

1 **Internal tide energy transfers induced by mesoscale**
2 **circulation and topography across the North Atlantic**

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5 **Key Points:**

- 6 • Advection and shear-internal tides interactions are significant at basin scale, buoyancy
7 interactions are important locally.
8 • The mesoscale is mainly responsible for a transfer of energy toward smaller internal
9 tide scale.
10 • Mesoscale induced interactions show an imprint of the spring neap cycle modulated
11 by variations in current positions.

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12 **Abstract**

13 The interaction between the internal tide and the mesoscale circulation are studied
 14 from the internal tide energy budget perspective. To that end, the modal energy budget
 15 of the internal tide is diagnosed using a high resolution numerical simulation covering the
 16 North Atlantic. Compared to the topographic contribution, the advection of the internal
 17 tide by the background flow and the horizontal and vertical shear are found to be significant
 18 at global scale, while the buoyancy contribution is important locally. The advection of the
 19 internal tide by mesoscale currents is responsible for a net energy transfer from the large scale
 20 to smaller scale internal tide, without significant exchanges with the background flow. On
 21 the opposite, the shear of the mesoscale circulation and the buoyancy field are responsible for
 22 exchanges between the internal tide and the background flow. The importance of the shear
 23 increases in the northernmost part of the domain, and a partial compensation between the
 24 buoyancy and the shear contributions is found in some areas of the North Atlantic, such as in
 25 the Gulf Stream region. In addition, the temporal variability of the topographic, advection,
 26 mesoscale shear and buoyancy gradient induced energy transfers is investigated. The spring
 27 neap cycle is the dominant frequency for the topographic scattering, but other frequencies
 28 modulate this term in areas of strong mesoscale activity. Mesoscale induced energy fluxes
 29 are modulated by both the spring neap cycle and the variation in the mesoscale circulation
 30 patterns.

31 **Plain Language Summary**

32 Internal tides are waves generated when the tidal motions, induced by the Moon and
 33 Sun gravitational attraction on the ocean, interact with topographical features. Due to the
 34 strong variations of water density at various depths, these waves then propagate inside of
 35 the ocean interior. Along their propagation, they may interact with ocean currents, and this
 36 study aims to investigate the current interactions with the internal tide and their impact on
 37 the energy exchanges in the ocean. This analysis is conducted on the North Atlantic basin
 38 by the mean of a realistic numerical simulation of high-resolution. The interaction between
 39 currents and internal tides is found to primarily transfer energy from large scale waves to
 40 smaller ones. This interaction is important for the energy budget of the internal tide at
 41 the basin scale. The temporal variability of these current-internal tides interactions shows
 42 the same period then the variability of the semi-diurnal astronomical tide. However, these
 43 periodic oscillations are modulated by the temporal variability of the currents. The new
 44 results of this work should improve our understanding of the internal tide life cycle. This
 45 can contribute to a better understanding of the general circulation of the world ocean and
 46 therefore of Earth's climate.

47 **1 Introduction**

48 Internal tides are internal waves formed when the barotropic displacement of the wa-
 49 ter column caused by the tidal potential flows over a topographic feature such as ridges,
 50 seamounts or continental slopes. The emitted internal waves can then propagate more than
 51 a thousand kilometres for large spatial scale internal tides (Alford et al., 2019), with a
 52 decreasing travel distance as this spatial scale decreases. Small scale internal tides dissi-
 53 pate locally. The abundance of topographic features in the ocean, combined with these
 54 large propagation distances, make the internal tide an ubiquitous component of the ocean
 55 dynamics (Zhao, 2018; Zaron et al., 2022). Moreover, they are a privileged path for as-
 56 tronomical tide energy to fuel the meridional overturning circulation via mixing when they
 57 break due to instabilities, producing turbulence (Munk & Wunsch, 1998; de Lavergne et al.,
 58 2019, 2020).

59 This picture is complemented by the presence of mesoscale currents, eddies and density
 60 variation across the ocean. In particular, to what extent the interaction between the internal

61 tide and the mesoscale circulation has an importance on the distribution and dissipation of
62 the internal tide energy remains an open question. This, in turn, has a potential impact on
63 the deep ocean mixing, making the energy interactions between the mesoscale circulation
64 and the internal tide an important object to investigate. Finally, better understanding the
65 dynamics of the internal tide is also important to better predict their sea surface height
66 (SSH) imprint; the internal tide and the mesoscale circulation have the same spatial scale,
67 making the prediction of the mesoscale currents from the SSH without contamination by
68 the internal tide challenging (Ponte & Klein, 2015). This issue is further exacerbated in the
69 context of the recently launched SWOT mission (Ballarotta et al., 2019).

70 Regional or global numerical simulations (Arbic et al., 2010, 2012; Shriver et al., 2014;
71 Ansong et al., 2017) among others) have helped understanding better the dynamics of the
72 internal tide in a realistic ocean. They have in particular enabled the investigation of the
73 impact of the mesoscale circulation on the propagation of the internal tide. Duda et al.
74 (2018) showed that most internal tide beams crossing a Gulf Stream like jet would be
75 refracted by the current. In an idealised setup, Dunphy & Lamb (2014) investigated the
76 impact of barotropic eddies on the energy flux trajectories of the first baroclinic vertical
77 mode of the internal tide. The mode 1 energy flux was refracted when crossing the eddy.
78 Cold and hot spot of energy flux appeared after the wave had crossed the eddy. The same
79 authors also observed that a baroclinic eddy could transfer energy from low to higher internal
80 tide modes. Furthermore, Kelly & Lermusiaux (2016) and Pan et al. (2021) have studied the
81 impact of the mesoscale on the energy flux divergence of the first internal tide mode in the
82 vicinity of the Gulf Stream and the Palau Islands. Their work reveals a significant mesoscale
83 imprint in the internal tide energy budget. Kelly & Lermusiaux (2016) also showed that
84 a shelf break front is able to modulate the rate of generation of the internal tide by up to
85 10-20%. Savage et al. (2020) showed in the Tasman sea that the intensity of the energy
86 transfer induced by the advection of the internal tide by the background flow between the
87 vertical mode 1 and 2 is dependent on the scale of the background flow. The background
88 flow was also found to have more importance on higher internal tide modes. All together,
89 these studies show that the mesoscale circulation has an importance on the propagation and
90 the energy budget of the internal tide. However, a quantitative analysis of the impact of
91 the mesoscale flow on the internal tide energy budget, in comparison with other processes
92 affecting its dynamics, has not yet been conducted at the scale of an entire basin.

93 In this paper, we analyse the internal tide energy life cycle at the scale of the North
94 Atlantic Ocean based on a realistic high-resolution numerical simulation. The different
95 contributions of the energy budget of the internal tide, and in particular the interaction
96 between the internal tide and the mesoscale circulation, are diagnosed and quantified. To
97 this end, a vertical mode decomposition is performed on the hourly outputs of the numerical
98 simulation over a time period of eight month. Such method, which was previously applied by
99 Kelly et al. (2016); Kelly & Lermusiaux (2016); Pan et al. (2021) – among others, allows us to
100 obtain and diagnose the modal energy budget of the semi-diurnal internal tide including in
101 particular the transfers of energy amongst the different vertical modes – which are associated
102 with different length scales.

103 This paper is organised as follows: Section 2 presents a derivation of the modal energy
104 budget of the internal tide. The numerical simulation used to compute the modal energy
105 budget contributions and the processing of its output are then discussed in Section 3. Sec-
106 tion 4 presents the temporal mean of the modal energy budget over the considered domain,
107 its temporal variability and a regional analysis. Section 5 concludes this study.

2 Internal tide governing equations and modal decomposition

2.1 Primitive equations

Similarly to several previous studies (Kelly & Lermusiaux (2016), Pan et al. (2021) among others), a vertical mode decomposition is employed to analyse the energetics of the internal tides. The starting point are the primitive equations under the Boussinesq and hydrostatic approximations with the addition of a tidal potential:

$$\partial_t \mathbf{u}_h + (\mathbf{u} \cdot \nabla) \mathbf{u}_h + f \mathbf{e}_z \wedge \mathbf{u}_h = -\nabla_h p - \nabla_h \Pi_{\text{tide}}, \quad (1a)$$

$$\partial_z p - b = 0, \quad (1b)$$

$$\partial_t b + \mathbf{u} \cdot \nabla b = 0, \quad (1c)$$

$$\nabla \cdot \mathbf{u} = 0, \quad (1d)$$

with $\mathbf{u}(x, y, z, t) = (u, v, w)^T$ the three-dimensional velocity, \mathbf{u}_h the horizontal component of \mathbf{u} , $f(y)$ the Coriolis parameter, $p(x, y, z, t)$ the hydrostatic pressure divided by ρ_0 the reference density, $\Pi_{\text{tide}}(x, y, t)$ the astronomical tidal potential, $b(x, y, z, t)$ the buoyancy and $\nabla_h = (\partial_x, \partial_y)^T$. The Coriolis term is written here formally, and has to be understood as $f \mathbf{e}_z \wedge \mathbf{u}_h = f(-v, u)^T$. The vertical domain considered extends from the bottom of the ocean to the temporal average of the free surface.

Following Kelly & Lermusiaux (2016), physical fields described by a variable X are decomposed into a slowly varying part and a fast oscillating part describing waves: $X = \bar{X} + \tilde{X}$. More precisely, the fast varying part in this study is the semi diurnal tidal signal. Furthermore, the slowly varying part of the buoyancy and stratification $N(x, y, z, t)$ (the Brunt-Väisälä frequency) are decomposed into a stationary part, noted B_s, N_s , and a slowly varying part, noted B', N' .

The system (1) is then time-averaged over a few tidal periods, such that the slowly varying part remains approximately constant, but the fast oscillating internal tides vanishes. The result of this procedure is subtracted to (1) and then linearised around the balanced part, considering its amplitude large compared to the small fast waves component. This procedure yields the following equations describing the propagation of internal tides in a realistic ocean:

$$\partial_t \tilde{\mathbf{u}}_h + (\bar{\mathbf{U}} \cdot \nabla) \tilde{\mathbf{u}}_h + (\tilde{\mathbf{u}} \cdot \nabla) \bar{\mathbf{U}}_h + f \mathbf{e}_z \wedge \tilde{\mathbf{u}}_h = -\nabla_h \tilde{p} - \nabla_h \Pi_{\text{tide}} \quad (2a)$$

$$\partial_z \tilde{p} - \tilde{b} = 0, \quad (2b)$$

$$\partial_t \tilde{b} + \bar{\mathbf{U}} \cdot \nabla \tilde{b} + \tilde{\mathbf{u}}_h \cdot \nabla_h (B_s + B') + \tilde{w} (N_s^2 + N'^2) = 0, \quad (2c)$$

$$\nabla \cdot \tilde{\mathbf{u}} = 0. \quad (2d)$$

The system (2) is complemented by boundary conditions representative of a free surface and a free slip at the bottom:

$$\tilde{p}(\bar{\eta}_s) = g\tilde{\eta}, \quad (3a)$$

$$\tilde{w}(\bar{\eta}_s) = \partial_t(\tilde{\eta}) + \bar{\mathbf{U}}_h(\bar{\eta}_s) \cdot \nabla_h \tilde{\eta}, \quad (3b)$$

$$\bar{W}(-H) = -\bar{\mathbf{U}}_h(-H) \cdot \nabla H, \quad (3c)$$

$$\tilde{w}(-H) = -\tilde{\mathbf{u}}_h(-H) \cdot \nabla H, \quad (3d)$$

with $\bar{\eta}_s$ the time-averaged sea surface height (SSH) and $\tilde{\eta}$ the associated fluctuation. For the sake of clarity, overbar $\bar{\cdot}$ and tilde $\tilde{\cdot}$ will be omitted in the following.

2.2 Vertical modes

At each horizontal position, a set of orthogonal vertical modes is used to project the linearised primitive equations. It provides a clear separation between the barotropic surface

154 tide and the baroclinic internal tide. Expressing the diagnostics in this basis also allows us to
 155 drastically reduce the computational costs associated with high resolution three-dimensional
 156 primitive equation simulations over large domains. The modes used in this study are defined
 157 with a free surface, similarly as the modes considered in Kelly (2016).

158 The vertical modes are obtained from the primitive equations with a linearised internal
 159 wave propagating inside an ocean devoid of background currents and featuring a temporally
 160 averaged stratification profile $N_s^2(x, y, z)$. A plane wave with vertically variable amplitude
 161 propagating in the horizontal direction in Fourier space is considered. The equations (2d)
 162 are expressed horizontally and temporally in the Fourier domain and subsequently reduced
 163 to one equation, leading to a Sturm–Liouville problem whose solutions are the vertical modes
 164 Φ_n :

$$165 \quad \partial_z \left(\frac{\partial_z \Phi_n}{N_s^2} \right) + \frac{\Phi_n}{c_n^2} = 0, \quad (4)$$

166 where c_n^2 is the eigenvalue of mode n . The basis of modes $\Phi_n(z; x, y)$ is representative of
 167 horizontal velocities u and v , and the pressure p . In order to span the vertical velocity w ,
 168 another basis φ_n is also considered. The two vertical modes bases are linked together by
 169 the following relations:

$$170 \quad \partial_z \varphi_n = \Phi_n, \quad \partial_z \Phi_n = -\frac{N_s^2}{c_n^2} \varphi_n. \quad (5)$$

171 These modes obey the impermeable free slip flat bottom and free surface boundary condi-
 172 tions:

$$173 \quad \partial_z \Phi_n = 0 \text{ at } z = -H, \quad \text{and} \quad g \partial_z \Phi_n + N_s^2 \Phi_n = 0 \text{ at } z = \eta_s. \quad (6)$$

174 Last, the vertical modes follow the orthogonality conditions:

$$175 \quad \int_{-H}^{\bar{\eta}} \Phi_m \Phi_n \, dz = \int_{-H}^{\bar{\eta}} \frac{N_s^2}{c_n^2} \varphi_m \varphi_n \, dz + \frac{g}{c_n^2} \varphi_m(\eta_s) \varphi_n(\eta_s) = H \delta_{mn}. \quad (7)$$

176 Physical fields can be projected onto these two bases following:

$$177 \quad [u_n, v_n, p_n] = \langle [u, v, p], \Phi_n \rangle, \quad (8a)$$

$$178 \quad w_n = \frac{1}{c_n^2} \left(\langle \varphi_n, w N_s^2 \rangle + \frac{g}{H} w(\eta_s) \varphi_n(\eta_s) \right), \quad (8b)$$

$$179 \quad b_n = \frac{1}{c_n^2} \left(\langle \varphi_n, b \rangle + \frac{g}{H} \frac{b(\eta_s)}{N_s^2(\eta_s)} \varphi_n(\eta_s) \right), \quad (8c)$$

180 with $\langle f, g \rangle = \frac{1}{H} \int_{-H}^{\bar{\eta}} f(z) g(z) \, dz$. They can be reconstructed as follows:

$$182 \quad [u, v, p] = \sum_n [u_n, v_n, p_n] \Phi_n, \quad (9a)$$

$$183 \quad [w, b] = \sum_n [w_n, N_s^2 b_n] \varphi_n. \quad (9b)$$

184
 185 The mode 0 is depth invariant (not shown) and is associated with the barotropic
 186 astronomical tide, while the higher modes describe the internal tide. In this study, the
 187 modes from 0 to 10 will be considered. Such truncation may lead to unaccounted energy
 188 transfers toward higher modes which must be kept in mind when analysing the results.

189 **2.3 Coupled shallow water (CSW) equations and energy budget**

190 The derivation of the modal energy budget is the same as in Kelly et al. (2016), with
 191 the addition of the free surface, which leads to an upper boundary term similarly as in Kelly
 192 (2016). For this reason, only a sketch of the derivation is presented in this section.

193 The primitives equations (2) are projected onto the vertical modes defined in equa-
 194 tion (4). The horizontal motion and continuity equations are projected onto Φ_m , while the
 195 hydrostatic equilibrium and the buoyancy equations are projected onto φ_m . The orthog-
 196 onality conditions, Leibniz formula for integration and the boundary conditions are then
 197 applied on the continuity equation. Finally, the buoyancy is reexpressed in function of the
 198 pressure anomaly in the buoyancy equation using the hydrostatic equilibrium, leading to
 199 the Coupled Shallow Water (CSW) equations:

$$\begin{aligned}
 \partial_t \mathbf{u}_{h_m} + f \mathbf{e}_z \wedge \mathbf{u}_{h_m} &= -\nabla_h p_m - \sum_n (\mathbf{U}_{mn} \cdot \nabla) \mathbf{u}_{h_n} - \sum_n \mathbf{u}_{h_n} U_{mn}^\Phi - \sum_n \mathbf{U}_{mn}^\nabla \mathbf{u}_{h_n} \\
 &\quad - \sum_n w_n \mathbf{U}_{mn}^z - \sum_n p_n \mathbf{T}_{mn} - \frac{1}{H} (\varphi_m(\eta_s) - \varphi_m(-H)) \nabla \Pi_{\text{tide}}, \quad (10a)
 \end{aligned}$$

$$\begin{aligned}
 \partial_t p_m - c_m^2 w_m &= -\frac{g}{H} \varphi_m(\eta_s) \mathbf{U}_h(\eta_s) \cdot \nabla \eta - \sum_n \mathbf{U}_{mn}^p \cdot \nabla p_n \\
 &\quad - \sum_n p_n \left\langle \varphi_m, \mathbf{U} \cdot \nabla \left(\frac{N_s^2}{c_n^2} \varphi_n \right) \right\rangle \\
 &\quad + \sum_n \mathbf{u}_{h_n} \cdot (\mathbf{B}_{mn} + \mathbf{B}_{mn}^s) + \sum_n w_n \left\langle \varphi_m, \varphi_n N'^2 \right\rangle, \quad (10b)
 \end{aligned}$$

$$\nabla_h \cdot (H \mathbf{u}_{h_m}) + H w_m = H \sum_n \mathbf{u}_{h_n} \cdot \mathbf{T}_{mn}, \quad (10c)$$

with

$$\begin{aligned}
 \mathbf{T}_{mn} &= \langle \Phi_m, \nabla_h \Phi_n \rangle, & \mathbf{U}_{mn} &= \langle \Phi_m, \mathbf{U}_h \Phi_n \rangle, & U_{mn}^\Phi &= \langle \Phi_m, \mathbf{U}_h \cdot \nabla_h \Phi_n \rangle, \\
 \left(\mathbf{U}_{mn}^\nabla \right)_{ij} &= \left\langle \Phi_m, \Phi_n \frac{\partial U_i}{\partial x_j} \right\rangle, & \mathbf{U}_{mn}^z &= \langle \Phi_m, \varphi_n \partial_z \mathbf{U}_h \rangle, & \mathbf{U}_{mn}^p &= \left\langle \varphi_m, \mathbf{U} \frac{N_s^2}{c_n^2} \varphi_n \right\rangle, \\
 \mathbf{B}_{mn} &= \left\langle \varphi_m, \Phi_n \nabla_h B' \right\rangle, & \mathbf{B}_{mn}^s &= \langle \varphi_m, \Phi_n \nabla_h B_s \rangle.
 \end{aligned}$$

This system differs from previous works on three points that are explained here.

213 First, the buoyancy terms in equation (10b) are equivalent to the buoyancy term in
 214 Kelly & Lermusiaux (2016). However, a decomposition between stationary and variable part
 215 for the buoyancy was performed in order to limit computational costs, since the variable
 216 contribution B is negligible to the modal energy budget (not shown here).

217 Secondly, generalising the work of Kelly (2016) by considering a free surface leads to
 218 the additional contribution $\frac{g}{H} \varphi_m(\eta_s) \mathbf{U}_h(\eta_s) \cdot \nabla \eta$. It results in an imperfect compensation
 219 of all free surface contributions during the derivation of equation (10b).

220 Last, the variable stratification term in equation (10b) is not documented in the liter-
 221 ature to our knowledge. It appears when the stratification is decomposed into a stationary
 222 and variable part: while $\int_{-H}^{\eta} w N_s^2 \varphi_m dz$ is reduced by applying the orthogonality conditions,
 223 the term $\int_{-H}^{\eta} w N'^2 \varphi_m dz$ remains. It compensates the fact that the stationary stratification
 224 profile is not fully representative of the instantaneous ocean.

225 The modal energy budget is obtained by summing the inner product between \mathbf{u}_{h_m} and
 226 the horizontal motion equation (10a) with the buoyancy equation (10b) multiplied by p_m –
 227 where the continuity equation (10c) is used to express w_m as a function of \mathbf{u}_{h_m} . The result
 228 of these operations is the modal energy budget of a given mode interacting with various
 229 physical features such as topography or mesoscale flow:

$$\begin{aligned}
 \underbrace{\partial_t \frac{\mathbf{u}_{h_m}^2}{2} + \partial_t \frac{p_m^2}{2c_m^2}}_{\text{temporal variation of energy}} &+ \underbrace{\frac{1}{H} \nabla_h \cdot (H \mathbf{u}_{h_m} p_m)}_{\text{divergence of energy flux}} = \sum_n (A_{mn} + H_{mn} + V_{mn} + C_{mn} + B_{mn}^o + B_{mn}^{os}) \\
 &\quad + \underbrace{S_{mn} + N_{mn}^s + N_{mn}}_{\text{Tidal forcing}} - \nabla \cdot (\Pi_{\text{tide}}) (\varphi_m(\eta_s) - \varphi_m(-H)) \cdot \mathbf{u}_{h_m}, \quad (11)
 \end{aligned}$$

234 with

$$\begin{aligned}
 235 \quad A_{mn} &= -((\mathbf{U}_{mn} \cdot \nabla) \mathbf{u}_{h_n}) \cdot \mathbf{u}_{h_m} + U_{mn}^\Phi \mathbf{u}_{h_n} \cdot \mathbf{u}_{h_m} - \frac{p_m}{c_m^2} \mathbf{U}_{mn}^p \cdot \nabla p_n, \\
 236 \quad H_{mn} &= -(\mathbf{U}_{mn}^\nabla \mathbf{u}_{h_n}) \cdot \mathbf{u}_{h_m}, & V_{mn} &= -w_n \mathbf{U}_{mn}^z \cdot \mathbf{u}_{h_m}, \\
 237 \quad C_{mn} &= p_m \mathbf{u}_{h_n} \cdot \mathbf{T}_{nm} - p_n \mathbf{T}_{mn} \cdot \mathbf{u}_{h_m}, & S_{mn} &= -\frac{p_m}{H c_m^2} g \varphi_m(\eta_s) (\mathbf{U}_h(\eta_s) \cdot \nabla) \eta, \\
 238 \quad B_{mn}^o &= \frac{p_m}{c_m^2} \mathbf{u}_{h_n} \cdot \nabla_h B_{mn}, & B_{mn}^{os} &= \frac{p_m}{c_m^2} \mathbf{u}_{h_n} \cdot \nabla_h B_{mn}^s, \\
 239 \quad N_{mn}^s &= -\frac{p_m}{H c_m^2} p_n \int_{-H}^{\bar{\eta}} \varphi_m \mathbf{U} \cdot \nabla \left(\frac{N_s^2}{c_n^2} \varphi_n \right) dz, & N_{mn} &= -\frac{p_m}{H c_m^2} w_n \int_{-H}^{\bar{\eta}} N'^2 \varphi_n \varphi_m dz. \\
 240
 \end{aligned}$$

241 The first term in the left hand side of equation (11) contains the temporal variation
 242 of the internal tide energy. The temporal average of this term over a long period compared
 243 to the internal tide period vanishes for stationary flows. It is followed by the horizontal
 244 energy flux divergence which diagnoses sources and sinks for the internal tide mode. The
 245 right hand side of the equation contains all energy fluxes between the internal tide and the
 246 mesoscale circulation, buoyancy field and topography, and the tidal forcing, respectively.
 247 The latter projects only weakly on the baroclinic modes (not shown here), because it is
 248 constant over depth. It will therefore not be examined in this paper. All coupling terms
 249 are the sum over the mode index n of matrix of modes pairs at each time step and each
 250 grid point, describing energy exchanges between different internal tide modes, as well as
 251 energy fluxes between internal tide modes and the mesoscale circulation. These matrices
 252 can be decomposed into an anti-symmetric part, describing exchanges of energy between
 253 the internal tide modes, and a symmetric part describing exchanges of energy between the
 254 internal tide and the background circulation and buoyancy field.

255 A physical interpretation of each terms is as follows: A_{mn} represents the advection
 256 of the internal tide by the background flow. Since the second term of A_{nm} is negligible
 257 compared to the others, it will not be considered in this paper and A_{nm} reduces to:

$$258 \quad A_{nm} \approx -((\mathbf{U}_{mn} \cdot \nabla) (\mathbf{u}_{h_n})) \cdot \mathbf{u}_{h_m} - \frac{p_m}{c_m^2} \mathbf{U}_{mn}^p \cdot \nabla (p_n).$$

259 H_{mn} represents the effect of the horizontal shear of the background flow. V_{mn} is the effect
 260 of the vertical shear of the background flow. C_{mn} is associated with the horizontal gradient
 261 of the vertical modes, which is caused by the topography but also by the mean stratification
 262 profile. However, the respective contributions of topography and stratification are difficult
 263 to quantify, and the separation of the two effects cannot be discussed in this paper. Since
 264 the role played by the stratification is very likely to be negligible at most of the locations,
 265 we interpret this term as topographic scattering, as in Kelly (2016). B_{mn}^o arises due to
 266 the horizontal gradient of the slowly varying part of the background buoyancy, while B_{mn}^{os}
 267 arises from the horizontal gradient of the stationary part of the background buoyancy. S_{mn}
 268 is an interaction between the temporally averaged free surface and the mesoscale circulation.
 269 N_{mn}^s represents the interaction between the stationary stratification, the internal tide and
 270 the mesoscale circulation. Finally, N_{mn} is linked to the variable stratification.

271 In the following, a particular attention is given to A_{mn} , C_{mn} , H_{mn} , V_{mn} and B_{mn}^{os} .
 272 Indeed, after their quantification (Bella et al., 2023), the other terms have been found to be
 273 negligible. As a consequence, they are not shown in further analyses.

274 3 Data and Methods

275 3.1 Numerical simulation

276 The modal energy budget (11) is diagnosed in the high-resolution realistic simulation
 277 of the North Atlantic Ocean eNATL60 (Brodeau et al., 2020). It is based on the Nucleus

278 for European Modelling of the Ocean (NEMO) model (see Madec & the NEMO team,
 279 2008). The model solves the primitive equations under the Boussinesq and hydrostatic
 280 approximations with an Arakawa C grid and z-coordinate with partial step. The eNATL60
 281 run includes astronomical tidal forcing with the M2, S2, N2, O1 and K1 frequencies. In
 282 addition, surface forcing from the 3-hourly ERA-interim (ECMWF) reanalysis is used, which
 283 enables the simulation to develop a realistic mesoscale field. The horizontal resolution of
 284 the simulation is $1/60^\circ$ (about 1.5 km in the mid latitudes) and features 300 vertical levels
 285 with a thickness starting from less than 1 m at the top of the ocean to 100 m at the bottom.
 286 The hourly outputs of the horizontal velocity \mathbf{u}_h , sea level, temperature and salinity fields
 287 are processed.

288 The domain of the simulation covers the North Atlantic from approximately 5°N to
 289 65°N as well as the Mediterranean and Black Seas. The area considered in this paper
 290 encompasses the entire North Atlantic ocean and is displayed in Figure 1. The time periods
 291 analysed ranges from July 2009 to February 2010. To gain more insight on the spatial
 292 structure of the energy couplings, seven subdomains with important signature of mode 1
 293 energy flux divergence are examined: two are located on the North, around the Labrador
 294 sea and the Faeroe Islands, one is located in the Gulf Stream area and features a strong
 295 mesoscale activity, with a well defined continental slope. Two others domains featuring
 296 continental slope but weak mesoscale circulation are located in the Bay of Biscay and the
 297 West Sahara coast. Last, two subdomains are located around Islands and contain seamounts,
 298 with a low mesoscale activity: one is centred around the Azores islands, and the other is
 299 located in the Caribbean.

300 3.2 Vertical modes and modal amplitude computation

301 In order to obtain the vertical normal modes bases, the mean stratification N_s^2 is
 302 computed from the time averaged temperature and salinity using the TEOS-10 equation of
 303 state. This routine is the same as the one used in the NEMO model. The Sturm-Liouville
 304 problem (4) is then discretised and solved on the staggered vertical grid on each horizontal
 305 cell, thus defining the two modal bases at the centre (in the horizontal direction) of each
 306 cell. The modal amplitudes u_n , v_n and p_n are obtained by projecting the corresponding
 307 fields u , v and p onto the vertical modes basis Φ_n , following (8a)-(8c). Since the horizontal
 308 grid is staggered, the horizontal velocity is located at the edge of each cell. Then, the basis
 309 Φ_n is interpolated before projecting the velocities. Unfortunately, this step induces a loss
 310 of orthogonality for the newly interpolated basis. The horizontal velocity modal amplitudes
 311 were corrected *a posteriori* using the cross-projection matrix between the interpolated basis
 312 and the bases obtained by solving the Sturm-Liouville problem (4) on the u, v -grids. The
 313 modal amplitudes of the vertical velocity are then computed using the continuity equation,
 314 following the discrete scheme employed in the NEMO code (Madec & the NEMO team,
 315 2008) – see Appendix 2 for details.

316 Since the modal decomposition is expected to be less relevant in shallow regions (be-
 317 cause bottom and wind stresses are not included in the vertical modes definition), a mask
 318 is applied to remove all locations shallower than 250 m.

319 3.3 Importance of $N(z)$ on the basis definition

320 The definition of the vertical modes Φ_n and φ_n depends on the temporally averaged
 321 stratification profile over the eight months of the simulation output. However, since the
 322 stratification of the ocean evolves with time (*e.g.* Barbot et al., 2021, in the context of
 323 internal tide dynamics), it means that physical fields are projected onto bases that are
 324 not perfectly representative of the solution at a given time. Such inconsistency is mainly
 325 accounted for by the cross-modal interaction term N_{mn} , which arises due to the inadequacy
 326 between the instantaneous stratification profile and the profile considered in the Sturm-
 327 Liouville problem. These spurious energy transfers can be viewed as non diagonal terms,

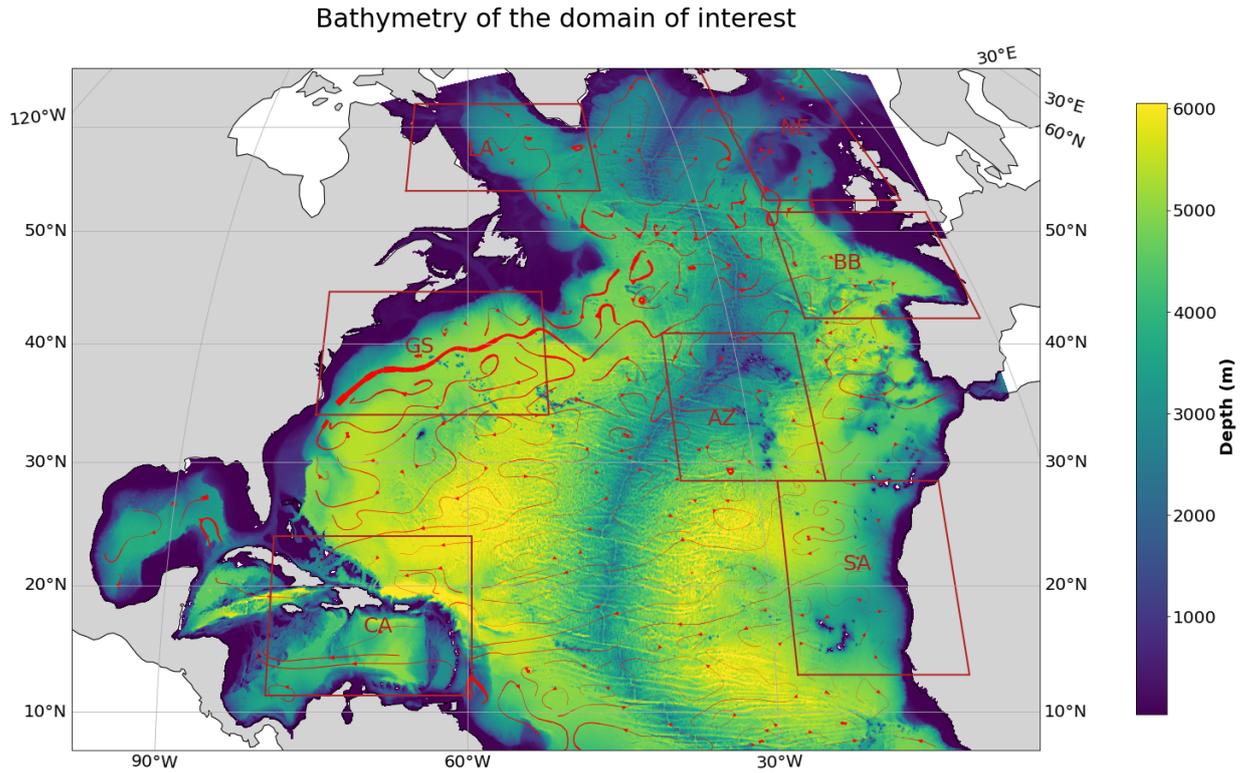


Figure 1: Topography of the eNATL60 domain considered in this paper, colour. Red streamlines: eight months average of the mesoscale circulation at 250 metres depth. The brown boxes highlight the different subdomains considered. Starting from the top right and going clockwise are found the Faroe Islands domain (NE), the Bay of Biscay domain (BB), the Azores domain (AZ), the west Sahara coast (SA), the Caribbean domain (CA), the Gulf Stream domain (GS) and the Labrador domain (LA).

328 arising because the projection onto the vertical modes bases fails to diagonalise the system.
 329 This term would not exist if instantaneous stratification profiles were used.

330 To mitigate this effect, the energy transfers of equation (11) are computed by per-
 331 forming an *a posteriori* correction to the projection coefficients and vertical modes coupling
 332 matrices such as \mathbf{T}_{mn} . These tensors have been previously computed once with the simu-
 333 lation basis in order to avoid storing their monthly versions, which would require a large
 334 storage space. It can be remarked that correcting these tensors is computationally preferable
 335 to computing them each month with monthly bases obtained by solving equation (4). After
 336 the correction, the magnitude of the term N_{mn} decreases from a significant energy transfer
 337 to values that are negligible compared with the dominant terms in the energy budget (11).
 338 More details can be found in appendix 1.

339 3.4 Time filtering

340 The mesoscale and internal tide signals are obtained by time filtering the horizontal
 341 velocities and pressure fields. To reduce the numerical costs associated with the computation
 342 of the energy interaction terms of (11), the mesoscale is decomposed on the modal basis as
 343 follows:

$$344 \mathbf{U}_h(x, y, z, t) = \sum_n \mathbf{U}_{h_n}(x, y, t) \Phi_n(z). \quad (12)$$

345 The background flow \mathbf{U}_{h_n} is obtained from the complete modal velocities amplitudes by
 346 using a low-pass filter with a cutoff period of two days. This signal is then subtracted
 347 to the complete modal amplitude before applying a complex demodulation at a central
 348 semi-diurnal frequency $\omega = 1.415 \times 10^{-4} \text{rad s}^{-1}$, thus obtaining the internal tide modal
 349 amplitudes. The frequency ω corresponds to a period of 12 h 20 min, which is centred
 350 between the Solar semi-diurnal component S2 at 12 h and the larger Lunar elliptic semi-
 351 diurnal component N2 at 12 h 40 min (Gerkema, 2016). The M2 component is contained in
 352 this interval with a period of 12 h 24 min. This choice has been made in order to capture
 353 both the M2 and S2 components along with their spectral broadening caused by interaction
 354 with the mesoscale flow. Each month is treated separately, with an overlap of 5 days, to
 355 reduce numerical costs associated with the time filtering.

356 The slowly-variable buoyancy field is computed from the daily-averaged temperature
 357 and salinity, and the corresponding stratification is then estimated from this buoyancy field
 358 as follows:

$$359 N' = -g \partial_z \left(\frac{\rho - \rho_s}{\rho_0} \right). \quad (13)$$

360 4 Results

361 4.1 Time-averaged energy exchanges

362 We now discuss the diagnostic of the eNATL60 simulation based on the framework of
 363 the internal tide vertical mode energy equation (11). We first present the time averaged
 364 modal energy budget, analysing the spatial and modal distribution of the dominant energy
 365 transfer terms. We then discuss the time-variability of these terms.

366 4.1.1 Energy flux divergence

367 The energy flux divergence (see eq. 11) is representative of the sources and sinks for
 368 the various modes of the baroclinic tide. Figure 2 shows the energy flux divergence averaged
 369 over eight months for modes 1, 2 and 3. For the first mode, main sources are located around
 370 prominent seamounts – *e.g.* the Great Meteor chain south of the Azores Islands – and
 371 islands, such as Cape Verde. Some continental slopes are also major sites of generation,
 372 such as the Bay of Biscay or the US East Coast around 40 ° N. Among these, Cape Verde,
 373 the Bay of Biscay continental slope and the Great Meteor Seamounts are also prominent

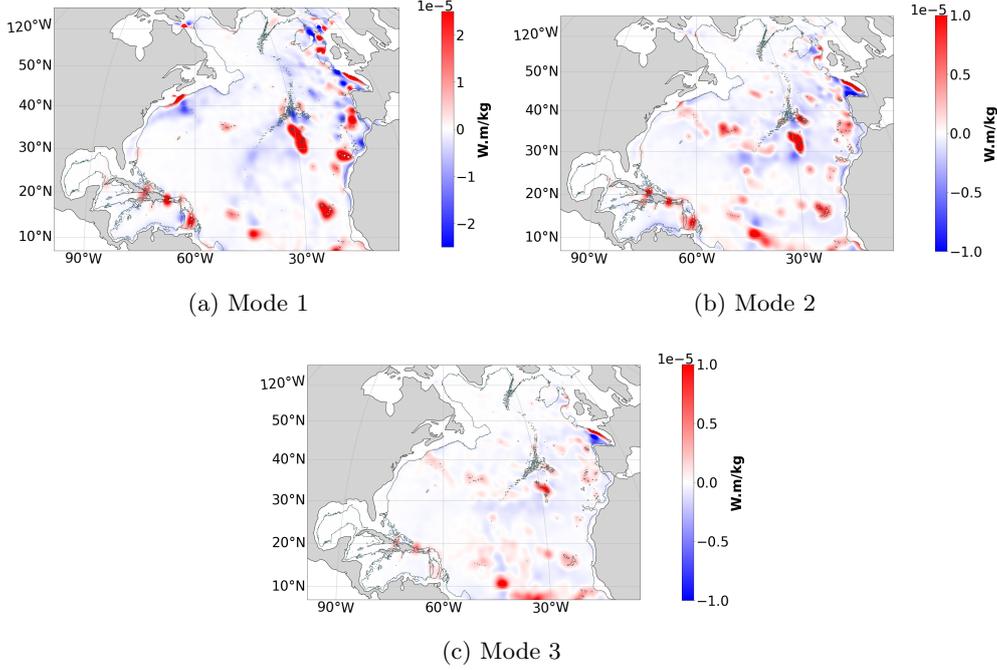


Figure 2: Map of the eight months averaged flux divergence for modes 1 (a) , 2 (b) and 3 (c). A red colour indicates a source of energy for the internal tide mode, a blue spot indicates a sink. A Gaussian filter with a standard deviation of 30 grid points was applied to smooth the divergence field and facilitate interpretations. Gray lines: isobath at 1700 metres depth.

374 sources for mode 2, while the Bay of Biscay is the most important site of generation for
 375 mode 3. Mode 2 and 3 also show a weak source of energy along a beam coming out of the
 376 US continental slope.

377 Main sinks of energy for the mode 1 include continental slopes such as the Western
 378 Sahara and along the border of Europe, but also just ashore the US generation site in an
 379 area devoid of topography. The imprint of the Gulf Stream in the dissipation
 380 pattern of the first baroclinic mode with values as high as values observed along the North
 381 Atlantic ridge. A diffuse loss of energy for all modes is located in the eastern part of the
 382 Atlantic, especially near the Mid Atlantic ridge. Modes 2 and 3 also show a strong loss of
 383 energy in the interior of the bay of Biscay.

384 **4.1.2 Main contributions to the mode 1 internal tide energy budget.**

385 Among all the sources and sinks, the topographic scattering of energy (captured by
 386 the C_{mn} term in eq. 11) have attracted the most attention (Lahaye et al., 2020; Buijsman
 387 et al., 2020). Figure 3(a) shows the energy exchange between modes 1 and 2 caused by this
 388 topographic scattering. Across most of the domain, this process is responsible for a loss
 389 of energy for the first mode toward the second, with strong values localised at topographic
 390 features. Despite C_{mn} including also the impact of the horizontal variations of stratification,
 391 there is no energy exchange in the Gulf Stream area away from topographic features (but
 392 with stratification variations), that would suggest a importance of the stratification effects.
 393 However, in the north of the domain and at the US continental slope generation site, mode 2
 394 transfers energy toward mode 1. Long term variations (over several months) are observed

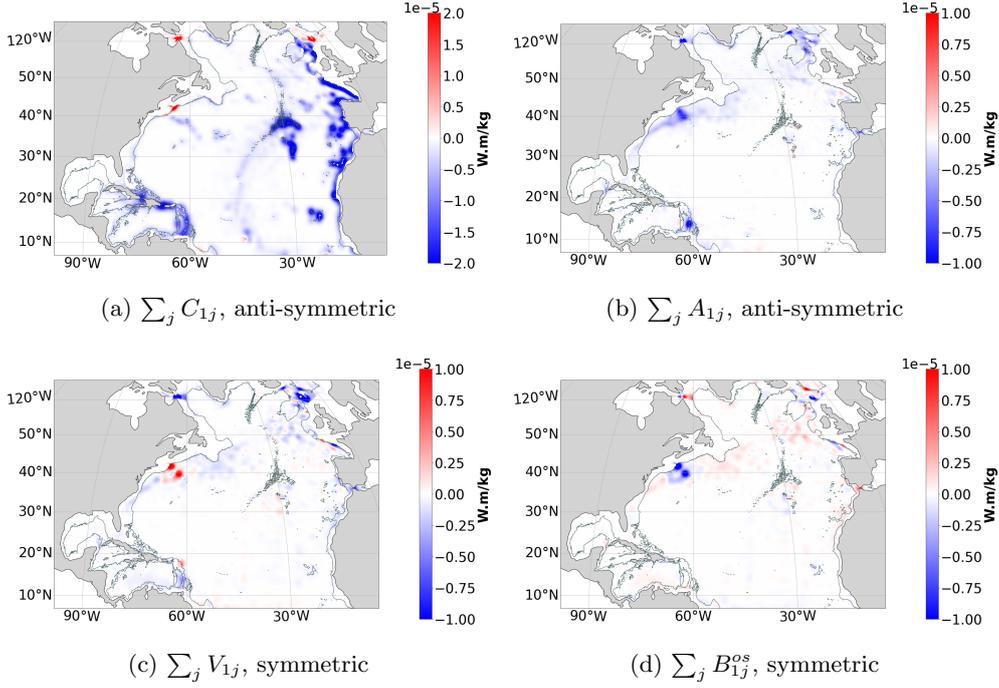


Figure 3: Eight months averaged modal energy exchange terms over the North Atlantic for mode 1. (a): anti-symmetric contribution of topographic scattering, (b): anti-symmetric contribution of advection induced energy transfer, (c): symmetric contribution of background flow vertical shear induced energy transfer, (d): symmetric contribution of background buoyancy induced energy transfer. A blue colour indicates a loss of energy, a red colour indicates a gain. The colorbar has different values for the topographic scattering and the mesoscale induced energy transfers. The same smoothing as in Fig. 2 has been applied. Dark grey lines indicate isobath at 1700 metres depth.

395 in the temporal series of C_{12} integrated over the Gulf Stream domain (*c.f.* section 4.2.1). In
 396 addition, some discontinuities are visible in the temporal series of C_{12} , which are associated
 397 with the change of monthly averaged stratification used to compute the vertical modes. It
 398 might therefore be possible that the variations of stratification is responsible for this sign
 399 anomaly at the US Eats coast generation site.

400 Weaker but noticeable energy transfers between mode 1 and mode 2 caused by the ad-
 401 vection of the internal tide by the background flow are visible in areas of enhanced mesoscale
 402 activities (Fig. 3b). Similarly to C_{mn} , the advection of the internal tide by the background
 403 flow is responsible for an energy transfer from mode 1 to mode 2 across most of the do-
 404 main. These energy transfers occur in the Gulf Stream, especially in front of the mode 1
 405 US generation site, the Labrador sea and in the vicinity of the Faeroe islands, both near
 406 sources of energy for the mode 1. Energy transfers also occur in the Gulf Stream and in the
 407 North Atlantic drift, but in a much more diffuse manner. Moreover, A_{12} energy exchange
 408 also takes place at the southern part of the Antille arc.

409 Vertical shear (V_{mn}) and buoyancy (B_{mn}^{os}) induced energy transfers between the mode 1
 410 and the mesoscale/buoyancy field also occur at the western boundary current, the North
 411 Atlantic drift and the Northernmost part of the basin (*c.f.* Figure 3, panels c and d). These
 412 couplings are of the same magnitude as the advection and change sign across the domain.
 413 The buoyancy is a sink of energy for the mode 1 in front of the US generation site. It

414 is also a sink North of Scotland, and is a source for mode 1 everywhere else. Conversely,
 415 the vertical shear interaction is a source in front of the US East Coast slope for the first
 416 baroclinic mode, and a sink almost everywhere else. B_{mn} and V_{mn} energy transfers are
 417 opposed to each others in the Gulf Stream, the North Atlantic drift and the Labrador
 418 sea. This opposition has already been pointed out by Kelly & Lermusiaux (2016) in a
 419 idealised simulation including a western boundary-like current and in a regional numerical
 420 simulation including the Gulf Stream. In the case of two internal tide modes propagating
 421 perpendicularly to a mesoscale current in a thermal wind balance, this compensation can
 422 be explained under a few simplifying assumptions – see Appendix 2. However, in eNATL60,
 423 this opposition does not hold near the Faeroe islands generation site: the vertical shear
 424 induced energy transfer displays strong loss of energy for the mode 1, while the buoyancy
 425 term generates weaker sources and sinks of energy for the first baroclinic mode.

4.1.3 Domain integrated modal energy transfers

427 In order to give a global view of the importance of each kind of energy transfer, we
 428 discuss the magnitude of the coupling matrices corresponding to the interaction terms,
 429 spatially integrated over the whole domain and temporally averaged over the eight months
 430 of the simulation. The following matrices are considered (the other terms of the modal
 431 energy budget (11) have been found negligible; see Bella et al. (2023) and Appendix 1 for
 432 the N_{mn} term):

- 433 • Advection of the internal tide A_{mn} : $-H(\mathbf{U}_{mn} \cdot \nabla \mathbf{u}_{h_n}) \cdot \mathbf{u}_{h_m} - \frac{H p_m}{c_m^2} \mathbf{U}_{mn}^p \cdot \nabla p_n$.
- 434 • Scattering by topography and stratification C_{mn} : $H p_m \mathbf{u}_{h_n} \cdot \mathbf{T}_{nm} - H p_n \mathbf{T}_{mn} \cdot \mathbf{u}_{h_m}$.
- 435 • Horizontal shear H_{mn} : $-H(\mathbf{U}_{mn}^\nabla \mathbf{u}_{h_n}) \cdot \mathbf{u}_{h_m}$.
- 436 • Vertical shear V_{mn} : $-H w_n \mathbf{U}_{mn}^z \cdot \mathbf{u}_{h_m}$.
- 437 • Buoyancy gradient B_{mn}^{os} : $H \frac{p_m}{H c_m^2} \mathbf{u}_{h_n} \cdot \mathbf{B}_{mn}^s$.

438 These interaction matrices are further decomposed into an anti-symmetric part, representing
 439 energy transfers between modes within the internal tide field, and a symmetric part which ex-
 440 presses energy exchanges between the internal tide and the mesoscale circulation/buoyancy
 441 field.

442 Figure 4 shows the above modal energy transfer matrices for the North Atlantic. Basin
 443 wide, the topographic scattering C_{mn} is predominant. It is the only term able to transfer
 444 efficiently energy to non neighbouring modes. It is exactly anti-symmetric by construction,
 445 converting energy from low to high modes on average. The advection term is significant for
 446 all baroclinic modes and becomes important starting from mode 2: A_{12} is worth 16% of C_{12}
 447 but 8% of $\sum_{i=2}^{10} C_{1i}$ while $\sum_{i=4}^{10} A_{3i}$ is worth 31% of $\sum_{i=4}^{10} C_{3i}$. A_{mn} also has a very weak
 448 symmetric part and transfers energy from large to small scale internal tides. This forward
 449 cascade of energy has been diagnosed from mooring data south of the Azores Islands by Löb
 450 et al. (2020), who found evidences that the mesoscale flow is able to transfer energy from
 451 low to high modes. A high value of A_{00} is caused by energy exchanges mainly on continental
 452 slope and in areas of topography gradients. This is likely caused by the intensification of
 453 the barotropic tide velocities over some continental shelf and their associated high gradient
 454 over slopes, increasing $((\mathbf{U}_{mn} \cdot \nabla \mathbf{u}_{h_0}) \cdot \mathbf{u}_{h_0})$ even in a presence of a weak mesoscale flow.

455 In contrast to A_{mn} and C_{mn} , the vertical and horizontal shear are characterised by
 456 their strong symmetric part (Figure 4, panels c and d). The horizontal shear acts as a source
 457 at the scale of the basin, having its strongest contribution for the mode 1. For this mode,
 458 H_{mn} is as important as the advection term. However, its importance decreases for higher
 459 modes, making it non significant compared to the topographic scattering for mode 2 and
 460 higher at global scale. On the contrary, the vertical shear acts as a sink for the internal
 461 tide when integrated over the domain, although figure d shows V_{mn} acting as a source of
 462 energy for the first baroclinic mode. The importance of V_{mn} decreases for higher modes as
 463 for the horizontal shear term, and overall shows a smaller intensity than H_{mn} but part of it

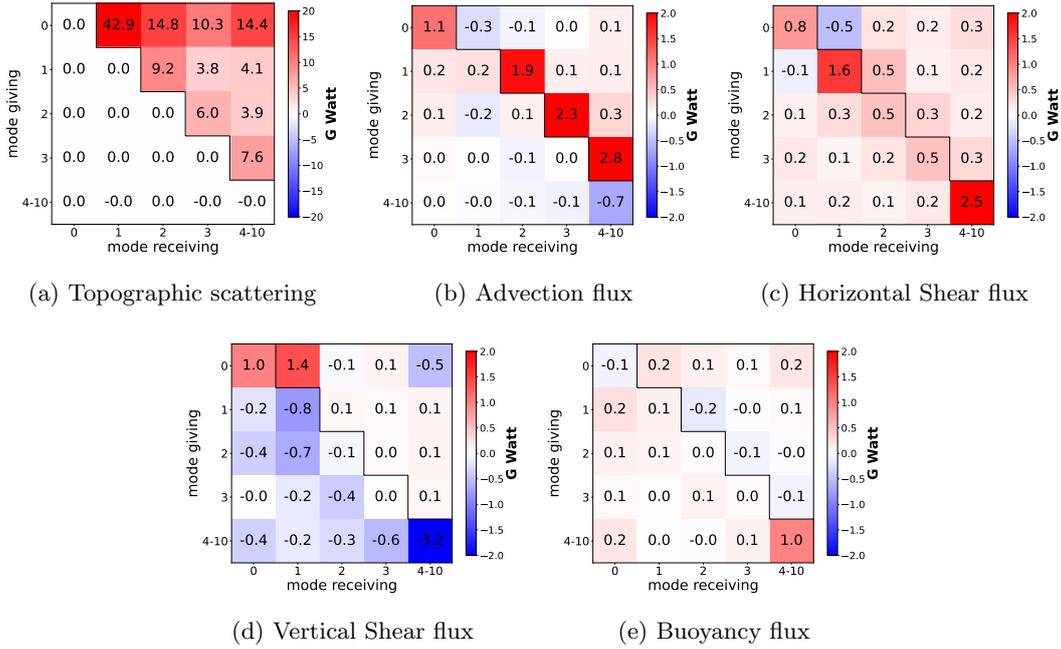


Figure 4: Matrices of time-averaged energy couplings integrated over the North Atlantic domain. A positive (resp. negative) value indicates an energy transfer from the row to column mode (reps. column to row). Upper triangle: anti-symmetric part of the energy couplings. Lower triangle and diagonal: symmetric part of the couplings. Modes 4 to 10 are grouped together. Panel a: topographic scattering, b: advection coupling, c: horizontal shear coupling, d: vertical shear coupling, e: buoyancy coupling.

464 is caused by the compensation between regions of different signs (*c.f.* Figure d). Important
 465 values of $\sum_{i=4}^{10} \sum_{j=4}^{10} H_{ij}$ and $\sum_{i=4}^{10} \sum_{j=4}^{10} V_{ij}$ are noticeable, but these are the sum of several
 466 interactions, complexifying the interpretation.

467 Finally, the buoyancy term B_{mn}^{os} (panel e of Figure 4) is weak on average over the
 468 domain. However, part of this weakness is due to compensation between regions of different
 469 sign. Figure 3 suggests that it is locally important in the energy budget of the first baroclinic
 470 mode in the vicinity of the Gulf Stream, the North Atlantic Drift or the northernmost part
 471 of the domain. This justifies investigating more closely the regional distribution of these
 472 energy transfer terms, which is done in the next section.

473 **4.1.4 Analysis of regional energy budgets**

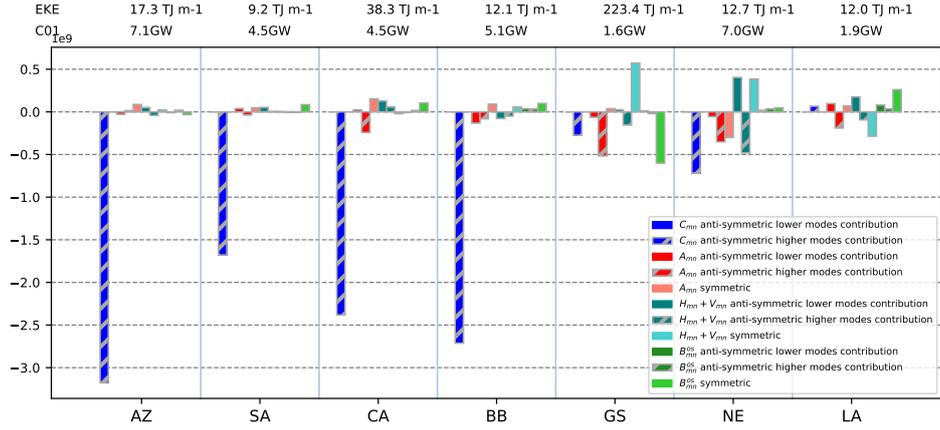
474 We now present a regional investigation of the modal energy budget (11), based on
 475 a spatial integration of the time-averaged coupling matrices over the subdomains defined
 476 in section 3. As previously, the energy transfer terms are decomposed in their symmetric
 477 and anti-symmetric parts, and the latter is further separated into exchanges with lower and
 478 higher modes, before summing the results over the interacting mode n . The barotropic to
 479 baroclinic topographic conversion is also isolated. Figure 5 shows the result of this procedure
 480 for the first three baroclinic modes.

481 Among the subdomains, the most energetic internal tide generation are found in the
 482 Azores and Faeroe Islands surroundings, while the Gulf Stream and Labrador areas are
 483 places of less intense generation. Topographic scattering is the only significant source of
 484 modal energy transfer for the first three baroclinic modes for the Azores, West Sahara
 485 coast, Caribbean and the Bay of Biscay subdomains. However, for the three remaining
 486 subdomains, it is not the dominant contribution of the energy budget. Advection by the
 487 background flow is dominant for the modes 2 and 3 in the Gulf Stream, Labrador and Faeroe
 488 Islands areas. For these three places, strong symmetric exchanges are the most important
 489 transfers observed for the mode 1 energy budget. In the Gulf Stream and Labrador areas,
 490 shear and buoyancy cancel each other out, as previously reported by Kelly & Lermusiaux
 491 (2016), leaving the advection the dominant resulting coupling. As mentioned previously,
 492 this does not hold for the Faeroe islands surrounding. Here the horizontal shear is the
 493 prominent cause for energy transfer toward the mesoscale circulation. In the Labrador
 494 domain, the shear-induced energy transfers between ITs and the mesoscale circulation have
 495 values comparable with the Gulf Stream domain, while the associated eddy kinetic energy
 496 (EKE) is one order of magnitude weaker and the IT generation is of similar amplitude.
 497 It is well known (*e.g.* Rainville & Pinkel, 2005) that the group velocity of internal waves
 498 decreases pole-ward, which could results in such a reinforced mesoscale-IT interaction in
 499 the northernmost regions. This may indicate that the high latitudes – at least in the
 500 North Atlantic – are a privileged place for internal tide dissipation through wave-mesoscale
 501 circulation energy exchange, at the condition that the compensation between vertical shear
 502 and buoyancy induced transfers does not hold.

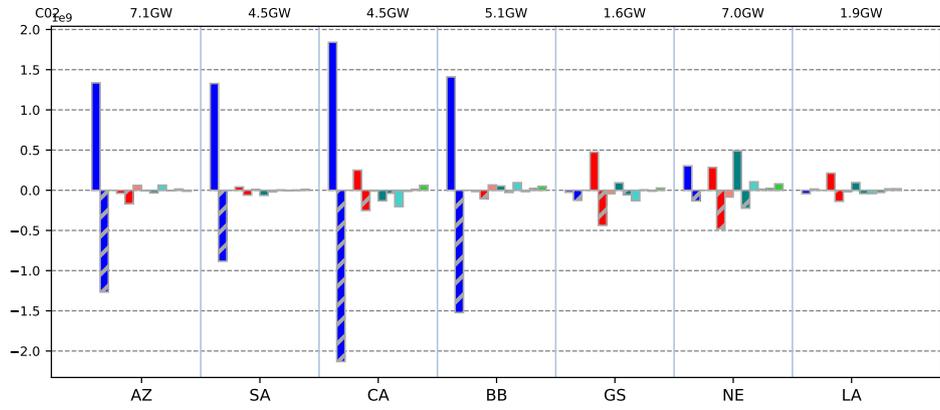
503 **4.1.5 Mode 1 energy budget residual: validation of the modal decomposi-** 504 **tion**

505 To asses the validity of the modal analysis, the eight months averaged residual of the
 506 modal energy budget for mode 1 is plotted in Figure 6b, alongside the divergence of the
 507 mode 1 energy flux across the North Atlantic (Figure 6a). This allows to estimate the
 508 fraction of the energy flux divergence which is not explained by the five dominant terms
 509 considered in the modal energy budget (11), as well as assessing the physical pertinence of
 510 the residual.

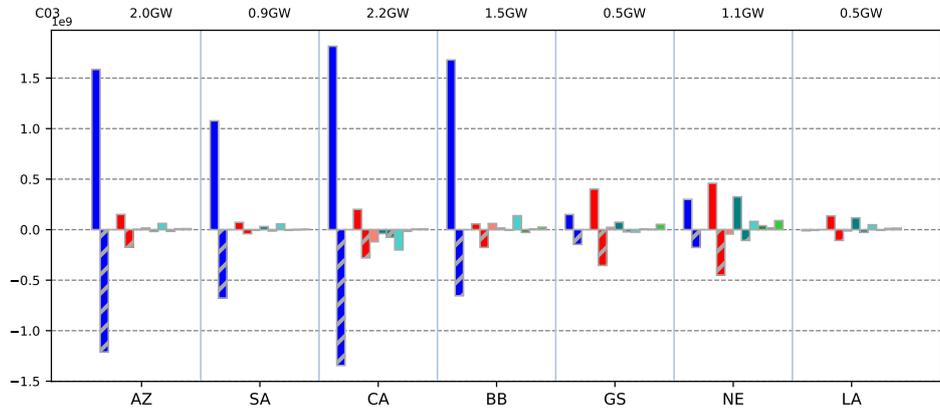
511 All sources of energy for the mode 1 present in the divergence are absent in the residual,
 512 while most of the residual shows dissipation pattern at topographic features. Part of this



(a) Mode 1



(b) Mode 2



(c) Mode 3

Figure 5: Temporally averaged energy transfers as expressed in equation (11) and integrated over various subdomains for mode 1: a, mode 2: b and mode 3: c. From left to right: Azores, Sahara, Caribbean, Bay of Biscay, Gulf Stream, Nordic Europe and Labrador domains. On top of the Figure: Time-averaged mesoscale kinetic energy at 250 metres depth horizontally integrated over each domain. On top of each plot: time-average of the barotropic tidal conversion integrated over each domain for the mode of interest.

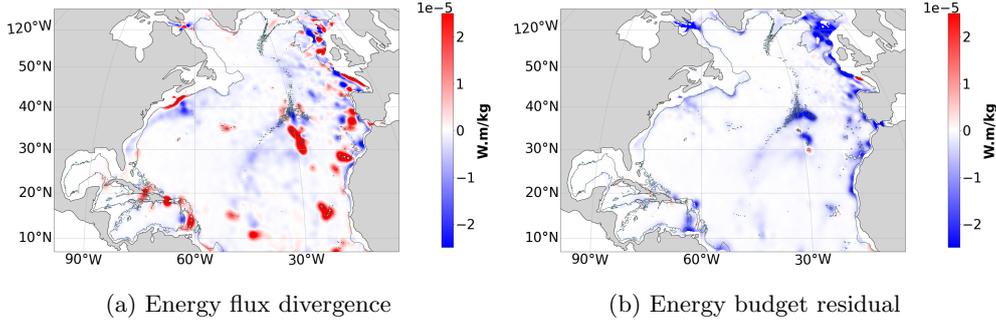


Figure 6: a: eight months average of the energy flux divergence for mode 1. b: eight month average of the residual of mode 1 energy budget (energy flux divergence minus all the couplings considered: advection, horizontal shear, vertical shear, topography and steady buoyancy). A blue colour indicates dissipation, a red colour a source of energy. A Gaussian filter with a standard deviation of 40 grid points was applied to both fields to facilitate interpretation. Dark grey line: isobath at 1700 metres depth.

513 dissipation might be caused by the modal truncation (at $m = 10$), which discards the
 514 energy transfers involving the higher modes. This hypothesis is supported by the fact that
 515 the dissipation at topographic features occurs at the same places than the C_{12} topographic
 516 scattering: the eastern boundary of the Atlantic, the seamounts south of the Azores Islands,
 517 the Islands themselves and the North mid Atlantic ridge. However, $C_{1,7-10}$ are negligible
 518 when integrated over the domain, suggesting that the topographic scattering coupling the
 519 mode 1 to mode higher than 6 is negligible. As mentioned in section 2.3, the parameterised
 520 dissipation of the model was not taken into account in our analysis and therefore remains
 521 in the residual. In particular, it could account for the important values around the Faeroe
 522 Islands where the internal tide does not propagate far from its generation site. It must
 523 therefore be locally dissipated, and one way for the mode 1 to loose energy could be an
 524 intensified model dissipation. Other terms of the modal energy budget not shown in this
 525 paper have been found to be negligible (when evaluated over subdomains and/or over small
 526 time periods). They may contribute to the residual, but only weakly. Another factor
 527 signing on the residual could be numerical errors made during interpolations and gradients
 528 computation, in particular at steep topographic slopes.

529 Beam like dissipation structures emanating from the Great meteor seamounts south of
 530 the Azores could be linked to nonlinear wave-wave interactions, which were not diagnosed
 531 in this study. As a final remark, we note that the residual is relatively important in the
 532 North, indicating a strong dissipation of mode 1. These regions need closer investigations
 533 in the future as they exhibit a strong impact of the mesoscale / internal tides interactions,
 534 with an important contribution of the shear-induced energy transfers which differs strongly
 535 from other regions such as the Gulf Stream area, as explained previously.

536 4.2 Temporal variability

537 We now investigate the time variability of the various energy transfer terms. Two main
 538 factors can affect the importance of the energy transfers in equation (11): the variation of
 539 the internal tide amplitude and the change in mesoscale currents strength and position. The
 540 temporal variations they induce can be decomposed into a part correlated with the astro-
 541 nomical tide – which essentially reflects the spring-neap cycle – and a variability uncorrelated
 542 with the astronomical tide.

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4.2.1 Time variability

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The temporal variability of the energy exchanges of (11) is examined first by integrating them spatially over the various sub-domains considered in the present paper. Figure 7 shows the resulting temporal series. Discontinuities in the signals at the transition between months are attributed to the change of basis on which the energy terms are expressed. Such discontinuities are particularly visible at the transition between December-January and January-February.

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In the Azores, the smooth variations of the topographic scattering are strongly correlated with the barotropic tide. However, in areas of high mesoscale variability such as the Gulf Stream domain, *c.f.* panel b of Figure 7, additional perturbations are visible and make the energy transfer less correlated with the barotropic tide. Overall, such less correlated signal in areas of high mesoscale activity does not affect the basin-wide high correlation of C_{12} with the barotropic tide, as can be seen in panel c of Figure 7.

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Likewise, at the basin scale, the spring neap cycle strongly signs on the temporal variability of the advection flux A_{12} , although the latter appears to be modulated – most likely by the mesoscale variability. In contrast, the variability of A_{12} in the Gulf Stream domain (Fig. 7.b) shows almost no imprint of the spring neap cycle visible in the barotropic kinetic energy. Compared to the advection term, both buoyancy and vertical shear induced energy fluxes in the Gulf stream area are modulated by the spring neap cycle, *c.f.* Figure 7b. The spring neap cycle is also visible at global scale for the vertical and horizontal shear terms, as shown in panel c of Figure 7. Such visual correlation between the mesoscale induced energy fluxes and the spring neap cycle integrated over large spatial areas with respect to the mode 1 length wave does not imply that this correlation holds at a local scale, where it is unlikely to exist.

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4.2.2 Impact of the background flow on the temporal variability of A_{mn}

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As mentioned above, the advection-induced transfers exhibit some variability at time scales different from the intrinsic tidal dynamics (spring-neap cycle). We now investigate the evolution of these transfers, in conjunction with modulation of the mesoscale flow, in the Gulf Stream domain in an attempt to clarify in which way the mesoscale variability impacts the variability of the mesoscale-induced energy transfers of equation (11). Similar mechanisms were recently reported off the Amazon shelf by Tchilibou et al. (2022) for the internal tide energy flux.

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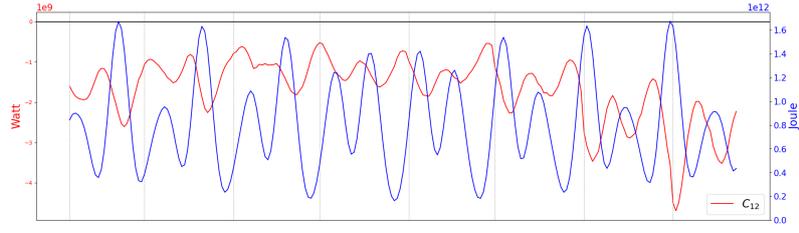
To study the impact of the mesoscale on the variability of the internal tide energy flux, the monthly average of the mode 1 energy flux in the Gulf Stream area is overlaid with the mesoscale circulation at 250 metres depth and the monthly average of A_{12} in Figure 8. Alongside these maps is displayed the monthly average of A_{mn} integrated over the Gulf Stream domain for July, October and February. At the domain scale, the advection energy flux decreases from July to October and from October to February for the modes pairs 1-2, 2-3 and 3-4 to 10 (*c.f.* panels b, d and f). In parallel, the monthly average position of the Gulf Stream varies significantly (*c.f.* panels a, c and e). This causes the internal tide beam to interact with different mesoscale patterns over time, leading to different trajectories. These various trajectories are then the cause of variable A_{mn} energy flux pattern (*c.f.* map plots in Figure 8), leading to the decrease of A_{mn} between July and February. In the mean time, the EKE at 250m for the entire domain increases from 234 TJm^{-1} in July to 240 TJm^{-1} in October before decreasing to 210 TJm^{-1} in February. It is therefore unlikely that the variations in the strength of the current is responsible of the decrease of A_{mn} between July and October.

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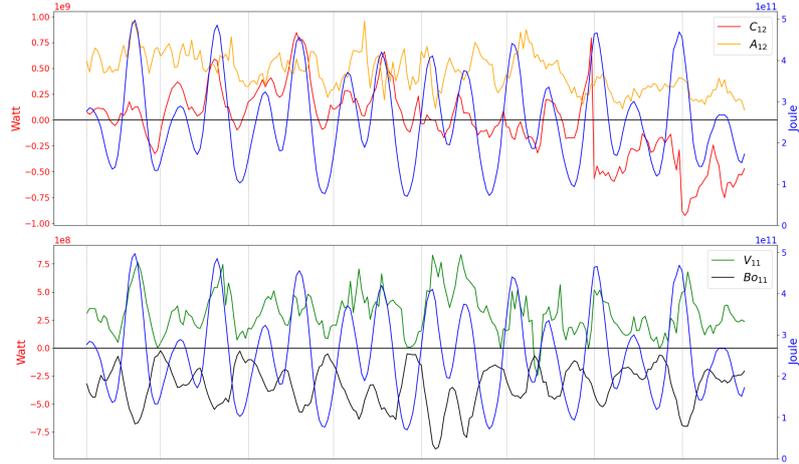
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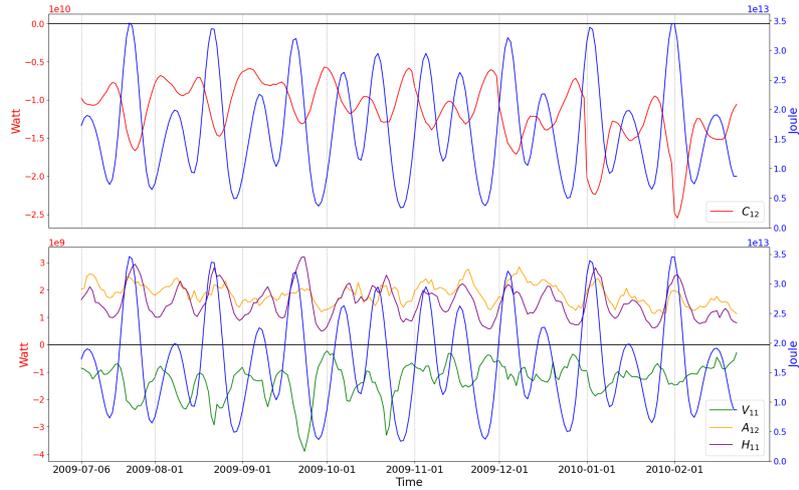
The variations in the path of the Gulf Stream are therefore important for the energy budget of the internal tide and are the cause of the non spring-neap cycle variations observed in the Gulf Stream domain for A_{mn} in Figure 7. By construction, A_{mn} exhibits a linear



(a) Azores domain



(b) Gulf Stream domain



(c) North Atlantic domain

Figure 7: Temporal variation over eight months of the energy transfer between mode 1 and mode 2 or mode 1 and the mesoscale flow/buoyancy field integrated over the Azores domain: panel a, the Gulf Stream domain: panel b, and the North Atlantic: panel c. Panels a, b and c contain the energy transfer caused by the topographic scattering in red, while panels b and c display the energy fluxes caused by the advection of the internal tide by the mesoscale circulation in orange. Moreover, the vertical shear term is plotted in green in panels b and c and the horizontal shear term is plotted in purple in panel c. Last, the buoyancy term B_{mn}^{OS} is displayed in black in panel b. A negative value indicates a loss of energy for mode 1 and conversely. The kinetic energy of the barotropic tide integrated over the corresponding domains have been plotted in blue at the corresponding y axis on the right side of the plots.

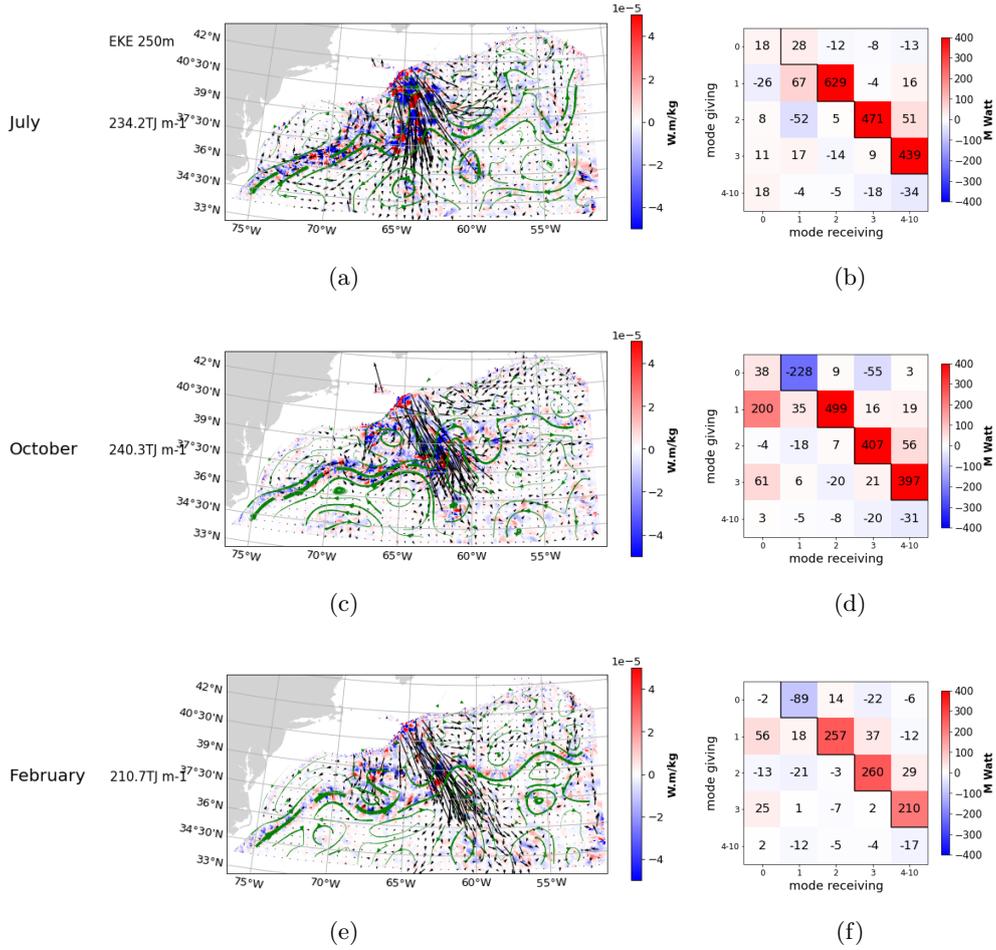


Figure 8: Monthly-averaged advection-induced energy transfers between mode 1 and mode 2 in the Gulf Stream domain. A_{12} for the months of July (a), October (c) and February (e), where negative values indicate a loss of energy for mode 1 and a gain for mode 2. Green streamlines denote the associated mesoscale flow at 250 metres depth, and black arrows the mode 1 energy flux. Dark gray line are isobaths at 1000, 2000 and 3000 metres depth. The integrated mesoscale kinetic energy at 250m is indicated on the left. The corresponding domain-averaged matrices A_{nm} are shown in panels b, d and f (where the anti-symmetric part is in the upper triangle and the symmetric part in the lower triangle, diagonal included). Sign conventions are identical to Figure 4.

593 dependency on the amplitude of the mesoscale current, and variations in the amplitude of
 594 mesoscale currents can be expected to have an impact on the time variation of the mesoscale
 595 induced energy fluxes. In the present case, however, it appears that variations in the strength
 596 of the Gulf Stream cannot explain the variability of A_{mn} , which is rather dominated by the
 597 configuration of the mesoscale flow.

598 5 Conclusions

599 The internal tide energy interactions with the mesoscale circulation, topography and
 600 buoyancy field were studied using eight months of a high resolution numerical simulation
 601 over the North Atlantic. With the help of a vertical modes decomposition, the modal energy

602 budget was quantified globally and regionally at seven subdomains with strong internal tide
603 activity.

604 Among the various energy fluxes highlighted by the modal energy budget (11), the most
605 important one is the topographic scattering, followed by the advection of the internal tide,
606 which is significant for all baroclinic modes and important from mode 2 onward. These two
607 terms transfer energy from the large scale internal tide toward the small scale internal tide
608 without exchange of energy with the mesoscale circulation. On the contrary, the horizontal
609 and vertical shear terms, as well as the buoyancy term, are able to transfer energy between
610 the internal tide and the mesoscale circulation/buoyancy field. In the Gulf Stream area,
611 the north Atlantic drift and the Labrador sea, the vertical shear and buoyancy energy
612 fluxes were found to compensate each other for the first mode. However, this compensation
613 does not appear to hold in the vicinity of the Faeroe islands, the shear contribution being
614 compensated over the subdomain by a locally significant symmetric part of A_{mn} .

615 The spatial distribution of these energy interactions is strongly heterogeneous: the
616 energy transfers induced by the mesoscale flow are obviously found only in areas with strong
617 mesoscale activity, such as the Gulf Stream and in the North Atlantic drift. However, these
618 couplings are also intensified in the North of the domain – in particular in the Labrador sea
619 and around the Faeroe islands, while these regions exhibit EKE level an order of magnitude
620 smaller than the Gulf Stream area.

621 The compensation between the vertical shear and buoyancy induced energy fluxes, and
622 the cause of the intensified mesoscale-induced fluxes in the northernmost part of the North
623 Atlantic, deserve further work to clarify the underlying dynamical processes at stake.

624 The temporal variability of all the couplings discussed in this paper is dominated by the
625 spring neap cycle. This frequency is the strongest for the topographic scattering, although
626 other frequencies appear in the corresponding time series in area of strong mesoscale activity.
627 The spring-neap cycle is also noticeable in the variation of the mesoscale-induced coupling
628 terms, but a significant part of their variation is impacted by others frequencies. For the
629 energy exchanges associated with advection by the mean flow, a detailed investigation of
630 the configurations associated with different magnitudes of the interaction term showed that,
631 in the Gulf Stream area, these non spring-neap cycle variations seem to be more driven by
632 variations of the patterns of the mesoscale currents than by their intensity.

633 The results of this paper improve our understanding of the internal tide energy lifecycle,
634 with a new light on the internal tide-mesoscale flow energy interactions. In turns, these
635 findings may help to understand the deep water mixing in the ocean, and especially its spatial
636 distribution, which is recognised as being of importance for the meridional overturning
637 circulation (Whalen et al., 2020). In particular, we report that the advection term is able to
638 transfer a significant amount of energy from large scale internal tide to high modes (typically,
639 $m \geq 4$), that do not propagate over long distances and dissipate locally (*e.g.* Vic et al., 2019).
640 However, some technical limitations of our study should be clarified: in particular, the modal
641 decomposition framework we use entails a modal truncation error. Besides, we highlighted
642 that the somewhat arbitrary choice for the stratification associated with the vertical mode
643 definition can lead to a miss-representation of the various energy transfers – which we tried
644 to mitigate using a monthly average. Using a decomposition that more explicitly captures
645 the interaction between low modes and small scale internal waves would be beneficial for a
646 more detailed diagnostics of the IT lifecycle down to (parameterised) mixing. This could be
647 particularly interesting in the regions where mesoscale-internal tide interaction seem to be
648 of importance. Further work could also extend the present analyses to other regions of the
649 ocean – including the Luzon straight in the Pacific, which is known as a strong generation
650 site not far from the Kuroshio path (Pickering et al., 2015) – and investigate interaction
651 terms that were left aside in this study, in particular the nonlinear wave-wave interactions
652 and the modelled dissipation.

653 1 Importance of basis definition

654 To compute the terms of equation (11) on monthly bases with physical fields projected
655 on the eight months basis, we correct *a posteriori* each of these fields using the associated
656 transition matrix:

$$657 P_{nk}(x, y) = \frac{1}{H + \eta_s^m} \int_{-H}^{\eta_s^m} \Phi_k \Phi_n^m dz, \quad (1a)$$

$$658 P_{nk}^{-1}(x, y) = \frac{1}{H + \eta_s} \int_{-H}^{\eta_s} \Phi_k \Phi_n^m dz, \quad (1b)$$

660 with Φ_n the eight months basis and Φ_n^m the monthly basis, η_s the free surface averaged over
661 the eight months of the simulation, and η_s^m the monthly averaged free surface.

662 Translating a u_n modal amplitude on the monthly basis is then performed as follows:

$$663 u_n^m = \sum_k u_k P_{nk}, \quad (2)$$

664 and a vertical mode Φ_n^m is computed as follows:

$$665 \Phi_n^m = \sum_k \Phi_k P_{nk}^{-1}. \quad (3)$$

666 To better understand the importance of the basis definition, Figure 9 shows the energy
667 transfer term between mode 1 and 3 induced by the stratification perturbation, N_{13} , in the
668 Gulf Stream domain averaged over the month of October. When simulation averaged bases
669 are used, the order of magnitude of the horizontal density of this term is $10^{-5} \text{ W m kg}^{-1}$,
670 the same as the order of magnitude of the horizontal density for A_{12} in the same area, visible
671 in figure 8. However, the importance of the stratification coupling collapses when monthly
672 bases are considered: in this case, the horizontal density for N_{13} is only $10^{-7} \text{ W m kg}^{-1}$.
673 Moreover, performing the computation of the advection energy transfer from mode 1 to
674 mode 2 on the monthly bases over the Gulf Stream domain increases it by approximately
675 $0.2 \cdot 10^9 \text{ W}$ compared to the same energy transfer computed using the eight months averaged
676 basis (*c.f.* Figure 9, panel c). This accounts for a multiplication by two of A_{12} during some
677 periods, like the end of August-beginning of September.

678 Since the stratification term that describes spurious energy transfer vanishes when
679 monthly bases are used while others couplings are significantly impacted, the use of monthly
680 bases is strongly recommended. Using an eight months averaged basis would make N_{mn} as
681 important as the advection induced energy transfer. This also demonstrates that this term
682 is induced by the inadequacy of the eight months averaged basis to represent instantaneous
683 energy transfers. Using bases defined with a shorter temporal average of stratification profile
684 $N(z)$ would be even more accurate. However, the improvement gained with monthly basis
685 is satisfactory and is a good compromise between accuracy and computational cost induced
686 by the multiplication of transition matrices and bases.

687 2 Discrete formulation of the vertical velocity

688 In order to better minimise numerical errors, the vertical velocity amplitude w_m is
689 computed following the discrete formulation used in NEMO. This has been found to signif-
690 icantly reduce the residual of the CSW buoyancy equation (10b) (not shown here).

691 Following the notations of Madec & the NEMO team (2008), the continuity equation
692 (2d) is discretised as follows:

$$693 \frac{1}{e_{1t}e_{2t}e_{3t}} (\delta_x^t (e_{2u}e_{3u}u) + \delta_y^t (e_{1v}e_{3v}v)) + \frac{1}{e_{3t}} \delta_z^t w = 0. \quad (4)$$

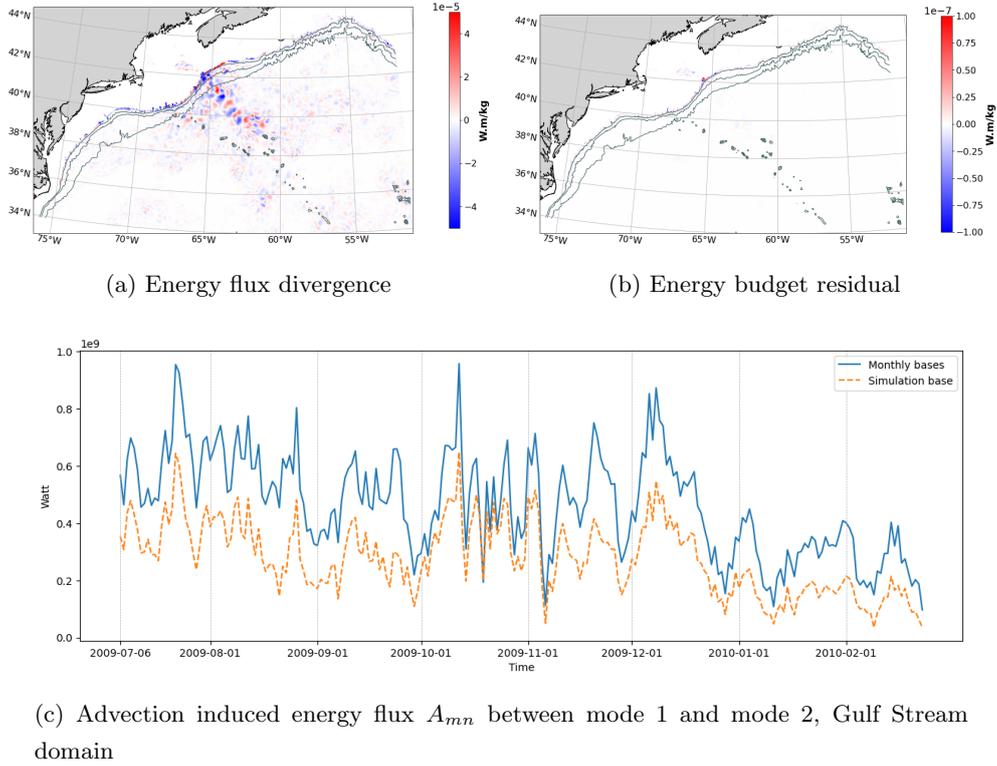


Figure 9: Top: Variable stratification coupling term N_{mn} between mode 1 and mode 3 averaged over October in the Gulf Stream domain. On panel a, the term has been computed using the eight months basis using a stratification averaged over the eight months of eNATL60. On panel b, it was computed using the basis using the monthly averaged stratification. In dark gray: isobath at 1000, 2000 and 3000 metres. Panel c: eight months temporal series of the advection induced energy flux between mode 1 and mode 2. A positive value indicates a transfer from mode 1 to mode 2. Solid blue line: coupling computed on the monthly basis. Orange dashed line: coupling computed on the simulation basis.

Here, $e_{1t}, e_{2u} \dots$ refer to the horizontal metrics of the C-grid: 1, 2 and 3 denote the x, y and z axis respectively and u, v, w or t denote the grid associated with u, v, w or p . Finally, the discrete derivative of a variable X^u defined on the U grid along an axis x is noted $\delta_x^t(X^u)$ and places the variable X on the T grid – and similarly for the other grids and coordinates.

The equation (4) is then projected on the mode Φ_m^t and u, v, w are expanded on their respective modal basis $\Phi_n^u, \Phi_n^v, \varphi_n^w$. The result of this operation gives:

$$\begin{aligned} & \sum_{e_{3t}} \frac{1}{e_{1t}e_{2t}e_{3t}} \left(\delta_x^t \left(e_{2u}e_{3u} \sum_n u_n^u \Phi_n^u \right) + \delta_y^t \left(e_{1v}e_{3v} \sum_n v_n^v \Phi_n^v \right) \right) \Phi_m^t e_{3t} \\ & + \sum_{e_{3t}} \frac{1}{e_{3t}} \delta_z^t \left(\sum_n w_n^t \varphi_n^w \right) \Phi_m^t e_{3t} = 0, \end{aligned} \quad (5)$$

where $\sum_{e_{3t}}$ indicates a summation on the vertical levels defined by the T grid. The use of the discrete orthogonality condition $\sum_{e_{3t}} \Phi_m^t \Phi_n^t e_{3t} = H^t$ and equation (5) then yields:

$$\sum_{e_{3t}} \frac{1}{e_{1t}e_{2t}e_{3t}} \left(\delta_x^t \left(e_{2u}e_{3u} \sum_n u_n^u \Phi_n^u \right) + \delta_y^t \left(e_{1v}e_{3v} \sum_n v_n^v \Phi_n^v \right) \right) \Phi_m^t e_{3t} + H^t w_n^t = 0. \quad (6)$$

Finally, with the help of $\delta_u(f^t g^t) = \overline{f^t}^u \delta_u g^t + g^t \delta_u \overline{f^t}^u$, where the bar indicates horizontal interpolation (note that $\Phi_n^t \neq \overline{\Phi_n^t}^u$), the discrete form of the continuity equation used to compute w is obtained:

$$\begin{aligned} H^t w_m^t = & -\frac{1}{e_{1t}e_{2t}} \left[H^t \delta_x^t (e_{2u} u_m^u) + H^t \delta_y^t (e_{1v} v_m^v) \right. \\ & + \sum_n \overline{(e_{2u} u_n^u)}^t \sum_{e_{3t}} \delta_x^t (e_{3u} \Phi_n^u) \Phi_m^t + \sum_n \overline{(e_{1v} v_n^v)}^t \sum_{e_{3t}} \delta_y^t (e_{3v} \Phi_n^v) \Phi_m^t \\ & \left. + \sum_n \delta_x^t (e_{2u} u_n^u) \sum_{e_{3t}} (\overline{e_{3u} \Phi_n^u}^t - e_{3t} \Phi_n^t) \Phi_m^t + \sum_n \delta_y^t (e_{1v} v_n^v) \sum_{e_{3t}} (\overline{e_{3v} \Phi_n^v}^t - e_{3t} \Phi_n^t) \Phi_m^t \right]. \end{aligned} \quad (7)$$

3 Compensation between vertical shear and buoyancy induced energy transfers

As can be seen in Figure 3 (panels c and d) or in Figure 5(a) in the Gulf Stream domain, a compensation between the vertical mesoscale shear induced energy flux $\sum_j V_{1j}$ and the background buoyancy gradient flux $\sum_j B_{1j}^{os}$ seems to occur in part of the North Atlantic. This section aims at explaining analytically this compensation based on an idealistic configuration. This allows us to provide conditions where this compensation is likely to occur.

To this aim, we consider two internal tide modes n and m propagating in specific directions and encountering an unidirectional jet in thermal wind balance. This configuration is usually found near generation sites where the internal tide beam propagates in a well defined direction. One example in the eNATL60 domain is located offshore of the US East coast generation site, where the internal tide beam encounters the Gulf Stream. The current $\mathbf{U} = U e_x$ is chosen to flow along the x direction and the horizontal gradient of buoyancy $\nabla_h(B)$ is orthogonal to \mathbf{U} and directed along the y direction (note that, on the f -plane, the problem considered is invariant by horizontal rotation).

In this situation, the thermal wind relationship gives:

$$\partial_z U = -\frac{1}{f} \partial_y B.$$

Two plane waves associated with possibly different vertical modes n and m are considered:

$$\begin{aligned} (u_n, v_n, w_n, p_n) &= (\hat{u}_n, \hat{v}_n, \hat{w}_n, \hat{p}_n) e^{i(\omega t - k_n \cos \theta_n x - k_n \sin \theta_n y)}, \\ (u_m, v_m, w_m, p_m) &= (\hat{u}_m, \hat{v}_m, \hat{w}_m, \hat{p}_m) e^{i(\omega t - k_m \cos \theta_m x - k_m \sin \theta_m y)}, \end{aligned}$$

with k_j the wave vector modulus of the mode j and θ_j the angle between the corresponding wavevector \mathbf{k}_j and \mathbf{e}_x . These waves obey the following relations obtained from the coupled shallow water equations (10) in the absence of a background flow, topography and horizontal variations of stratification:

$$\hat{p}_j = \hat{w}_j \frac{c_j^2}{i\omega}, \quad (8)$$

$$\hat{u}_j = \frac{i\omega \sin \theta_j + f \cos \theta_j}{f \sin \theta_j - i\omega \cos \theta_j} \hat{v}_j. \quad (9)$$

With the thermal wind hypothesis, the buoyancy and vertical shear induced energy fluxes (see eq. 11) become:

$$\begin{aligned} V_{mn} &= 2Re \left(\frac{w_n}{f} u_m^* B_{y,nm} \right), \\ B_{mn}^{os} &= 2Re \left(\frac{p_m^*}{c_m^2} v_n B_{y,mn} \right), \end{aligned}$$

with $B_{y,mn} = \int_{-H}^{\bar{\eta}} \partial_y B \varphi_m \Phi_n dz$, and \cdot^* denoting the complex-conjugate. By using the polarisation relation (8) to substitute the pressure amplitude p_j by w_j , and u_j^* by v_j^* , we obtain for the symmetric contribution of the vertical shear and buoyancy gradient energy transfer terms:

$$\begin{aligned} V_{mn} + V_{nm} + B_{mn}^{os} + B_{nm}^{os} &= 2Re(B_{y,nm} w_n v_m^* (\frac{i\omega \cos \theta_m + f \sin \theta_m}{-i\omega \sin \theta_m - f \cos \theta_m} - \frac{f}{i\omega}) \\ &\quad + B_{y,mn} w_m^* v_n (\frac{i\omega \cos \theta_n + f \sin \theta_n}{-i\omega \sin \theta_n - f \cos \theta_n} - \frac{f}{i\omega})). \end{aligned}$$

From this expression we see that there is compensation (*i.e.* the above expression vanishes) if the following condition holds:

$$\cos \theta_j (f^2 - \omega^2) = 0, \quad (10)$$

with $j = m$, or n . This condition is verified in the case of two waves propagating perpendicularly to the mesoscale current, or near critical latitude where $\omega = f$. In the latter case, the compensation is independent of the waves orientation.

Open Research Section

Data from the NEMO ENATL60 simulation can be accessed at <https://zenodo.org/record/4032732> and https://github.com/ocean-next/eNATL60/blob/master/05_data.md. The scripts used for the analysis presented in the paper can be accessed at <https://github.com/NoeLahaye/ITideNATL> and https://gitlab.inria.fr/abella/bella-et_al_2024.

Acknowledgments

A. Bella is partially funded by Région Bretagne through the ARED PhD funding program (COMIOE project 15995). This research received support from the French research funding agency (ANR) under the ModITO project (ANR-22-CE01-0006-01), and from the CNES through the project DIEGO.

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