1

Upskilling low-fidelity hydrodynamic models of flood inundation through spatial

analysis and Gaussian Process learning

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Key Points:

- A new hybrid surrogate model for predicting the dynamic evolution of flood inundation extent is proposed.

- The hybrid model significantly improves the accuracy of flood inundation extent predictions compared to a low-fidelity model.

- The computational cost is substantially reduced compared to a high-fidelity model.

15 **Abstract**

16 Accurate flood inundation modelling using a complex high-resolution hydrodynamic (high-

17 fidelity) model can be very computationally demanding. To address this issue, efficient

18 approximation methods (surrogate models) have been developed. Despite recent developments,

19 there remain significant challenges in using surrogate methods for modelling the dynamical

20 behaviour of flood inundation in an efficient manner. Most methods focus on estimating the

21 maximum flood extent due to the high spatial-temporal dimensionality of the data. This study

22 presents a hybrid surrogate model, consisting of a low-resolution hydrodynamic (low-fidelity)

23 and a Sparse Gaussian Process (Sparse GP) model, to capture the dynamic evolution of the flood

24 extent. The low-fidelity model is computationally efficient but has reduced accuracy compared

25 to a high-fidelity model. To account for the reduced accuracy, a Sparse GP model is used to

26 correct the low-fidelity modelling results. To address the challenges posed by the high

27 dimensionality of the data from the low- and high-fidelity models, Empirical Orthogonal

28 Functions (EOF) analysis is applied to reduce the spatial-temporal data into a few key features.

29 This enables training of the Sparse GP model to predict high-fidelity flood data from low-fidelity

30 flood data, so that the hybrid surrogate model can accurately simulate the dynamic flood extent

31 without using a high-fidelity model. The hybrid surrogate model is validated on the flat and

32 complex Chowilla floodplain in Australia. The hybrid model was found to improve the results

33 significantly compared to just using the low-fidelity model and incurred only 39% of the

34 computational cost of a high-fidelity model.

35 **Plain Language Summary**

36 Floods are the most common type of natural disaster and therefore it is important to predict when

37 and where flooding occurs. This is normally done using a complex computer model that divides

38 the area of interest into small subareas and then calculates how the water moves between each

39 subarea. However, to predict flooding accurately over large areas, it is necessary to use millions

40 of small subareas and it takes a long time to calculate the movement of flood water between

41 subareas. To mitigate this issue, this study proposes an alternative approach based on a simpler

42 computer model. This simpler model uses larger subareas to predict flooding, which makes the

43 model less accurate but much faster. To compensate for the reduced accuracy, the results are

44 corrected using an advanced computer method that is calibrated to predict the relationship

45   between the predictions made using the complex and simpler models. The new approach is used

46   to predict flooding on a large, flat floodplain in Australia. The predictions show a significant

47   improvement compared to just using the simpler computer model. Furthermore, the calculations

48   only take about 39% of the time taken by a complex model with the small subareas, but the

49   accuracy is similar.

50   **1 Introduction**

51   Floods are some of the most destructive natural disasters in the world and they are

52   projected to become more severe and frequent with climate change (IPCC, 2021). During a flood

53   event normally dry areas are inundated until a maximum inundation extent is reached (flooding

54   period), whereafter the water recedes back to the normal state (recession period). Capturing the

55   dynamics of this behaviour is of great importance for risk management and has led to the

56   development of advanced hydrodynamic models. Hydrodynamic models can represent different

57   levels of complexity and precision. For simulating the dynamics of flood inundation, two-

58   dimensional hydrodynamic models that numerically solve the depth-averaged Navier-Stokes

59   equations on a high-resolution grid is normally applied (Teng et al., 2017). These high-resolution

60   hydrodynamic models are often referred to as high-fidelity models, where the fidelity refers to

61   the model's degree of realism (Razavi et al., 2012). However, the high precision of high-fidelity

62   models comes at an expense of high computational cost, which makes them unfeasible in many

63   practical applications such as ensemble and real-time modelling (Teng et al., 2017; Wu, 2020).

64   To address this issue, computationally efficient approximation methods named surrogate models

65   have been developed (Razavi et al., 2012).

66   Many different types of surrogate models have been considered and can be divided into

67   three groups: emulator, low-fidelity, and conceptual models (McGrath et al., 2018; Razavi et al.,

68   2012; Teng et al., 2017). Emulator models, also known as response surface surrogates or meta

69   models (Razavi et al., 2012), are data-driven models that are trained to predict observations or

70   results from high-fidelity models. Emulators are capable of mapping complex non-linear

71   relationships, and, once trained, have a high computational efficiency (Razavi et al., 2012).

72   However, emulators are not physics-based models, and it is not straightforward to employ an

73   emulator to approximate high spatial-temporal dimensional data from a high-fidelity flood

74   inundation model. To deal with the hysteresis of system behaviour, it is usually necessary to

75   incorporate timeseries data. For emulators this is often done by using several input variables

76   representing lagged timesteps, thus increasing the dimensionality of the data used for model

77   development (Brahim-Belhouari & Bermak, 2004; Brahim-Belhouari et al., 2001; Zahura, 2020).

78   Consequently, emulator models are often limited to just predicting the maximum flood

79   inundation extent (e.g. Devi (2019); Kim (2020); Lin (2020)) rather than predicting a timeseries

80   of flood behaviour.

81   However, recently emulator-based surrogate models have been developed to incorporate

82   timeseries data and to predict the dynamic flood inundation extent (Chu, 2020; Kabir, 2021; Xie

83   et al., 2021; Zhou et al., 2021). These studies predict flood inundation using numerous individual

84   emulator models. Each of the models are independent and predict flooding at a specific location

85   in the floodplain. The number of individual models varies with model application. For example,

86   Kabir (2021) used 150, Zhou et al. (2021) used 125, Chu (2020) used 14227 and Xie et al. (2021)

87   used 14278. Using many single models is impractical and does not account for the spatial

88   correlation of flood inundation behaviour (Chu, 2020). To address this issue, new methods have

89   been proposed, such as the parallel partial approach by Gu and Berger (2016) and Ma et al.

90   (2020) where correlation parameters are shared between individual Gaussian Process (GP)

91   emulator models. Even so, dealing with spatial correlation is an issue that persists and needs to

92   be addressed when employing emulator models.

93   Low-fidelity models represent another type of surrogate models. These are physics-based

94   models similar to high-fidelity models, but with reduced complexity. Model complexity is

95   reduced by changing the numerical accuracy, adopting simplified assumptions for the governing

96   scheme, or applying a simpler model type (e.g. using a one-dimensional instead of two-

97   dimensional model) (Asher et al., 2015; Razavi et al., 2012). Due to the reduced complexity,

98   low-fidelity models have a lower computational demand than high-fidelity models, but at the

99   cost of reduced accuracy (Fernández-Godino et al., 2019; Fernandez et al., 2017; Liu et al., 2018;

100   Park et al., 2017). In comparison to emulator models, low-fidelity models can more easily

101   incorporate hysteresis and spatial dimensionality but with a higher computational burden.

102   The last group of surrogate models is simplified conceptual models. These models can

103   also be considered low-fidelity models with significantly reduced model complexity. Conceptual

104   models utilise simple hydraulic concepts to make predictions and can provide useful estimates

105   for the maximum or final flood inundation extent (McGrath et al., 2018; Teng et al., 2017).

106   However, their capability to predict the dynamical behaviour of the flood events is limited

107   (McGrath et al., 2018; Teng et al., 2017).

108   Emulator and low-fidelity models both have their strengths and weaknesses, thus a

109   combination of these two or a hybrid model utilising both surrogate model types, is an appealing

110   approach. However, as mentioned previously emulator models have issues dealing with the

111   spatial correlation inherent in hydrodynamic behaviour, thus many single models are used for

112   individual locations across a floodplain. This is often impractical and can lead to discontinuity

113   between the estimates derived for neighbouring grid cells. To reduce the number of emulator

114   models, dimensionality reduction techniques such as feature selection methods have been

115   introduced to identify key locations in a floodplain (e.g. Zhou et al. (2021)). An alternative way

116   of reducing dimensionality of spatial-temporal data is to extract key features in the form of

117   patterns or trends (feature extraction methods). A common feature extraction method is

118   Empirical Orthogonal Function (EOF) analysis, which has been used in areas of remote sensing,

119   climate science and oceanography (e.g. Aires (2014); Aires (2020); Alvarez and Pan (2016);

120   Chang (2020); Ghosh (2021); Golestani and Sørensen (2013); Jolliffe and Cadima (2016);

121   Marques et al. (2009)). EOF analysis reduces the spatial-temporal data into pairs (modes) of

122   spatial patterns (EOF) and temporal variability functions, termed expansion coefficients (EC)

123   (Jolliffe & Cadima, 2016; Zhang & Moore, 2015). When ranked, each mode explains a

124   descending proportion of the variance in the data, and the dimensional reduction is achieved by

125   using only the first few significant modes to explain most of the variance in the dataset (Jolliffe

126   & Cadima, 2016; Zhang & Moore, 2015). In addition, EOF analysis is reversible, meaning that

127   the dataset can be both decomposed to and reconstructed from the ECs and EOFs (e.g. Aires

128   (2014)).

129   EOF analysis can be used for downscaling data from low-resolution to high-resolution,

130   thus making it appealing for use with low- and high-fidelity flood inundation modelling. For this

131   reason, Carreau and Guinot (2021) recently predicted high-resolution water depths and discharge

132   using a hybrid surrogate approach that combined a low-resolution hydrodynamic model with

133   Artificial Neural Network (ANN) emulator models to predict ECs from a high-resolution

134   hydrodynamic model. Carreau and Guinot (2021) demonstrated the value of using EOF analysis

135   and emulator models to downscale the results from low-fidelity models, and they obtained higher

136 resolution predictions of water depth and discharge for flooding events in urban environments.

137 They derived the "low-fidelity model results" by averaging the high-fidelity results over selected

138 subdomains. While this approach suited their evaluation purposes, in practice the low-fidelity

139 model results need to be derived independently from the high-fidelity model to avoid the

140 computational burden involved, and this will most likely introduce additional uncertainty to the

141 low-fidelity results. It is also worth noting that they developed individual EOF analyses and

142 hybrid models specific to different flow problems. To ensure consistency, the EOF analysis

143 should be performed once for the entire dataset of flood events, and the same hybrid model

144 should be able to simulate the full duration of various flood events on a real-world topology with

145 complex flow patterns and dynamically changing inundation extents.

146   An emulator, such as the ANN used by Carreau and Guinot (2021), is well suited to

147 describe the complex functional relationships that exists between the ECs. However, the choice

148 of emulator is not straightforward as many different emulators have been developed and there is

149 no single algorithm that outperforms all others (Razavi et al., 2012; Wolpert & Macready, 1997).

150 Nevertheless, in recent years a probabilistic treatment of predictions has increased in popularity

151 and with it, interest in Gaussian Process (GP) models. This is due to the ability of a GP model to

152 characterise uncertainty by predicting both the mean and standard deviation of the associated

153 errors (Schulz et al., 2018). GP models have already been used in numerous studies to predict

154 wave height/water level (Ma et al., 2020; Malde, 2016; Parker, 2019), timeseries behaviour

155 (Brahim-Belhouari & Bermak, 2004; Contreras, 2020; Hachino & Kadirkamanathan, 2011), and

156 timeseries with ECs as input (Avendaño-Valencia et al., 2017), and they have been used widely

157 in multi-fidelity modelling (Fernández-Godino et al., 2019; Fernandez et al., 2017; Park et al.,

158 2017; Toal, 2015). However, GP models become very computationally demanding when dealing

159 with large datasets due to the difficulties encountered when inverting large covariance matrices

160 (Bauer et al., 2017; Burt et al., 2019). Flood inundation events can have long timeseries

161 consisting of several thousand timesteps, thereby making it computationally infeasible to use the

162 GP model. Fortunately, Sparse Gaussian Processes (Sparse GP) offer means to this issue. The

163 Sparse GP models use a number of inducing variables to approximate the full GP and thereby

164 reduce the computational demand (Leibfried et al., 2021). Despite the promising aspects of the

165 Sparse GP models, their applications to real-life problems are still limited, and this study

166 therefore aims to investigate approaches that are suited for practical applications of this type of
167 emulator models.

168   This study proposes a new hybrid LSG (Low-fidelity, Spatial analysis, and Gaussian
169 process) model to provide accurate flood inundation predictions in a computationally efficient
170 manner. The model uses a low-fidelity model as a transfer function to capture the dynamics and
171 spatial correlation of a flood event. The key spatial and temporal features of the low-fidelity
172 model outputs are extracted through EOF dimension reduction techniques, thereby enabling the
173 use of a Sparse GP model to refine predictions of the dynamic evolution of the flood inundation
174 extent. The LSG model is applied to the simulation of complex flow patterns resulting from
175 flood events in a flat extensive floodplain, which provides a challenging application for the
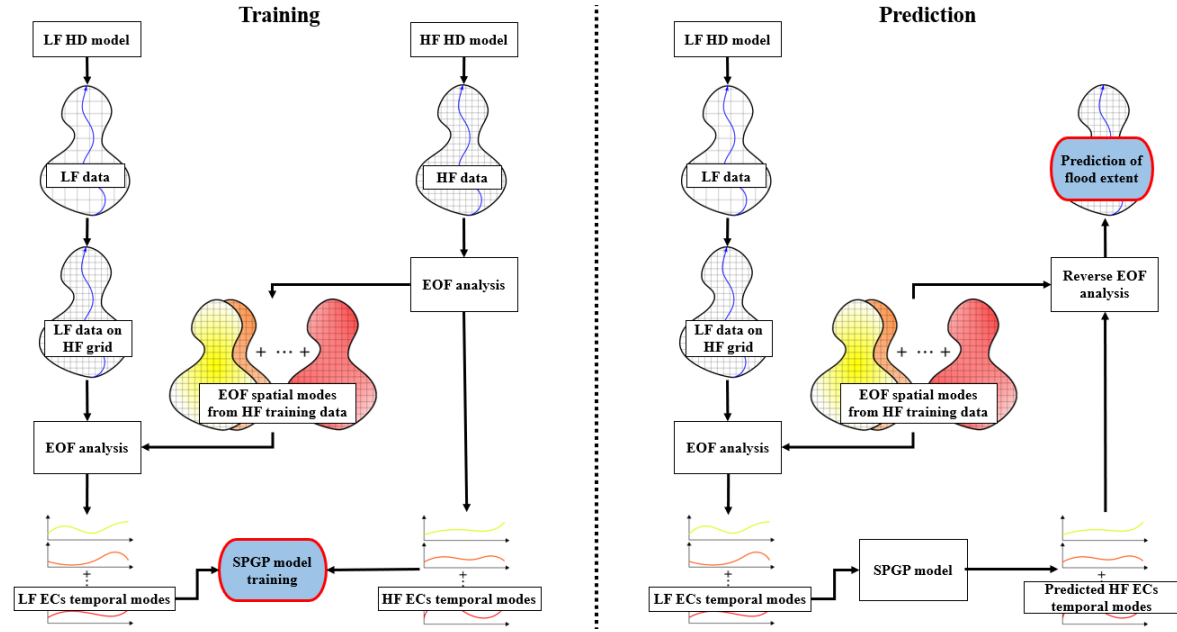176 model.

177   This paper is organised as follows. In section 2 the LSG model is presented, including the
178 methodology for the EOF analysis and Sparse GP model. In section 3 the case study for the
179 Chowilla floodplain is outlined with the available data and tests performed. Then in section 4 the
180 results from the case study are presented, followed by discussion and conclusion in section 5 and
181 6, respectively.

182 **2 LSG model**

183   The LSG (Low-fidelity, Spatial analysis, and Gaussian process) model is a surrogate
184 approach that provides high-fidelity estimates if the dynamic behaviour of flood inundation. It
185 consists of a low-fidelity hydrodynamic model and a Sparse GP emulator model, where the
186 Sparse GP model is used to convert the low-fidelity data to high-fidelity data via conversion of
187 ECs from an EOF analysis. In this study the only difference between the low- and high-fidelity
188 models is the degree of spatial resolution adopted, where the lower spatial resolution of the low-
189 fidelity model reduces the accuracy of the predictions.

190   The workflows for training and prediction are illustrated in Figure 1. EOF analysis is
191 performed on the high-fidelity data, thereby reducing the spatial-temporal data to EOF spatial
192 maps and ECs temporal functions. The low-fidelity data is first converted to the same
193 computational grid as the high-fidelity model, thus enabling the derivation of low-fidelity ECs
194 through the use of the high-fidelity EOFs. Finally, the ECs from both datasets are used to train

195 the Sparse GP model. Once the Sparse GP model is trained, the LSG model can be applied to

196 new flood events to predict the dynamic flood inundation extent without the need to run a high-

197 fidelity model. A detailed description of the workflows is given in the following sections.

198

199 **Figure 1: Process of training and prediction for the LSG model to simulate flood**

200 **inundation extent. Blue ovals indicate the output of each process.**

201 2.1 EOF analysis of hydrodynamic data

202   EOF analysis consists of reducing the dimensionality of spatial-temporal data by creating

203 modes of spatial maps (i.e. EOFs) and temporal functions (i.e. ECs), where each mode is

204 orthogonal to all others (Jolliffe & Cadima, 2016; Zhang & Moore, 2015).

205   Prior to the EOF analysis, the low- and high-fidelity models are used to simulate several

206 different inundation events that span a wide range of inundation behaviour from no flood to

207 extreme flood scenarios. This will enhance the output space coverage of the Sparse GP model

208 and improve prediction accuracy for new unseen events (Maier et al., 2010; Wu et al., 2013).

209   As the inundation extent is the focus of this study, the outputs from the low- and high-

210 fidelity models are converted to binary values (1 for flooded and 0 for dry). The threshold for

211 flooding is chosen to be 3 cm to ignore insignificant flooding and reduce numerical errors. The

212 binarization facilitates the grouping of the grid cells into the three categories "Always dry" (AD),

213   "Always flooded" (AF) and "Temporary flooded" (TF) based on their change of state over time.

214   The state of the AD and AF cells remain constant over time and are therefore left out of the EOF

215   analysis. The final step before the EOF analysis is to remove the temporal mean from the binary

216   timeseries of each of the TF cells (detrending) and to apply a weighting according to the cell

217   size. This weighting ensures that larger grid cells are given higher influence, as they account for

218   a larger proportion of the inundated area.

219   Let HF be a $T \times P$ matrix, where each row is a timestep t for $t = 1, \dots, T$, and each

220   column p is a TF cell in the high-fidelity model for $p = 1, \dots, P$. The EOF analysis is performed

221   via singular value decomposition of the HF matrix and follows equation (1). The EOF analysis is

222   performed using the sklearn.decomposition.PCA module in the Scikit-learn machine learning

223   package in Python programming language ([Pedregosa et al., 2011](#)).

$$
\begin{aligned}
HF &= EOF_{HF} \cdot \mathrm{U} \cdot \mathrm{D} \\
&= EOF_{HF} \cdot EC_{HF} \\
&\approx \sum_{k=1}^{K} EOF_{HF}(k, :) \cdot EC_{HF}(:, k)
\end{aligned}
\tag{1}
$$

224   where EOF_{HF} is a $T \times P$ orthogonal matrix where each row corresponds to a spatial map, and

225   EC_{HF} is a $T \times T$ matrix of column-wise temporal functions. U and D are $T \times T$ matrices, where

226   D is diagonal, containing respectively the eigenvectors and eigenvalues λ of the covariance

227   matrix from the EOF analysis. To enhance computational efficiency, only the first 100 EOF and

228   ECs modes are derived. This is sufficient to ensure the significant modes are obtained. In line

229   three of eq. (1) the data is represented by the first K significant modes. The modes account for a

230   decreasing proportion of the variance, meaning the majority of the variance in the dataset is

231   described in the first K modes, where $K \ll T$. The remaining modes are considered noise and do

232   not contain meaningful information about the dataset. The error involved in using only the first K

233   modes to reconstruct the high-fidelity dataset is considered minimal, thus, it is only $EC_{HF}(:, 1:K)$

234   that needs to be predicted using the Sparse GP model. The significant modes are found using

235   North's test (see equation (2)), which states that modes are significant if the difference between

236   the eigenvalues of two modes are bigger than the error limits ([North et al., 1982](#)). Furthermore,

237   all modes chosen should have eigenvalues above one (Kaisers Rule) to ensure the modes provide

238   more information than just using the original individual input variables ([Kaiser, 1960](#)).

$$\Delta\lambda > \lambda\sqrt{2/T}$$

2)

239   After the EC_{HF} is derived, the next step is to prepare the low-fidelity data as input for the

240   Sparse GP model. The low-fidelity model has a lower spatial resolution than the high-fidelity

241   model, but by converting the low-fidelity data to the high-fidelity model grid (using the same

242   spatial representation as the high-fidelity data) the EOF_{HF} matrix can be used to derive the ECs

243   for the low-fidelity dataset. This ensures the ECs for all flood events for both the low- and high-

244   fidelity data are derived using the same EOF spatial modes. The spatial conversion is performed

245   by assigning each high-fidelity cell the value of the closest low-fidelity cell for all timesteps by

246   using the Euclidean distance between the x-y coordinates.

247   As for the high-fidelity dataset, only the TF cells are used in the EOF analysis for the

248   low-fidelity data, thereby creating a new $T \times P$ matrix named LF consisting of the low-fidelity

249   data. The low-fidelity data is detrended and weighted in the same manner as for the high-fidelity

250   data. This pre-processing enables the derivation of the ECs for the low-fidelity data utilising the

251   orthogonality of the EOF_{HF} matrix in equation (3).

$$EC_{LF} = LF \cdot EOF'_{HF}$$

3)

252   where EC_{LF} is a $T \times T$ matrix of temporal function derived for the low-fidelity dataset and

253   EOF'_{HF} is the transpose of the EOF_{HF} matrix.

254   Once both the EC_{LF} and EC_{HF} are derived, they can be used as input and output to train

255   the Sparse GP model.

256

257   2.2 Sparse Gaussian Process (Sparse GP) model

258   The $EC_{HF}(:,1:K)$ are predicted using individual Sparse GP models, thereby creating a

259   total of K models. The models are assumed to be fully independent due to the orthogonality of

260   the EC_{HF} in the EOF analysis. The number of models developed here is significantly reduced

261   compared to the approach of building an emulator for each grid cell in the high-fidelity model.

262   The Sparse GP models are implemented in Python using the GPflow package (Matthews et al.,

263   2017), which has the advantage of utilising GPU calculations for optimisation of the model to

264   reduce computational time.

265   2.2.1 General concepts of the GP and Sparse GP models

266   A GP model can predict non-linear complex relationships with statistical confidence by

267   assuming that the relationship between input and output follows a Gaussian distribution of

268   functions, explained by the mean and variance (see equation (4) below) (Rasmussen & Williams,

269   2006).

$$GP(x) \sim \mathcal{N}\big(m(x), k(x, x')\big)$$

4)

270   where $m(x)$ is the mean function, which is normally assumed to be zero (Rasmussen &

271   Williams, 2006), and $k(x, x')$ is the covariance function (popularly referred to as a "kernel") that

272   is used to generate the covariance matrix. The kernel controls the variance of the prediction, and

273   numerous kernel functions have been developed (Rasmussen & Williams, 2006). Different

274   kernel functions may lead to different results, and therefore initial tests have been carried out

275   using the most commonly used kernel functions including Radial Basis Function, Matern 3/2,

276   Matern 5/2 and Exponential. The Exponential kernel has been found to provide the most robust

277   performance given the EC_{LF} and EC_{HF} as input and output, respectively. The Exponential kernel

278   (see equation (5)) is a special case of the Matern kernel, with 1/2 roughness parameter and

279   double lengthscale.

$$k(x, x') = \sigma_f^2 \exp\left(-\frac{x - x'}{2l}\right) + \sigma_n^2$$

5)

280   where σ_f^2 is the signal variance, l is the lengthscale, $x - x'$ is the Euclidean distance between

281   inputs points, and σ_n^2 is the noise variance. The terms σ_f^2 and l represent the hyperparameters of

282   the GP that are optimised by maximum likelihood estimation. However, this requires inversion

283   of the covariance matrix that has a computational requirement of $\mathcal{O}(T^3)$. This makes the GP

284   model optimisation infeasible when dealing with timeseries data that can have several thousand

285   input samples (Bauer et al., 2017; Leibfried et al., 2021).

286   To deal with the high computational demand of full GP models, approximation methods

287   called Sparse GP models have been developed (Bauer et al., 2017; Leibfried et al., 2021). Sparse

288 GP models approximate the full GP via introduction of M inducing points, which reduces the

289 computational requirement to $\mathcal{O}(TM^2)$ ([Snelson & Ghahramani, 2006](#); [Titsias, 2009](#)). The

290 adaption of equation (4) to accommodate the use of inducing points is shown in equation (6).

$$SPGP(x) \sim \mathcal{N}(y|k_x' K_M^{-1}\bar{y}, K_{xx} - k_x' K_M^{-1}k_x + \sigma_n^2 I)$$

6)

291 where k_x is $k(x, \bar{x})$, K_M is $k(\bar{x}, \bar{x})$ and K_{xx} is $k(x, x')$. The variables y and x are the observation

292 and input points, respectively, where $\bar{y}$ and $\bar{x}$ are the inducing points for the observations and

293 input points. The observation inducing points ($\bar{y}$) can be removed via integration by assuming a

294 prior distribution following the full GP, which is reasonable as $\bar{y}$ is expected to follow y

295 ([Snelson & Ghahramani, 2006](#)). Consequently, inducing points only need to be found for the

296 input points.

297   Several types of Sparse GP models have been developed ([Bauer et al., 2017](#); [Leibfried et](#)

298 [al., 2021](#); [Titsias, 2009](#)). Among them, the variational inference based Sparse GP model has the

299 attractive feature that it improves with an increasing number of inducing points, and provides a

300 good approximation to the full GP ([Bauer et al., 2017](#)). Therefore, the variational inference based

301 Sparse GP model is chosen in this study to predict the relationship between EC_{LF} and EC_{HF}. For

302 more information on the Sparse GP model, the reader is referred to [Burt et al. (2019)](#) and

303 [Leibfried et al. (2021)](#).

304 2.2.2 Training of Sparse GP models

305   The training of the Sparse GP models is performed using the maximum likelihood

306 method, where the maximum likelihood estimates of the hyperparameters, σ_f^2 and l, and

307 inducing points are obtained using the L-BFGS-B optimisation algorithm. Each individual

308 Sparse GP model is trained using all modes of the $EC_{LF}(:, 1:K)$ as input and only one mode

309 $EC_{HF}(:, k)$ as output. This ensures the Sparse GP models are optimised to the specific mode k

310 utilising all the information available in the low-fidelity data. The input and output ECs

311 timeseries are standardised to a mean of 0 and variance of 1 before being incorporated in the

312 Sparse GP models to ensure numerical stability. A single lengthscale is optimised across all input

313 dimensions in the Sparse GP models, as Automatic Relevance Detection (ARD) with individual

314   lengthscales for each input dimension can lead to overfitting of Gaussian Process models

315   (Cawley & Talbot, 2010).

316   The optimisation process can have several local optima, and therefore the choice of initial

317   conditions is important (Bauer et al., 2017; Rasmussen & Williams, 2006). The lengthscale

318   describes how far away from an input sample that information can be used, and often a good

319   initial choice of the lengthscale lies within the boundaries of the input sample values. The initial

320   value of the lengthscale for each Sparse GP model is chosen as the absolute average value of the

321   input values. This has shown to be a robust choice and ensures a good optimisation. The signal

322   variance σ_f^2 is optimised using an initial guess of 1, which is the default value for most

323   applications.

324   Selecting the number and location of the inducing points is not straightforward. The

325   number of inducing points depend on the number and distribution of the input data. When

326   choosing the number of inducing points, the number should be significantly less than the number

327   of input points to leverage the computational advantage of the sparse approximations. The ratio

328   depends on the amount and distribution of the input data. The initial locations of the inducing

329   points are chosen by initially distributing them linearly from the minimum to maximum value of

330   the input, as this ensures a fast and robust optimisation.

331   In addition, to further reduce the risk of being stuck in local optima in the optimisation

332   process, only the inducing points are optimised initially while the hyperparameters are fixed, as

333   suggested in a previous study (Bauer et al., 2017). Thereafter, the hyperparameters are optimised

334   with the inducing points fixed.

335   2.3 Reconstruction of flood extent data using predicted ECs

336   Once the Sparse GP models are trained, they can be used to predict EC_{HF}. By reversing

337   the EOF procedure, the data for the TF cells can be reconstructed using the K significant modes,

338   following eq. (1). The flood data does not reconstruct fully from the EOF analysis, even if the

339   EC_{HF} is perfectly predicted, as not all modes are used. For this reason, the reconstructed flood

340   data is converted to binary values by adopting a standardised threshold of 0.5 to differentiate

341   between flooded and dry cells. To reconstruct the dataset for all cells (AF, AD and TF), the AD

342   and AF cells are added to the reconstructed TF cell data. This provides a high-resolution

343   prediction of the dynamic flood inundation extent without the need to run a high-resolution high-

344   fidelity model.

345

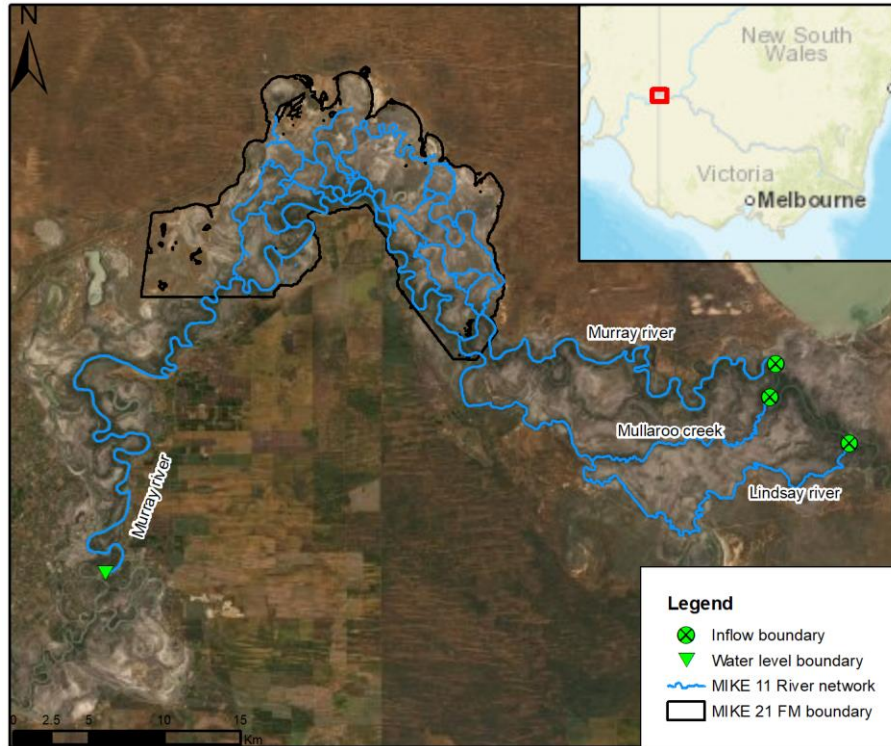**3 Application of the LSG model**

347   3.1 Study area and hydrodynamic models

348   The LSG model is evaluated on the flat and complex Chowilla floodplain, which is

349   located near the state border of New South Wales, Victoria, and South Australia in south-eastern

350   Australia (see Figure 2). The Chowilla floodplain is adjacent to the Murray river, and includes

351   several small creeks, wetlands, lakes, and billabongs that all contribute to the dynamic change of

352   inundation in the area (Murray-Darling Basin Authority, 2021). The study area is approximately

353   224 km².

354   To simulate flood inundation of the study area, a hydrodynamic model provided by the

355   Murray–Darling Basin Authority (MDBA) is used. The model is a two-way coupled model, also

356   known as a one-dimensional + two-dimensional (1D+2D) model, consisting of a MIKE 11 and a

357   MIKE 21 FM model that are combined using the MIKE FLOOD framework (DHI, 2019). The

358   MIKE 11 model simulates the water level and discharge in the river network based on the

359   upstream inflow and downstream water level boundaries. The boundary conditions for the MIKE

360   11 model are obtained from the Bureau of Meteorology's (BoM) online water data platform

361   (Bureau of Meteorology, 2021). The MIKE 21 model simulates the 2D surface flow on a

362   quadratic grid. There is no precipitation included and a "no-flow" boundary is used along the

363   edge of the MIKE 21 model, meaning that any changes to water on the floodplain are only due to

364   interactions with the MIKE 11 model or through evapotranspiration.

365   In this study, both high- and low-resolution MIKE 21 models are used. These constitute

366   the high- and low-fidelity models used in the EOF analysis, as discussed in Section 2.1. The

367   dimensions of the grid cells in the high-fidelity model is 30 x 30 m, and in total 249,263 cells are

368   required to represent the full model domain. The low-fidelity model has coarser grid cells of 100

369   x 100 m (28,935 cells in total) and is developed by averaging the elevation and roughness of the

370   high-fidelity grid cells over the larger area.

**Figure 2: Study area and boundary locations for the MIKE 11 and MIKE 21 models
([ESRI, 2021](#)).**

3.2 Generating training and validation data

The hydrodynamic models are used to simulate flood events for the Chowilla floodplain
between 15/08/2010 and 15/01/2021. This period is selected based on the availability of historic
data for specifying the boundary conditions and includes nine historic events with durations
varying from 75 to 290 days. However, four events are too small to cause any significant
inundation of the floodplain. This causes a problem for training the Sparse GP models, as a large
number of events spanning a wide range of inundation behaviour is needed to properly train the
models. The training data should include extreme events with respect to the magnitude and the
duration of their flood behaviour. To ensure this, 21 events were obtained by scaling up the
observed inflow hydrographs and/or extending the duration of the events. As a result, a total of
26 flood events were available for model development and evaluation. A summary of the events
characteristics is found in Appendix A.

387   The simulated inundation events are divided into training and validation datasets. Three

388   unique historic events covering the periods 15/08/2010-01/06/2011, 01/03/2012-15/06/2012 and

389   28/05/2016-30/03/2017, are chosen for validation. These events are different in magnitude and

390   dynamic flood evolution, and are numbered 1, 3 and 6, respectively (numbering is based on the

391   chronological order of the historic events). The remaining historic events, including all scaled

392   events, are used for training and consist of a total of 10,586 timesteps across all training events.

393   To ensure the same starting point and the stability of the simulations, all flood events are

394   simulated using the same set of initial conditions, where a fixed timestep of 2 seconds is adopted

395   for both the MIKE 11 and MIKE 21 models. In addition, a warm-up period of 10 days is used to

396   establish a relationship between the flood levels obtained by the 1D and 2D models. This warm-

397   up period is selected based on examination of initial model simulation results, and data from this

398   warm-up period are removed before the EOF analysis.

399   It is important to have a fine temporal resolution of the hydrodynamic results to

400   accurately describe the flood inundation but increasing the number of timesteps also increases

401   the computational cost of training and prediction for the Sparse GP models. For the Chowilla

402   floodplain the change in the floodplain inundation is relatively slow and therefore a timestep of 6

403   hours between saved datapoints is chosen. If the LSG model is applied on a more rapidly

404   changing flood problem (e.g. local flash flooding), a higher frequency timestep would be needed.

405   3.3 Setup of Sparse GP models for the case study

406   The setup and training of the Sparse GP model follow the procedure describe in section 2.

407   However, the number of modes found by the EOF analysis and the number of inducing variables

408   is dependent on the training data.

409   For the case study, the number of significant modes (K) is found to be 52 modes via EOF

410   analysis on the high-fidelity training dataset. These modes explain 97.8 % of the variance in the

411   dataset and are found by means of North's test (see section 2.1). This means a total of 52 Sparse

412   GP models are developed and trained for this case study.

413   The number of inducing points for each Sparse GP model is chosen to be 2% of the

414   number of input samples. This percentage has shown to be sufficient to approximate the ECs in

415 this study and is found via a trial-and-error approach with the training data, which is a commonly

416 used approach (Burt et al., 2019).

417

418 3.4 Evaluation of the LSG model

419 A number of evaluation metrics are used to evaluate the performance of the LSG model.

420 The relative Root Mean Square Error (relRMSE) is used to capture the general performance of

421 the LSG model and is calculated using equation (7):

$$relRMSE = \frac{\sqrt{\frac{1}{T}\sum_{t=1}^{T}\left(A^{LSG}(t) - A^{HF}(t)\right)^2}}{\frac{1}{T}\sum_{t=1}^{T}A^{HF}(t)} \qquad 7)$$

422 where A^{LSG} is the prediction using the LSG model, and A^{HF} is the true inundation extent

423 simulated using the high-fidelity model.

424 The prediction of the peak of a flood inundation event is important, as most areas will be

425 inundated at that stage. To reduce the effect of smaller variations the average flood inundation

426 extent of the top 5% highest values is compared by using the relative Peak Value Error

427 (relPeakValErr) in equation (8):

$$relPeakValErr = \frac{\overline{A^{LSG}_{peak,5\%}} - \overline{A^{HF}_{peak,5\%}}}{\overline{A^{HF}_{peak,5\%}}} \qquad 8)$$

428 where $\overline{A^{LSG}_{peak,5\%}}$ and $\overline{A^{HF}_{peak,5\%}}$ are the average inundation extent for the 5% highest values

429 obtained from the LSG and high-fidelity models, respectively.

430 Another important parameter for flood prediction is the timing of the flood peak, as this is

431 when the greatest impact on people and infrastructure is to be expected. The ability of the LSG

432 model to predict the timing of the peak is assessed using the relative average peak time error

433 compared to the peak period (relPeakTimeErr-1) for the top 5% highest values (See equation

434 (9)), and the overall timing of the flood inundation prediction is determined using the relative

435 average peak time error (relPeakTimeErr-2) compared to the rising limb of the flood event (See

436 equation (10)).

$$relPeakTimeErr\text{-}1 = \frac{\overline{t_{peak,5\%}^{LSG}} - \overline{t_{peak,5\%}^{HF}}}{\max(t_{peak,5\%}^{HF}) - \min(t_{peak,5\%}^{HF})} \qquad \text{9)}$$

$$relPeakTimeErr\text{-}2 = \frac{\overline{t_{peak,5\%}^{LSG}} - \overline{t_{peak,5\%}^{HF}}}{\overline{t_{peak,5\%}^{HF}} - t_{rise,10\%}^{HF}} \qquad \text{10)}$$

437   where $t_{peak,5\%}^{HF}$ and $t_{peak,5\%}^{LSG}$ are vectors containing the timesteps at which the top 5% highest

438   flood inundation extent are registered (peak period), $\overline{t_{peak,5\%}^{LSG}}$ and $\overline{t_{peak,5\%}^{HF}}$ are the average

439   timestep for the peak period for the LSG and high-fidelity models, respectively. $t_{rise,10\%}^{HF}$

440   indicates the start of the rising limb of the flood event, which is chosen to be at a 10% increase

441   compared to the minimum flood extent.

442   The ability of the LSG model to predict the spatial location of the inundation is assessed

443   using the Probability of Detection (POD) and Rate of False alarm (RFA) as shown in equations

444   (11) and (12).

$$POD = \frac{A_{detected}}{A_{detected} + A_{missed}} \qquad \text{11)}$$

$$RFA = \frac{A_{false\ alarm}}{A_{detected} + A_{false\ alarm}} \qquad \text{12)}$$

445   where $A_{detected}$ is the area detected as flooded or dry at a given timestep using both the high-

446   fidelity and LSG models, A_{missed} is flooded areas predicted using the high-fidelity model but

447   which is dry using the LSG model, and $A_{false\ alarm}$ is the flooded areas predicted using the LSG

448   model but not the high-fidelity model. Furthermore, $A_{detected}$, A_{missed} and $A_{false\ alarm}$ are

449   plotted on maps for the maximum inundation extent to inspect the locations of error. Bounds and

450   values corresponding to a good prediction for all the evaluations metrics are shown in Table 1.

451

452

453

454 **Table 1: Evaluation metrics and bounds for values they can take.**

Metric	Bounds	Good prediction	Notes
relRMSE	[0, 1]	0	
relPeakValErr	[-1, 1]	0	Negative and positive value indicates an under- and overprediction, respectively.
relPeakTimeErr-1	[-∞, ∞]	0	Negative and positive value indicate the peak being early or late, respectively
relPeakTimeErr-2	[-∞, ∞]	0	Negative and positive value indicate the peak being early or late, respectively
POD	[0, 1]	1	
RFA	[0, 1]	0	

455

456 **4 Results**
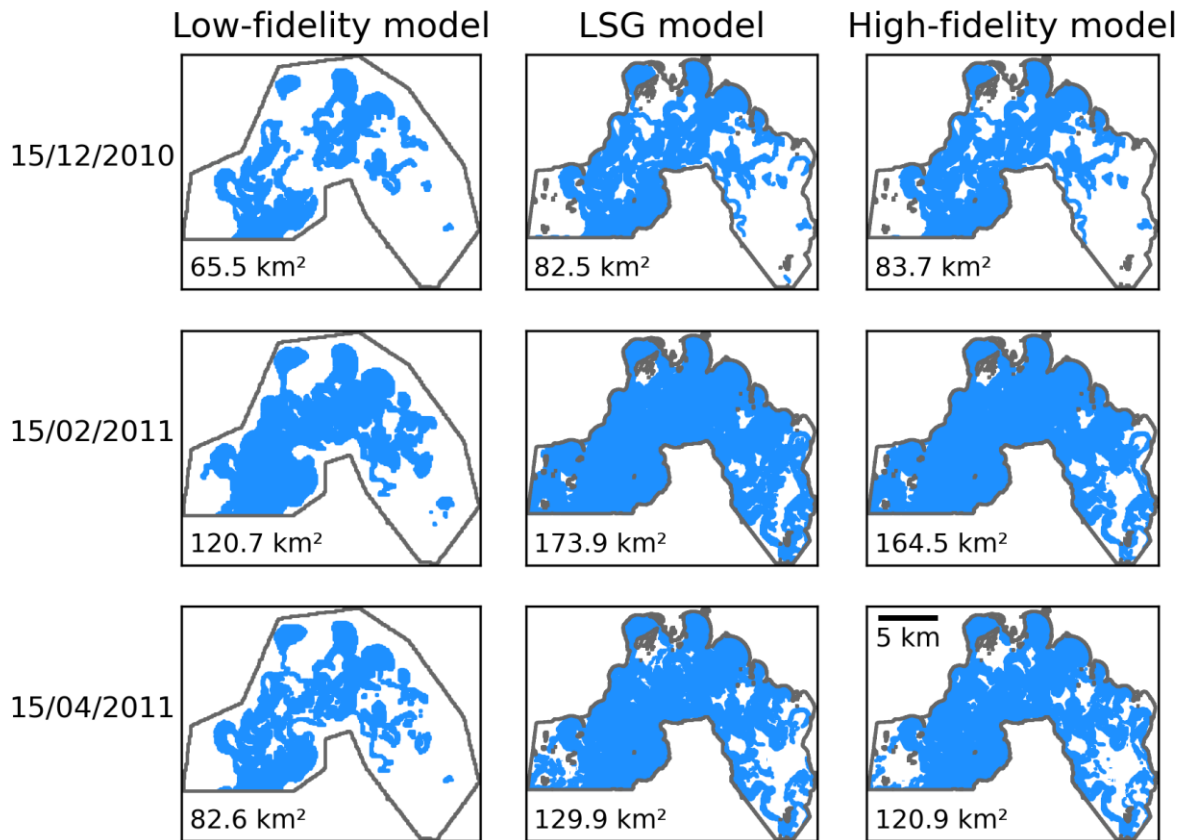
457 4.1 Inundation extent

458   The inundation extent for the low-fidelity, LSG and high-fidelity models is shown in

459 Figure 3 for event 1 at three different timesteps. The timesteps are chosen according to the

460 flooding, peak, and recession periods of the flood event (See Figure 4). The resolution of the

461 low-fidelity model is coarse, and the floodplain topology is not well described. In general, the

462 low-fidelity model significantly underestimates the flood inundation extent. This is unexpected,

463 as models with a low-resolution are known to overestimate the flood inundation extent compared

464 to models with a finer resolution (Chatterjee et al., 2008; Yu & Lane, 2006). One reason for this

465 is related to the coupling of the 1D and 2D models. The low- and high-fidelity MIKE 21 models

466 are coupled to the MIKE 11 model at the same location, but not necessarily at the same

467 elevation. As the low-fidelity model is averaged over a larger area, the lower elevations in the

468 river are smoothed out by the floodplain, thus resulting in a higher elevation of the grid cell and

469 of the 1D-2D coupling. This means the river level in the MIKE 11 model has to reach a higher

470   elevation before flooding on the floodplain occurs, and as a result, less water inundates the

471   floodplain.

472   The LSG model can compensate for this underestimation and demonstrates clear

473   improvement over the predictions from the low-fidelity model. The LSG model overestimates

474   the inundation extent slightly, but in general shows a similar inundation extent to the high-

475   fidelity model at all three timesteps in Figure 3. The performance of the LSG model compared to

476   the high-fidelity model is assessed in detail in the following paragraphs.

477

478   **Figure 3: Flood inundation extent for validation event 1 simulated using the low-fidelity,**

479   **LSG, and high-fidelity models. Inundated areas are colored in blue and showed in km² in**

480   **the lower left corner of each subfigure.**

481   The prediction of the LSG model is summarised as a timeseries of the inundation extent

482   for the three validation events in Figure 4. For all three events the low-fidelity model

483   underestimates the flood inundation extent but provides a similar evolution of the flood extent
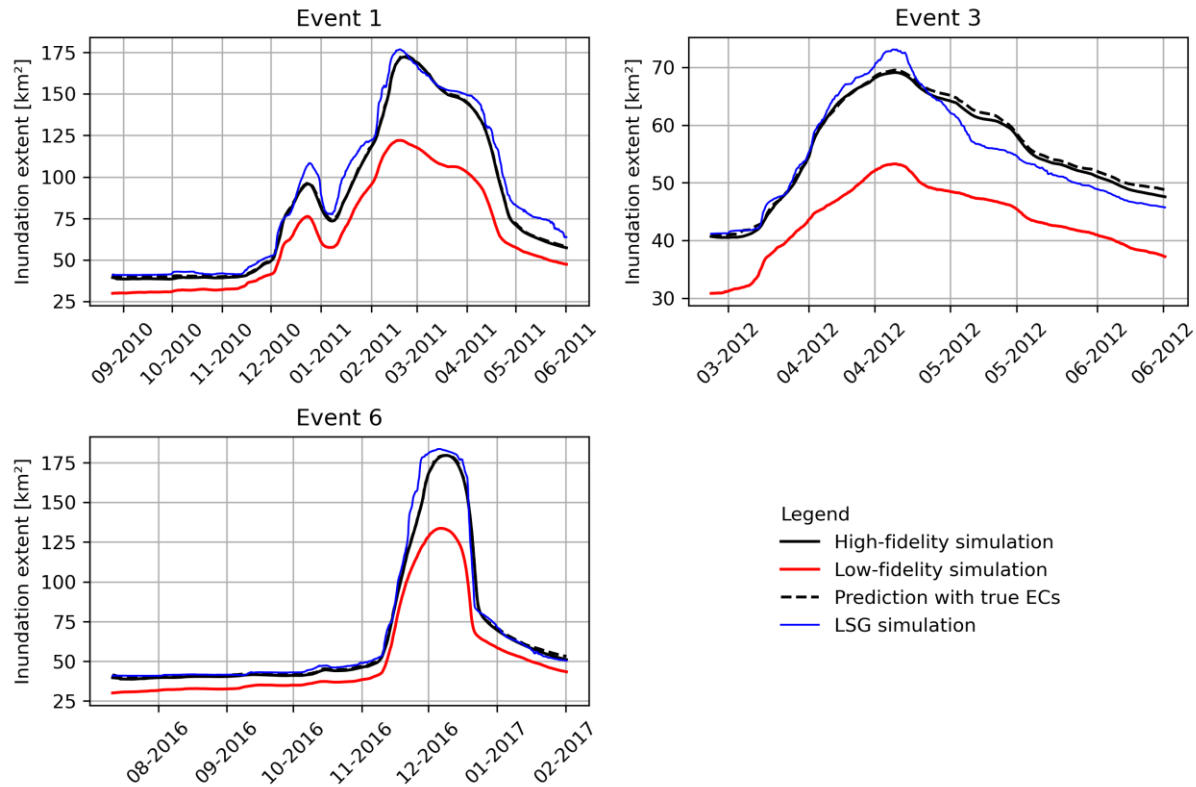
484   compared to the high-fidelity model. This demonstrates not only the low-fidelity model's ability

485   to capture the dynamic features (timing) of the flood inundation events, but also the need for the

486   Sparse GP models to correct the low-fidelity results.

487   For event 1 the LSG model significantly improves the low-fidelity model predictions,

488   especially during the first flat period and the rising limb before the first peak. The first smaller

489   peak is overestimated, but for the second and larger peak, the LSG model performs well, and the

490   peak and recession period are only slightly overestimated. For event 3 the LSG model performs

491   significantly better than the low-fidelity model in predicting the rising limb. However, the peak

492   is overestimated significantly, showing the same tendency as for the first smaller peak in event 1.

493   The recession period for event 3 obtained from the LSG model is underpredicted, but it still

494   shows an improvement compared the low-fidelity model. For the last validation event (Event 6),

495   the LSG model predicts the flood inundation extent well from start to finish of the event, despite

496   overpredicting the peak. This shows the LSG model does have the ability to correct the low-

497   fidelity results and to predict a flood inundation extent that is similar to the high-fidelity model.

498   The difference in the prediction accuracy between the validation events is a result of the

499   differences between validation and training events, and more training events could potentially

500   improve the performance of the LSG model.

501   Considering the evaluation metrics in Table 2, the relative RMSE (relRMSE) for event 3

502   is lower than that of the other two validation events. This is because event 3 shows signs of both

503   over- and under-prediction, which on average evens out the errors. The peak value is

504   overestimated for all three events (relPeakValErr>0), but the relative error compared to the size

505   of the flood event is low, especially for event 1 and 6. In general, both the relRMSE and

506   relPeakValErr metrics show errors less than 0.10 compared to the high-fidelity model for all

507   three validation events, which is considered a good performance.

508   The timing of the peak shows a similar tendency for both event 1 and 6, where the LSG

509   predicts the peak earlier than the high-fidelity model, as indicated by the negative peak timing

510   errors (relPeakTimeErr-1 and relPeakTimeErr-2). In the LSG model structure, the low-fidelity

511   model is assumed to capture the dynamics of the event, where the key difference between the

512   high- and low-fidelity models is the spatial resolution of the grid cells. Any systematic

513   differences in timing errors could be compensated for by calibrating the roughness of the low-

514   fidelity model to match the evolution of the flood inundation ([Yu & Lane, 2006](#)), or the results of

515   the low-fidelity model could be shifted according to the average timing error in the training data.

516   However, for event 3, the LSG model predicts the peak later than the high-fidelity model, and an

517   adjustment of the low-fidelity model results would therefore not improve predictions for event 3.

518

519   **Figure 4: Inundation extent obtained using the high-fidelity and LSG models to simulate**
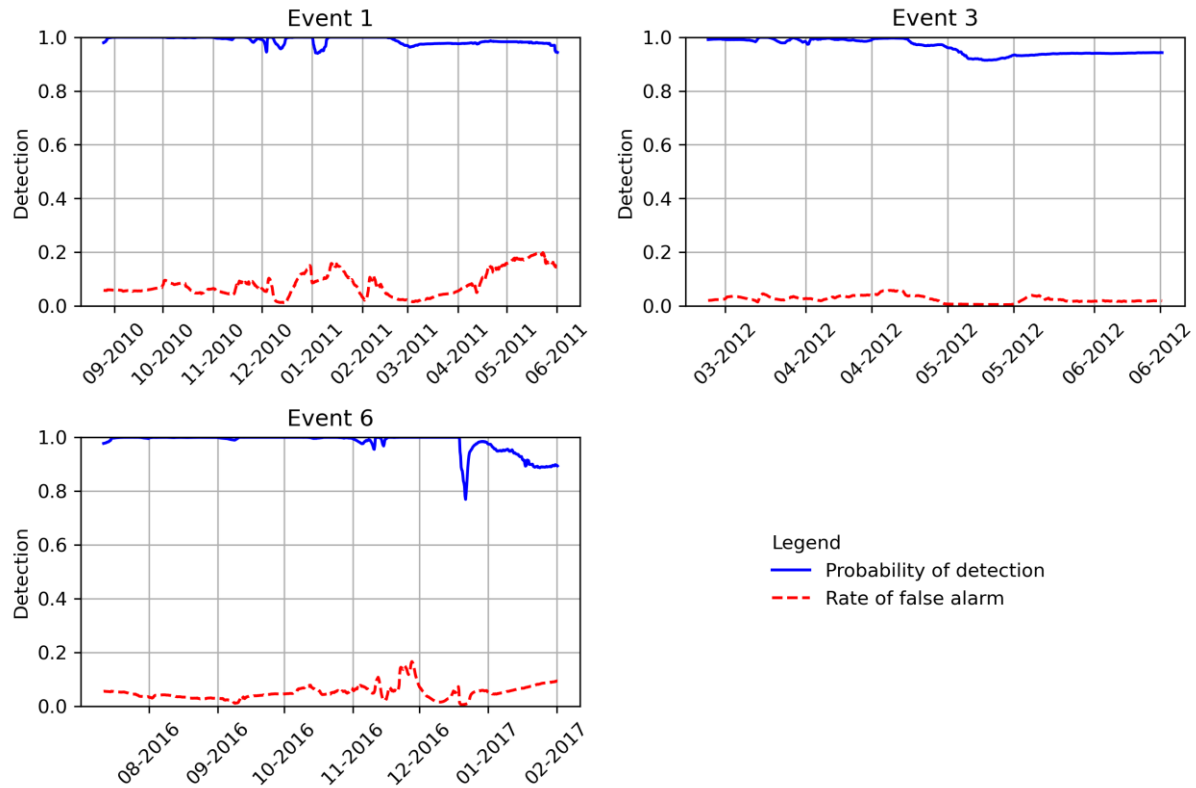
520   **the three validation events.**

521

522

523

524

525

526 **Table 2: Evaluation of the relative performance of the LSG model compared to the high-**
527 **fidelity model to simulate the validation events.**

Metric	Event 1	Event 3	Event 6
relRMSE	0.09	0.04	0.09
relPeakValErr	0.02	0.06	0.03
relPeakTimeErr-1	-0.25	0.06	-0.25
relPeakTimeErr-2	-0.04	0.01	-0.04

528

529 4.2 Detection of flooding

530   The Probability of Detection (POD) and Rate of False alarm (RFA) obtained from the
531 LSG model for the three validation events are shown in Figure 5. The results demonstrate that
532 the ability of the LSG model to detect the spatial extent of inundation varies throughout the
533 events. The POD is above 0.76 and the RFA is below 0.20 for the entire duration of all three
534 validation events, and the POD shows better performance of the LSG model at the beginning of
535 the events. Event 6 has a low point in the POD around 20/12/2016, which is due to a timing error
536 of the falling limb of the flood event. The LSG model demonstrates high prediction accuracy for
537 the POD of Event 6 until this point. The RFA varies throughout the events due to the general
538 overprediction of the LSG model. Examining the timeseries behaviour of POD and RFA is not
539 typically done, as these metrics are generally used to characterise errors in the maximum flood
540 inundation extent. The LSG model's ability to predict the dynamical flood inundation extent is
541 therefore hard to compare to that of other surrogate models.

542   Considering the POD and RFA for the maximum inundation extent in Table 3, the LSG
543 model performs well. The POD and RFA of the maximum inundation extent are comparable and
544 are better than found in similar studies, which used surrogate models to predict flood inundation
545 (e.g. Zhou et al. (2021) showed a POD of 0.99-0.999 and RFA of 0.046-0.067, and Xie et al.
546 (2021) showed a POD of 0.955-1 and a RFA of 0.001-0.07).

547

Figure 5: Probability of detection (POD) and Rate of false alarm (RFA) for the three validation events.

Table 3: POD and RFA of the maximum flood inundation extent for the three validation events
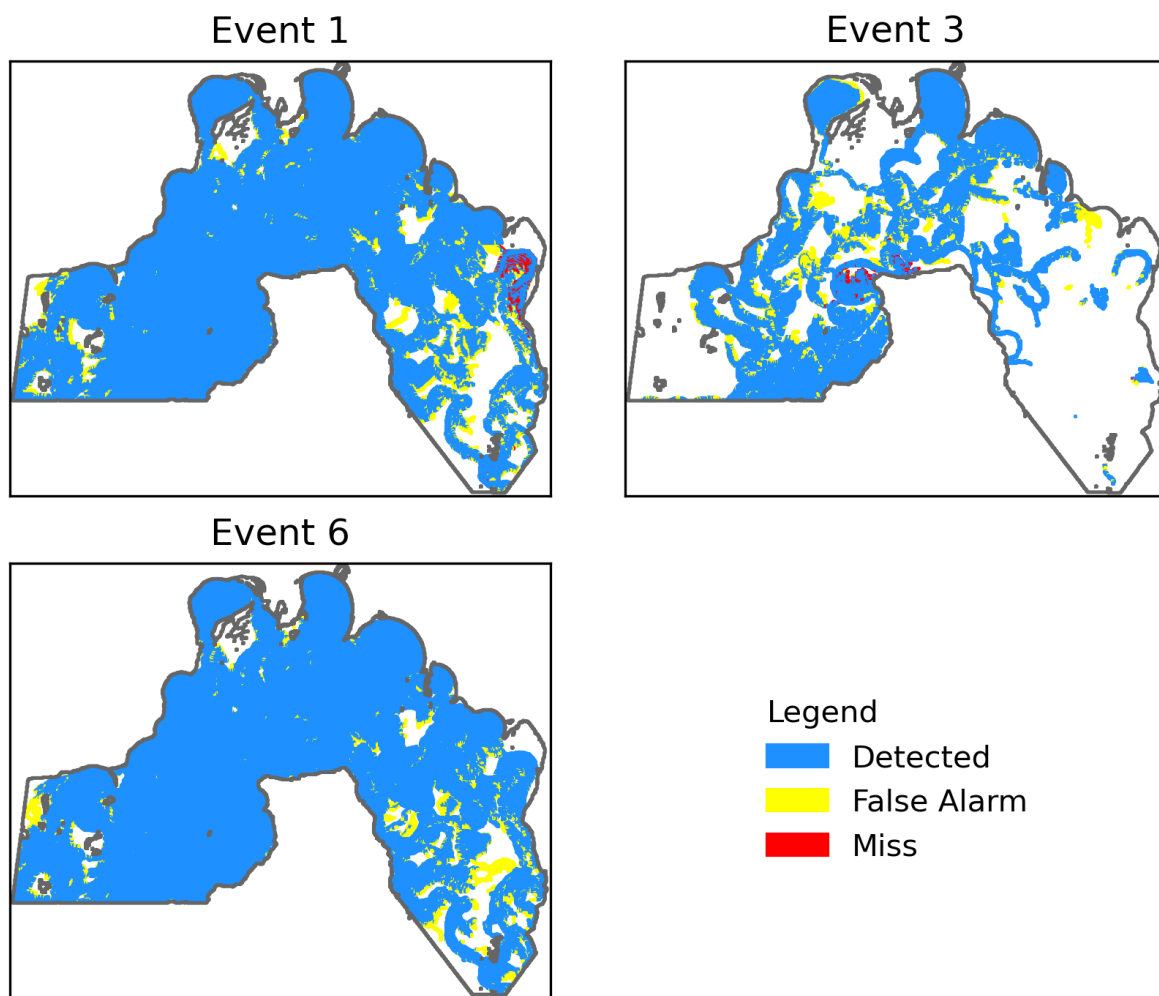
Parameter	Event 1	Event 3	Event 6
POD	0.99	1.00	1.00
RFA	0.03	0.06	0.02

The extent of the maximum inundation, as well as the detections, misses and false alarms from the LSG model, are shown in Figure 6. In general, there is a good agreement between the LSG and high-fidelity models considering the spatial inundation detection ability of the LSG model, although there are false alarms for all three validation events and misses for events 1 and 3. Events 1 and 6 are larger than event 3 and most of the floodplain is inundated at some point

559   during these events. Given the "no-flow" boundary in the MIKE 21 model (described in Section

560   3.1), flood flows cannot escape by crossing the boundary, which results in a build-up of water on

561   the floodplain. This means most cells will be inundated at some point during the events, and

562   thereby detected in the maximum inundation extent.

563   The eastern and western parts of the floodplain show the biggest errors between the LSG

564   model prediction and the high-fidelity simulation. These are also the areas that are normally the

565   last to be inundated during a flood event in this floodplain, and inundation in these areas is thus

566   harder to predict than in areas that always get inundated.

567

568   **Figure 6: Detected, Misses and False alarms for the LSG model compared to the high-**

569   **fidelity model for the maximum flood extent. (ESRI, 2021).**

570

571   4.3 Computational demand

572   The simulations are carried out on a High-Performance Computer (HPC) with a 3.70

573   GHz Intel® Xeon® E-2288G CPU with 64 GB ram and an NVIDIA Quadro RTX 5000 graphic

574   card for GPU calculations. The computational time of the low-fidelity model is approximately

575   39% of that of the high-fidelity model, see Table 4. The training and prediction time of the EOF

576   analysis and the Sparse GP models is considerably shorter than that of running the low-fidelity

577   model. Further reducing the complexity of the low-fidelity model would increase computational

578   efficiency of the LSG model, but this is likely to also reduce the accuracy of model predictions.

579   The nature of this trade-off is an aspect that needs further exploration.

580   **Table 4: Training and prediction time of the high-fidelity model compared to the low-**

581   **fidelity for simulation of validation event 3.**

	High-fidelity model	Low-fidelity model	EOF analysis + Sparse GP models
Import and data conversion	-	-	10 min
Training of Sparse GP	-	-	11 min
Prediction	1012 min	396 min	1 min

582

583   **5 Discussion**

584   The results in section 4 demonstrate the potential for the LSG model to provide fast and

585   accurate predictions of flood inundation extent over time. The main advantage for using

586   surrogate models is the computational gains. The low-fidelity model accounts for 99.7% of the

587   computational burden of the LSG model. It is therefore worth exploring possibilities of using a

588   simpler low-fidelity model, as the current hybrid model setup is not considered feasible for

589   practical purposes such as ensemble and real-time modelling. There are no general rules for how

590   to reduce the complexity of a hydrodynamic model, but simplifications will compromise the

591   accuracy, thereby creating a trade-off between accuracy and computational burden. In the case

592   study considered, the low-fidelity model is simply a coarser version of the high-fidelity model.

593   To reduce the number of grid cells a flexible mesh that adopts a fine resolution in the river and a

594   coarser resolution on the floodplains could be applied. Alternatively, the 2D component could be

595   removed, so only the 1D MIKE 11 model is run. The MIKE 11 model takes 91 min to simulate

596   event 3, which would significantly reduce the computational effort compared to the 1D+2D low-

597   fidelity model, which takes 396 min (see Table 4). However, the MIKE 11 simulation results

598   would have to be transferred onto the floodplain in order to generate the low-fidelity ECs using

599   the high-fidelity EOF spatial modes. The computational advantages of using just a 1D model

600   makes this approach attractive, but it has not been explored further in this study.

601   One objective of this study was also to examine the Sparse GP model and its performance

602   as an emulator. In training the Sparse GP models, it is essential that the training data includes

603   events of different magnitudes and variability in the evolutional patterns of the flood inundation,

604   so the training data covers the entire output space required (Maier et al., 2010; Wu et al., 2013).

605   Once trained, Sparse GP models are able to handle large input datasets and describe the complex

606   relationship between the low- and high-fidelity model for a flat complex floodplain. Inclusion of

607   the Sparse GP model is an important component in achieving accurate predictions in this study

608   and are considered to be an effective emulator for flood inundation simulation.

609   Besides the choice of low-fidelity and/or emulator model, an important aspect of

610   surrogate modelling is the effort needed to setup the modelling framework. The setup of the LSG

611   model can be tedious due to the need to generate suitable training dataset. This is because

612   numerous simulations with the high-fidelity model are needed to train the Sparse GP models and

613   create a robust hybrid surrogate model that can be applied to future flood problems. For this

614   reason, the LSG model is mostly appropriate for a study area where a high-fidelity model and

615   several simulation results are already available, or for projects with a long time-horizon so the

616   training data can be generated, such that the desirable gains in the computational efficiency after

617   training can be achieved. Furthermore, the EOF analysis and Sparse GP model is undertaken

618   using Python without a graphical user interface (GUI). To make the model more accessible for

619   industry users, a simple modelling package with instructions for how to best derive low- and

620   high-fidelity results and how to use the model could be developed, hence advancing the method

621   from theory to more practical applications.

622   After the prediction of the inundation extent, the next natural step for the LSG model is to

623   extend the methodology to predict other parameters such as water depth and discharge. This is

624   important, as only predicting the inundation extent can misrepresent the severity of a flood

625   (Hunter et al., 2007). The MIKE 21 hydrodynamic model already simulates these parameters but

626   reconstructing continuous hydraulic variables using the EOF analysis is more complicated than

627   reconstructing binary depth data. To reconstruct continuous hydraulic variables, boundary

628   constraints on the EOF analysis may be required to avoid negative values, as suggested by

629   Giordani and Kiers (2007). Alternatively, other dimension reduction techniques like Self-

630   organising Maps (Kohonen, 1982) or Auto-encoders (Hinton & Salakhutdinov, 2006) could be

631   explored.

632   In this study, the LSG model is applied to a floodplain that is particularly flat and

633   extensive, which is a challenging example to consider when relating differences between high-

634   and low-fidelity model predictions. The methodology as described is not restricted to this

635   floodplain, or only fluvial flood problems. In theory, the LSG model could be applied to any

636   flood inundation problem, or to other similar problems, such as downscaling remotely sensed

637   data.

638   6 Conclusion

639   Accurate predictions of the dynamic behaviour of flood inundation extent are of great

640   importance to operational flood risk management. Traditional methods based on high-fidelity

641   hydrodynamic models are known to provide accurate results, but at high computational cost.

642   This has led to the development of surrogate models that can reduce computational cost whilst

643   still maintaining an acceptable level of accuracy. However, current surrogate models have

644   difficulties in handling the high spatial-temporal dimensionality of flood inundation data. The

645   hybrid LSG surrogate model proposed in this study addresses this challenge. By focusing on the

646   dynamic behaviour of the flood inundation extent, the LSG model goes beyond the normal

647   application of emulator surrogate models which generally only predict the maximum inundation

648   extents.

649   The hybrid model consists of a low-fidelity hydrodynamic model to capture the dynamic

650   and spatial correlation of the flood inundation event and a Sparse Gaussian Process (Sparse GP)

651   model to improve the accuracy of the low-fidelity model. The hydrodynamic model results are

652   decomposed through Empirical Orthogonal Function (EOF) analysis into EOF spatial maps and

653   ECs temporal function. This enables the Sparse GP model to transform the low-fidelity ECs into

654   high-fidelity ECs, whereafter the predicted high-fidelity ECs are used to reconstruct the dynamic

655   inundation extent with improved accuracy without actually running a computationally heavy

656   high-fidelity model.

657   The LSG model is evaluated on the flat and complex Chowilla floodplain using three

658   different historic events. Compared to just using a low-fidelity model, the LSG model

659   significantly improves predictions of the flood inundation extent, thereby showing the benefit of

660   using Sparse GP models to correct the low-fidelity results. The LSG model achieved a

661   Probability of Detection (POD) above 0.76 and a Rate of False Alarm below 0.20 for the entire

662   duration of the validation events compared to the results obtained using the high-fidelity model.

663   Furthermore, if only the maximum inundation extent is considered, then a POD>0.99 and an

664   RFA<0.05 are achieved, which demonstrates high prediction accuracy of the LSG model.

665   The LSG model shows a good overall ability to capture the dynamic behaviour of flood

666   inundation, but it tends to overpredict the peak inundation extent (e.g. 1-6% for the case study

667   considered). Regarding the timing, the predictions follow the patterns of the high-fidelity model

668   predictions, and there is no general tendency for the timing of the peaks to be over- or under-

669   predicted. Once trained, the LSG model reduces the computational demand to 39% of that of the

670   original high-fidelity model for the selected case study.

671   In future studies, the trade-offs between model simplicity and computational efficiency

672   need to be investigated. The low-fidelity model is the most computationally demanding part of

673   the hybrid model, meaning a reduction in the low-fidelity model complexity could lead to

674   significant reduction in the computational time, but this is expected to degrade the accuracy of

675   the hybrid model. Another aspect to consider is to extend the methodology to estimate flood

676   parameters such as water depth or velocity. These parameters are simulated using hydrodynamic

677   models and are highly relevant in flood and hazard estimation. A surrogate model should

678   therefore be able to estimate these parameters to be a fully comparable alternative to a high-

679   fidelity model. Finally, as the methodology is not dependent on the case study, the hybrid model

680   is applicable to other flood inundation problems (e.g. urban flooding, storm surge) and

681   applications (e.g. downscaling of remote sensing data). New applications would therefore shed

682   further light on the potential of the LSG model.

683

Acknowledgments

We thank the Murray–Darling Basin Authority (MDBA) for providing the hydrodynamic model for the Chowilla floodplain.

Open Research

The EOF analysis and Sparse GP model used for this study is made freely available on https://github.com/nfraehr/Hybrid_LSG_model. The programming is performed using Python (version 3.9). All necessary dependencies are open-source libraries and stated in the import section of the code.

The MIKE 21 model results and boundary data, together with the data generated from the Python code used to create the results presented in this paper, are available at https://doi.org/10.26188/62143bdd9fa63.

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900

Appendix A. Historic events for training and validation

902   The flood events used for training and validation of the LSG model is shown in Table

903 A.1 and Figure A.1. Data to simulate the events is obtained from Bureau of Meteorology's

904 (BoM) online water data platform (Bureau of Meteorology, 2021) for the three inflow boundaries

905 (Murray river, Mullaroo creek and Lindsay river) and the downstream water level boundary for

906 the Murray river. All boundary data is recorded as daily mean values of both discharge and water

907 level. However, some days only contain a recorded water level for an inflow boundary location.

908 To address this issue, polynomial functions have been fitted to describe the relation between

909 water level and discharge for days with both variables recorded. These functions are used to

910 calculate an estimated discharge, for days with missing discharge recordings. For days with

911 neither water level nor discharge recorded, the daily values are found using linear interpolation.

912   For 3 of the flood events, inflow data is only available for the Murray river, see Table

913   A.1. The discharge in the Murray river is main source for the flooding and on average a factor

914   ~790 and ~10 higher than the discharge in the Lindsay river and Mullaroo creek, respectively.

915   The difference between these 3 events compared to the remaining events is therefore considered

916   negligible.

917   As both the low- and high-fidelity models is run with the same boundary conditions,

918   these adaptations of the boundary values do not affect the results of the LSG model in this paper.

919   **Table A.1: Flood events simulated using the high- and low-fidelity models for training and**
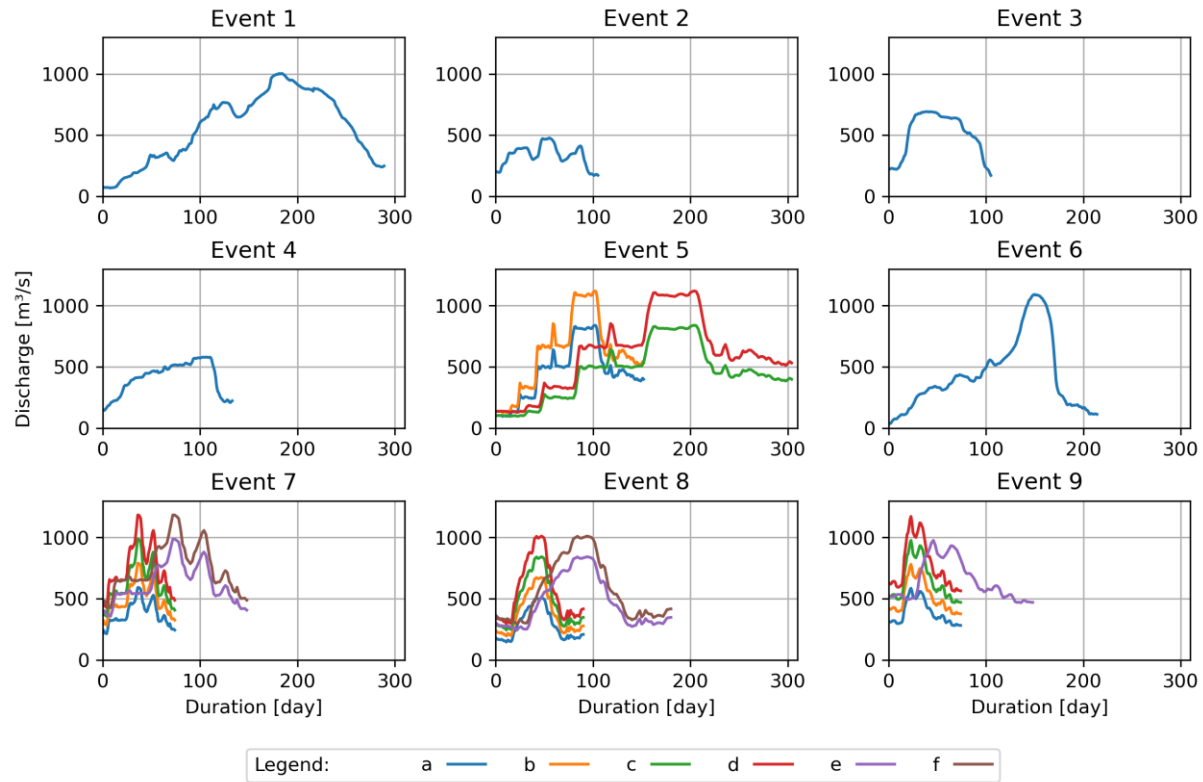
920   **validation of the LSG model.**

Event no.	Start	End	Inflow scaling factor	Extended duration	Validation event
1 [a]	15/08/2010	01/06/2011	1	-	**Yes**
2 [a]	01/07/2011	15/10/2011	1	-	No
3	01/03/2012	15/06/2012	1	-	**Yes**
4	20/06/2012	01/11/2012	1	-	No
5a	01/07/2013	01/12/2013	3	-	No
5b	01/07/2013	01/12/2013	4	-	No
5c [b]	01/07/2013	01/12/2013	3	x2	No
5d [b]	01/07/2013	01/12/2013	4	x2	No
6 [a]	01/07/2016	01/02/2017	1	-	**Yes**
7a	01/11/2017	15/01/2018	3	-	No
7b	01/11/2017	15/01/2018	4	-	No
7c	01/11/2017	15/01/2018	5	-	No
7d	01/11/2017	15/01/2018	6	-	No
7e [b]	01/11/2017	15/01/2018	5	x2	No

7f [b]	01/11/2017	15/01/2018	6	x2	No
8a	01/09/2019	01/12/2019	3	-	No
8b	01/09/2019	01/12/2019	4	-	No
8c	01/09/2019	01/12/2019	5	-	No
8d	01/09/2019	01/12/2019	6	-	No
8e [b]	01/09/2019	01/12/2019	5	x2	No
8f [b]	01/09/2019	01/12/2019	6	x2	No
9a	01/11/2020	15/01/2021	3	-	No
9b	01/11/2020	15/01/2021	4	-	No
9c	01/11/2020	15/01/2021	5	-	No
9d	01/11/2020	15/01/2021	6	-	No
9e [b]	01/11/2020	15/01/2021	5	x2	No

[a] Only data for the Murray River is available for the inflow boundaries. Linear interpolation is used for the other inflow boundaries.

[b] Start and end dates reflect original dates of the event. Events are extended by the factor in the extended duration column.

Figure A.1: Inflow hydrographs for discharge in the Murray river during the historic and synthetic flood events. In the legend "a, b, … , f" refers to the event number in Table A.1. Events without a letter corresponds to the "a" hydrograph.