

The 2020 COVID-19 pandemic and atmospheric composition: back to the future

Joshua L. Laughner^{a,1}, Jessica L. Neu^{b,1}, David Schimel^{b,1}, Paul O. Wennberg^{a,c,1}, Kelley Barsanti^d, Kevin Bowman^b, Abhishek Chatterjee^{e,f}, Bart Croes^{g,o}, Helen Fitzmaurice^h, Daven Henzeⁱ, Jinsol Kim^h, Eric A. Kort^j, Zhu Liu^k, Kazuyuki Miyazaki^b, Alexander J. Turner^{l,h,b}, Susan Anenberg^m, Jeremy Aviseⁿ, Hansen Caoⁱ, David Crisp^b, Joost de Gouw^o, Annmarie Eldering^b, John C. Fyfe^p, Daniel L. Goldberg^m, Kevin R. Gurney^q, Sina Hasheminassab^r, Francesca Hopkins^s, Cesunica E. Ivey^{d,t}, Dylan B.A. Jones^u, Nicole S. Lovenduski^v, Randall V. Martin^w, Galen A. McKinley^x, Lesley Ott^y, Benjamin Poulter^z, Muye Ru^{aa}, Stanley P. Sander^b, Neil Swart^p, Yuk L. Yung^{a,b}, Zhao-Cheng Zeng^{bb}, and the rest of the Keck Institute for Space Studies “COVID-19: Identifying Unique Opportunities for Earth System Science” study team¹

^aDivision of Geological and Planetary Sciences, California Institute of Technology; ^bJet Propulsion Laboratory, California Institute of Technology; ^cDivision of Engineering and Applied Science, California Institute of Technology; ^dDepartment of Chemical and Environmental Engineering, Marlan and Rosemary Bourns College of Engineering, University of California, Riverside, CA 92521, United States; ^eUniversities Space Research Association, Columbia, MD 21046, United States; ^fNASA Goddard Space Flight Center, Greenbelt, MD 20771, United States; ^gCalifornia Energy Commission, Sacramento, CA 95814, United States; ^hDepartment of Earth and Planetary Science, University of California, Berkeley, Berkeley, CA 94720, USA; ⁱDepartment of Mechanical Engineering, University of Colorado at Boulder, Boulder, CO 80309 United States; ^jDepartment of Climate and Space Sciences and Engineering, University of Michigan, Ann Arbor, Michigan 48109, United States; ^kDepartment of Earth System Science, Tsinghua University, Beijing 100084, China; ^lDepartment of Atmospheric Sciences, University of Washington, Seattle, WA 98195, United States; ^mMilken Institute School of Public Health, George Washington University, Washington, DC 20052, United States; ⁿModeling and Meteorology Branch, California Air Resources Board, Sacramento, CA 95814, United States; ^oDepartment of Chemistry and Cooperative Institute for Research in Environmental Sciences, University of Colorado Boulder, Boulder, CO 80309, USA; ^pCanadian Centre for Climate Modelling and Analysis, Environment and Climate Change Canada, Victoria, BC, Canada; ^qSchool of Informatics, Computing and Cyber Systems, Northern Arizona University, Flagstaff, AZ 86011, United States; ^rScience and Technology Advancement Division, South Coast Air Quality Management District, Diamond Bar, CA, 91765, USA; ^sDepartment of Environmental Sciences, University of California, Riverside, California, USA; ^tCenter for Environmental Research and Technology, Riverside, CA 92521, United States; ^uDepartment of Physics, University of Toronto, Toronto, Ontario, Canada; ^vDepartment of Atmospheric and Oceanic Sciences and Institute of Arctic and Alpine Research, University of Colorado, Boulder, CO, USA; ^wMcKelvey School of Engineering, Washington University in St. Louis, St. Louis, Missouri 63130, United States; ^xDepartment of Earth and Environmental Sciences, Columbia University, Lamont Doherty Earth Observatory, Palisades, NY 10964, United States; ^yGlobal Modeling and Assimilation Office, NASA Goddard Space Flight Center, Greenbelt, MD, United States; ^zBiospheric Sciences Laboratory, NASA GSFC Goddard Space Flight Center, MD, United States; ^{aa}The Earth Institute, Columbia University, New York, NY 10025, United States; ^{bb}Joint Institute for Regional Earth System Science and Engineering, University of California, Los Angeles, CA 90095, United States

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1 The COVID-19 global pandemic and associated government lock-
2 downs dramatically altered human activity, providing a window into
3 how changes in individual behavior, enacted *en masse*, impact at-
4 mospheric composition. The resulting reductions in anthropogenic
5 activity represent an unprecedented event that yields a glimpse into
6 both the past and a future where emissions to the atmosphere are
7 reduced. While air pollutants and greenhouse gases share many
8 common anthropogenic sources, there is a sharp difference in the re-
9 sponse of their atmospheric concentrations to COVID-19 emissions
10 changes due in large part to their different lifetimes. Here, we dis-
11 cuss the lessons learned from the COVID-19 disruptions for future
12 mitigation strategies and our current and future Earth observing sys-
13 tem.

COVID-19 | air quality | greenhouse gases | Earth system | mitigation

1 The effects of the COVID-19 pandemic and associated lock-
2 down measures can be conceptualized as in Fig. 1. Changes
3 in human activity led to rapid decreases in emissions; these
4 changes can be thought of as going either backward in time
5 to former anthropogenic emissions levels or forward in time
6 to a set of emissions targets. However, because the emissions
7 changes were rapid, the response of air quality and the carbon
8 cycle are observable and can be used to inform effective mit-
9 igation strategies. Early estimates of carbon dioxide (CO₂)
10 emissions changes suggest a total reduction for 2020 of about
11 7% (1, 2). Despite significant changes in individual behavior,
12 this equates to moving back only to 2011 emission levels (Fig.
13 2a). Global nitrogen oxide (NO_x) emissions decreased to ap-
14 proximately 1999 levels, but this simple picture is complicated
15 by the fact that the distribution of NO_x sources has changed
16 significantly since that time. NO_x emissions have been decreas-
17 ing for several decades in the US (3–7), since the mid-2000s

18 in Europe (3, 7–9), and approximately seven to nine years in
19 China (3, 5, 7, 10). In these regions, the impact of COVID-19
20 on air quality may be better thought of as jumping ahead in
21 time to a period with stricter emissions controls (Fig. 2b).
22 In countries whose NO_x emissions have been increasing, the
23 emissions shifted as far back as 2008. The magnitude and even
24 sign of COVID-related methane (CH₄) emission changes is
25 currently unknown (Fig. 2a) and is complicated by competing
26 effects such as increases in oil and gas storage and decreases
27 in maintenance activities.

28 Our goal, outlined in Fig. 1, is to present a first look at how
29 the change in human activity during the COVID-19 pandemic
30 led to reduced emissions, and in turn how air quality and
31 the carbon cycle responded to this rapid change. We present
32 lessons learned in how we might achieve the same level of

Significance Statement

The COVID-19 pandemic and associated lockdowns caused significant changes to human activity that temporarily altered our imprint on the atmosphere, providing a brief glimpse of both past and future atmospheric composition. This event showed key differences in how air quality and atmospheric greenhouse gas concentrations respond to changes in anthropogenic emissions, with implications for future mitigation strategies.

JLL lead the manuscript and the human activity analysis. JN, DS, and POW lead the study team. K. Barsanti, K. Bowman, DS, AT, and EK lead study subgroups and paper sections. AC, BC, HF, DH, JK, ZL, and KM also lead paper sections. Remaining authors contributed data analysis or text. All authors helped revise the manuscript.

The authors declare no competing interests.

¹To whom correspondence should be addressed. E-mail: jlaugh@caltech.edu, jessica.l.neu@jpl.nasa.gov, david.schimel@jpl.nasa.gov, or wennberg@gps.caltech.edu

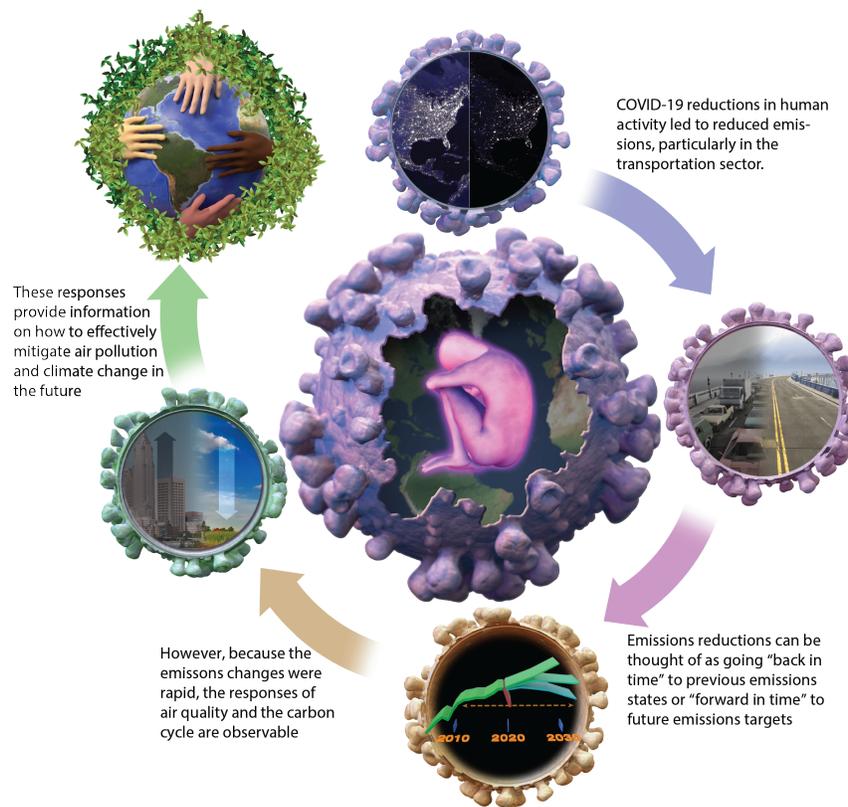


Fig. 1. Illustration of the conceptual flow of this study. The COVID-19-induced reductions in human activity led to reduced anthropogenic emissions. That shift, equivalent to moving forward or backward in time, shows us how the atmosphere, land, and ocean respond in a future scenario with stricter emissions controls. This analysis helps to identify pathways to mitigate air pollution and climate change without tremendous sacrifice from individuals. Image credit: Chuck Carter / Keck Institute for Space Studies

33 reduced emissions in the future without relying on tremendous
 34 individual sacrifice. This paper is organized in three parts.
 35 First, we describe the changes in human behavior that occurred
 36 during the pandemic. Second, we discuss the implications of
 37 observed changes in emissions and concentrations for future
 38 mitigation strategies, with special attention to how local-scale
 39 changes (using the San Francisco Bay Area and the Los Angeles
 40 Basin as case studies) collectively affect global climate and
 41 global-scale observations support strategies to improve local
 42 air quality. Finally, we examine what the COVID-19 pandemic
 43 has taught us about future needs for an Earth observing system
 44 and future lines of research.

45 1. Change in human activity during lockdowns

46 To place the atmospheric effects of the pandemic in context, we
 47 first need to understand how human activity changed. Figure
 48 3 shows metrics for the strictness of government lockdown
 49 measures, vehicle traffic, air traffic, shipping, and electricity
 50 use. To highlight connections between the local and global
 51 scales, we include metrics focused specifically on two California
 52 urban areas (the San Francisco Bay Area and Los Angeles
 53 Basin), the US and other countries as a whole, and the world.

54 Except in China, vehicle traffic and air travel all show
 55 similar patterns of a sharp decrease in mid-March (Fig. 3b,c),
 56 when lockdowns and other protective measures went into effect
 57 in most locations (Fig. 3a), followed by a slow recovery over
 58 the following months. While California urban areas remained
 59 near or below their pre-pandemic traffic levels throughout the

boreal summer, driving mobility throughout the whole US as
 60 reported by Apple increased nearly 200% between January
 61 and July. The Apple mobility data was only made available for
 62 2020, so it is not possible to determine whether this represents
 63 a typical seasonal cycle in travel. Chinese air travel shows an
 64 earlier decrease and recovery than other locations, consistent
 65 with an earlier lockdown (Fig. 3a,b). Shipping at the Ports of
 66 Los Angeles (LA) and Long Beach showed a decrease in total
 67 container moves in February and March relative to January,
 68 while the Port of Oakland that serves the San Francisco Bay
 69 Area was less affected (Fig. 3b). In April and May, residential
 70 electricity use was higher in 2020 than 2019 across the US,
 71 while industrial and commercial use was lower (Fig. 3c,d).
 72 Total electricity use across all sectors in 2020 was about 5%
 73 lower than in 2019.

74 Taken together, these metrics paint a picture of disruption
 75 focused on specific sectors of activity associated with
 76 government policies to restrict peoples' movement. Thus, as
 77 an experiment, the COVID-19 pandemic and associated lock-
 78 downs primarily represent a test of the atmospheric response
 79 to emissions from passenger vehicles and airline travel.

81 2. Observed changes in air quality and implications for 82 mitigation strategies

83 **Air quality Observations.** The COVID-19 lockdown measures
 84 led to a clear and rapid decrease in NO_x emissions (15, 16),
 85 providing a glimpse of the past for many countries but also a
 86 look ahead to the future under consistent, long-term emissions

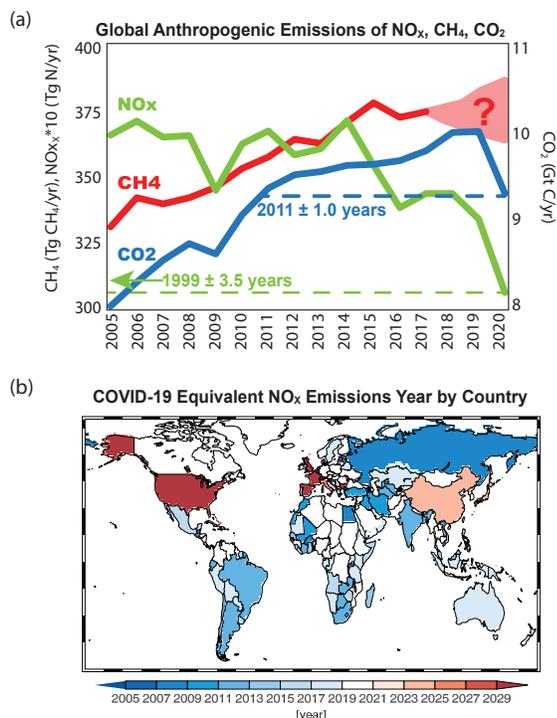


Fig. 2. (a) Time series of global NO_x, CH₄, and CO₂ emissions. For CO₂ and NO_x, the decrease due to COVID-19 is annotated with the year in the past that had equivalent global emissions to 2020. The effects of COVID-19 on CH₄ emissions is currently unknown. (b) Countries colored by the year to which their 2020 NO_x emissions are equivalent, projected forward in time where emissions have been decreasing and backward elsewhere. Details of emissions estimates given in the SI.

COVID-19-induced emissions reductions on O₃ levels is highly contextual. The response of particulate matter (PM) levels to NO_x emissions reductions are likewise highly dependent on local sources and chemistry (18).

One major source of uncertainty in the responses of secondary pollutants to the COVID-19 lockdown measures is the associated changes in anthropogenic VOC emissions, for which we do not currently have good observational constraints. Gasoline-powered vehicles are important sources of VOCs in urban environments, and there were undoubtedly decreases in alkanes, alkenes and aromatics from passenger vehicle traffic. In that sense, the COVID-19 lockdowns are fundamentally different from weekend-weekday differences, which are primarily driven by decreases in NO_x-dominant diesel traffic. In addition, personal care and cleaning products have become important sources of VOCs in urban air (19), and the emissions changes associated with changes in the use of these products during COVID-19 are largely unknown.

Assuming climatological VOC emissions, an assimilation system constrained primarily by satellite NO₂ column observations (20) shows that O₃ production efficiencies (OPEs, defined as the change in tropospheric O₃ mass divided by the change in NO_x emissions) shifted in response to the COVID NO_x emissions reductions, with the change in OPE being highly variable in space and time. Figure 4d shows the February to June average OPE for 20 megacities around the globe. Los Angeles and Shanghai both have small positive OPEs, indicating that ozone did decrease in response to NO_x reductions seen in Figs. 4a and c, but that it is overall not very sensitive to NO_x during boreal winter and spring. Further analysis of the Los Angeles Basin is provided below. Small and even negative OPE values (i.e. an increase in O₃ production per unit NO_x decrease) are found for most mid- and high- latitude cities for this time period. In contrast, the OPE for Lima is positive and large (3.5), indicating a strong sensitivity of ozone to the NO_x reductions in Fig. 4b. The large values of OPE for cities in tropical developing countries are associated with active photochemistry and efficient vertical transport from the surface into the entire troposphere.

OPE values also vary in time, driven largely by seasonal changes in incoming solar radiation. The mean OPE values averaged over the 20 megacities globally are relatively constant, ranging between 0.7 and 1.2. These global OPE values primarily reflect the large OPEs in the tropics and southern hemisphere subtropics (Fig. 4e), where seasonal changes in irradiance are small. The median OPE values over the northern hemisphere extratropical megacities, however, increase from 0.12 in February to 0.27 in June due to more active photochemistry as the midlatitudes transitioned from winter to summer (Fig. 4f).

Spatial variations in O₃ production associated with reduced NO_x emissions are seen not only globally, but also within a single urban area. In the LA Basin between March and April, substantial reductions in NO₂ were observed at most measurement sites, but coastal and inland locations had larger decreases in O₃ than the center of the basin (Figs. S1 and S2). In addition to seasonality, meteorological variations at smaller timescales also play an important role in OPE. Examination of the O₃ time-series (Fig. 5) shows a clear correlation between elevated O₃ concentrations and elevated temperature, which was also seen in a preceding analysis of O₃ variations in the

reduction policies (Fig. 2b). To understand the effects on these reductions on air quality (AQ), we begin with a comparison of AQ changes in different parts of the world, followed by a detailed look at the city of LA as an example of urban-scale effects. Figure 4, panels (a) through (c) show TROPOMI NO₂ columns for three megacities: Los Angeles, USA; Lima, Peru; and Shanghai, China. Compared to 2019, NO₂ levels are substantially lower in 2020 in these cities after lockdown measures were in place. However, the relationship between NO₂ column measurements and NO_x emissions, as well as the response of secondary pollutants to changes in NO_x, depend on a number of factors including time of year, meteorology, and chemistry; we use statistical (15) and data assimilation techniques that account for these factors to draw inferences about atmospheric composition changes from the satellite measurements.

Changes in NO_x emissions alter concentrations of secondary pollutants through shifts in photochemistry. Over highly polluted urban areas with high NO_x concentrations, reducing NO_x can increase ozone (O₃) production by attenuating the removal of OH and increasing volatile organic carbon (VOC) oxidation, particularly during winter (17). In lower NO_x environments, reducing NO_x can reduce O₃ production by slowing photochemistry. Additionally, lower NO_x concentrations mean less NO is available to convert O₃ to NO₂. Thus, the impact of

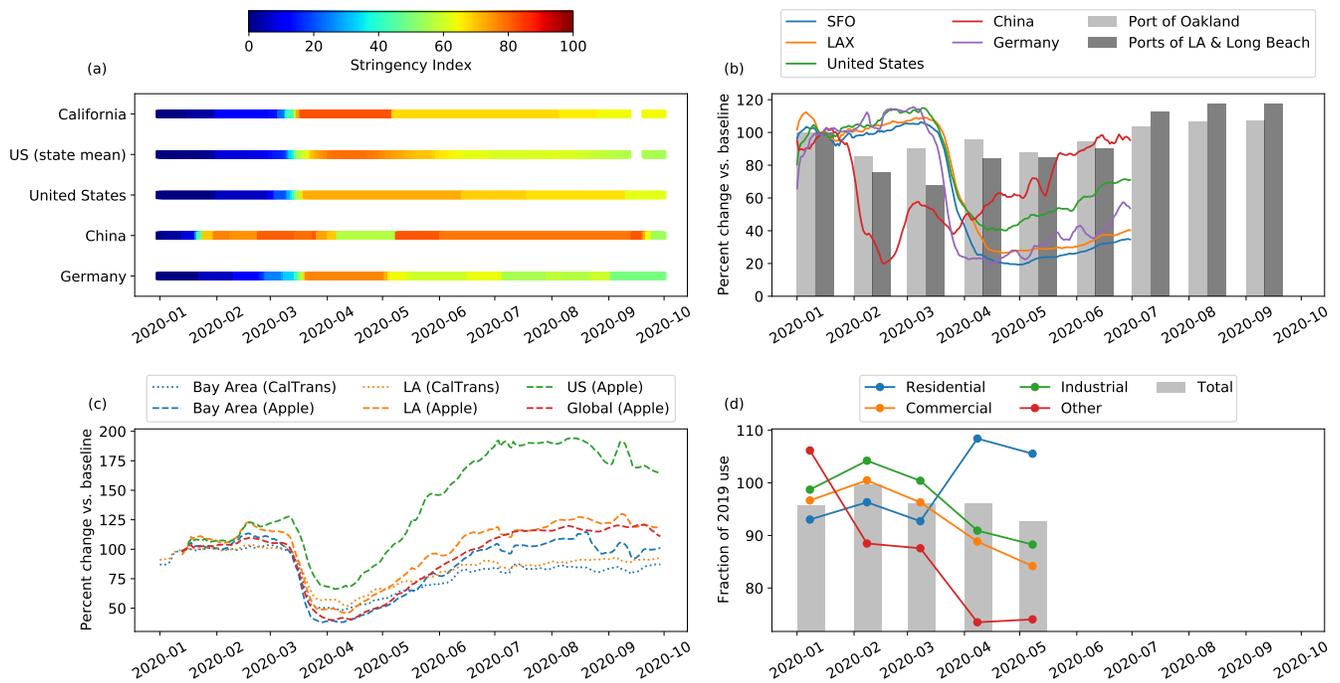


Fig. 3. Metrics for change in human activity at different scales. Panel (a) shows the Oxford stringency index (11) for the regions used in this figure. “US (state mean)” is the average of individual states’ indices, “United States” is the index attributed to the US as a whole (not individual states). Panel (b) shows the percent change in flights(12–14) for two California airports and three countries (lines) and container moves for three California ports (bars) Panel (c) shows traffic metrics for two California urban areas, the United States, and 26 countries (“global”). CalTrans indicates Caltrans PEMS data; Apple indicates Apple driving mobility data. Panel (d) shows electricity consumption in the US by sector, relative to the same month in 2019. In (b) and (c), daily metrics are relative to 15 Jan 2020 and presented as 7 day rolling averages and monthly metrics are relative to Jan 2020. Flight data not available after July 1; electricity consumption not available after May.

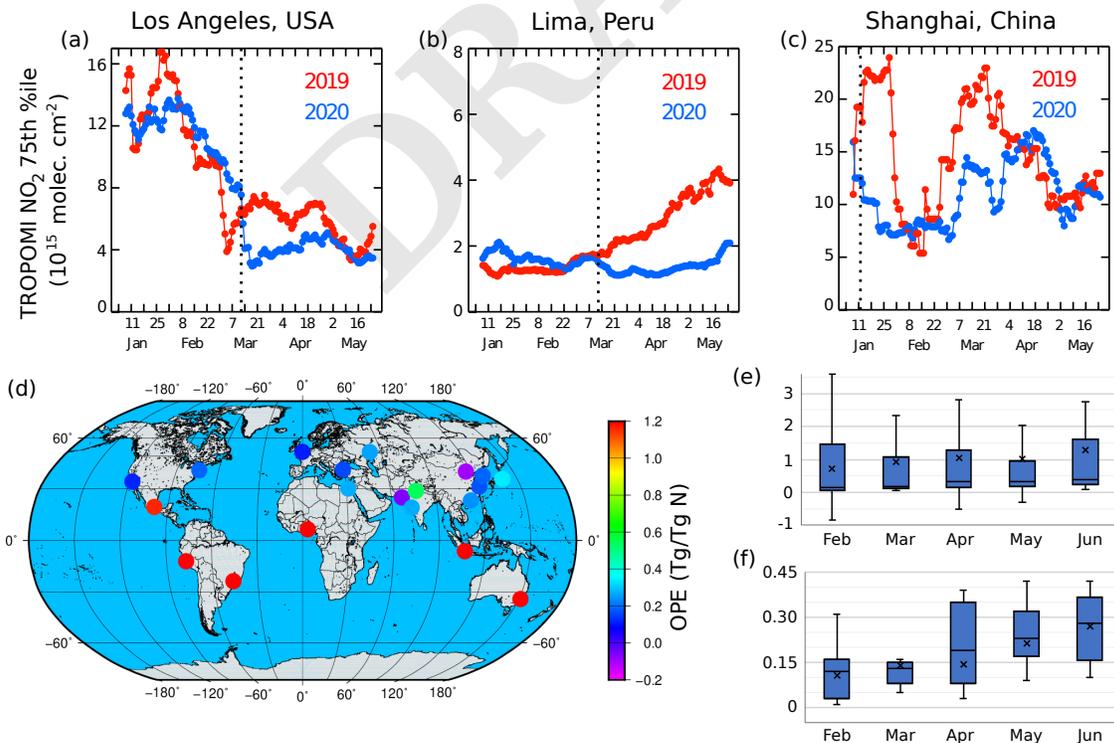


Fig. 4. Changes in NO_2 levels due to COVID-19 lockdowns and resulting change in O_3 production. (a–c) 15 day rolling averages of 75th percentile TROPOMI NO_2 column densities in three cities for 2019 and 2020. The vertical dotted line indicates the beginning of lockdown measures in 2020. (d) OPE modeled in 17 megacities, averaged from February to June 2020. (e) Modeled monthly global averaged tropospheric O_3 production efficiency (OPE). The whiskers are the minimum and maximum, the horizontal lines the quartiles and median, and the X is the mean. (f) As in (e), but averaged over 30°N to 90°N .

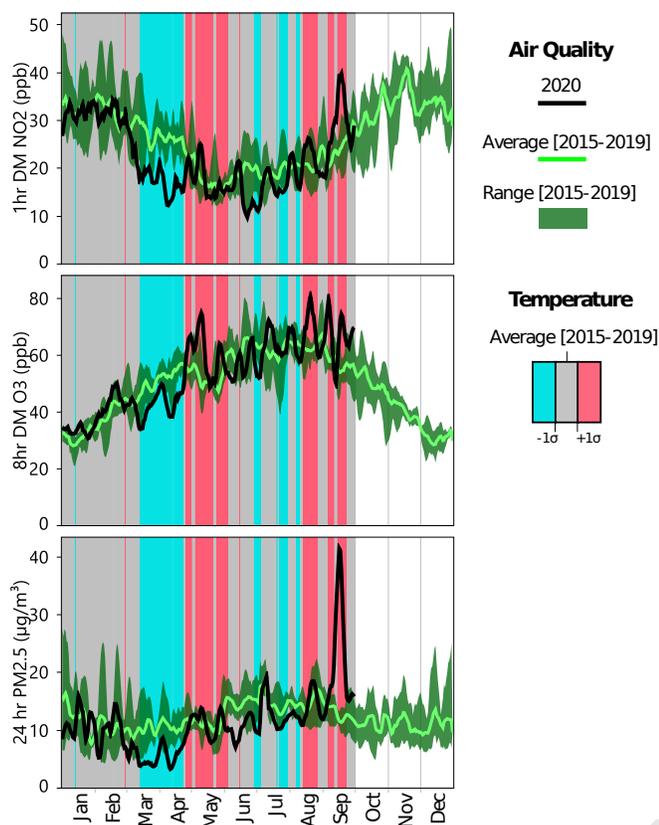


Fig. 5. 7-day rolling average of 24hr $PM_{2.5}$, 1hr daily maximum (DM) NO_2 , and 8hr DM O_3 by day of year in 2020 and in the past five years (2015-2019) in the LA Basin. Bars in the background show the 7-day rolling average of basin-average 1 hr DM temperature in 2020 relative to the 2015 to 2019 average ($\pm 1\sigma$) by day of year. 2020 data are preliminary, unvalidated, and subject to change.

173 LA Basin (21).

174 The response of particulate pollution to the COVID emissions
 175 reductions likewise reveals signatures of both distinct
 176 chemical regimes and meteorological controls. $PM_{2.5}$ (particles
 177 with diameter $\leq 2.5 \mu m$) levels in the LA Basin were markedly
 178 lower than the historical average in March and April (Fig. 5),
 179 even before the onset of the COVID-19 lockdown measures
 180 in mid-March. Synchronously, the LA Basin experienced frequent
 181 stormy days with atypically high amounts of rainfall and
 182 increased ventilation of the Basin through higher-than-
 183 average wind speeds, likely leading to reduced $PM_{2.5}$ levels
 184 through wet deposition and advective removal, respectively.
 185 Simulations of inorganic nitrate aerosol formation in the LA
 186 Basin under two emissions scenarios, business as usual and
 187 COVID-reduced, (Fig. 6) suggest a 20% to 30% decrease in
 188 the March to May period due to lower NO_x emissions, with the
 189 chemistry shifting substantially towards NO_x -limited under
 190 the COVID-reduced emissions scenario. Reduced secondary
 191 aerosol formation and a higher degree of wet removal than
 192 usual likely both contributed to the reduction in LA Basin
 193 $PM_{2.5}$ levels from March to May. After that, $PM_{2.5}$ concentrations
 194 reverted to typical levels until mid-September, when massive
 195 wildfires significantly deteriorated the air quality in the Basin.
 196

197 Other measurements, such as carbon monoxide (CO), help
 198 to identify the sectors in which emissions were reduced. In

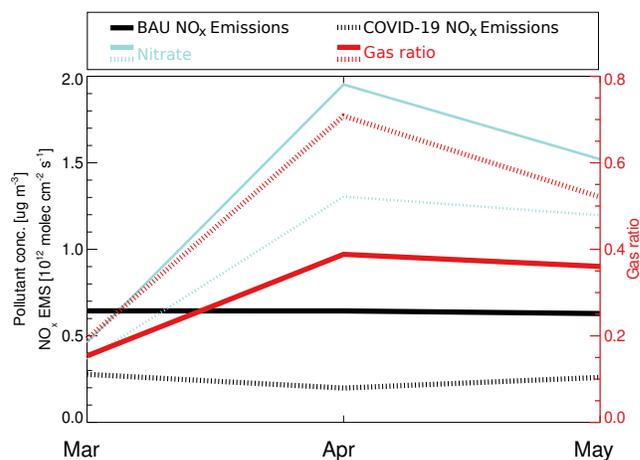


Fig. 6. Simulated inorganic nitrate aerosol sensitivity at downtown LA for two model runs during March to May 2020. Dashed lines represent the run with lockdown-induced emissions reductions (COVID-19), solid lines represent the business as usual (BAU) run. NO_x emissions are shown in black, nitrate aerosol concentration in blue, and the gas ratio in red. A gas ratio < 1 indicates NH_3 -limited (compared to NO_x -limited chemistry). See the SI for more information.

199 urban areas such as Los Angeles, more than 70% of CO emissions
 200 are from mobile sources, with smaller contributions from
 201 fossil-fueled power plants and other stationary sources (22).
 202 As discussed in Section 1, lockdown measures had a very large
 203 impact on vehicle miles traveled (VMT) in the LA Basin. This
 204 resulted in a clear signal in CO emissions as measured by the
 205 CLARS-FTS remote sensing spectrometer on Mt. Wilson (23),
 206 overlooking the basin. Figure S4 shows that the CO column
 207 abundance decreased by 37.5% in April, 2020 compared with
 208 the April mean from 2012-2019. The LA downtown region,
 209 where CO concentrations are normally the highest, experienced
 210 the largest decrease.

Implications for air quality mitigation strategies. The goal of
 211 improving air quality is ultimately to improve human health
 212 and quality of life. In this section, we explore what lessons
 213 we can learn from the COVID-19 period to inform future air
 214 quality policies that rely on cooperative action rather than
 215 individual sacrifice. We focus on questions arising from three
 216 key results of our analysis. First, what are the implications of
 217 the spatial and temporal heterogeneity in the O_3 and $PM_{2.5}$
 218 responses to emissions reductions, at both the global and
 219 urban scales? Second, what role does climate play in driving
 220 AQ changes, independent of emissions? Third, what lessons
 221 from the LA Basin case study can be applied globally, and
 222 what are the limitations to doing so?

223 First, what can be learned from the heterogeneity of the
 224 air quality (especially O_3) response to emissions reductions?
 225 Globally, the large, relatively constant OPEs of tropical and
 226 subtropical megacities suggest that NO_x emissions reductions
 227 would be highly effective at reducing O_3 levels throughout
 228 the year in these locations, whereas in midlatitudes NO_x decreases
 229 primarily impact the summer O_3 season, when OPE values
 230 are high relative to the rest of the year. Cities with negative
 231 OPE values should consider combined NO_x and VOC controls
 232 to minimize short-term increases in O_3 until NO_x concentrations
 233 are below the point of peak O_3 production. Urban areas
 234 should also assess the potential co-benefits of decreases in
 235 nitrate formation associated with NO_x emissions reductions.
 236

237 The strong dependence of secondary pollutant formation on
238 chemical regime (i.e. the concentration of precursors other
239 than NO_x , including VOCs) as well as the potential for changes
240 in chemical regime induced by simultaneous changes in O_3
241 and $\text{PM}_{2.5}$ concentrations (e.g. increased hydroperoxy radical
242 availability for O_3 production associated with decreased up-
243 take on aerosols (24)) emphasize the need for integrated air
244 quality policies that address multiple types of precursor emis-
245 sions simultaneously. Finally, the large role of meteorology in
246 controlling air quality (e.g. (18) and Fig. 5) must be taken
247 into account when determining efficient and cost-effective mit-
248 igation strategies.

249 At the urban scale, the variability in observed changes
250 in atmospheric composition within the LA Basin during the
251 COVID-19 lockdowns provides new insights regarding the im-
252 pacts of air quality policies on environmental justice concerns
253 and human health. COVID-19-related air quality improve-
254 ments were uneven across population subgroups in the Basin
255 (21) as well as in other major urban areas (15, 25), likely
256 driven by the closer proximity of low-income and minority
257 populations to major emission sources such as large roads,
258 industrial facilities, and ports (26, 27). Observing the health
259 impacts of air quality changes under COVID-19 is compli-
260 cated because people simultaneously changed the degree to
261 which they sought health care. However, studies have applied
262 concentration-response functions developed under pre-COVID-
263 19 activity patterns to estimate the number of deaths and
264 disease cases that could be avoided if long-term urban plan-
265 ning and environmental policies were to achieve COVID-like
266 levels of emissions reductions (28, 29). The resulting improve-
267 ments in air quality-related health metrics are substantial,
268 particularly with respect to $\text{PM}_{2.5}$, which has an order of mag-
269 nitude greater impact on premature mortality than O_3 (30).
270 Since air pollution is emerging as a risk factor for COVID-19
271 severity (31), the COVID-19 experience itself is also highlight-
272 ing the importance of air pollution mitigation for improving
273 the overall health of populations, making people more resilient
274 to unforeseen risk factors, including novel viruses, in the future.

275 The second question generated from our analysis is what
276 role does weather and climate play in the observed changes in
277 air quality? Interpreting the changes of O_3 and $\text{PM}_{2.5}$ in the
278 LA Basin during COVID-19 is complicated due to colder-than-
279 average temperatures and significant precipitation in March
280 and April and much warmer-than-average temperatures in
281 early May. Separating meteorological effects from responses to
282 emissions reductions must be a key part of follow-on studies
283 of the COVID-19 time period for all locations (15).

284 The LA Basin measurements, however, represent a unique
285 dataset to compare the relative effects of the O_3 climate penalty
286 (i.e. the increase in O_3 associated with warmer tempera-
287 tures) against emission reductions. Although not related to
288 the COVID-19 pandemic, multiple prolonged heatwaves in
289 August-October aggravated the O_3 pollution, set records in
290 different parts of the LA Basin, and stretched the O_3 season to
291 early Fall. Similar record-setting heat impacted much of the
292 western US (32). Additionally, intense wildfires throughout
293 California and much of the western US had large impacts on
294 $\text{PM}_{2.5}$ levels in the LA Basin. These events demonstrate that
295 climate change and extreme events can undermine air quality
296 progress from emissions controls. A previous prediction of
297 the O_3 climate penalty in 2020 for the LA Basin estimated a

298 basin-average temperature dependence of about 1 ppb K^{-1}
299 and up to 12 ppb K^{-1} in downwind areas (33); however, pre-
300 liminary analysis suggests typical values of 1.8 to 5.8 ppb K^{-1}
301 for the O_3 season (May-Sep) in 2020 throughout the basin (Fig.
302 S3). Analysis to understand this discrepancy is ongoing. The
303 2020 wildfire impacts on $\text{PM}_{2.5}$ are even greater than those
304 predicted for the end of this century in the first California Cli-
305 mate Assessment (34). Thus, the temperature-dependence of
306 pollutant formation and increases in emissions due to climate
307 change (e.g. temperature-driven evaporative emissions, air
308 conditioning-related electricity generation, chemical produc-
309 tion, or extreme wildfire events) mean that climate cannot be
310 considered a separate problem to air quality (35), and policies
311 that target both air quality and climate, such as the recent
312 California executive order requiring all new passenger vehicles
313 sold be zero emission vehicles (36), are critical to the future
314 health of both people and the planet.

315 Finally, what lessons from the LA Basin case study can be
316 applied globally? Los Angeles has seen decades of emissions
317 controls. In California as a whole, atmospheric levels of CO
318 and VOCs associated with passenger cars were reduced to 2%
319 of their pre-control levels by 2010 (37) and the entire diesel
320 truck fleet was converted to lower NO_x and $\text{PM}_{2.5}$ technologies
321 by 2020 (38). Passenger cars and light trucks now represent
322 only about 10% of total NO_x emissions in the LA Basin, while
323 heavy-duty trucks and buses represent approximately 30%
324 (39). Thus it is not completely unexpected that the O_3 and
325 $\text{PM}_{2.5}$ impacts of the COVID-19 reduction in traffic in LA, the
326 majority of which was associated with passenger vehicles, are
327 small compared to meteorological influences. Due to the con-
328 tinuing success of emissions controls on transportation sources,
329 other sources of NO_x (e.g., off-road diesel sources), VOCs
330 (volatile chemical products), and background O_3 are becoming
331 relatively more important to the O_3 budget in LA (19, 40).
332 Cities that still have a large fraction of emissions coming from
333 passenger vehicles should not expect meteorological effects to
334 overwhelm efforts to reduce pollutant formation from vehicular
335 emissions; rather, meteorology will set the lower bound of O_3
336 and $\text{PM}_{2.5}$ concentrations attainable solely through emissions
337 controls.

338 We note that the maximum COVID-19 disruptions in Cal-
339 ifornia were during spring, when both O_3 and $\text{PM}_{2.5}$ are
340 typically at their minimum levels and well below the U.S. am-
341 bient air quality standards. However, late-April and early-May
342 O_3 levels were higher than in recent years in the LA Basin,
343 which raises the question of whether there might be a shift in
344 the seasonality of O_3 concentrations in the future (40). More
345 work is needed to fully disentangle the effects of emissions,
346 meteorology, and climate change in this regard.

347 While most of the policy implications described here are
348 neither particularly new nor surprising, the COVID-19 event,
349 combined with extensive ground- and satellite-based obser-
350 vations, has allowed us to confirm our expectations of the
351 impacts of NO_x emissions reductions on air quality and at-
352 mospheric composition to a degree never before possible. To
353 summarize, the major lessons learned are:

- 354 1. Atmospheric chemistry and other processes alter the effi-
355 cacy of emissions controls from month to month, city to
356 city, and even neighborhood to neighborhood.
- 357 2. Care must be taken when crafting mitigation policies

to ensure disadvantaged neighborhoods benefit equally under new policies and that any pre-existing disparities are addressed.

3. In a warmer future climate with strong limits on AQ emissions, climate-driven AQ responses can overwhelm local controls. Therefore, controls on GHGs should be included in air quality mitigation strategies.
4. When applying our results from the LA Basin to other locations, it is important to note that (a) passenger vehicles and light trucks now represent only about 10% of LA NO_x emissions and (b) the peak COVID-19 emissions reduction occurred outside of the typical O₃ season (May-Sep). Additional work is required to fully understand how this result transfers to the summer months and to cities with a higher proportion of emissions from passenger vehicles. Nevertheless, points 2 and 3 are still generally applicable.

3. Observed changes in GHGs and implications for mitigation strategies

GHG Observations. As with air quality, lockdowns associated with the COVID-19 pandemic illustrate the link between individual activity and fossil fuel GHG emissions. However, surface transportation, where most of the reductions occurred, comprises only 21% of global CO₂ emissions, while power generation accounts for 44% (1). Thus, the large local changes in individual mobility, which represent a significant disruption to everyday life, had a limited global impact, with emissions going back in time only about a decade (Fig. 2a). Furthermore, unlike air quality, which responds quickly to changes in source gases, the effect of emissions perturbations on atmospheric CO₂ concentrations is buffered by its much longer effective lifetime. Observing the impact of COVID-19 on atmospheric CO₂ at global-to-regional scales has therefore proven difficult. However, in urban areas with CO₂ monitoring networks such as the San Francisco Bay Area, changes in both emissions and atmospheric concentrations were much larger; observations from the Bay Area are discussed in detail below.

Our preliminary estimates suggest that the global reduction in anthropogenic CO₂ emissions was 7.8% for Jan-August 2020 relative to 2019 (2) (Fig. 7a). Reductions were greatest in April, recovering to just below 2019 levels by mid-August. The year-average decline of the global emission could be 5% to 10% (approx. 490 to 980 Tg C) depending on the intensity of the reduction during the remaining lockdowns and the timing of the return of economic activity to pre-pandemic levels.

The impact on atmospheric concentrations, however, was much smaller. Because the CO₂ lifetime is long in the Earth system, present-day concentrations reflect accumulated emissions over decades to centuries, as well as positive and negative feedbacks. The atmospheric CO₂ mixing ratio has increased dramatically in the past decades. Current levels exceed 400 ppm, having increased every year without fail since the modern record began in 1958, when CO₂ was just over 300 ppm. In addition, there is a clear seasonal cycle, driven by the terrestrial biosphere, as well as natural interannual variability due to climate (e.g. tropical drought (41, 42)) and changes in atmospheric circulation patterns. Natural variability in terrestrial and ocean fluxes, which respond to concentration changes as well as to climate and human land use, can compensate for or magnify anthropogenic emissions changes. This reduces

the detectability of a global signal of even quite large regional emission changes.

Figure 7b shows both the observed mixing ratios at the Mauna Loa observatory and simulated mixing ratios using the Goddard Earth Observing System (GEOS) atmospheric model that incorporates daily estimates of 2019 and 2020 emissions from Liu et al. (2) This analysis shows that the impact of COVID-19 emissions reductions on the total mixing ratio in the atmosphere is quite small and hard to detect against the background seasonality and the long-term increasing trend. During early April, the time period with the sharpest emissions decreases associated with COVID-19, the impact on CO₂ at Mauna Loa was only a fraction of a ppm, which is smaller than interannual climate-driven changes caused by the El Niño cycle (41). For context, over the past 5 years, CO₂ at Mauna Loa has increased by nearly 15 ppm.

Ocean and land biosphere feedbacks may play a crucial role in reducing the atmospheric signal of CO₂ emissions reductions. One hypothesis is that carbon uptake by the ocean will decrease with smaller carbon emissions. This hypothesis is supported by the ensemble of model simulations shown in Figure 8, which depicts the responses of ocean and terrestrial carbon fluxes under both a typical emissions scenario and COVID-19-like emissions (43). Although the land flux is similar in both scenarios, ocean uptake decreases in response to the reduced atmospheric CO₂ growth rate (44). We find that the ocean uptake reduction of approximately 70 TgC/yr for 2020 offsets 7% to 14% of the reduction in anthropogenic emissions.

In contrast with the minimal changes in the trajectory of CO₂ globally, much larger changes have been observed locally. Turner et al. (45) compared 6 weeks of CO₂ measurements before and after mobility restrictions were enacted in the San Francisco Bay Area and observed a 5-50 ppm decrease; from this, they inferred a 30% decrease in fossil fuel CO₂ emissions over the period (Fig. 7c). When integrated over the first six months of the year, COVID-19 restrictions represent an almost 80% total reduction in CO₂ emissions from vehicles in the Bay Area relative to 2019. The decrease in mobility also perturbed the daily and weekly cycle of emissions, with the largest reductions occurring mid-week and during the morning rush hour. The atmospheric CO₂ signal was observable in an urban area because of the proximity to the perturbed sources, in contrast to the dilute, global signal.

The nature of the Bay Area human system means that traffic emissions are a significant driver of near-field CO₂ mixing ratios. Other large urban areas also experienced significant declines in emissions from ground transportation, with approximately 70% reductions in New York and Beijing when the lockdowns started (46). At the regional scale, other emission sectors had more influence. Gurney et al. (47, 48) found that weekly total US fossil fuel CO₂ emission reached a maximum departure of -19.5% (-18.2% to -21.6%) during the week ending April 3, 2020, consistent with the initiation of state-scale COVID-19 lockdown orders. The average fossil fuel CO₂ emissions decline for April and May, the two-month period with the largest persistent reduction, was -15.8% (-14.3% to -17.8%), with the largest decrease from gasoline-fueled transportation (-30.2%), followed by electricity generation (-15.4%), aviation (-62.2%), and industrial activity (-9.0%). Hence, while mobility sectors did have the largest decrease across the US, other

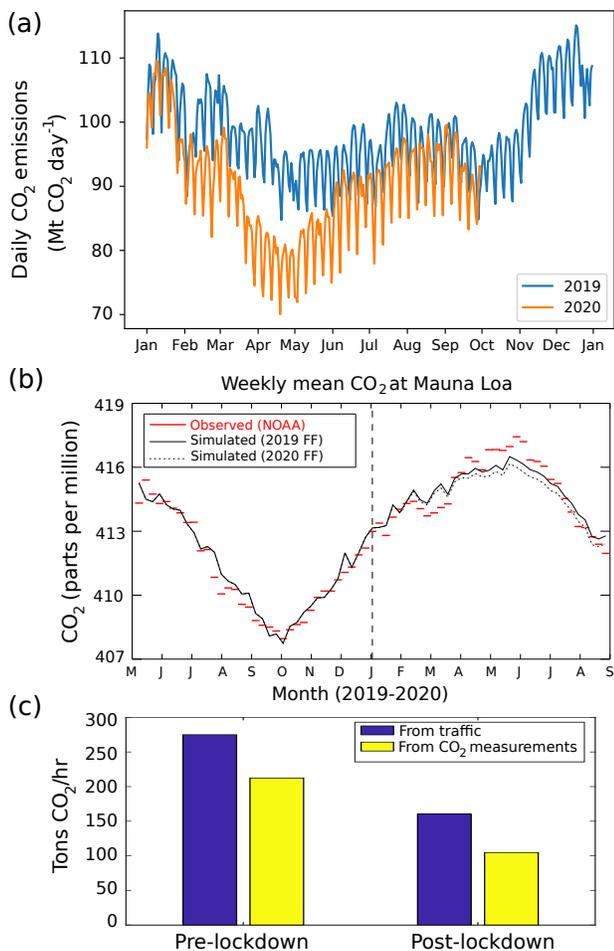


Fig. 7. (a) Global CO₂ emissions for 2019 and 2020. See SI for details. (b) Simulated (black) and weekly average observed (red) CO₂ at the Mauna Loa observatory (49) during 2019 and 2020. A GEOS simulation assuming 2019 emissions levels in 2020 is shown as the solid black line along with a simulation incorporating estimated 2020 decreases (dashed black line). (c) CO₂ emissions in the San Francisco Bay Area before and after the COVID-19 lockdown, inferred through two techniques: an inversion of BEACO₂N network observations ("from CO₂ measurements") and traffic data combined with estimates of fuel efficiency ("from traffic").

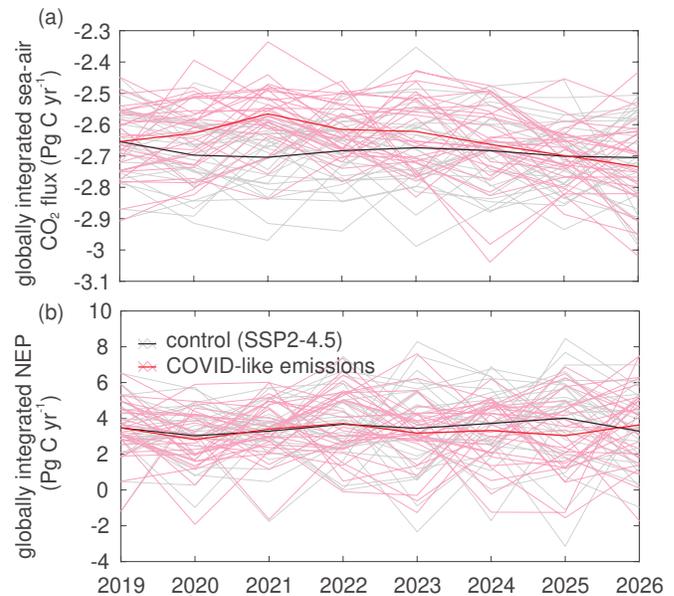


Fig. 8. Annual mean, globally integrated carbon dioxide fluxes predicted from the CanESM5-COVID ensemble (43): (a) Sea-to-air CO₂ flux (positive out of ocean; Pg C yr⁻¹), and (b) terrestrial net ecosystem production (NEP, positive into biosphere, excludes land use change, Pg C yr⁻¹). Black/gray lines derive from simulations forced with SSP2-RCP4.5 CO₂ emissions, while red/pink lines derive from simulations forced with a 25% peak CO₂ emissions reduction in 2020. See (43) for more details. Thick lines are ensemble averages, and thin lines are individual ensemble members, each with different phasing of internal variability.

may have reduced the maintenance frequency, leading to an increase in leaks. In response to these uncertainties, NASA organized an airborne campaign in the spring of 2020 to better understand the processes controlling methane emissions during COVID-19. The campaign aimed to leverage the recent work of Duren *et al.* (50) who used an airborne imaging spectrometer (51) to characterize methane emissions across California. Analysis of these results is ongoing.

In addition to direct changes in methane emissions, the growth rate of CH₄ in the atmosphere will be impacted by the shift in NO_x chemistry seen in Sect. 2. In a model incorporating the decreased NO_x emissions associated with COVID-19 (20), March to June monthly global averaged OH concentrations decreased by 2% to 4%. Using the tropospheric chemical methane lifetime from the Atmospheric Chemistry Climate Model Intercomparison Project (ACCMIP) multi-model mean (9.3 ± 1.6 year), a 4% OH reduction would increase the methane lifetime by about 4 months, roughly equivalent to a 22 Tg/yr (6%) increase in fossil fuel methane emissions (Fig. 2).

Implications for GHG mitigation strategies. Though the effect of COVID-19-induced lockdowns on the growth rate of atmospheric CO₂ was small, this event provided important information on how the Earth system and human behavior respond to a sudden shift in emissions, and demonstrates that restriction of personal mobility is not an effective means of reducing atmospheric CO₂. For methane, any decreases in emissions can be counteracted by NO_x reductions and the resulting increases in lifetime, indicating once again the importance of designing integrated climate and AQ mitigation policies.

The San Francisco Bay Area provides an example of how

sectors also experienced anomalous declines. When including expectations for the remainder of 2020, the estimated annual fossil fuel CO₂ emissions decline in the U.S. is projected to be -9.9% (-7.6% to -12.1%). These differences in local, regional, and global changes in emissions and atmospheric concentrations of CO₂ emphasize the need for monitoring CO₂ at multiple scales.

While there is a clear chain of causality tying COVID-19 mobility restrictions to CO₂ emissions, the impacts of COVID-19 on other major GHGs such as methane are less clear. The fossil fuel sector is indeed a major source of methane; however, methane emissions are not directly tied to fossil fuel combustion. Instead, they occur during the production, processing, and transport of oil and gas as well as from coal mining. The COVID-19 lockdowns imply a number of competing effects with respect to methane emissions. Oil production declined, but the demand for methane for heating and power generation may not have changed significantly. Drilling of new wells decreased; at the same time, production and storage facilities

528 behavioral responses to the pandemic can offset potential
529 emissions reductions. VMT in the Bay Area decreased in
530 the first six weeks after safer-at-home measures were imposed,
531 followed by recovery in the late spring and summer months. As
532 of June 2020, VMT has largely recovered, reaching 83% of the
533 baseline despite 40% of people in the Bay Area still reporting
534 staying at home. One reason for the recent increases in VMT
535 may be a combination of a reluctance to use and a reduction
536 of services in public transit. Monthly ridership of the Bay
537 Area Rapid Transit System (BART) saw an average of roughly
538 400,000 riders daily on pre-pandemic weekdays. Ridership
539 reductions relative to February 2020 peaked in April, with a
540 93% decrease in BART usage. In contrast to personal vehicle
541 use, BART ridership has recovered only slightly in recent
542 months, with ridership in September still 87% below February
543 levels (<https://www.bart.gov/about/reports/ridership>, last accessed
544 29 Oct 2020).

545 At the same time, COVID-19 has the potential to lead
546 to local permanent emissions reductions. The Marathon
547 Refinery, which represents roughly 10% of Bay Area industrial
548 CO₂ emissions (52), ceased operations permanently in
549 2020 and is under evaluation for use as a renewable diesel
550 processing facility ([https://www.sfchronicle.com/business/article/
551 Marathon-Petroleum-will-indefinitely-idle-15451841.php](https://www.sfchronicle.com/business/article/Marathon-Petroleum-will-indefinitely-idle-15451841.php), last accessed
552 29 Oct 2020).

553 Complex recovery paths further complicate understanding
554 the long-term effects of COVID-19 on CO₂ (and CH₄), but
555 they also provide insights into the challenges of observing
556 and verifying more intentional mitigation of emissions in the
557 complex carbon energy system as the world addresses climate
558 change. There are two key conclusions to draw from this
559 analysis:

- 560 1. Changes in both human behavior and the Earth system
561 can counteract reductions in GHG emissions. While there
562 were examples of positive feedbacks (e.g. the Marathon
563 refinery closure), the net impact appears to be a partial
564 offset of the emissions reductions. In particular, oceanic
565 uptake of CO₂ rapidly decreased, which immediately offset
566 part of the anthropogenic emissions reduction. Therefore,
567 we must expect that the ratio between the change in
568 the atmospheric growth rate of GHGs and changes in
569 emissions is less than one, and plan accordingly.
- 570 2. Despite the major disruption that the COVID-19 pan-
571 demic has caused in most people's lives, it has had little
572 effect on the trajectory of our future climate. The con-
573 trast between stark emissions reductions across the trans-
574 portation sector and the minimal impact on global CO₂
575 concentrations highlights the ineffectiveness of piecemeal
576 or single-sector emissions reductions at slowing global ac-
577 cumulation of GHGs. This paradox demonstrates the dire
578 need for systemic change, rather than extreme modifica-
579 tion of individual behavior, to effectively mitigate climate
580 change. GHG emissions from all of the largest sectors:
581 power generation, industry, transportation, and agricul-
582 ture (1, 53) must be addressed to permanently move
583 our CO₂ and CH₄ emissions back in time and effectively
584 reduce their concentrations in the atmosphere.

4. Earth observing system: successes and future vi- 585 sion 586

587 Understanding the global atmospheric response to COVID-
588 19 mitigation policies would not have been possible without
589 international investments in both ground-based and space-
590 based environmental sensors (54, 55). In the sections above,
591 we have shown that the current observing system, combined
592 with data assimilation and modeling frameworks that allow
593 us to tease apart the roles of COVID-induced emissions re-
594 ductions, meteorology, and biospheric processes, is able to
595 deliver an understanding of the processes mediating the pro-
596 duction, transport, and removal of air pollutants and GHGs.
597 At the same time, this analysis of COVID-19 impacts on the
598 atmosphere has revealed gaps in the observing system.

599 Quantifying the emissions, transport, and transformation of
600 atmospheric pollutants is a multi-scale challenge in both space
601 and time. An effective observing system must capture the
602 non-linearity in chemistry associated with changes in emissions
603 on urban scales and the subsequent impact of these changes
604 on regional and global scales. A specific gap in AQ observing
605 capability is high-quality, routine measurements of volatile
606 organic compounds. For GHGs, the global observing system
607 must simultaneously be able to break down the sector-by-sector
608 contribution to GHG emissions and detect the Earth system
609 responses to these emissions changes. These goals require
610 additional observations and measurements at finer spatial and
611 temporal resolution than currently available.

612 The current fleet of GHG observing satellites is limited to
613 narrow field-of-view instruments in low earth orbit, meaning a
614 given location is only observed once per day and the number
615 of locations observed is limited. While current air quality
616 observing satellites include wide swath instruments capable
617 of global coverage, they have still been restricted to at most
618 twice daily observations up until now. Over the next decade,
619 however, a new suite of geostationary sounders will provide
620 air quality data at unprecedented spatio-temporal resolutions
621 as part of a global air quality constellation (56). The first of
622 these sounders, the Geostationary Environment Monitoring
623 Spectrometer (GEMS), launched recently and will provide
624 hourly air quality measurements over Asia. The diurnally
625 resolved measurements should provide information to help
626 distinguish between various emissions sectors. GEMS will soon
627 be followed by TEMPO over North America (57) and Sentinel-
628 4 over Europe and North Africa (58). Similar plans are in
629 motion to launch next generation GHG observing satellites,
630 including geoCARB (59), GOSAT-GW (60), and CO2M (61)
631 which will provide much denser CO₂ observations than the
632 current fleet of CO₂ sensors. Other proposed missions, such as
633 the Atmospheric Imaging Mission for Northern Regions (62),
634 would bring a dense set of air quality and GHG observations
635 to the northern high latitudes, critical for understanding how
636 the boreal forest and permafrost respond to climate change.

637 The observing system of the future also needs to be able to
638 resolve lower atmospheric variability and extend the number
639 of species observed. The LA Basin AQ example showed the
640 importance of understanding the chemical regime that governs
641 O₃ and PM_{2.5} formation, and of other tracer measurements
642 such as CO to disambiguate different sectors' emissions. New
643 approaches will combine measurements from multiple sensors
644 to infer near-surface quantities relevant to AQ (63, 64). Aug-
645 menting the planned next generation of satellites, which cover

646 the short wave infrared, near infrared, visible, and ultraviolet
647 wavelengths, with thermal infrared sensors will aid in this.
648 This could be feasible from meteorological sounders like IRS-
649 MTG (65), though higher spectral resolution than currently
650 planned for meteorological sounders is critical for quantifying
651 near-surface O₃. Retrievals of isoprene, the largest natural
652 VOC source, have recently been demonstrated using thermal
653 IR measurements from the Cross-track Infrared Sounder (CrIS)
654 (63). Designing an isoprene-specific instrument with a lower
655 limit of detectability than CrIS and concurrently measuring
656 HCHO and NO₂ would provide key information on chemical
657 regimes relevant to O₃ and secondary aerosol formation.

658 Measurements of particulates, which according to the
659 Global Burden of Disease are the leading environmental risk
660 factor for mortality, have unique challenges relative to those of
661 trace gases. Although advances in the retrieval of AOD from
662 satellite measurements of solar backscatter (66, 67), coupled
663 with observed relationships between AOD and PM_{2.5}, are offer-
664 ing a new window into air quality assessment from satellite
665 remote sensing (68, 69), challenges remain in observing how
666 emissions changes such as those associated with COVID-19
667 interventions interact with the PM_{2.5} chemical system. Given
668 the dichotomy in the response to COVID-19 emissions re-
669 ductions seen between urban and rural areas (Figs. S5–S7),
670 working towards PM_{2.5} observations that cover both types of
671 regions, either through wider in situ networks, new develop-
672 ments in remote sensing (70, 71), or a combination of both
673 (72, 73), will be important to understand the chemical factors
674 controlling PM_{2.5} exposure.

675 Though the ability of satellite measurements to provide
676 global coverage is invaluable for monitoring global air quality
677 and GHG burdens, a space-based system must be comple-
678 mented with innovative in situ approaches. These approaches
679 provide important information on the vertical distribution of
680 atmospheric constituents (74) at small spatiotemporal scales
681 to complement space-based column abundances, as well as
682 measurements of critical species that cannot be measured by
683 remote sensing techniques.

684 Dense, low cost sensor networks such as the Berkeley
685 BEACO₂N network (75, 76) can play an important role in
686 resolving urban-scale pollution. These networks effectively
687 offer a mapping capability similar to the next generation of
688 space-based observations, but through a distributed collec-
689 tion of instruments, rather than a single imager. Section 3
690 described how BEACO₂N measurements informed estimates
691 of CO₂ emissions reductions due to COVID in the San Fran-
692 cisco Bay Area. Other networks that have likewise observed
693 high spatial variability in CO₂ and pollutant gases as well
694 as temporal variations caused by local emissions have been
695 reported in Pittsburgh, PA, USA (77, 78), and Cambridge,
696 UK (79, 80).

697 Sensor networks can be especially useful in distinguishing
698 between emissions from different sectors. Low cost sensors
699 deployed at Heathrow Airport in the UK were used to refine
700 a NO_x emission inventory by constraining the emission ratio
701 between NO_x and CO₂ (81). Another study in Pittsburgh, PA
702 (82) that focused on the impact of COVID-19 found a 50%
703 reduction in CO and NO₂, leading to a 100% reduction in the
704 typical PM_{2.5} enhancement from traffic during morning rush
705 hours, but no significant change in industry-related CO and
706 PM_{2.5} concentrations. These studies highlight a particular

707 advantage of in situ networks over space-based observations:
708 not only do they offer higher temporal resolution than even
709 geostationary sensors, but they can measure at night and
710 early morning, when sunlight-observing spectrometers cannot.
711 Finding ways to integrate measurements from in situ networks
712 and Earth observing satellites will enable us to combine the
713 best aspects of both. One study (73) did so successfully and
714 reported greater accuracy and spatiotemporal detail in PM_{2.5}
715 exposure estimates.

716 In between these neighborhood-level networks and orbiting
717 satellites, a system must include a component capable of
718 deploying in a rapid response mode to measure quickly-evolving
719 changes in the Earth system. Section 3, showed how the
720 response of the CH₄ growth rate to the COVID-19 pandemic
721 is governed by both changes in emissions and lifetime. At the
722 global scale, these will be convolved and very challenging to
723 separate. The NASA aircraft campaign organized to study
724 methane emission processes during spring of 2020 will provide
725 critical, near-field data (unaffected by changes in lifetime) to
726 separate these factors. Such targeted observations that can be
727 deployed as needed must be part of future observing system
728 plans.

729 Given the focus on dense monitoring networks and high
730 spatiotemporal frequency satellite observations, it is clear that
731 the volume of data available will continue to grow in the
732 future. Data-driven modeling is a key tool to separate out
733 the various processes at work in the Earth system. There-
734 fore the development of infrastructure for synthesis of these
735 datastreams must accompany the deployment of new satel-
736 lite constellations and in situ networks. Another requirement
737 is the development of models that can seamlessly represent
738 the chemical environment from urban to global scales. The
739 Multi-Scale Infrastructure for Chemistry and Aerosols (MU-
740 SICA) (83), is an example of the initial development of such
741 a framework. Data assimilation, which is the cornerstone of
742 modern numerical weather prediction, is a critical pillar for
743 the global analysis of air quality constrained by observations
744 and for CO₂ flux estimate efforts. New initiatives like the
745 European Copernicus Atmospheric Modeling Service (CAMS)
746 (84) are providing an operational capacity for air quality while
747 new systems are focused on estimating both emissions and pol-
748 lutants (20, 85). Additional data assimilation tools are needed
749 that can integrate the growing datastreams and capture (1)
750 the evolving nonlinear relationships between the large suite of
751 chemical constituents, (2) the broad range of chemical lifetimes
752 and spatial scales involved, and (3) the offsetting responses
753 occurring in the Earth system. The development of these
754 tools as a community-based resource should be a component
755 of the emerging observing system to ensure that the broader
756 community can effectively exploit the observations to better
757 understand the changing Earth system.

758 Conclusion

759 The COVID-19 pandemic represents an unprecedented and
760 well-observed event that provides a glimpse into both the past
761 and a future world with drastically altered emissions to the
762 atmosphere. Much work remains to be done to understand in
763 detail the implications of this event for understanding human
764 interaction with the Earth system. However, the availability
765 of an unprecedented wealth of Earth observations during the
766 pandemic shows the value of current and future space-based

767 and in situ sensors in understanding these interactions. Several
768 key lessons are already apparent from these systems and the
769 nascent integrated analyses presented here.

770 The chemical regimes governing the response of air quality
771 to emissions changes are quite variable in both space and time.
772 Future actions to remediate air quality should consider the
773 best course for a given location, and be careful about applying
774 lessons from historically successful actions without accounting
775 for differences between then and now. Even within a single
776 city, spatial differences in the air quality response to emissions
777 must be considered to ensure all neighborhoods benefit from
778 air quality improvements.

779 Despite the massive disruption to daily life around the
780 world, the lockdowns resulting from the COVID-19 pandemic
781 brought our CO₂ emissions back in time by only nine years.
782 Coupled with changes in ocean flux and human behavior that
783 partly offset the reduction, the pandemic did not significantly
784 reduce the growth rate of atmospheric CO₂. Clearly, changes
785 in individual behavior alone will not prevent our reaching a
786 1.5°C warming. Sustained, systemic changes are required to
787 curb our carbon emissions.

788 Observations during the COVID-19 period show unam-
789 biguously that improving air quality and preventing climate
790 change are not separate problems; they are inextricably linked.
791 Climate-driven extremes of temperature, drought, and wild-
792 fires can overwhelm a half century of effort to improve air
793 quality. Simultaneously, reduced NO_x emissions can lead to
794 longer CH₄ lifetime through reduced OH concentrations, in-
795 creasing methane's warming potential. As depicted in Fig.
796 1, strategies to achieve better air quality and reduce climate
797 change can be informed by the results presented here and
798 depend on solutions that treat these as two parts of the same
799 goal, and not separate challenges.

800 Materials and Methods

801 Full methods are available in the SI. Analysis of LA Basin air qual-
802 ity used data from CA Air Resources Board monitors, filtered for
803 complete data records in the 2015 to 2020 period. Model simu-
804 lations to derive OPE used multiconstituent assimilation in the
805 MIROC-CHASER model. OPE calculated by comparing mod-
806 eled O₃ production difference between baseline and reduced 2020
807 emissions. PM_{2.5} simulations used GEOS-Chem v9-02 with NO_x
808 emissions consistent with the OPE simulations.

809 SF Bay Area CO₂ emissions were estimated by (a) an inversion
810 of BEACO₂N network CO₂ measurements using the STILT model
811 (86) and (b) by the product of PeMS-measured VMT and fleet fuel
812 efficiency. Global CO₂ emissions estimates were derived from
813 an array of near-real time data on power generation, industry, transport,
814 and fuel consumption.

815 Publicly available datasets will be listed in the SI. For other
816 datasets, please contact the corresponding authors.

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