regarding responsibility and accountability structures, access to financial, human, and  
1195 regulatory resources, and a legacy of institutional non-attention to problems associated with urban  
1196 overheating, are hindrances to successful implementation that many actors have yet to overcome  
1197 (Keith et al., 2019). While preferred models for urban overheating governance have not yet been  
1198 clearly articulated, it is clear that any contemporary models are relatively immature compared with  
1199 those established for other chronic environmental hazards, including air pollution (e.g., strong  
1200 national to local regulatory structures, financial incentives, and explicitly named responsible  
1201 governance institutions) (Keith et al., 2021), and noise (e.g., local regulatory structures, workplace  
1202 protections).

1203 Contemporary examples of urban overheating governance reflect attention to two key impact  
1204 domains —health and energy. At the international scale, the World Health Organization and World  
1205 Meteorological Organization have collaboratively authored guidance for implementation of heat-  
1206 health warning systems, which aim to lessen the public health burden of heat events even beyond  
1207 the urban context (McGregor et al., 2015). There is widespread evidence of local implementation  
1208 of such systems (Casanueva et al., 2019; Hajat, Sheridan, et al., 2010; Hess & Ebi, 2016). National  
1209 governments and non-governmental organizations have also offered a wide range of guidance  
1210 documents and technical assistance related to management of various aspects of urban overheating,  
1211 including implementation of urban heat countermeasures and health-protective resources (as  
1212 detailed in several use cases compiled by (Global Heat Health Information Network, 2020)). At  
1213 the local scale, some jurisdictions have produced different types of planning documents and  
1214 strategies for tackling aspects of urban overheating, and in some cases these documents are  
1215 approved by a local commission or council, with varying degrees of regulatory authority (e.g.,  
1216 (Ahmedabad Heat Action Plan, 2016; The Nature Conservancy, n.d.). In other cases, regulations  
1217 and ordinances related to urban overheating appear in a more ad hoc nature in local policy, and  
1218 elsewhere, measures related to urban overheating are included as components of broader plans,  
1219 including general plans, sustainability plans, and/or resilience plans (Gabbe et al., 2021). Yet it is  
1220 also clear in examination of local efforts to govern urban overheating that tensions and barriers  
1221 arise that are consistent with those identified in the climate change governance and adaptation  
1222 literature. Among them, (Mees et al., 2015) and (Guyer et al., 2019) report disagreement and  
1223 ambiguity in practitioners' understanding of their roles and responsibilities with respect to urban  
1224 climate governance. (Mahlkow et al., 2016) suggest challenges with respect to authority of urban  
1225 development in the context of urban overheating and the ability of governance actors to influence  
1226 those processes. (Birkmann et al., 2010) further posit that these tensions and barriers may be  
1227 particularly impactful in the context of developing countries, where rapid population and  
1228 infrastructure growth create even greater challenges for coordinated and comprehensive  
1229 governance.

1230 While literature continues to accumulate related to how urban overheating governance is  
1231 functioning today, there are many examples of historical analyses, modeling studies, and visioning  
1232 and scenario exercises from which recommendations can be drawn regarding how urban  
1233 overheating governance could evolve in the future. There is now relatively widespread  
1234 acknowledgement that urban overheating is another lens by which inequities in urban systems are  
1235 revealed. Governance actors must recognize that contemporary conditions are products of legacies  
1236 of planning and investment that did not sufficiently prepare cities for challenges they currently  
1237 face with respect to urban overheating, especially for historically marginalized communities  
1238 (Grineski et al., 2015; Harlan et al., 2007; Wilson, 2020). In some cases, actors working today to  
1239 reduce the challenges of urban overheating must reverse the legacy effects of intentional practices  
1240 that placed certain populations at greater risk of harm from heat and other environmental hazards  
1241 (Harlan et al., 2019; Wilson, 2020). Beyond acknowledging and reducing the total and inequitable  
1242 distribution of harms associated with urban overheating, public leaders are also challenged to  
1243 improve engagement strategies in the pursuit of participatory justice (Baldwin, 2020; Chu &  
1244 Cannon, 2021). Residents who have been excluded from decision-making processes in the past  
1245 can and should meaningfully contribute to the planning and implementation of urban overheating  
1246 solutions moving forward, bringing critical domain expertise from their lived experience  
1247 (Guardaro et al., 2020; Marschütz et al., 2020). Scenario planning and visioning workshops have  
1248 shown promise as a tool for both engagement and shaping governance strategies related to the  
1249 future of urban climates (Iwaniec et al., 2020). Participation of the private sector and private  
1250 landowners in the implementation of urban overheating countermeasures will be critical, owing to  
1251 the relatively limited spatial extent of land owned by governmental agencies in many urban  
1252 settings. Public-private partnerships, financing and incentive mechanisms, and other tools that  
1253 accelerate collaboration may all accelerate the timeline for realizing solutions to urban  
1254 overheating. The role of technology, specifically concerning ubiquitous sensing and Internet-of-  
1255 Things connectivity will need to be carefully balanced (Sec. 2.3). Governance actors can benefit  
1256 from access to increasingly precise data about urban climates and urban systems that influence and  
1257 are influenced by the urban climate (Hamstead et al., 2020; Hondula et al., 2015; Y. Yin et al.,  
1258 2020), but widespread sensing raises potential social and legal challenges concerning privacy and  
1259 security, institutionalization of bias, and more. Given the complexities and interrelationships of  
1260 the challenges associated with urban overheating, adaptive governance may be the most promising  
1261 model for localities to adopt as they move forward. Adaptive governance embraces principles of  
1262 iteration, flexibility, and learning, and has been advocated as an appropriate model in the context  
1263 of urban heat (Hess et al., 2012) and other urban environmental domains including ecology (O.  
1264 Green et al., 2016) and water (Bettini et al., 2013; Larson et al., 2015). Finally, as jurisdictions  
1265 continue to evolve their approaches to governing urban overheating, we encourage attention to the  
1266 “five Ws” for urban resilience posed by (Meerow & Newell, 2019). Efforts to address urban  
1267 overheating cannot be detached from the underlying socio-political structures and processes that  
1268 shape cities. As such, all involved in efforts to address urban overheating must consider for whom,  
1269 what, when, where, and why those efforts are being directed.

## 1270 **6 Conclusions and key ways forward**

1271 We provide the first integrated outlook for characterizing, evaluating, and addressing  
1272 overheating in existing and future cities. We discuss how overheating exposure is characterized  
1273 using different observational and numerical methodologies across different scales (ranging from  
1274 human to street and city scales). At the human scale, we then detail several physiological and  
1275 psychological pathways that lead to individual sensitivities to overheating, as well as adaptive

1276 capacities that can be promoted to reduce sensitivity or exposure. At the population level, the key  
1277 impacts of overheating on health and urban energy are documented for vulnerable groups. Lastly,  
1278 we discuss state-of-the-art methodologies as well as future approaches and solutions in urban  
1279 planning and governance that aim to address this multi-faceted challenge by mitigating exposure,  
1280 reducing sensitivity, and increasing adaptive capacities at the individual and city levels.

1281 Key priorities to better assess overheating impacts as well as potential solutions can be condensed  
1282 into seven multidisciplinary **research directions**:

1283 1. **Develop a new paradigm for heat exposure characterization:** More comprehensive  
1284 characterization of heat exposure in cities is an ongoing focus in research. While both  
1285 measurements and modeling practices need to quantify overheating at higher spatial and  
1286 temporal resolutions, it is critical that exposure is better characterized focused on where people  
1287 are located, encompassing more diverse and targeted indoor and outdoor spaces. Additionally,  
1288 metrics and indicators that fully characterize heat exposure (including relevant meteorological  
1289 factors such as wind and radiation, as well as duration and intensity of exposure) should be  
1290 integrated into sensing and modeling of thermal environments based on fit-for-purpose  
1291 evaluations.

1292 2. **Determine adaptive capacities at the individual level to reduce exposure and sensitivity:**  
1293 Future research should provide a more expansive and inclusive knowledge of the physiological  
1294 and psychological/behavioral pathways that lead to increased sensitivity and exposure of  
1295 individuals and populations. This knowledge can then inform the evaluation of adaptive  
1296 capacities that can be afforded at the individual level to reduce either sensitivity or exposure.  
1297 Inclusive evaluations include consideration of different clusters of personal or professional  
1298 profiles (covering different professions, health conditions, and socioeconomic status) that may  
1299 be more vulnerable to heat exposure.

1300 3. **Prioritize personal heat exposure assessment over one-size-fits-all approaches:** More  
1301 human-centric assessment of heat exposure, i.e. personal heat exposure, is a key priority in  
1302 several subfields. A ‘receptor-oriented’ approach to heat is suggested, in contrast with existing  
1303 ‘source-oriented’ assessments, to quantify the heat exposure in the immediate environment of  
1304 humans as well as the impacts on human comfort, performance, well-being, and health. Future  
1305 research in personal heat exposure requires not only targeted spatial coverage in data collection  
1306 and modeling, but also better integration of knowledge and datasets that detail behavioral  
1307 patterns and individual sensitivities in response to heat.

1308 4. **Quantify the indirect health and wellbeing outcomes of overheating:** More human-centric  
1309 assessment of heat exposure permits quantification of the links between heat exposure and  
1310 indirect health and wellbeing outcomes. Empirical verification of causal links between urban  
1311 heat and residents’ behavior, their sedentariness, and heat-health impacts at the level of the  
1312 individual and the urban population at large are essential directions for future research, such  
1313 that evidence-based urban planning and policy can be more broadly effective at maintaining  
1314 and enhancing well-being in a warming urban world.

1315 5. **Develop equitable urban energy systems for human health and wellbeing:** For a more  
1316 integrated assessment of overheating and urban energy, future research should consider the  
1317 non-linear interactions between overheating and urban energy systems - involving electrical  
1318 grids, buildings, equipment, energy production (e.g., photovoltaics), and air conditioning - that  
1319 lead to reduced energy performance and energy poverty with adverse effects on heat exposure

1320 indoors. In other words, urban energy research should be framed to better support human  
1321 health, particularly in vulnerable populations, moving beyond the focus on building-level  
1322 energy computation or city-level CO<sub>2</sub> emissions.

1323 **6. Develop guidelines for heat mitigation and adaptation strategies:** In addition to the  
1324 continued development of novel materials and strategies with greater cooling potential, future  
1325 research should focus on the development of regionally- and climatically-adaptive guidelines  
1326 that optimally combine infrastructure-based heat mitigation strategies (e.g., green  
1327 infrastructure, cool materials) and heat adaptation strategies (e.g., cooling centers),  
1328 considering multi-faceted impacts of urban canopy air temperature, wind, humidity, and  
1329 radiation on buildings, pedestrians and air quality. The efficacy of these guidelines should be  
1330 evaluated in the context of contemporary and future extreme heat, and additionally with  
1331 respect to their performance in cooler seasons. Further development of infrastructure-based  
1332 approaches for evening and nighttime cooling are also important.

1333 **7. Expand time and space horizons in overheating analyses:** In many research directions  
1334 noted above, there is a need to consider global assessments of municipal-level temperatures  
1335 and extreme heat levels (beyond air temperature) under different global climate change and  
1336 urban development scenarios during the period 2030-2080. Furthermore, future research  
1337 should focus on areas with high (current and projected) urbanization in developing countries  
1338 as well as informal settlements that have traditionally been neglected in the urban climate  
1339 literature. An estimated 25% of the world's urban population live in informal settlements and  
1340 slums (UN-Habitat, 2013) with distinct urban climate characteristics, design, and sensitivity  
1341 profiles to heat that have not been documented before. This calls for urgent attention in future  
1342 research, further contributing to global environmental justice with regards to heat.

1343 Additionally, further advancements in **research tools and methods** are needed to achieve the  
1344 emerging research directions, including:

1345 **i. Evaluate and advance smart technologies for heat exposure assessments:** The emerging  
1346 IoT/ubiquitous sensing field can overcome the limitations of conventional methods to provide  
1347 real-time and high-resolution/personalized heat exposure data, but still requires more focus on  
1348 combining different sources of data (particularly on human behavior, activity, response) to  
1349 holistically quantify exposure and health outcomes. To do this, we need technological,  
1350 scientific, and societal advancements as well as open-access datasets, algorithms, and analytics  
1351 that ensure not only data quality and completeness, but also digital inclusion and privacy.

1352 **ii. Develop high fidelity climate models suitable for integrated system analyses:** Overall,  
1353 climate models should focus more on the multidisciplinary of heat exposure, integrating  
1354 existing knowledge from urban climatology, plant ecology, energy system analyses, and  
1355 behavioral modeling to better uncover synergies, co-benefits and tradeoffs in drivers of  
1356 overheating and associated adaptive responses. Furthermore, better numerical representation  
1357 of infrastructure-based heat mitigation strategies is needed to inform urban and building design  
1358 in practice. Finally, simulation studies should make increased efforts to quantify uncertainties  
1359 in projected overheating and heat mitigation effectiveness.

1360 Furthermore, we summarize existing **priorities for policymakers, planners, and government**  
1361 **managers**, such that we address, mitigate, or adapt to overheating challenges in current and future  
1362 cities:

- 1363 a. **Implement strategies for climate change mitigation:** It is critical that we continue to reduce  
1364 greenhouse gas emissions (from transportation, building, and other sectors), plant trees, and  
1365 undertake related climate mitigation strategies locally and abroad, to help reduce long-term  
1366 global climate warming and the intensity, frequency, and duration of future extreme heat  
1367 events.
- 1368 b. **Implement strategies to cool the built environment:** In addition to large-scale climate  
1369 change mitigation strategies, implementing street- to city-scale cooling strategies (including  
1370 green and blue infrastructure and advanced materials) in harmony with local climate and  
1371 resources are critical for mitigating the intensity of urban overheating, particularly in ways  
1372 that target heat where vulnerable populations reside and work and that are developed  
1373 collaboratively with local residents.
- 1374 c. **Provide behavioral options for reducing exposure:** Adaptive opportunities should be  
1375 considered in urban design such that individuals can reduce their heat exposure as they go  
1376 about their lives in the city. In this context, **strategies should focus on changing the  
1377 environment to provide behavioral options for reducing heat exposure in addition to  
1378 cooling the built environment.** These options range from local design elements such as cool  
1379 furniture or green and blue infrastructures to building cool refuges for reducing the duration  
1380 of heat exposure. These strategies should be implemented in collaboration with local residents  
1381 and initially focus on neighborhoods with the highest densities of heat-vulnerable individuals.
- 1382 d. **Provide evidence-based personalized heat-health advisories:** Building on personal heat  
1383 exposure assessments, evidence-based heat-health advisories can be developed that are  
1384 suitable for identifying optimal personalized heat risk mitigation strategies for sensitive  
1385 individuals, as opposed to taking a one-size-fits-all approach. This can further lead to city-  
1386 specific early-warning and response systems for heat extremes that are supported by heat  
1387 vulnerability maps and more tailored to specific individuals.
- 1388 e. **Provide personal recommendation systems to reduce heat exposure:** Human-centric data  
1389 collection in the built environment can further promote personalized recommendation systems  
1390 to enable more adaptive capacities for individuals, i.e. avoiding the heat by different routes or  
1391 adjusting activity level to overheating intensity.
- 1392 f. **Promote and incentivize the use of sustainable heat adaptation solutions:** While  
1393 promoting cooling strategies in cities, it is also critical to overcome the barriers related to the  
1394 use of more energy-efficient and sustainable adaptation solutions, such as fans for indoor  
1395 cooling or shading for outdoor cooling. These barriers may relate to various aspects ranging  
1396 from perceived effectiveness to aesthetic concerns that can be overcome through more public  
1397 engagement and education.
- 1398 g. **Future directions for policy and governance:** Developing urban overheating governance,  
1399 in combination with climate change governance and policy across different scales, is one of  
1400 the most critical pathways for reducing negative impacts of overheating on human life. These  
1401 governance frameworks should embrace principles of iteration, flexibility, and learning, i.e.,  
1402 adaptive governance, and integrate engagement strategies in the pursuit of participatory  
1403 justice, allowing residents to bring critical domain expertise from their lived experience.  
1404 Moreover, legacy effects of practices that placed certain populations at greater risk of harm  
1405 from heat and other environmental hazards must be identified and rectified.

1406 The present work describes a multidisciplinary outlook on urban overheating research and  
 1407 application, while detailing several existing gaps that are yet to be addressed. In addition to  
 1408 knowledge gaps detailed here, it's critical to note that economic assessments of urban overheating  
 1409 (covering a holistic calculation of economic burden of impacts as well as cost-benefit analyses of  
 1410 various overheating countermeasures) are yet to be fully determined and have not been addressed  
 1411 here.

1412 Furthermore, the primary focus of this contribution has been on understanding and responding to  
 1413 overheating challenges, depicting cities as the epicentre of the developing situation. While this  
 1414 view accurately reflects contemporary and projected urban climates in the context of ongoing  
 1415 climate change and urbanization, alternative perspectives should not be overlooked. Responding  
 1416 to increasing temperatures, cities can potentially be envisioned as places of refuge from  
 1417 overheating and extreme events, where more thermally acceptable conditions can be achieved  
 1418 through climate-sensitive design and planning. Cities have the opportunity to cool built  
 1419 environments more than surrounding rural areas especially during afternoon periods when  
 1420 potential heat exposure is maximum (for instance, taking advantage of urban shading and  
 1421 ventilation that have long been embedded in traditional architecture), and in doing so, can influence  
 1422 a larger number of inhabitants due to higher population densities. Urban areas may also provide  
 1423 opportunities to host outdoor workers (for instance, in urban agriculture) that can benefit from  
 1424 cooling mitigation and adaptation strategies otherwise not afforded in non-urban areas.  
 1425 Accordingly, further research and implementation measures are needed to assess the opportunities  
 1426 embedded in cities to expose fewer people to projected overheating and climate extremes.

1427

## 1428 **Data Availability Statement**

1429 No dataset was used to prepare this manuscript.

1430

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