

1 **Upper plate response to a sequential elastic rebound and slab acceleration during**
2 **laboratory-scale subduction megathrust earthquakes**

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10 **Key Points:**

- 11 • Seismotectonic scale models provide high-resolution observations to study the surface
12 deformation signals from shallow megathrust earthquakes
- 13 • Surface displacement time-series suggest a sequential elastic rebound of the upper plate
14 and slab during great subduction megathrust earthquakes
- 15 • Slip reversal may be caused by rapid restoration of the upper plate after overshooting and
16 amplified upper plate motion
17

18 Abstract

19 An earthquake-induced stress drop on a megathrust instigates different responses on the upper
20 plate and slab. We mimic homogenous and heterogeneous megathrust interfaces at the laboratory
21 scale to monitor the strain relaxation on two elastically bi-material plates by establishing analog
22 velocity weakening and neutral materials. A sequential elastic rebound follows the coseismic
23 shear-stress drop in our elastoplastic-frictional models: a fast rebound of the upper plate and the
24 delayed and smaller rebound on the elastic belt (model slab). A combination of the rebound of the
25 slab and the rapid relaxation (i.e., elastic restoration) of the upper plate after an elastic overshooting
26 may accelerate the relocking of the megathrust. This acceleration triggers/antedates the failure of
27 a nearby asperity and enhances the early slip reversal in the rupture area. Hence, the trench-normal
28 landward displacement in the upper plate may reach a significant amount of the entire interseismic
29 slip reversal and speeds up the stress build-up on the upper plate backthrust that emerges self-
30 consistently at the downdip end of the seismogenic zones. Moreover, the backthrust switches its
31 kinematic mode from a normal to reverse mechanism during the coseismic and postseismic stages,
32 reflecting the sense of shear on the interface.

33

34 Plain Language Summary

35 Subduction zones, where one tectonic plate slides underneath the other, host the largest
36 earthquakes on earth. Two plates with different physical properties define the upper and lower
37 plates in the subduction zones. A frictional interaction at the interface between these plates
38 prevents them from sliding and builds up elastic strain energy until the stress exceeds their strength
39 and releases accumulated energy as an earthquake. The source of the earthquake is located
40 offshore; hence illuminating the plates' reactions to the earthquakes is not as straightforward as
41 the earthquakes that occur inland. Here we mimic the subduction zone at the scale of an analog
42 model in the laboratory to generate analog earthquakes and carefully monitor our simplified model
43 by employing a high-resolution monitoring technique. We evaluate the models to examine the
44 feedback relationship between upper and lower plates during and shortly after the earthquakes. We
45 demonstrate that the plates respond differently and sequentially to the elastic strain release: a
46 seaward-landward motion of the upper plate and an acceleration in the lower plate sliding

47 underneath the upper plate. Our results suggest that these responses may trigger another earthquake
48 in the nearby region and speed up the stress build-up on other faults.

49 **1 Introduction**

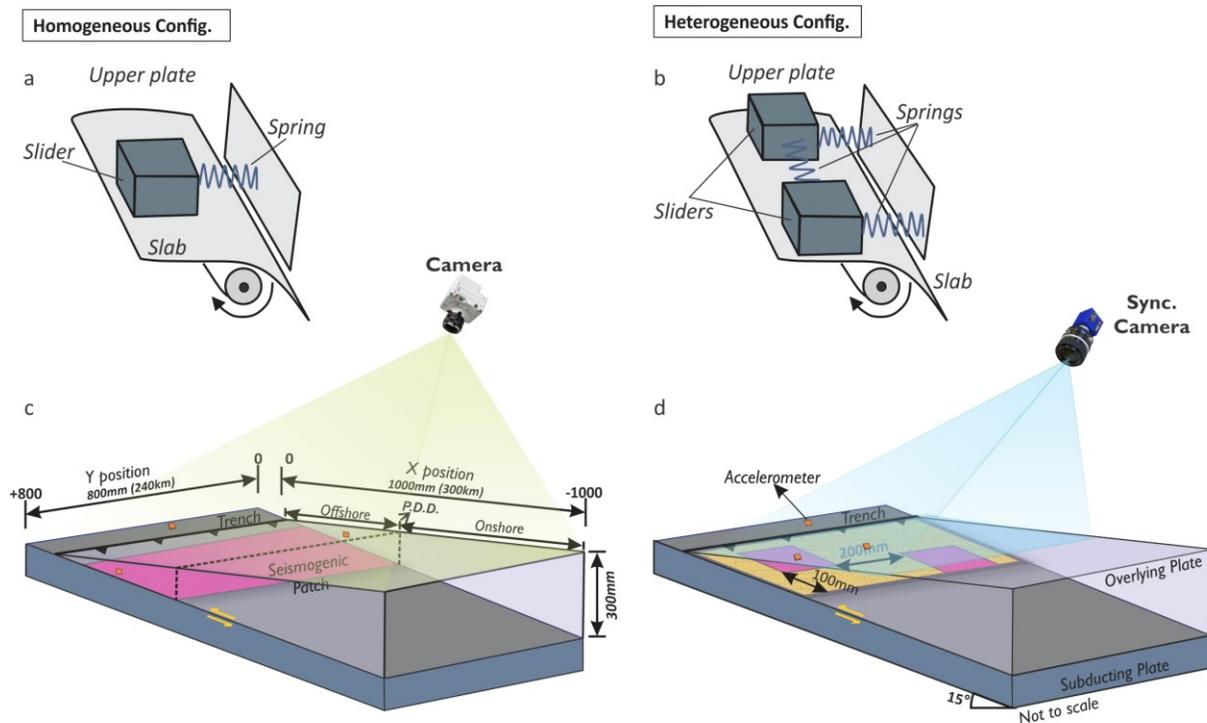
50 Large megathrust earthquakes (i.e., slip) cause a shear stress drop on the subduction interface that
51 drives the subduction system from a quasi-steady state interseismic loading stage (i.e., stick) to a
52 temporarily non-stationary (i.e., transient) relaxation mode. Although the static coseismic and
53 interseismic surface deformation of subduction megathrust has been analyzed in much detail (e.g.,
54 Chlieh et al., 2008; Loveless & Meade, 2011; Moreno et al., 2010; Schmalzle et al., 2014; Simons
55 et al., 2011), the motion of the upper plate caused by the transition from coseismic to quasi-static
56 interseismic deformation has received somewhat less attention (Bedford et al., 2020). The spatial
57 and temporal resolution of the near-source observations is the main challenge of dynamic
58 instability analysis (Kosari et al., 2020). The transition from coseismic phase to postseismic phase
59 involves different mechanisms over the shallow (mainly offshore: up to 30 km) and deep (onshore:
60 30-90 km) parts of the subduction interface, which are rheologically dominated by elastoplastic
61 (lithosphere) and viscoelastic (asthenosphere) behavior, respectively (e.g., Wang *et al.*, 2012;
62 Weiss *et al.*, 2019). To date, several postseismic processes have been identified that can be seismic
63 and aseismic, namely (1.) afterslip along the megathrust (e.g., Hsu *et al.*, 2006; Bedford *et al.*,
64 2013; Hoffmann *et al.*, 2018), (2.) viscoelastic relaxation of the lower crust and mantle of both
65 slab and upper plate (e.g., Sun et al., 2014; Li et al., 2015) and (3.) crustal faulting in the upper
66 plate (extensional), accretionary wedge (compressional), and shallow slab (extensional) (e.g., Kato
67 et al., 2011; Hicks and Rietbrock., 2015; Hoskins *et al.*, 2021). All these non-stationary
68 mechanisms are triggered from coseismic stress changes (i.e., shear stress changes along the fault)
69 on the interface; hence, the pattern of the stress changes and its magnitude and, on the other hand,
70 the dynamics of the slip are the main controlling factors.

71 Only a handful of megathrust earthquakes are relatively densely monitored. In many of these cases,
72 the early postseismic surface displacement above the ruptured asperity, which is remotely offshore,
73 exhibits intriguing signals that are interpreted differently (e.g., Bedford et al., 2016; Heki & Mitsui,
74 2013; Tomita et al., 2017; Watanabe et al., 2014). While the postseismic viscoelastic surface signal
75 from the relaxing asthenosphere appears with a characteristic long-term pattern and large-scale

76 wavelength (far-field, hundreds of kilometers scale) (e.g., Luo & Wang, 2021; Sun & Wang, 2015;
77 Wang et al., 2012), the postseismic elastic-frictional processes (i.e., relocking and afterslip) show
78 relatively steep temporal gradients (i.e., fast changes) and short-wavelength (tens of kilometers
79 scale) surface signals. The short-wavelength postseismic signals, typically manifested in sustained
80 surface seaward motion, interfere in the near-field with the presumably steadier interseismic re-
81 loading process that has a reverse kinematic sense (i.e., landward surface displacement in the upper
82 plate). Such interference causes surface displacement above the ruptured patch and nearby regions
83 to be characterized by short time and short distance changes in amplitude and direction, often
84 causing local shear and vertical axis rotations in the surface displacement observations (e.g.,
85 Loveless, 2017; Melnick *et al.*, 2017; Yuzariyadi and Heki, 2021). . Moreover, it is not fully
86 evident how the fast dynamic processes, i.e., changes in the rupture propagation direction,
87 contributes to these surface displacement “enigmatic patterns” in the upper plate during the
88 coseismic and early postseismic stages (Ide et al., 2011). Such patterns above the seismogenic
89 portion of the interface in the upper plate are notoriously difficult to interpret mainly due to the
90 limited observation resolutions (temporal and spatial), and discourse is rising about their relevance
91 for seismic hazards. Unfolding the upper plate displacement over coseismic and early postseismic
92 stages can straighten out the mainly frictional processes of the shallow (seismogenic portion)
93 interface.

94 To study how the elastoplastic-frictional signals contribute to this intricate upper plate surface
95 displacement, we here idealize a subduction megathrust system highlighting the potential
96 variability of surface deformation signals over coseismic and early postseismic phases in
97 subduction megathrusts. This study aims to address the sequential upper plate and slab
98 elastoplastic-frictional response during the coseismic shear-stress drop and its early postseismic
99 stage in a subduction megathrust system by employing a series of carefully monitored analog
100 modeling experiments. Seismotectonic Scale Modeling can examine elastic and permanent
101 deformation and investigate the interplay between short-term and long-term deformation signals
102 in 3-D (Kosari et al., 2022a; Rosenau et al., 2009). To examine the short-term feedback relationship
103 between the upper plate and the slab, we explore two generic seismotectonic models representing
104 seismically homogeneous and heterogenous subduction megathrust systems and capture the

105 model's surface displacements by employing a high resolution and high speed "laboratory
106 seismogeodetic" method.



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108

109 **Figure 1.** Scheme of the seismotectonic scale model's geometry and configuration: *a* and *b* demonstrate
110 our conceptual systems of coupled spring sliders as depicted by Ruff and Tichelaar, (1996). *b* and *c*
111 represent homogenous and heterogeneous configurations, respectively. The yellow (matrix) and magenta
112 (main slip patch) rectangles demonstrate the seismogenic patches which generate repeating earthquake
113 and megathrust events, respectively. P.D.D. represents the projection of the down-dip limit of the
114 seismogenic patch on the model surface. The small orange rectangles show the different configurations of
115 accelerometers. The frictional behavior of both velocity weakening materials used in the matrix and main
116 slip patch is shown in Figure 2.

117 2 Methodology: Seismotectonic scale modeling

118 Seismotectonic scale models have been established to generate physically self-consistent analog
119 megathrust earthquake ruptures and seismic cycles at the laboratory scale (Rosenau et al., 2009;
120 2017, and references therein). They have been used to study the interplay between short-term

121 elastic (seismic) and long-term permanent deformation (Rosenau & Oncken, 2009), slip
122 variability (Rosenau et al., 2010), earthquake recurrence behavior and predictability (Corbi et al.,
123 2020; 2019; 2017; Rosenau et al., 2019), the linkage between offshore geodetic coverage and
124 coseismic slip model (Kosari et al., 2020) and to illuminate details of the seismic cycle (Caniven
125 & Dominguez, 2021). Analog models are downscaled from nature for the dimensions of mass,
126 length, and time to maintain geometric, kinematic, and dynamic similarity by applying a set of
127 dimensionless numbers (King Hubbert, 1937; Rosenau et al., 2009; 2017). The models generate a
128 sequence of tens to hundreds of analog megathrust earthquake cycles, allowing the analysis of the
129 corresponding surface displacement from dynamic coseismic (e.g., Movi S2) to quasi-static
130 interseismic in which inertial effects are negligible due to the slow deformation rates.

131 2.1 Experimental setup and material behavior

132 2.1.1 Model scaling and similarity

133 The small-scale laboratory models should share geometric, kinematic, and dynamic similarities
134 with their prototype to be representative of a natural system as all lengths, time, and forces scale
135 down from the prototype in a consistent way dictated by scaling laws (King Hubbert, 1937).
136 According to Rosenau et al. (2009), we consider different timescales for coseismic and
137 interseismic deformation phases. They introduced a “dyadic” timescale that recognizes two
138 dynamically distinct regimes of the seismic cycle: the quasi-static interseismic regime, where
139 inertial effects are negligible due to the slow deformation rates, and the dynamic coseismic regime,
140 which is controlled by inertial effects. This allows us to slow down the earthquake rupture and
141 speed up the loading phase, keeping dynamic similarity in both stages (Table S1).

142 In the quasi-static regime of the inter-seismic phase, scaling is identical to the typical scaling of
143 long-term processes to the lab (Table S1). For long-term tectonic studies involving materials that
144 deform brittle or viscous material, two dimensionless numbers, the Smoluchowski and Ramberg
145 (Ramberg, 1967) numbers, are of interest according to the deformation regime. For a short-term
146 time (i.e., coseismic and postseismic stages), Froude scaling is used to reach dynamic similarity
147 (Rosenau et al., 2009). The model parameters without a dimension should be preserved, e.g.,
148 Poisson’s ratio ν , the friction coefficient, and the friction rate and state parameters. An exception
149 to this general scale in-dependence of dimensionless parameters is the moment magnitude M_w ,
150 which is related to the seismic moment (unit Nm) but is defined as being dimensionless. Here, we

151 scale up analog earthquake moment magnitude non-linearly by applying the scale factor of seismic
152 moment (Rosenau et al., 2017). Typically, magnitudes of analog earthquakes are in the range of
153 -6 to -7 , which correspond to earthquakes of $M_w = 8-9$ in nature.

154

155 2.1.2 Model geometry and configuration of seismogenic zone

156 In the presented 3-D experimental setup modified from Rosenau *et al.* (2019) and introduced in
157 Kosari *et al.* (2020, 2022a), an ocean-continent subduction forearc model is set up in a glass-sided
158 box (1,000 mm across strike, 800 mm along strike, and maximal 300 mm deep) with a 15° dipping,
159 elastic basal rubber conveyor belt hereafter “model slab”) driven at a constant rate by a DC motor
160 via lateral rollers., normal to a rigid backwall. A flat-topped velocity neutral wedge made of an
161 elastoplastic sand-rubber mixture (50 vol.% quartz sand G12: 50 vol.% EPDM-rubber) is sieved
162 into the setup representing a 240 km long forearc segment from the trench to the volcanic arc
163 (Figures 1).

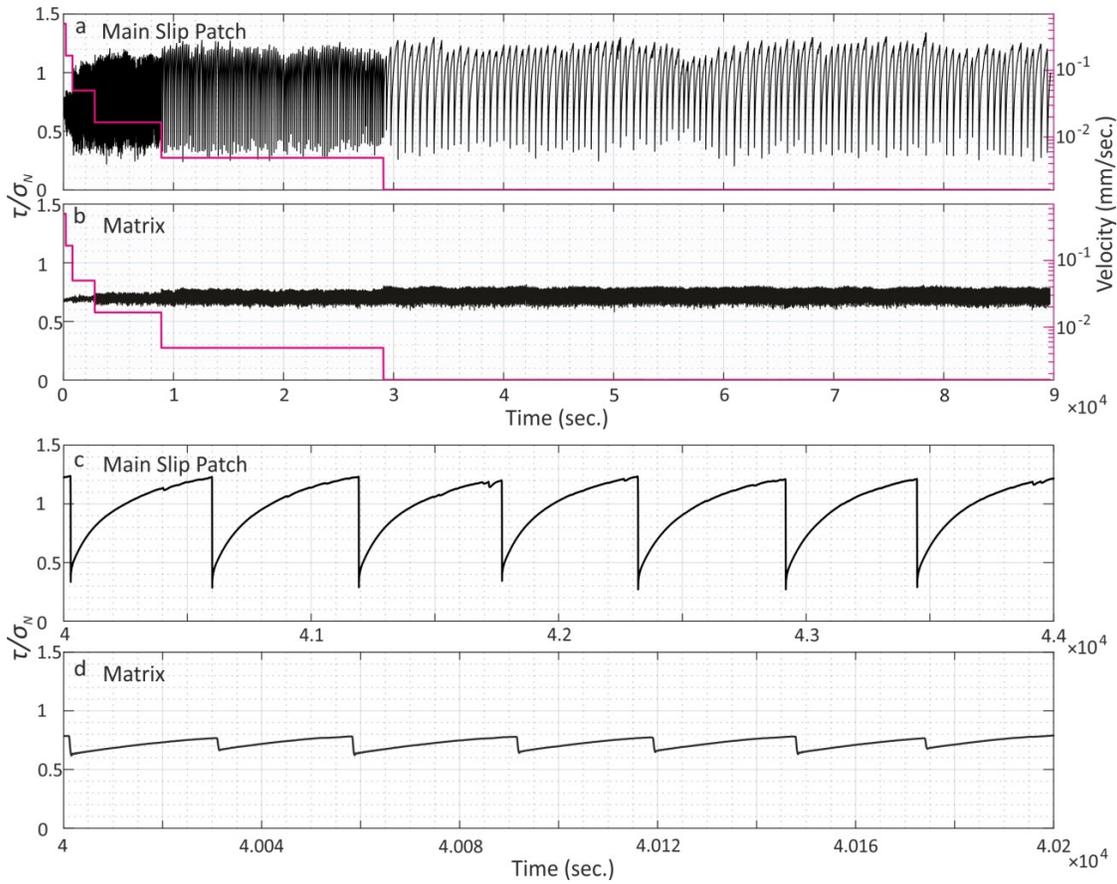
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165 Before implementing the seismogenic zone in our seismotectonic model, we measure the rate-
166 dependent material properties by the ring-shear tester RST-01.pc (Schulze, 1994). To estimate the
167 friction rate parameter (a-b), the velocity stepping tests (VST; e.g., Pohlentz et al., 2020) in the
168 RST carried out under constant normal load simulating coseismic and interseismic shear-stress
169 drop and increases (Figure 2). At the base of the wedge, zones of velocity weakening controlled
170 by granular stick-slip (“seismic” behavior) are realized by emplacing compartments of either
171 sticky-rice (“main slip patches”) or fine-grained salt (“matrix”), which generate quasi-periodic
172 large and small slip instabilities, respectively (Figures 1 and 2), mimicking megathrust earthquakes
173 of different size and frequency. The VST demonstrates that large stick-slip instabilities in the main
174 slip patch(es) (MSP) are almost complete (Figure 2c) and recur at low frequency (recurrence of
175 the slip events: ~ 0.2 Hz), while those in the matrix (Figure 2d) are partial ($< 10\%$) and at high
176 frequency (~ 4 Hz) at a prescribed constant normal load. This bimodal behavior is intended to
177 mimic rare great (M8-9) earthquakes versus small frequent repeating events (e.g., Uchida and
178 Bürgmann, 2019; Chaves *et al.*, 2020) in a creeping environment akin to established concepts of
179 the shallow subduction megathrust (e.g., Bilek and Lay, 2002). Note, however, that the quasi-

180 periodic recurrence of the small (scaling to M7-8) events might be an oversimplification,
181 neglecting variability in this parameter in nature. In subduction megathrust, a rigid (oceanic) slab
182 subducts beneath a wedge and forms a bi-material with a strong contrast megathrust interface.
183 This contrasting results in different responses (e.g., strength drop) in the upper and lower plates
184 coseismically (e.g., Ma & Beroza, 2008). In our model, the model elastic belt is stiffer than the
185 wedge by a factor of 2-5. The wedge itself and the conveyor belt respond mainly elastically to
186 these basal slip events, similar to crustal rebound during natural subduction megathrust
187 earthquakes. Over the course of the experiment, the experiments evolve from an initially “aseismic
188 stage” to a “seismic” steady-state (Kosari et al., 2022a; Rosenau et al., 2019). We select only the
189 analog events from the seismically steady-state stage for our analysis. Upper plate faults (in our
190 case, a single backthrust fault) gradually emerge self-consistently down-dip and up-dip of the main
191 slip patches and accommodate plastic upper plate shortening over seismic cycles, as documented
192 in earlier studies (Kosari et al., 2020, 2022a; Rosenau et al., 2009, 2010, 2019; Rosenau & Oncken,
193 2009).

194 Two different seismic configurations of the shallow part of the wedge base (the megathrust)
195 represent the depth extent of the seismogenic zone in nature. In the first configuration, hereafter
196 named “homogeneous configuration”, a single large rectangular stick-slip patch
197 (Width*Length=200*800 mm) is implemented as the main slip patch (MSP). This setup represents
198 a system of a homogeneous seismogenic zone with temperature-controlled depth range and no
199 variation along strike generating M9 type megathrust events such that the events rupture the stick-
200 slip patch laterally uniformly. In the second case, hereafter named “heterogeneous configuration”,
201 two square-shaped MSPs (200*200mm) have been emplaced, acting as two medium-size
202 seismogenic asperities (or discrete asperities (Herman & Govers, 2020)) generating M8-9 type
203 events similar to, for example, the 2010 Maule (Chile) earthquake (Moreno et al., 2010). These
204 two patches are at a center-to-center distance of 400mm and 100mm in trench-parallel and trench-
205 normal directions, respectively, while surrounded by a salt matrix hosting frequent small events
206 (Figures 1 and 2). To minimize the effect of boundary conditions, these MSPs are placed at a

207 reasonable distance (100mm), which is established experimentally (i.e., pilot experiments), from
 208 the sidewalls.



209

210 **Figure 2.** Shear stress time-series measured in a ring-shear tester during velocity stepping tests under
 211 constant normal load (2000 Pa). Stick-slip behavior simulates “seismic cycles” with coseismic and
 212 interseismic stress drop (analog earthquakes) and increase. a and b (main slip patch in Figure 1) and
 213 magenta (matrix in Figure 1) demonstrate the seismogenic (i.e., stick-slip) patches which generate
 214 megathrust events and repeating earthquakes, respectively. c and d show seven seismic cycles from both
 215 materials. Note that the recurrence of the repeating earthquake is approximately 20 times shorter than the
 216 megathrust event. If scaling is applied to these test data, one second corresponds to 250 years, stress drops
 217 would be 10-100 MPa, and friction coefficients consistent with Byerlee friction for the interseismic (~0.6-

218 0.7) and ~ 0.2 after relocking. Note that we cannot measure friction during catastrophic failure properly in
219 this kind of test.

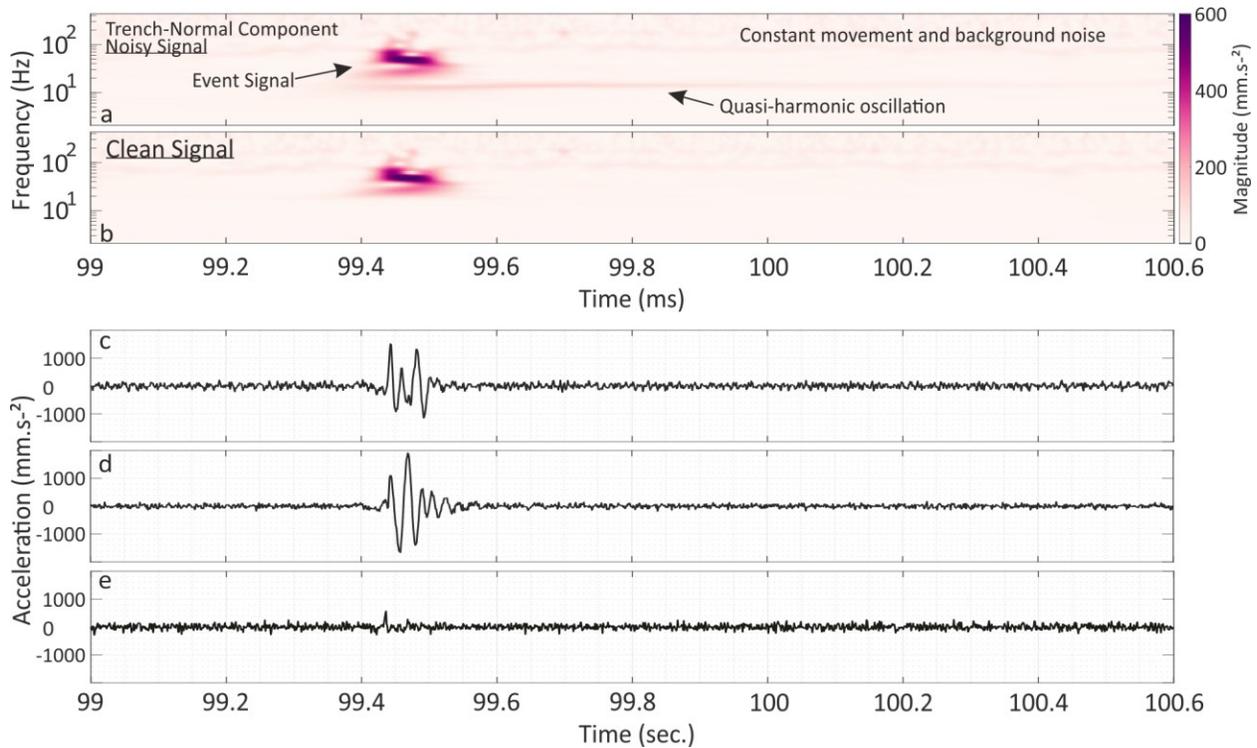
220 2.2 Experimental monitoring: Laboratory seismogeodesy

221 A combination of seismological and geodetic methods applied to laboratory-scale models allows
222 us to monitor the model's deformation at high spatial and temporal resolution and derive
223 observational data equivalent to natural observations.

224 2.2.1 Laboratory geodesy

225 To capture horizontal micrometer-scale surface displacements associated with analog earthquakes
226 at microsecond scale periods, we monitor the model surface with a highspeed CMOS
227 (Complementary Metal Oxide Semiconductor) camera (Phantom VEO 640L camera, 12 bit, 4
228 MPx) intermittently at 250 Hz (Figure 1). A complimentary high-speed camera (200 Hz) is added
229 to the monitoring system for synchronizing with the accelerometer. This synchronization allows
230 differentiating the potential quasi-harmonic oscillations caused by dynamic frictional instability
231 (i.e., coseismic) from event signals. Digital image correlation (e.g., Adam et al., 2005) has been
232 applied at high spatial resolution (~ 0.02 mm) via the DAVIS 10 software (LaVision GmbH,
233 Göttingen/DE). Data are processed to yield observational data similar to those from an ideal dense
234 and full coverage (on- and offshore) geodetic network, that is, velocities (or incremental
235 displacements) at locations on the model surface. We use an analog geodetic slip inversion
236 technique (AGSIT; Introduced in Kosari et al., 2020) to invert surface displacements for model
237 megathrust slip and backslip distribution over earthquake cycles. To tie slip/backslip in discretized
238 fault patches to the observed surface displacement vectors (derived from DIC) at individual surface
239 points, Green's functions for rectangular dislocations in an elastic half-space are computed and
240 applied, and the dip-slip vector is solved for each patch (number of observations $>$ number of fault
241 patches). This provides an estimated slip of the shear plane formed in the velocity-weakening
242 material. Although we do not consider the slip on the boundaries in our interpretations, we make
243 the fault model larger than the model slab to avoid unreasonable estimated slip. Note that although

244 all observations can be upscaled to nature using scaling laws (King Hubbert, 1937; Rosenau et al.,
 245 2009, 2017), we here report all values at the laboratory scale.



246
 247 **Figure 3.** Differentiating *Quasi-harmonic oscillation* and event-related signals. *a* and *b* represent the
 248 scalogram of the signal before and after filtering the quasi-harmonic oscillations out. *c* and *d* are the
 249 normal-trench acceleration derived from three sensors located on the wedge (*c* and *d*) and the basal rubber
 250 conveyor belt (*e*).

251 2.2.2 Laboratory seismology

252 The experiments are additionally monitored using triaxial capacitive accelerometers (MEMS:
 253 microelectromechanical systems). The sensors (disynet DA3102) can measure with a sampling
 254 frequency of 10 kHz and a measuring range from 0 to ± 2 g. The bandwidth of the sensors depends
 255 on the sensor type and axis, ranging from 500 Hz to 1500 Hz. We positioned three sensors in
 256 different configurations to cover any possible motion in the setup (Figure 1), from the coseismic
 257 surface motions to the harmonic oscillations. The sensors run at 1 kHz to avoid the aliasing effect,

258 and a highpass filter has been applied to remove the quasi-harmonic oscillations from the
259 waveform (Figure 3).

260 **3 Results: Observations and interpretations**

261 In the following, we analyze the high-resolution time-series of the surface and the model slab
262 displacements and slip along the megathrust and an emergent upper plate fault over several seismic
263 cycles. We analyze the heterogeneous model in-depth (compared to the homogeneous
264 configuration) to capture the details of the upper plate and elastic belt responses in the coseismic
265 and early-postseismic stages (Figures 4 & 7). We consider the Coulomb Failure Stress Change
266 (Δ CFS) over coseismic and early-postseismic stages and its impact on model slab velocity changes
267 (Figures 5). We calculate Δ CFS to evaluate how the coseismic stress changes may trigger the slip
268 reversal (backslip or normal faulting?) as well as how slip and backslip on the MSPs may transfer
269 stress on the upper plate fault. Subsequently, we evaluate the elastic rebound of the model slab and
270 the upper plate in response to the mainshock-induced stress changes. Finally, we explore the
271 combined effect of the stress changes and elastic rebounds on the accumulation of the horizontal
272 displacement in the upper plate and earthquake triggering (Figure 10).

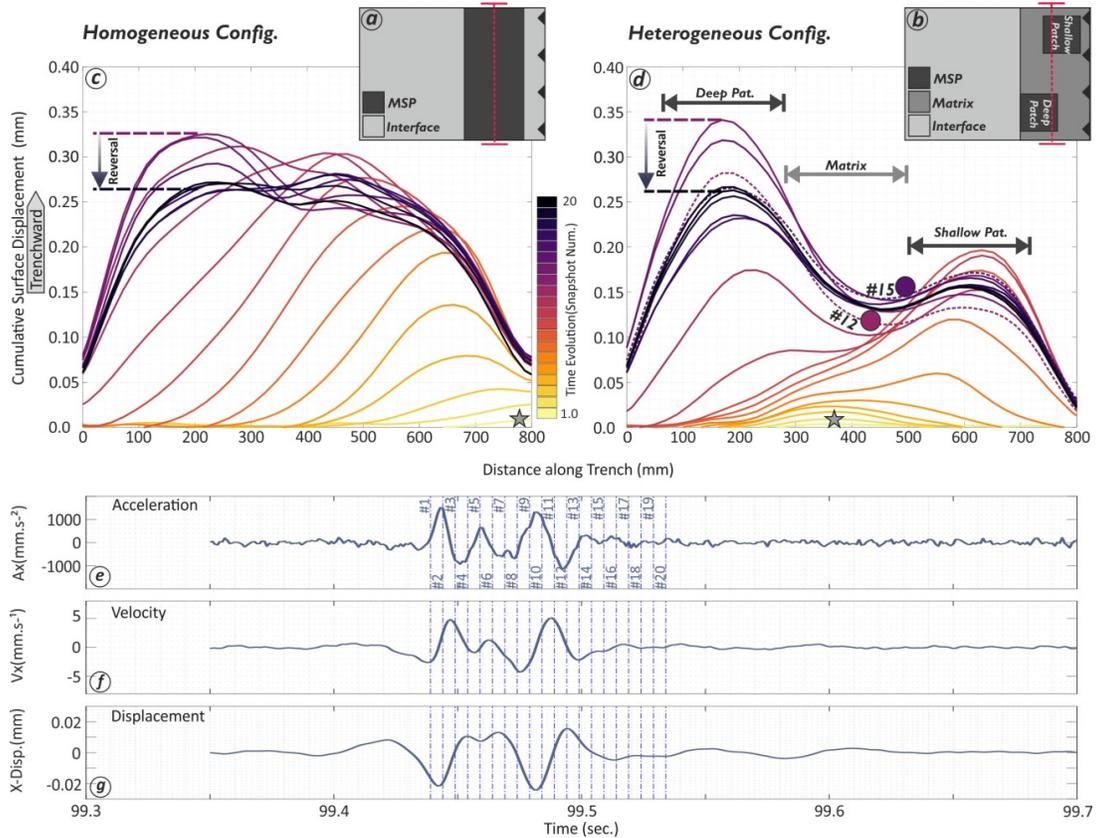
273 3.1 Kinematic observations and interpretations

274 3.1.1 Time-variable surface displacements and slip over an analog earthquake and the 275 early postseismic

276 As the recorded signals may occur at different scales, the scalogram of the synchronized
277 accelerometer has been used to differentiate coseismic surface displacement versus machine-
278 related oscillation and quasi-harmonic oscillations caused by dynamic frictional instability (Figure
279 3). The scalogram shows the absolute value of the waveforms, plotted as a function of time and
280 frequency. The high-frequency signals (>60 Hz) include the constant vibration of the machine and
281 background noise. The slip event's elastic wave frequency ranges from 20 to 60 Hz, and the lower
282 values (<20 Hz) represent the event-triggered quasi-harmonic oscillations. The oscillation is
283 removed from the signals using a highpass filter. The timing of each snapshot from the

284 synchronized camera is marked on the cleaned waveform to disregard the oscillation, and
 285 accordingly, 20 snapshots are selected to cover the coseismic and early-postseismic stages.

286 Figures 4c and d visualize the swath profiles of the cumulative surface displacements over the area
 287 above the seismogenic zone along the strike of the megathrust for both configurations (see Figures
 288 S1 & S2 for 2D surface displacement map). Figure 5a-b shows corresponding snapshots of the
 289 inverted slip along the megathrust and upper plate fault (antithetic to the megathrust) inverted from
 290 surface



291

292 **Figure 4.** Model setup and exemplary evolution of coseismic and early-postseismic surface deformation in
 293 two scenarios. a and b: Plan view of the seismotectonic scale models' configurations; Light, medium, and
 294 dark gray colors represent the "aseismically" creeping interface, a velocity weakening matrix
 295 characterized by microslips ("microseismicity"), and the main slip patch(es) (MSP) where large analog
 296 megathrust earthquake slip occurs ("seismogenic zone" or "asperity"), respectively. The red dashed lines
 297 (marked by circles) show the profiles along which the cumulative surface displacement is shown in c and
 298 d. The gray star represents the location of the initiation of the rupture. The downward vectors indicate the

299 *reduction of the cumulative trenchward surface displacement representing surface displacement reversal*
300 *during the early-postseismic stage interpreted as backslip. The corresponding surface deformation maps*
301 *derived from the synchronized camera are visualized in figures S1 and S2. The stars on the dashed lines*
302 *show the selected surface displacement snapshots for slip modeling in Figure 5. e-g show an exemplary*
303 *acceleration, velocity, and displacement of the one sensor located on the wedge (Figure 2c). The timing of*
304 *each snapshot has been marked on the waveforms.*

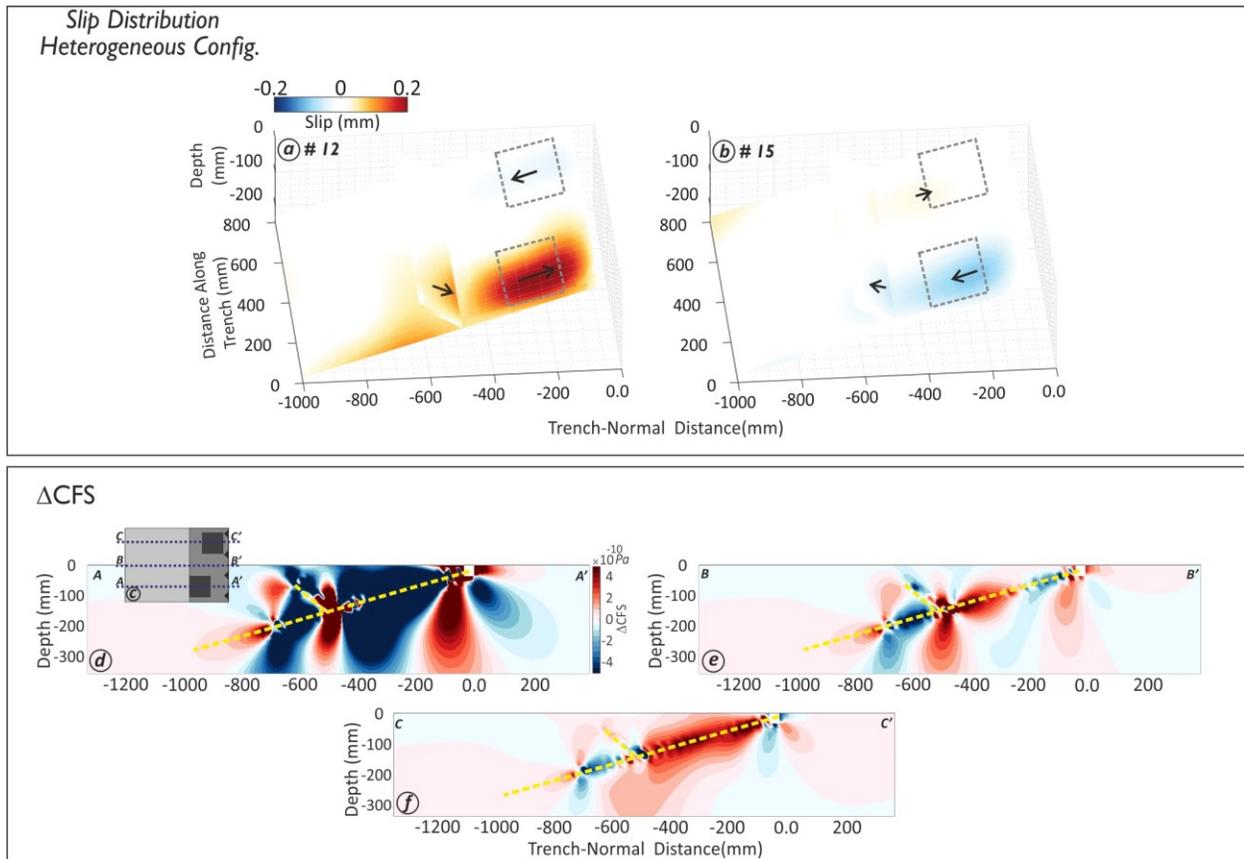
305 displacements. In the homogeneous system, the rupture initiates at the along-strike periphery of
306 the stick-slip zone, grows radially in a crack-like fashion, and then laterally propagates as a pulse
307 across the stick-slip zone (Figures 4c and S1). While the rupture arrests on the opposite side, the
308 early rupture area seems to have relocked and apparently accumulates backslip at an even higher
309 rate than the plate convergence rate. We term this kinematic observation “postseismic slip
310 reversal” as it appears as a normal faulting mechanism (blue color in Figure 5b) in its formal
311 inversion. Alternatively, the observation could also be explained by locking of the interface (no
312 slip) combined with transient model slab acceleration (i.e., slab elastic rebound) triggered
313 coseismically (see sections 4.2 and 4.3 for discussion). Whatever the source, the slip reversal is
314 short-lived and propagates along the interface as the pulse behind the rupture. At the surface, this
315 early instantaneous backslip (slip reversal) on the megathrust reduces the cumulative trenchward
316 surface displacement (Figure 4c). The lack of significant afterslip in the MSPs and the matrix
317 immediately after the coseismic stage and the landward surface displacement of the upper plate
318 suggests a nearly complete stress-drop allowing the MSP and matrix to enter the relocking phase.

319 In the heterogeneous system, the rupture nucleates in the matrix, where a small foreshock event
320 first triggers the failure of the shallow patch, followed by the failure of the deeper patch (Figures
321 4d and S2). Because of the limited along-strike dimension of the MSP, megathrust failure occurs
322 as a sequence of two discontinuous crack-like failures in contrast to the more continuous pulse-
323 like failure in the uniform model. Again, a postseismic slip reversal occurs in the shallow MSP
324 while the deep MSP is still in the process of failing (Figure 5a) and where slip reversal occurs
325 slightly later. The landward displacement of the upper plate predominantly occurs above the site

326 of the two moderate-size MSPs. In other words, the MSPs, which host large slips, undergo larger
 327 postseismic slip reversal than the matrix.

328 3.1.2 Upper plate displacement accumulation

329 In both configurations, the postseismic backslip initiates immediately following the main event on
 330 the patches. The maximum amount of the backslip-caused surface displacement could reach 30%
 331 of the maximum coseismic surface displacement. The trench-normal surface displacements of the



332 **Figure 5.** Upper panel: Slip models of the selected increments (marked in Figure 1d) in the heterogeneous
 333 system for demonstrating slip/backslip distribution in the MSPs and the antithetic upper plate fault. The
 334 vectors indicate the relative sense of slip but are not to scale. The dashed rectangles indicate the
 335 approximate location of the MSPs before shearing into trapezoids. The lower panel represents three trench-
 336 normal profiles of Coulomb failure stress changes (ΔCFS) from the slip model snapshot #12 in the
 337 heterogeneous configuration. Inset shows the location of profiles on the model surface.

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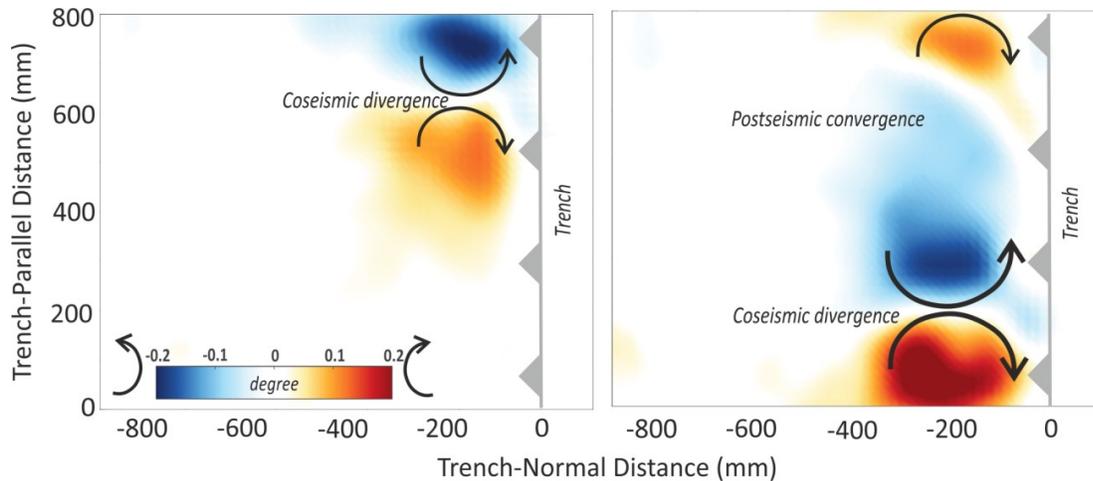
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341 coseismic, postseismic, and interseismic stages of an earthquake cycle have been visualized in
342 Figure S5. Comparing the magnitude of the cumulative surface velocities reveals that the
343 horizontal surface displacement (mostly seafloor in nature) during the early parts of the
344 postseismic stage could reach up to 20-30% of the entire interseismic backslip.

345 Backthrusts accommodating long-term permanent wedge shortening and uplift emerge in the upper
346 plate in both configurations during the model evolution. They are rooted in the down-dip limit of
347 the stick-slip patch(es), where compressive stresses peak along the plate interface during the
348 interseismic period.

349 We observe a kinematically consistent reactivation of the backthrust, i.e. as a normal fault during
350 the coseismic megathrust slip phase and as a thrust in response to backslip on the megathrust. A
351 slip ('trenchward') or backslip rearward ('landward') on the interface may re-activate the antithetic
352 fault in the upper plate with a normal (e.g., #12 in Figure 5a) and/or a reverse sense of movement
353 (e.g., #15 in Figure 5b), respectively. Following the slip distribution model (Figure 5a & b), two
354 segments of the upper plate fault may move in opposite directions. This behavior likely reflects
355 the shear sense on the MSPs. Particularly, in the upper plate fault, which in our experiments is
356 rooted in the plate interface at the down-dip end of the seismogenic zone, the sense of slip
357 (slip/backslip) on the seismogenic zone directly controls the slip mechanism of the antithetic fault.
358 Based on the antisymmetric part of the two-dimensional velocity gradient tensor, we calculate the
359 vertical axis rotation of the upper plate (Figure 6, the methodology can be found in Allmendinger
360 et al., 2007). The uniform and dense distribution of the observation points at the model surface
361 allows us to use the nearest neighbor points to calculate each point's rotation around a vertical axis.
362 In the case of coseismic trenchward displacement of the upper plate, a divergent motion in the
363 surface velocities above the rupture zone leads to a (sub-) symmetric vertical rotation while it may
364 also rotate the adjacent areas. However, there is no significant rotation above the nearby (deeper)
365 asperity. On the other hand, in the stage that the MSPs are on opposite modes (loading vs.
366 unloading), the surface velocities above the loading MSP show a convergence mode as it may
367 enhance the shortening rate in the early postseismic stage.

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Figure 6: Exemplary clockwise and anticlockwise upper plate rotation during coseismic and early postseismic stages derived from selected surface displacements increments. Their associated surface displacements (E07 and E11) are visualized in Figure S2. Note that the sense of rotation during coseismic and postseismic stages causes divergence and convergence motion above the MSPs in the upper plate.

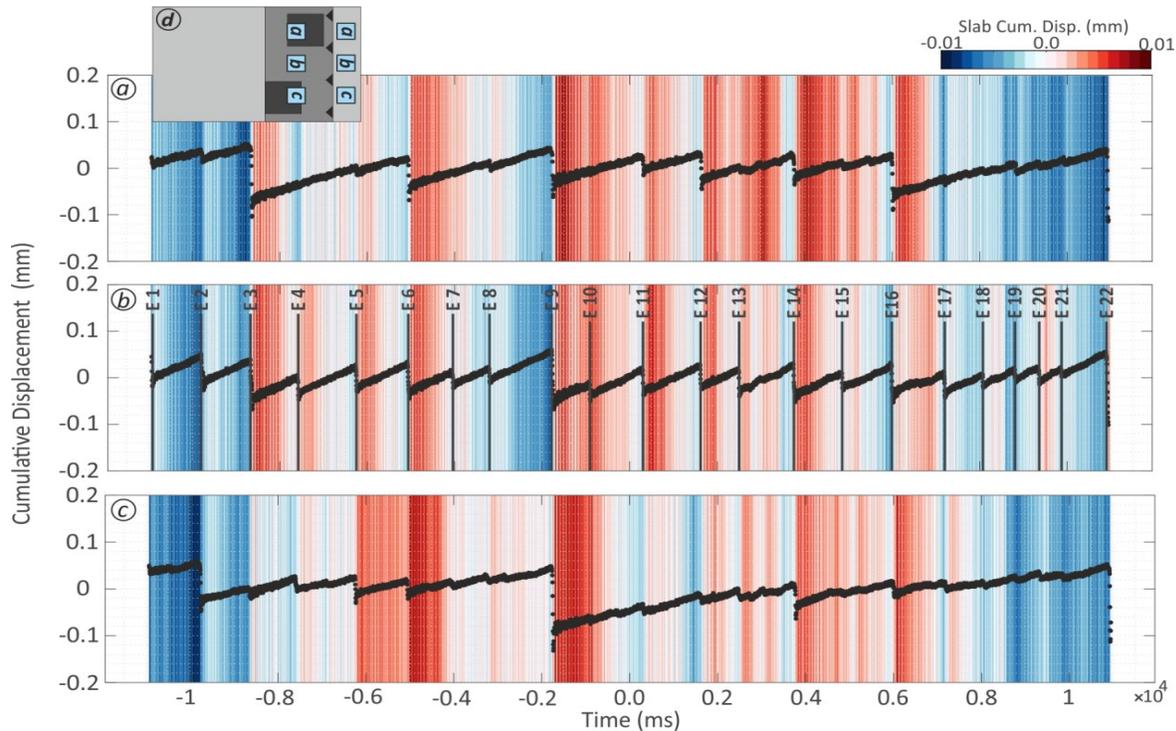
3.2 Interpretation of the dynamics: Coulomb failure stress changes

376 To constrain the triggering dynamics, we consider static stress changes in our models. Based on
377 the slip and backslip pattern documented above, we derive Coulomb failure stress changes (Δ CFS)
378 (e.g., Lin and Stein, 2004) induced by the mainshock on the megathrust and the antithetic fault to
379 get insight into zones of enhanced/decreased CFS (lower panel in Figure 5 and S3). We calculate
380 the Δ CFS for the coseismic and postseismic stages of an event for the heterogeneous system on
381 the receiver faults with the same sense and orientation as slip (thrust receiver faults in Figure 5)
382 and backslip (normal receiver faults Figure S4) on the interface. In the shallow part of the plate
383 interface (profile c-c'), a negative Δ CFS lobe is bounded by two positive Δ CFS lobes. The Δ CFS
384 is highly enhanced at the upper limit of the rupture, where the shallow part of the interface ruptures
385 and is adjacent to the main slip zone on the slab. The Δ CFS on the normal receiver fault (Figure
386 S3) shows a decrease and an increase at the up-dip limit of the deep (in slip phase) and shallow (in
387 backslip phase) MSPs on the slab, respectively.

388

389 Another lobe of positive Δ CFS is extended to the down-dip limit of the main rupture area, where
390 the antithetic fault in the upper plate appears during the model evolution (Figure 5). The deep-
391 rooted antithetic fault, which imposes a significant discontinuity in the upper plate, perturbs the

392 inner-wedge stress state and highly increases the CFS at the conjunction of the interface and the
 393 antithetic fault. Hence, it builds up stress and enhances the Δ CFS in the upper plate. However, the
 394 uncertainties in the slip distribution models at the conjugation zone may affect the Δ CFS's
 395 uncertainty. A relatively strong increase in CFS is predicted for the deeper MSP. Likely, it results
 396 from a combination of backslip on the deeper MSP and the mainshock-induced stress transfer.
 397



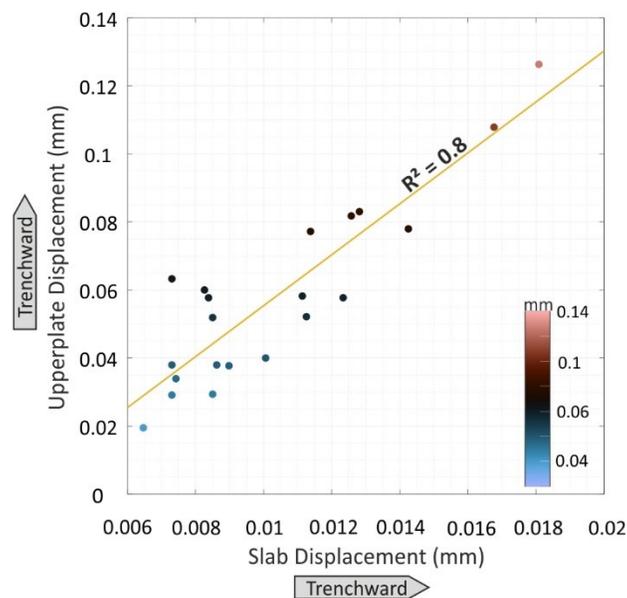
398
 399 **Figure 7.** Upper plate time-series overlaid on the model slab time-series (background colormap) from
 400 the heterogeneous configuration (see Figure S4 for the homogenous configuration). Note the location of
 401 the profiles relative to the upper plate and slab. The vertical lines (E1-E22) indicate abrupt surface
 402 displacement changes above the matrix. The warm color shows the landward displacement of the slab.
 403 Larger events instigate greater model slab responses (Figure 8).

404 4 Discussion

405 4.1 Sequential elastic rebound of upper plate and slab?

406 We combine kinematic and dynamic results to shed light on the mechanism active during an analog
 407 earthquake. We analyze and interpret the cumulative displacement fields of a few earthquake
 408 cycles for both configurations to reach an accurate view of the elastic responses from the model
 409 slab and upper plate to the stress drop on the interface (Figure 7 & S4). Starting simple and in line

410 with the *elastic rebound theory* (Reid, 1910), the coseismic strain energy release (i.e., shear-stress
 411 drop) leads to the rebound of the interseismically strained upper plate and slab and transfers stress
 412 to the adjacent and nearby regions. The elastic response manifests itself in the strain energy
 413 converted to kinetic energy and consumed to accelerate the upper plate and (subordinately) the
 414 slab. The rebounds on the upper plate and slab (i.e., opposite sides of the megathrust interface) are
 415 in opposite directions (Savage, 1983). When we examine the velocity changes of the plates, we
 416 find that the model slab accelerates landward (Figures 7 & S4). The slab velocity increases by
 417 50%-300% of the long-term velocity co- and early postseismically, depending on the event's
 418 magnitude. The magnitude of the events and model slab accelerations indicate a positive
 419 correlation: the larger the earthquake, the stronger is the response generated (Figures 7 & 8). While
 420 we cannot measure the elastic rebound of the slab in the asperity area on the interface directly,
 421 these values should be considered minimum values of local slab acceleration.



422

423 **Figure 8.** Correlation between the upper plate and model slab trenchward (landward) displacements
 424 during coseismic and early-postseismic stages.

425

426 Im et al. (2019) and Im & Avouac, (2021) show that the transition from a quasi-static stick-slip to
 427 a harmonic oscillation can be described by the emergence of dynamic instability. In a single-
 428 degree-of-freedom spring-slider system, the latter tends to become unstable for a larger mass or
 429 velocity and is sensitive to the loading velocity representing the contribution of inertia to frictional

430 instability. In the cases that the inertial instability is high or normal stress is low, friction-induced
431 vibration (harmonic oscillation) may appear in any system exhibiting velocity weakening friction.
432 Comparable with nature, the normal load in the shallow part of the subduction megathrust (i.e.,
433 the offshore portion in nature) is sufficiently low (Gao & Wang, 2017) and does not undergo
434 relevant change during the coseismic period. However, the velocity increases significantly due to
435 coseismic slip on the interface. These normal stress and velocity conditions prompt the system,
436 which is already in unstable mode (i.e., slip), to the domain (Figure 1 in Im and Avouac., 2021),
437 where an inertia-dominated instability appears as a harmonic oscillation in our elastoplastic wedge
438 (i.e., upper plate). This inertia-dominated instability may enhance the slip/backslip on the
439 interface, similar to the effect of “dynamic shaking” on the plate interface coupling in Southern
440 Cascadia (Materna et al., 2019).

441

442 4.2 Effect of the model slab acceleration on the rapid relocking

443 Our simplified seismotectonic megathrust model suggests different rebounds (i.e., in terms of
444 timing, magnitude, and direction) in the upper plate and slab, triggering the immediate early-
445 postseismic signals. An immediate relocking starts after rupture arrest and leads to a reversed
446 surface displacement. While the rapid relocking is apparently limited on the two MSPs (in the
447 heterogeneous system), it may postseismically reach a significant amount of the coseismic slip
448 increments. The elastic response of the model slab (“delayed rebound”), which comes into play as
449 local acceleration, speeds up the stress build-up and results in this accelerated backslip. The large
450 normal faulting aftershocks in the model slab following a megathrust event seaward of the
451 megathrust event, such as occurring after the Maule earthquake (Ruiz & Contreras-Reyes, 2015)
452 and the Tohoku-Oki earthquake (Asano *et al.*, 2011; Lay *et al.*, 2011) reflect slab extension and
453 thus the same elastic response of the slab.

454 While the acceleration's impact appears as landward surface displacements above the MSPs, the
455 surface displacements above the matrix follow the slip sense of the MSPs in the heterogeneous
456 configuration (S2). The significant amount of backslip suggests that the delayed rebound may not
457 be the only possible mechanism involved in the landward surface displacement. An extreme
458 coseismic stress-drop overshoots the strained upper plate trenchward coseismically. The upper
459 plate postseismically responds to this overshoot such that its elastic restoring force drags it back

460 to a quasi-equilibrium state, which may appear as localized upper plate landward surface
461 displacements to a quasi-equilibrium state (Figure 9).

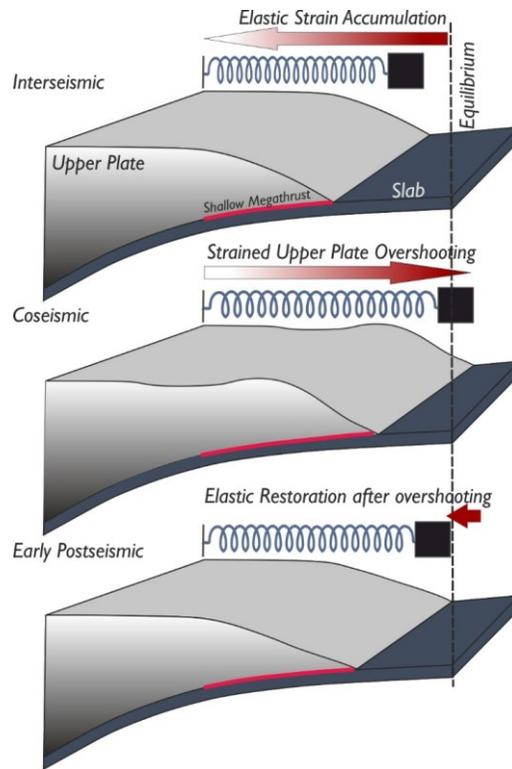
462 An immediate relocking and a high backslip velocity have been modeled based on land-limited
463 GPS stations for the 2007 Pisco (Remy et al., 2016) and the 2010 Maule (Bedford et al., 2016)
464 megathrust earthquakes, respectively. In the Tohoku-Oki earthquake region, the sparse sites
465 directly above the high-slip zone postseismically moved landward faster than the pre-earthquake
466 velocity (Tomita et al., 2015). This fast postseismic velocity has been explained via a slab
467 acceleration driven by the recovery of force balance (Heki & Mitsui, 2013; Yuzariyadi & Heki,
468 2021) and the mantle relaxation (Sun et al., 2014; Watanabe et al., 2014). But it is expected that
469 the mantle relaxation affects surface velocities at a relatively large wavelength. Also, the
470 viscoelastic relaxation could not explain the trenchward motion of the stations above the slip zone
471 further landward from the trench (Yuzariyadi & Heki, 2021). Afterslip might be the responsible
472 mechanism for this surface displacement contrast at a relatively short distance (e.g., Sun & Wang,
473 2015; Tomita et al., 2017). Nevertheless, the coarse sampling rate of near-source observations
474 prevents monitoring how the signals appear and evolve. Our analog model supports the occurrence
475 of significant postseismic velocity changes with the model slab deceleration following Omori-
476 Utsu's decay law (Figure S4) of aftershock activity (Utsu et al., 1995). However, any viscoelastic
477 behavior of the mantle may modify the elastic response of the model slab and lead to a different
478 response time scale. It means that the acceleration may last longer postseismically and decay with
479 another characteristic time-constant in a coupled brittle-viscous system.

480 The stress evolution model for the extreme weakening observed during the Tohoku-Oki
481 earthquake suggests a 20% slip reversal in the rupture's final stage, consistent with the postseismic
482 stress stage derived from breakout data (Brodsky et al., 2017, 2020). However, our models suggest
483 that the localized slip reversal may reflect the early postseismic stage due to a model slab
484 acceleration and/or a rapid restoration of the upper plate after experiencing elastic overshooting.
485 Moreover, a dynamic slip reversal was reported in the 2011 Mw 9.0 Tohoku-Oki earthquake by
486 Ide et al. (2011). It has been suggested that the reversal of rupture propagation direction (from
487 updip to downdip) and amplified upper plate displacement is caused by coseismic dynamic
488 overshooting, which is consistent with our experimental observation. If the mechanisms of these
489 observations in our experiment and the case of Tohoku-Oki earthquake are compatible, the normal

490 mechanism aftershocks on the interface close to the maximum slip area (Ide et al., 2011; Yagi &
491 Fukahata, 2011) may be comparable to our proposed early postseismic backslip.

492 4.3 Effects of the acceleration on the upper plate fault activity

493 Apart from the consequences on asperities, the accelerated relocking also affects upper-plate
494 shortening and upper-plate fault activity. The antithetic fault in our experiments switches its
495 kinematic mode and acts as a normal fault coseismically due to its location relative to the
496 megathrust earthquake centroid (e.g., deDontney et al., 2012; Li et al., 2014; Xu et al., 2015). This
497 discontinuity inside the upper plate responds to stress perturbation and stress enhancement. When
498 the MSPs are in opposite modes in the heterogeneous system (loading vs. unloading), they cause
499 compressional (postseismically) and extensional (coseismically and/or early postseismically)
500 stress regimes on the two segments of the antithetic upper plate fault, respectively. The high
501 amount of the early postseismic shortening (Figure S5; postseismic/interseismic=20-25%) may
502 increase the stress level in the upper plate, which is consistent with the reported upper-plate
503 seismicity after megathrust earthquakes (e.g., Asano et al., 2011; Hoskins et al., 2021; Toda et al.,
504 2011).



505

506 **Figure 9.** Schematic diagram of upper plate elastic behavior during coseismic overshooting and
 507 postseismic restoration. The interseismically strained upper plate is overshoot trenchward (seaward) due to
 508 an extreme coseismic stress-drop on the interface. Subsequently, an elastic restoring force drags the upper
 509 plate back to its equilibrium state.

510

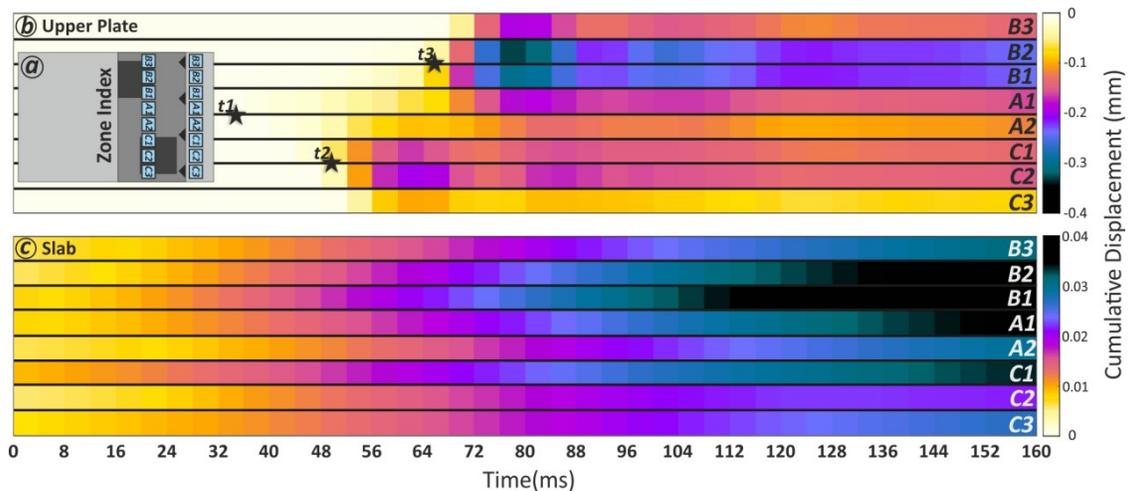
511 4.4 Effects of the acceleration on event triggering

512 The early-postseismic Δ CFS enhancement in the model slab may increase the tensional load in the
 513 model slab (e.g., Lay *et al.*, 1989; Tilmann *et al.*, 2016) such that the postseismic extensional
 514 domain hosts the reported large normal mechanism aftershocks early after the megathrust event
 515 (e.g., Asano *et al.*, 2011; Lay *et al.*, 2011; Ruiz and Contreras-Reyes, 2015). The stress
 516 enhancement on either receiver MSP (direct effect) or subducting plate (indirect effect) may bring
 517 the second MSP close to failure. In the heterogeneous configuration, the stress drop of the former
 518 event enhances Δ CFS on the second MSP, such that it directly increases the probability of failure.
 519 On the other hand, comparing the timing of model slab acceleration and the latter event (t_2 versus
 520 t_3) shows that the acceleration occurs ahead of the later event. This interestingly suggests that the
 521 acceleration caused by the delayed elastic response of the model slab has antedated the later event

522 on the shallow MSP (Figures 10 & S6). Hence, the acceleration perturbs the MSP's seismic cycle
 523 and causes a “clock advance” in the loading cycle of the MSP (Figures S6 and S7).

524 The rupture of one asperity enhances the stress changes on the adjacent asperity and may bring it
 525 closer to failure. For example, Melnick *et al.* (2017) suggest that, besides static stress changes, the
 526 increased locking appears in segments adjacent to the failed asperity due to a combination of
 527 viscoelastic mantle relaxation and afterslip-controlled vertical axis rotation in the upper plate. The
 528 studies on the Wenchuan-Lushan sequential events on the Longmenshan fault show accelerated
 529 healing of asperity in response to an earthquake on the adjacent asperity (Pei *et al.*, 2019; Zhao *et*
 530 *al.*, 2020). Accordingly, the enhanced postseismic compression and the accelerating accumulation
 531 of the elastic strain triggered the second event on the nearby asperity (Li *et al.*, 2018).

532



533

534

535 **Figure 10.** Timing of coseismic and postseismic elastic responses of the upper plate and model slab for a
 536 representative event. *a*: relative location of the time-series on both plates shown as zone index; *b*: the elastic
 537 response of the upper plate. *t1* to *t3* indicates the relative timing of the events; *c*: the elastic response of the
 538 slab.

539

540 5. Conclusion

541 Our result shows a sequential elastic rebound following the coseismic shear-stress drop in our
 542 elastoplastic-frictional models as the rebound of the upper plate is faster and more prominent
 543 compared to that of the slab. The delayed rebound of the slab, along with rapid relaxation of the
 544 upper plate after an elastic overshooting, may accelerate the relocking of the megathrust. The

545 laboratory seismogeodetic observations show how the upper plate responds to this overshoot
546 postseismically such that the elastic restoring force may appear as localized upper plate rearward
547 surface displacements. This acceleration triggers/antedates the failure of a nearby asperity and
548 enhances the early backslip in the rupture area. However, depending on the scaling factors, this
549 sequence of dynamic overshooting, amplified motion of the upper plate, and upper plate rearward
550 restoration may alternatively be considered as the coseismic phase. We suggest that the immediate
551 backslip following the main event on the patches could reach up to 30% of coseismic slip and the
552 entire interseismic backslip. The slip models of the upper plate fault demonstrate that the different
553 segments of the upper plate backthrust may move in opposite directions (normal versus reverse),
554 reflecting the sense of shear on the MSPs (slip versus backslip). This deep-rooted backthrust fault
555 generates a discontinuity in the upper plate and perturbs the inner-wedge stress state.
556 Consequently, the discontinuity may strongly enhance the Δ CFS in the upper plate.

557

558 **Acknowledgment**

559 The research is supported by the SUBITOP Marie Skłodowska-Curie Action project from the
560 European Union's EU Framework Programme for Research and Innovation Horizon 2020 (Grant
561 Agreement 674899) and partially funded by Deutsche Forschungsgemeinschaft (DFG) through
562 Grant CRC 1114 “Scaling Cascades in Complex Systems,” Project 235221301, Project (B01). The
563 authors thank M. Rudolf and F. Neumann for their helpful discussion and assistance during our
564 laboratory experiments. We thank the editor, Satoshi Ide, the associate editor of the journal, thank
565 Jack Loveless, and an anonymous reviewer for their constructive reviews that helped us to improve
566 the paper.

567

568 **Data Availability Statement**

569 All data in this study are online and published open access in Kosari et al. (2022b)
570 (<https://doi.org/10.5880/fidgeo.2022.024>). We thank GFZ Data Services for publishing the data.

571

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845 **Captions:**

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847 **Figure 1.** Scheme of the seismotectonic scale model's geometry and configuration: *a* and *b* demonstrate
848 our conceptual systems of coupled spring sliders as depicted by Ruff and Tichelaar, (1996). *b* and *c*
849 represent homogenous and heterogeneous configurations, respectively. The yellow (matrix) and magenta
850 (main slip patch) rectangles demonstrate the seismogenic patches which generate repeating earthquake
851 and megathrust events, respectively. P.D.D. represents the projection of the down-dip limit of the
852 seismogenic patch on the model surface. The small orange rectangles show the different configurations of
853 accelerometers. The frictional behavior of both velocity weakening materials used in the matrix and main
854 slip patch is shown in Figure 2.

855

856 **Figure 2.** Shear stress time-series measured in a ring-shear tester during velocity stepping tests under
857 constant normal load (2000 Pa). Stick-slip behavior simulates "seismic cycles" with coseismic and
858 interseismic stress drop (analog earthquakes) and increase.. *a* and *b* (main slip patch in Figure 1) and
859 magenta (matrix in Figure 1) demonstrate the seismogenic (i.e., stick-slip) patches which generate
860 megathrust events and repeating earthquakes, respectively. *c* and *d* show seven seismic cycles from both
861 materials. Note that the recurrence of the repeating earthquake is approximately 20 times shorter than the
862 megathrust event. If scaling is applied to these test data, one second corresponds to 250 years, stress drops
863 would be 10-100 MPa, and friction coefficients consistent with Byerlee friction for the interseismic (~0.6-
864 0.7) and ~0.2 after relocking. Note that we cannot measure friction during catastrophic failure properly in
865 this kind of test.

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867 **Figure 3.** Differentiating Quasi-harmonic oscillation and event-related signals. *a* and *b* represent the
868 scalogram of the signal before and after filtering the quasi-harmonic oscillations out. *c* and *d* are the
869 normal-trench acceleration derived from three sensors located on the wedge (*c* and *d*) and the basal rubber
870 conveyor belt (*e*).

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876 **Figure 4.** Model setup and exemplary evolution of coseismic and early-postseismic surface deformation
877 in two scenarios. a and b: Plan view of the seismotectonic scale models' configurations; Light, medium,
878 and dark gray colors represent the "aseismically" creeping interface, a velocity weakening matrix
879 characterized by microslips ("microseismicity"), and the main slip patch(es) (MSP) where large analog
880 megathrust earthquake slip occurs ("seismogenic zone" or "asperity"), respectively. The red dashed
881 lines (marked by circles) show the profiles along which the cumulative surface displacement is shown in c
882 and d. The gray star represents the location of the initiation of the rupture. The downward vectors
883 indicate the reduction of the cumulative trenchward surface displacement representing surface
884 displacement reversal during the early-postseismic stage interpreted as backslip. The corresponding
885 surface deformation maps derived from the synchronized camera are visualized in figures S1 and S2. The
886 stars on the dashed lines show the selected surface displacement snapshots for slip modeling in Figure 5.
887

888 **Figure 5.** Upper panel: Slip models of the selected increments (marked in Figure 1d) in the heterogeneous
889 system for demonstrating slip/backslip distribution in the MSPs and the antithetic upper plate fault. The
890 vectors indicate the relative sense of slip but are not to scale. The dashed rectangles indicate the
891 approximate location of the MSPs before shearing into trapezoids. The lower panel represents three trench-
892 normal profiles of Coulomb failure stress changes (Δ CFS) from the slip model snapshot #12 in the
893 heterogeneous configuration. Inset shows the location of profiles on the model surface.

894

895 **Figure 6:** Exemplary clockwise and anticlockwise upper plate rotation during coseismic and early
896 postseismic stages derived from selected surface displacements increments. Their associated surface
897 displacements (E07 and E11) are visualized in Figure S2. Note that the sense of rotation during coseismic
898 and postseismic stages causes divergence and convergence motion above the MSPs in the upper plate.

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903 **Figure 7.** Upper plate time-series overlayed on the model slab time-series (background colormap) from
904 the heterogeneous configuration (see Figure S4 for the homogenous configuration). Note the location of
905 the profiles relative to the upper plate and slab. The vertical lines (E1-E22) indicate abrupt surface
906 displacement changes above the matrix. The warm color shows the landward displacement of the slab.
907 Larger events instigate greater model slab responses (Figure 8).

908

909 **Figure 8.** Correlation between the upper plate and model slab trenchward (landward) displacements
910 during coseismic and early-postseismic stages.

911

912 **Figure 9.** Schematic diagram of upper plate elastic behavior during coseismic overshooting and
913 postseismic restoration. The interseismically strained upper plate is overshoot trenchward (seaward) due to
914 an extreme coseismic stress-drop on the interface. Subsequently, an elastic restoring force drags the upper
915 plate back to its equilibrium state.

916

917 **Figure 10.** Timing of coseismic and postseismic elastic responses of the upper plate and model slab for a
918 representative event. a: relative location of the time-series on both plates shown as zone index; b: the elastic
919 response of the upper plate. t_1 to t_3 indicates the relative timing of the events; c: the elastic response of the
920 slab.

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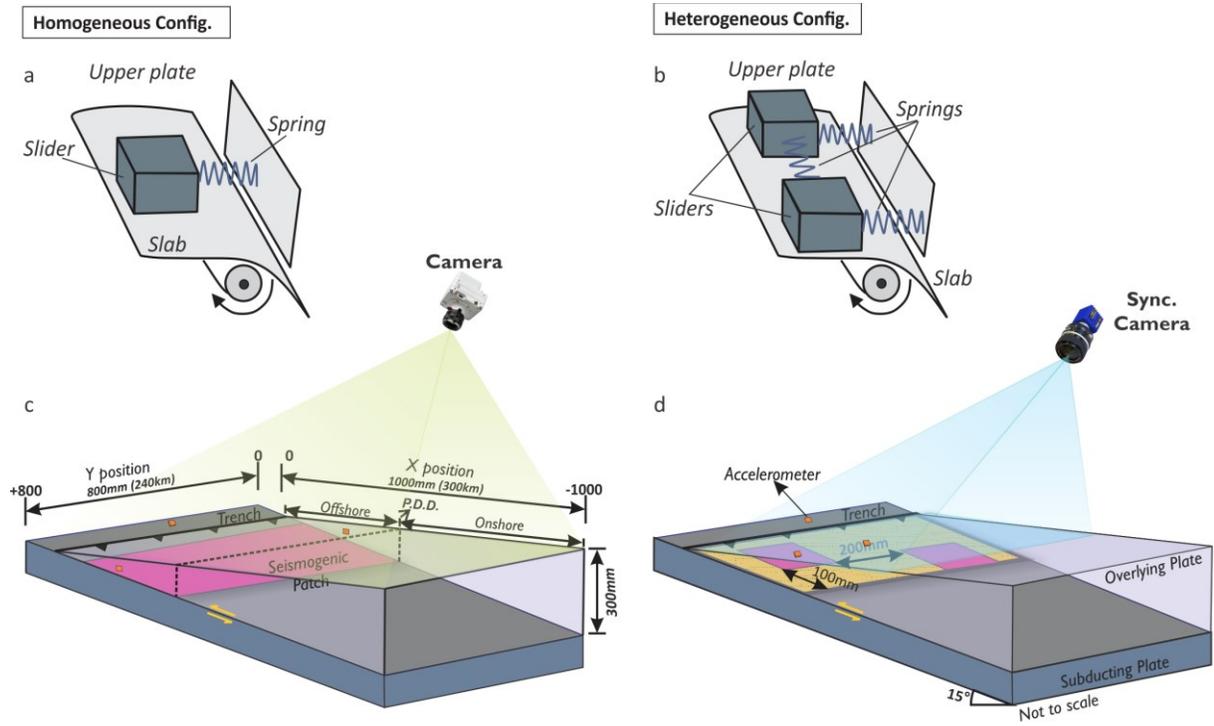
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927 **Figures:**

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930 **Figure 1.**

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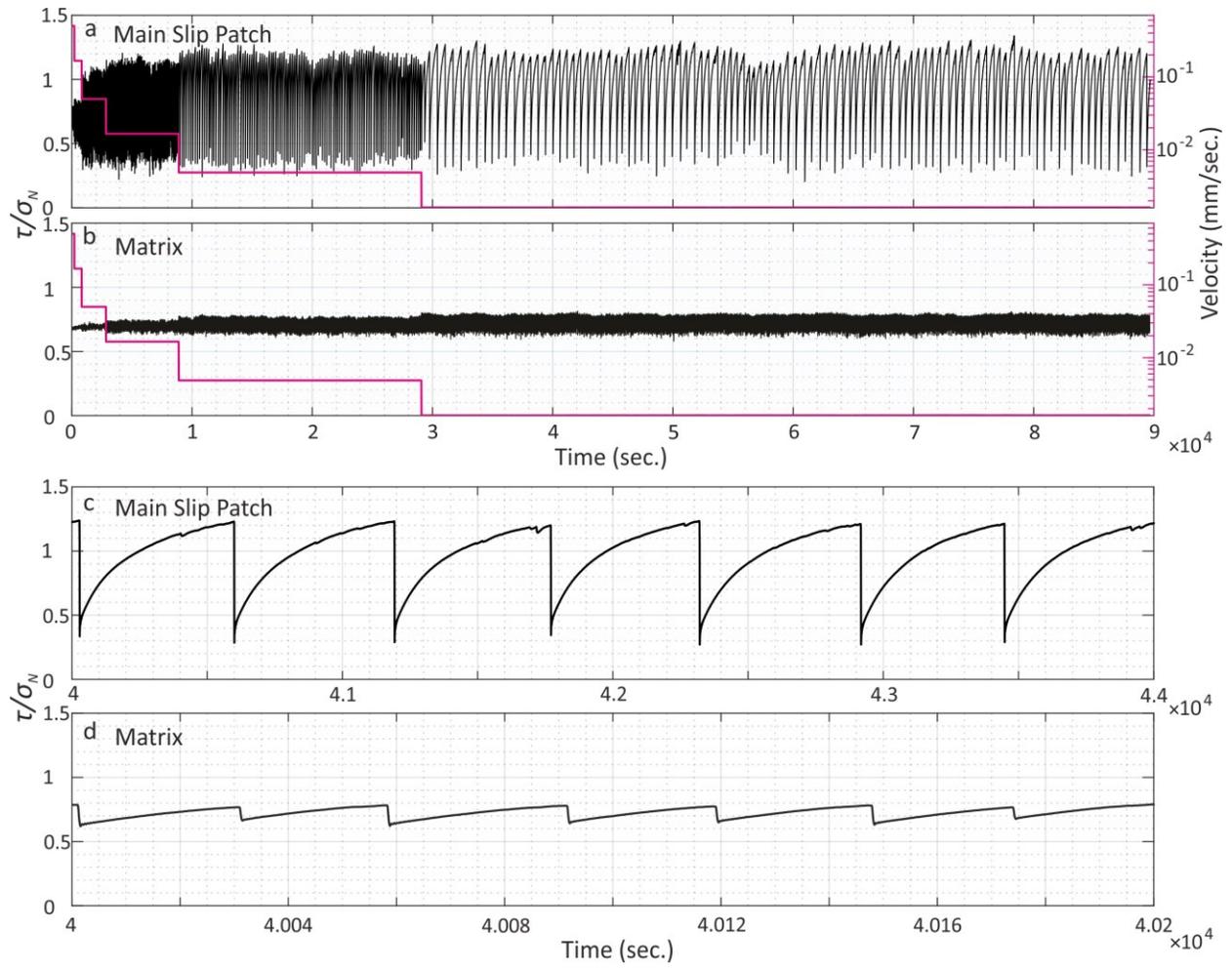
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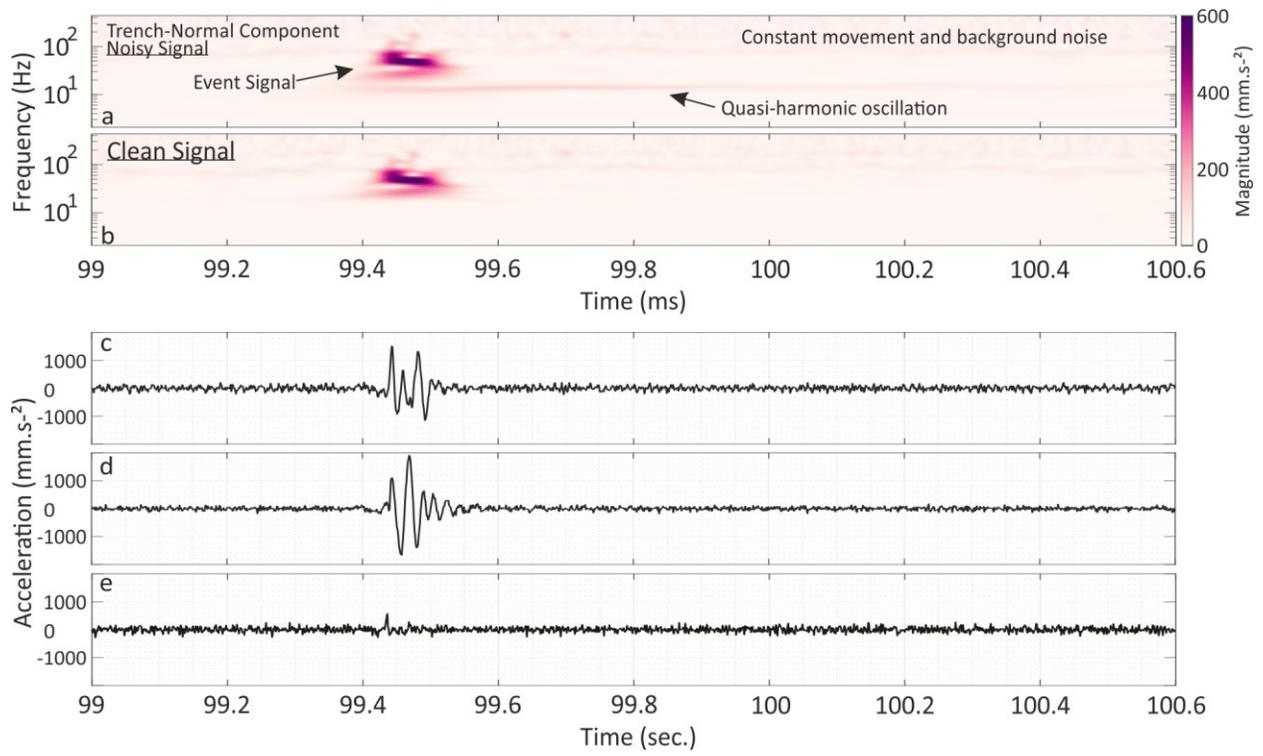
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945 **Figure 2.**

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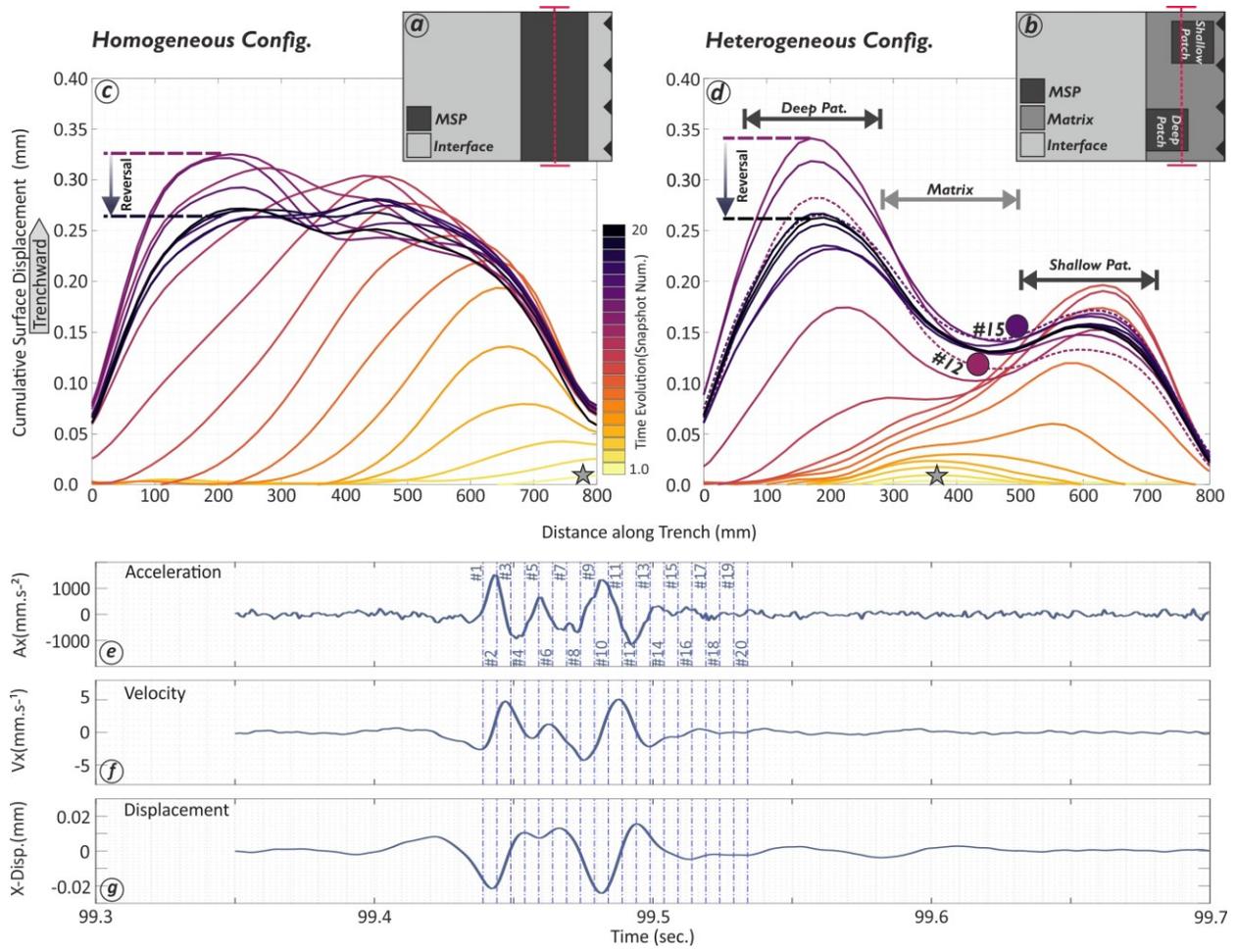


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948 **Figure 3.**

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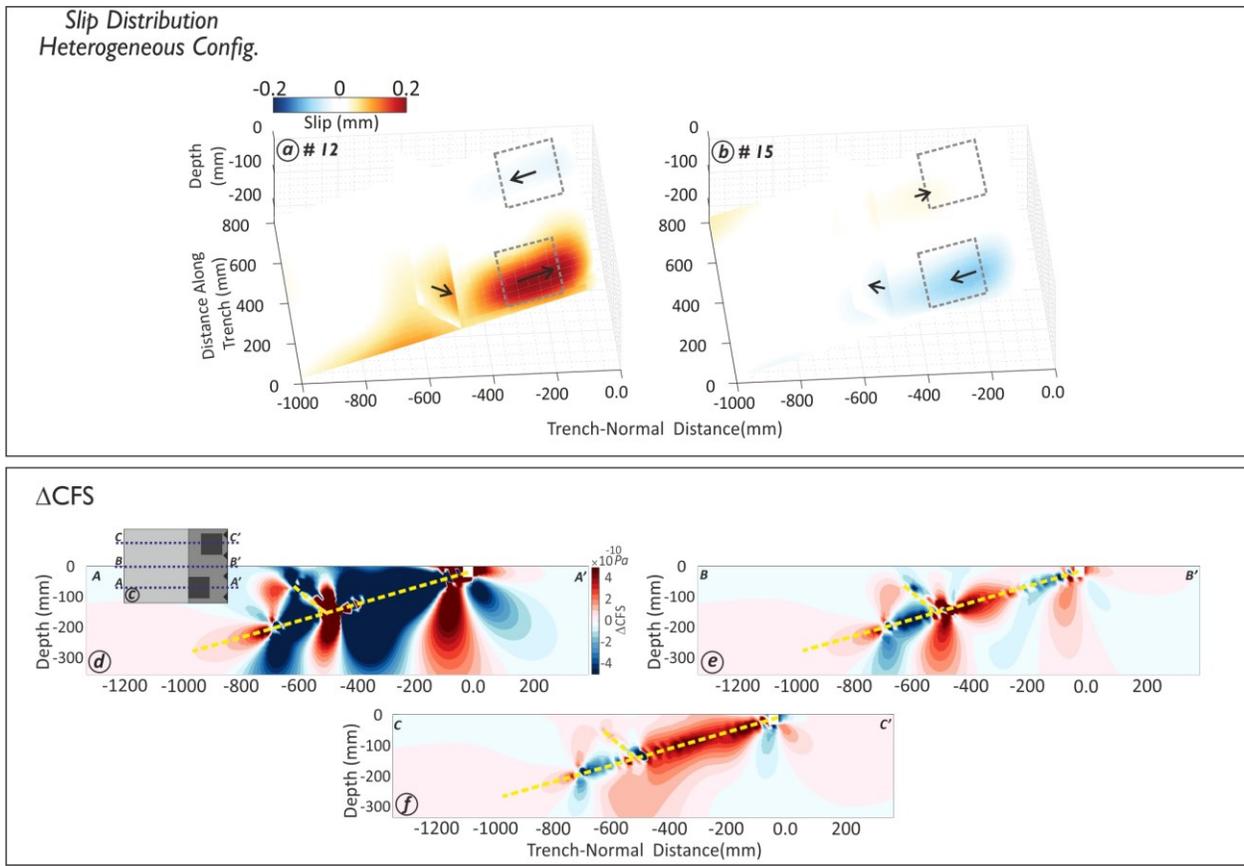
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952 **Figure 4.**

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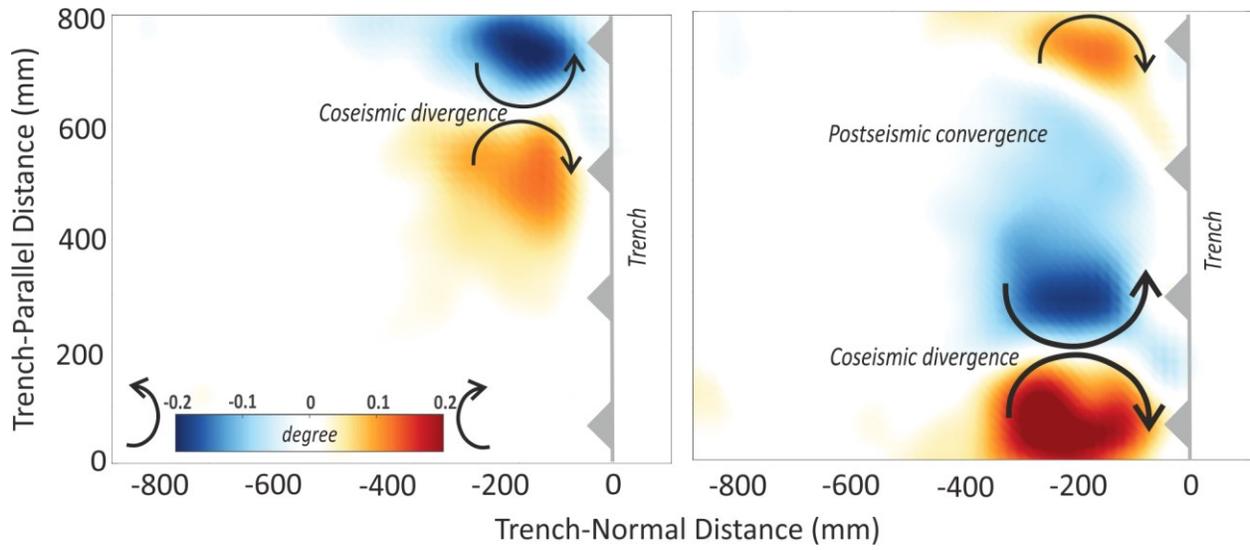
956 **Figure 5.**

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962 **Figure 6.**

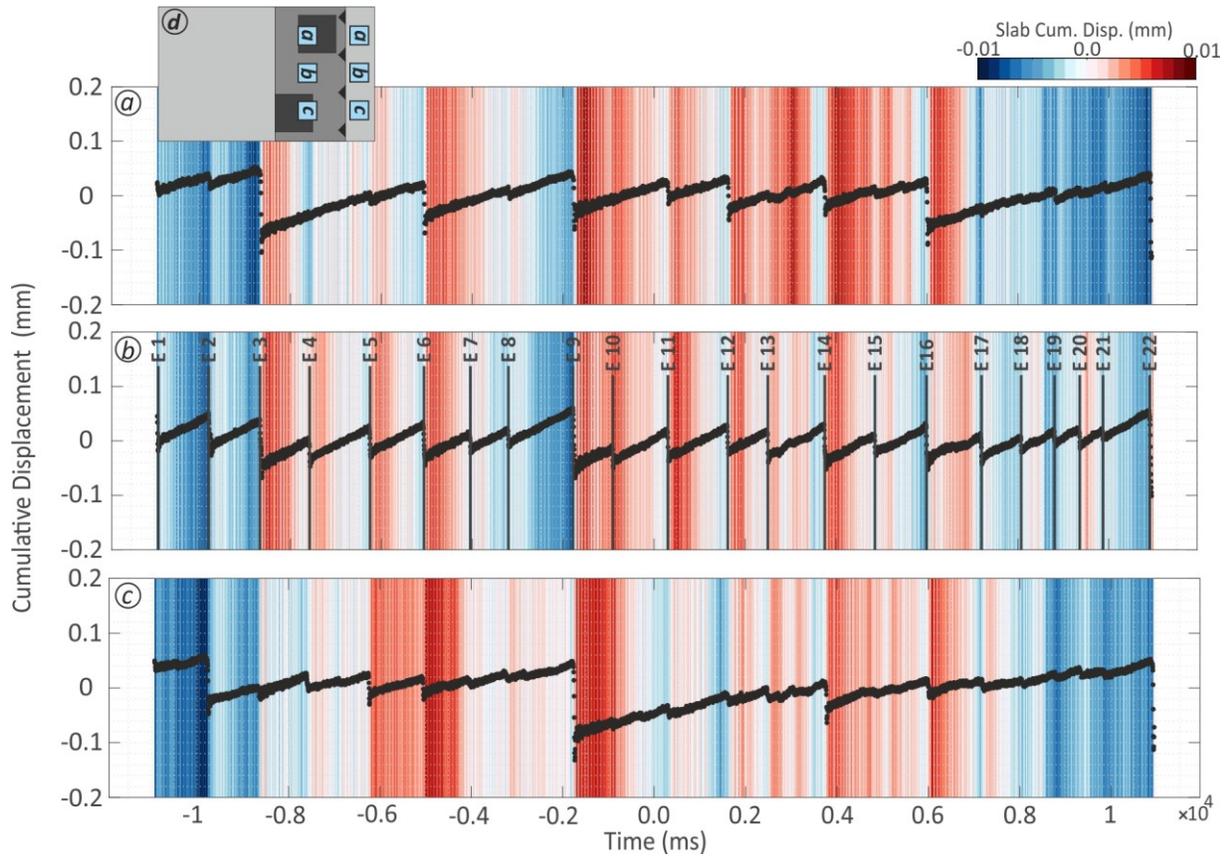
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969 **Figure 7.**

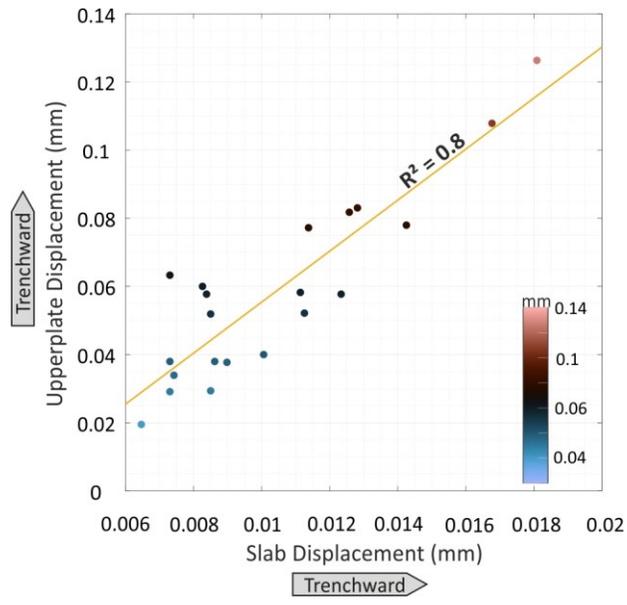
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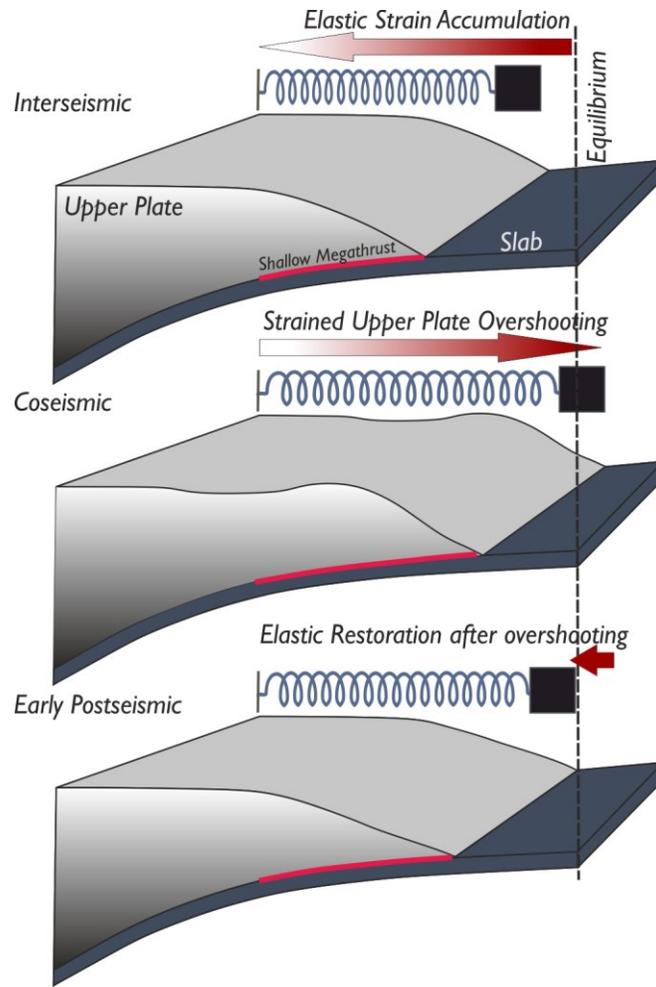
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976 **Figure 8.**

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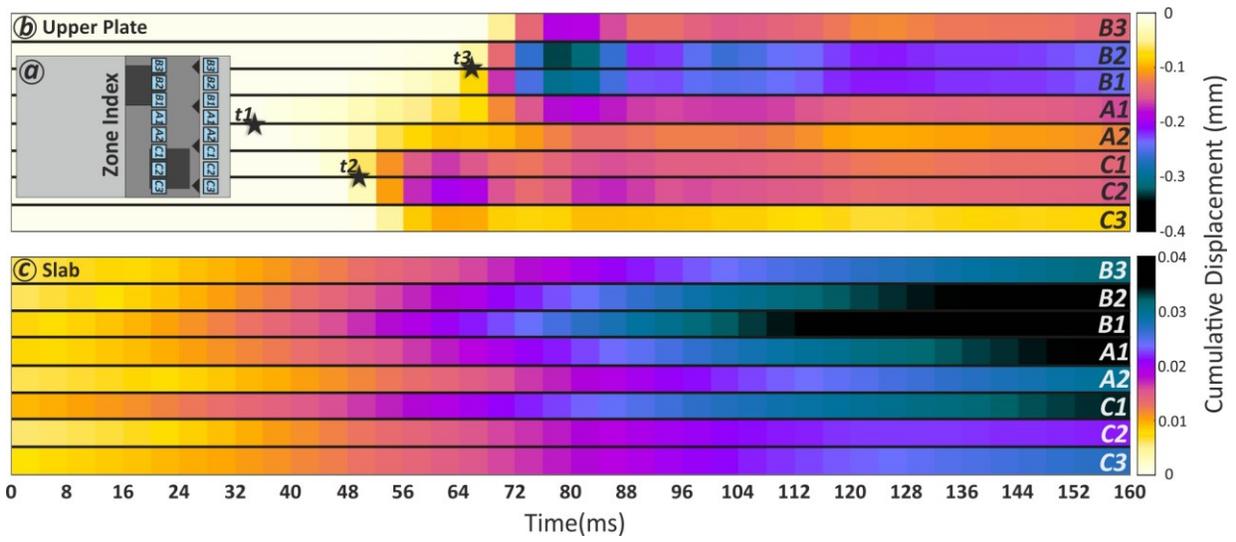
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982 **Figure 9.**

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986 **Figure 10.**

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