

1 **Sensitivity of Australian rainfall to driving SST datasets in a**  
2 **variable-resolution global atmospheric model**  
3

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17  
18 **Key Points:**

- 19 • Model simulations that perform well on minimum standard metrics are not  
20 necessarily preferable for end-users with specific purposes.  
21 • More versatile metrics are essential in benchmarking simulations for specific  
22 fields of research.  
23 • Resolution of the driving sea surface temperature is important to simulate Indian  
24 Ocean Dipole-rainfall variability over Australia.  
25

## 26 Abstract

27 In this study, we employ the Conformal Cubic Atmospheric Model (CCAM), a variable-  
28 resolution global atmospheric model, driven by two distinct sea surface temperature (SST)  
29 datasets: the 0.25° Optimum Interpolation Sea Surface Temperature (CCAM\_OISST) version  
30 2.1 and the 2° Extended Reconstruction SSTs Version 5 (CCAM\_ERSST5). Model  
31 performance is assessed using a benchmarking framework, revealing good agreement  
32 between both simulations and the climatological rainfall spatial pattern, seasonality, and  
33 annual trends obtained from the Australian Gridded Climate Data (AGCD). Notably, wet  
34 biases are identified in both simulations, with CCAM\_OISST displaying a more pronounced  
35 bias.

36 Furthermore, we have examined CCAM's ability to capture El Niño-Southern Oscillation  
37 (ENSO) and Indian Ocean Dipole (IOD) correlations with rainfall during Austral spring  
38 (SON) utilizing a novel hit rate metric. Results indicate that only CCAM\_OISST successfully  
39 replicates observed SON ENSO- and IOD-rainfall correlations, achieving hit rates of 86.6%  
40 and 87.5%, respectively, compared to 52.7% and 41.8% for CCAM\_ERSST5. Large SST  
41 differences are found surrounding the Australian coastline between OISST and ERSST5  
42 (termed the “Coastal Effect”). Differences can be induced by the spatial interpolation error  
43 due to the discrepancy between model and driving SST. An additional CCAM experiment,  
44 employing OISST with SST masked by ERSST5 in 5° proximity to the Australian continent,  
45 underscores the “Coastal Effect” has a significant impact on IOD-Australian rainfall  
46 simulations. In contrast, its influence on ENSO-Australian rainfall is limited. Therefore,  
47 simulations of IOD-Australian rainfall teleconnection are sensitive to local SST  
48 representation along coastlines, probably dependent on the spatial resolution of driving SST.

## 49 Plain Language Summary

50 In this research, the Conformal Cubic Atmospheric Model (CCAM), a global atmospheric  
51 model, is used to study the impact of different driving sea surface temperature (SST) datasets  
52 on Australian rainfall simulations. Two SST datasets, one with high resolution (OISST) and  
53 another at lower resolution (ERSST5), are employed to drive CCAM (CCAM\_OISST and  
54 CCAM\_ERSST5). Model performance is evaluated using a benchmarking approach,  
55 indicating that both SST-driven experiments are in good agreement with observed rainfall  
56 patterns in Australia. However, both simulations exhibit wet biases, with CCAM\_OISST  
57 having a more noticeable bias.

58 The study assesses CCAM's ability to capture the correlation between El Niño-Southern  
59 Oscillation (ENSO) and Indian Ocean Dipole (IOD) with rainfall during Austral spring.  
60 Results reveal that CCAM\_OISST performs better, replicating observed correlations more  
61 accurately than CCAM\_ERSST5. The research identifies strong SST differences found  
62 between OISST and ERSST5 around the Australian coastline. An additional experiment  
63 underscores that this "Coastal Effect" plays an important role in simulating IOD-Australian  
64 rainfall correlations, while its impact on ENSO-Australian rainfall is limited. In conclusion,  
65 robust simulations of IOD-Australian rainfall teleconnection require an accurate  
66 representation of local SST, which is related to the spatial resolution of SST products driving  
67 the model.

68

69 **Keywords:** CCAM; Climate model evaluation; El Niño-Southern Oscillation (ENSO); Indian  
70 Ocean Dipole (IOD)

71

## 72 1 Introduction

73 Australia's vast geographical expanse, extending from the tropics to the mid-latitudes, gives  
74 rise to a remarkably diverse climate. In-depth investigations into Australian climate  
75 variability and future climate projections necessitate robust model simulations of rainfall  
76 patterns. This complexity is further shaped by the influential phenomena of the El Niño-  
77 Southern Oscillation (ENSO; Trenberth 1997) and the Indian Ocean Dipole (IOD; Saji et al.  
78 1999), which exert pronounced effects on rainfall seasonality and interannual variability  
79 within the region.

80 During El Niño events, Australia is typically drier than average due to enhanced subsidence  
81 and elevated sea-level pressure over the western Pacific (Meyers et al. 2007, Wang and  
82 Hendon 2007, Cai et al. 2011). As reported by the Australian Bureau of Meteorology (BOM)  
83 (Australian Bureau of Meteorology 2021), El Niño tends to reduce rainfall, particularly  
84 during the winter-spring period, despite the peak of ENSO occurring around December.  
85 Moreover, El Niño is frequently associated with the onset of drought conditions, with severe  
86 droughts having been observed during the El Niño episodes of 1982, 1994, 2002, 2006, and  
87 2015. Conversely, La Niña events typically correspond to increased rainfall and an elevated  
88 risk of flooding in Australia (e.g., Kotwicki and Allan 1998, Coates et al. 2014, Liu et al.  
89 2018). Meanwhile, the Indian Ocean Dipole (IOD) influences Australia primarily through  
90 equivalent barotropic Rossby wave trains (Saji and Yamagata 2003, Cai et al. 2011, Gillett et  
91 al. 2022). Studies by Ashok et al. (2003) and Meyers et al. (2007) have documented a higher  
92 likelihood of reduced rainfall during the positive phase of IOD (pIOD). Ummenhofer et al.  
93 (2009) have suggested that negative IOD (nIOD) conditions favour increased moisture  
94 transport, resulting in heightened rainfall in southeastern Australia. Additionally, the

95 influence of IOD on the Australian climate exhibits asymmetry, with the positive phase  
96 generally having a more substantial impact (Weller and Cai 2013a).

97 Climate model simulations are invaluable to help unravel the intricacies of ENSO/IOD  
98 rainfall teleconnections in Australia. These simulations offer a self-contained and  
99 comprehensive representation of the physical relationship between ENSO/IOD and rainfall,  
100 shedding light on the underlying mechanisms. Moreover, they serve as a crucial tool for  
101 investigating the interplay between ENSO/IOD-induced rainfall variations and various  
102 internal and external factors, such as the influence of climate modes in different ocean basins  
103 and anthropogenic emissions. However, climate models with coarse spatial resolutions often  
104 fail to accurately capture rainfall at regional scales (e.g., Rauscher et al. 2010, Chen et al.  
105 2018, Huang et al. 2018). Meanwhile, it is crucial to acknowledge that running fine-  
106 resolution simulations with a General Circulation Model (GCM) comes with substantial  
107 computational costs. In response to this challenge, dynamical downscaling techniques have  
108 been introduced (Giorgi 2019).

109 Dynamical downscaling has emerged as a widely employed approach for investigating the  
110 teleconnections between ENSO/IOD and localized rainfall patterns (e.g., Boulard et al. 2013,  
111 Ratna et al. 2017, Whan and Zwiers 2017, Worku et al. 2018, Verma and Bhatla 2021, Safari  
112 et al. 2023). Regional Climate Models (RCMs) with typical resolutions higher than 30km are  
113 employed to simulate the atmosphere driven by reanalysis or general circulation model  
114 (GCM) outputs with coarser resolutions exceeding 100km. Dynamical downscaling of low-  
115 resolution GCM outputs has become prevalent for regional climate projection studies, such as  
116 the Coordinated Regional Climate Downscaling Experiment (CORDEX; Giorgi et al. 2015).  
117 Nevertheless, it is crucial to recognize that dynamical downscaling introduces additional  
118 sources of uncertainty. These uncertainties stem from differences in the spatiotemporal

119 resolutions, dynamical cores and parameterization schemes employed by the RCM and the  
120 driving GCM. These disparities can make it challenging to attribute specific sources of error  
121 and bias (Marbaix et al. 2003, Castro et al. 2005, Tapiador et al. 2020). Additionally, the  
122 teleconnection pathway from ENSO/IOD to Australian rainfall primarily unfolds outside of  
123 Australia, covering a vast expanse of the Earth, particularly involving air-sea interaction  
124 processes. Defining the RCM domain to cover only the Australian continent excludes some  
125 crucial processes that occur far from Australia and are still handled by coarse-resolution  
126 GCMs. This exclusion can impact the accuracy of simulating teleconnections. However, it is  
127 also challenging to reduce computational costs effectively when including both the Pacific  
128 Ocean and Indian Ocean basins in RCMs. Furthermore, it is worth mentioning that outcomes  
129 from dynamical downscaling concerning regional ENSO/IOD influences are occasionally  
130 unsatisfactory and can even yield counterintuitive responses of rainfall to ENSO/IOD events  
131 (e.g., Boulard et al. 2013, Verma and Bhatla 2021).

132 To harness the advantages of fine-resolution simulations using an RCM while maintaining a  
133 global-scale simulation simultaneously, GCMs with variable spatial resolution have been  
134 developed. One notable example is the Conformal Cubic Atmospheric Model (CCAM),  
135 which was developed by the Commonwealth Scientific and Industrial Research Organisation  
136 (CSIRO) in Australia (<https://research.csiro.au/ccam>). CCAM, an atmospheric GCM  
137 (AGCM), is the first model employing a gridding algorithm that projects the Earth's  
138 atmosphere onto the surface of a cube (McGregor 2005; Thatcher and McGregor 2011). This  
139 projection method offers the flexibility to set varying horizontal resolutions across the six  
140 faces of the cube, facilitating global simulations with different resolutions in various regions.  
141 As a result, finer resolutions can be established over the specific domain of interest, while the  
142 opposite side of the Earth, which is typically less relevant to the study, can have coarser  
143 resolutions. The variable-resolution feature matches the purpose of enabling a global

144 simulation of ENSO and IOD and their teleconnections to rainfall characteristics over  
145 Australia while managing computational cost simultaneously.

146 CCAM has recently been used for climate projections in different Australian regions,  
147 including extreme weather projections developed by the National Environmental Science  
148 Programme's (NESP) Earth Systems and Climate Change Hub (ESCC Hub) and climate  
149 projections for the end of the 21<sup>st</sup> century in Victoria, Tasmania, and Queensland for the  
150 government. CCAM has also been used in studies on the teleconnections between ENSO and  
151 regional weather (e.g., Chapman et al. 2020; Dechpichai et al. 2022) and long-term climate  
152 modelling (e.g., Katzfey et al. 2016; Nurlatifah et al. 2019; Toersilowati et al. 2022) in  
153 Southeast Asia. CCAM performs similarly to other RCMs in intercomparison assessments in  
154 Australia (Evans et al. 2016; Di Virgilio et al. 2019). Mantegna et al. (2017) show that  
155 CCAM can simulate extreme rainfall up to a 3-hourly scale. This gives us confidence that  
156 CCAM would be suitable for our study. However, most research has used CCAM as an RCM  
157 to downscale atmospheric data with coarser resolutions over a particular region based on  
158 global simulations with spatially varying resolutions. Recently, Gibson et al. (2023) evaluated  
159 CCAM as an AGCM in simulating New Zealand weather and climate. Their results showed  
160 that CCAM performed particularly well at simulating the variability and extremes of  
161 temperature and precipitation over New Zealand. Although they assessed CCAM's  
162 performance in simulating ENSO-precipitation patterns on a global scale during the Austral  
163 summer (DJF), there is a gap in the published evaluation of CCAM's performance in  
164 simulating the impact of ENSO on Australian rainfall during its most influential season, the  
165 Austral spring (SON), as specified by the Australian Bureau of Meteorology (2021).

166 Meanwhile, the performance of CCAM as an AGCM in the context of IOD-driven rainfall  
167 variability over Australia remains largely unexplored. This study aims to address this critical  
168 gap by conducting an evaluation of CCAM's performance of Australian rainfall, including its

169 response to the ENSO and IOD. Isphording et al. (2023) introduced a benchmarking  
170 framework for assessing climate models' ability to meet prior expectations. Our study has  
171 adopted the framework to see whether CCAM can meet the minimum standard of simulating  
172 Australia rainfall variability. It is noted that this framework was initially developed for  
173 dynamically downscaled GCMs with various RCMs and was demonstrated using simulations  
174 over Australia. Whereas we have employed their benchmarking framework for CCAM driven  
175 by observed SSTs. In addition to the minimum standard metrics, our study also introduces  
176 novel metrics to investigate ENSO- and IOD-related rainfall variability.

177 Various SST datasets with different timespan and data collecting methods are available for  
178 driving AGCM simulations. Longer-term SST products are preferred for investigating rainfall  
179 variability on scales beyond interannual patterns, such as ENSO- and IOD-driven rainfall.  
180 However, longer SST datasets often come with coarser spatial resolutions and increased  
181 uncertainty, particularly before the 1980s when satellite-based products were established. For  
182 instance, the Hadley Centre Sea Ice and Sea Surface Temperature data set (HadISST; Rayner  
183 et al. 2003), starting from 1871, has a fair spatial resolution of  $1^{\circ} \times 1^{\circ}$  which is comparable to  
184 a standard GCM simulation. It has been found to significantly underestimate IOD variability  
185 when compared to coral record-based SST reconstructions (see Pfeiffer et al. 2022). The  
186 Extended Reconstructed Sea Surface Temperature version 5 (ERSST5; Huang et al. 2017),  
187 with data available since 1854, is another well-known long-term SST dataset. It has a coarse  
188 spatial resolution of  $2^{\circ} \times 2^{\circ}$ . While ERSST5 also tends to underestimate historical extreme  
189 positive IOD events, its IOD strength generally surpasses that of HadISST (Verdon-Kidd  
190 2018, Pfeiffer et al. 2022). Moreover, ERSSTv5 gives the magnitude of the recent 2019  
191 extreme pIOD more consistent with satellite-products (Ratna et al. 2021), while HadISST  
192 categorizes this event as a 'moderate pIOD'. The advent of remote sensing via satellites in the  
193 1980s led to SST datasets that blend satellite and in situ data, resulting in higher spatial and

194 temporal resolutions. For instance, the daily NOAA Optimum Interpolation Sea Surface  
195 Temperature (OISST) version 2.1 (Huang et al. 2021) offers a  $0.25^{\circ}\times 0.25^{\circ}$  grided daily  
196 dataset. Such satellite-based SST products tend to exhibit reduced uncertainty in ENSO and  
197 IOD variability when compared to interpolation-based datasets (Huang et al. 2016, Pfeiffer et  
198 al. 2022).

199 The accuracy of SST in proximity to the region of interest plays a pivotal role in the local  
200 teleconnection of ENSO and IOD events with rainfall patterns (Boulard et al. 2013). These  
201 SST values have a notable impact on smaller-scale atmospheric elements, including cumulus  
202 convection and the Madden-Julian Oscillation (MJO; Madden and Julian 1971, 1972) (Lim et  
203 al. 2021). The resolution of SST data has been identified as a critical factor affecting the  
204 performance of regional precipitation simulations (Cassola et al. 2016). A key issue arises  
205 when the resolution of the driving SST data is coarser than that of the model, resulting in a  
206 lack of SST values over model grid cells near coastlines. To address this, climate models  
207 resort to interpolation to estimate these missing values, which can lead to significant biases,  
208 particularly when temperature gradients are pronounced (Kara et al. 2008). Consequently, it  
209 is imperative to investigate the sensitivity of fine-resolution models, like CCAM, to the  
210 resolution and quality of the driving SST data in the context of ENSO and IOD-driven  
211 rainfall variability.

212 In this paper, we present an evaluation of CCAM's performance in simulating rainfall over  
213 Australia, utilizing the benchmarking framework proposed by Isphording et al. (2023). Our  
214 analysis aims to determine whether CCAM meets the predefined minimum standards.  
215 Additionally, we introduce innovative metrics to assess CCAM's performance in capturing  
216 the teleconnections between ENSO/IOD and Australian rainfall. Furthermore, we undertake a  
217 comparative analysis of CCAM simulations driven by different SST datasets to discern the  
218 model's sensitivity to the quality and resolution of the driving SST data.

## 219 2 Methodology

### 220 2.1 Model Experiments and Data Used

221 The research employed the Conformal Cubic Atmospheric Model (CCAM; McGregor and  
222 Dix 2008, McGregor 2015, Thatcher et al. 2023), version 2301, developed by the  
223 Commonwealth Scientific and Industrial Research Organisation (CSIRO), Environment  
224 Business Unit, Australia. Detailed documentation for CCAM can be accessed at  
225 <https://research.csiro.au/ccam>. CCAM utilizes a non-hydrostatic, semi-implicit, and semi-  
226 Lagrangian atmospheric dynamical core, alongside a hydrostatic, semi-implicit, and semi-  
227 Lagrangian ocean dynamical core. It also employs a reversible staggered grid to improve  
228 dispersion properties (McGregor 2005). The model incorporates an innovative mass-flux  
229 cumulus convection scheme, with its mathematical formulation elaborated in McGregor  
230 (2003). Subsequent versions of the scheme retained the same algorithm but underwent  
231 adjustments in parameters. Table 1 lists the other parameterisation schemes and model  
232 physics used, including surface models, aerosol models, radiation schemes, etc.

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<b>Simulation name</b>	CCAM_OISST	CCAM_ERSST5
<b>Simulation period</b>	Sep 1981 – Dec 2022	Jan 1920 – Dec 2022
<b>Model timestep</b>	400s	
<b>No. vertical levels</b>	54	
<b>Land surface model</b>	CABLE (Kowalczyk et al. 2006), constant land-use	
<b>Aerosol model</b>	Prognostic aerosol (Rotstayn and Lohmann 2002, Rotstayn et al. 2011)	
<b>Cloud microphysics</b>	Lin et al. (1983) and Rotstayn (1997)	
<b>Radiation</b>	GFDL-AM4 radiation code with CMIP6 radiative forcings (Freidenreich and Ramaswamy 1999, Schwarzkopf and Ramaswamy 1999)	
<b>Convective scheme</b>	Mass-flux cumulus convection scheme (McGregor 2003), version mod2015a	
<b>Atmosphere turbulent mixing</b>	Hurley (2007)	
<b>Gravity wave drag</b>	Chouinard et al. (1986)	

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**Table 1. Configurations details and adopted parameterization schemes of CCAM simulations discussed in this study.**

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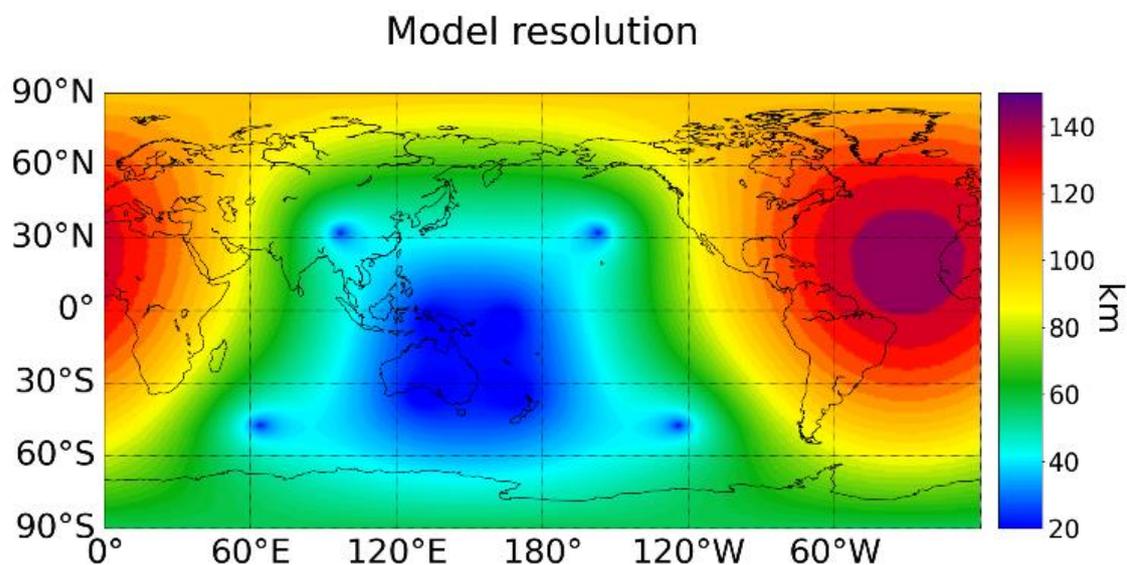
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CCAM was the first three-dimensional atmospheric model to introduce the use of a cubic grid, known as the Conformal Cubic grid, which projects a sphere (i.e., the Earth's surface) onto a cube (McGregor 2005, Thatcher and McGregor 2011). This projection method allows users to set different horizontal resolutions across six faces of the cube, facilitating global simulations with variable resolutions in different geographical regions. Consequently, finer resolutions can be specified over regions of particular interest using a Schmidt coordinate transformation

255 (Schmidt 1977), while other less critical areas of the Earth can be simulated with coarser  
256 resolution, effectively reducing computational demand. In Figure 1, we illustrate the CCAM  
257 resolution configuration applied in our study. This grid system allows us to achieve higher  
258 resolutions over Australia (~20-30km), with lower resolutions over the tropical Pacific and  
259 Indian Ocean (~50-100km). This is important to enable rainfall to be well simulated over the  
260 continent while allowing a reasonable resolution for the two climate modes analysed in this  
261 study – ENSO and IOD. For regions such as the Atlantic, where fine resolutions are not a  
262 primary concern, a coarser resolution is run (~130-150km).



263

264 **Figure 1. Spatial resolution (units: km) of CCAM simulations in this study.**

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266 In this study, CCAM operated as an Atmospheric General Circulation Model (AGCM) driven  
267 by two distinct sea surface temperature (SST) products. The first was the monthly NOAA  
268 Extended Reconstruction SSTs Version 5 (ERSST5; Huang et al. 2017) with a spatial  
269 resolution of  $2.0^{\circ} \times 2.0^{\circ}$ , chosen for its suitability as a long-term, but relatively low-resolution  
270 SST dataset. The second was the daily NOAA Optimum Interpolation Sea Surface  
271 Temperature (OISST; Huang et al. 2021) version 2.1, offering a post-satellite-era high-

272 resolution SST dataset at  $0.25^{\circ} \times 0.25^{\circ}$  grid spacing. By comparing the simulation results  
273 driven by these two SST products, we aimed to explore the sensitivity of simulated rainfall  
274 over Australia to input SSTs. This examination also provides insights for striking a balance  
275 between longer temporal coverage and finer spatial details during model simulations.  
276 Alternative long-term SST datasets, such as the  $1^{\circ} \times 1^{\circ}$  Hadley Centre Sea Ice and Sea  
277 Surface Temperature (HadISST; Rayner et al. 2003) and the Centennial Observation-Based  
278 Estimates of SST (COBE; Ishii et al. 2005), were not considered due to their notable  
279 underestimation of IOD intensity when compared to OISST data and the reconstruction  
280 derived from reef records (Pfeiffer et al. 2022). For initializing CCAM simulations, we  
281 employed the ECMWF Reanalysis version 5 (ERA5) dataset (Hersbach et al. 2020), which  
282 was interpolated into a quasi-uniform cubic format.

283 The OISST driven run (CCAM\_OISST) covered September 1981 to December 2022, while  
284 the ERSST5 driven run (CCAM\_ERSST5) spanned from January 1920 to December 2022.  
285 Although these two integrations began at different times, the analysis in this study was based  
286 on data covering the period from December 1982 to November 2022, in order to provide  
287 ample time for the CCAM\_OISST simulation to reach a stable state (spin-up). This  
288 timeframe encompassed 40 complete years, with an equal representation of each season. The  
289 details of the configurations and parameterization schemes used in the CCAM simulations for  
290 this study are listed in Tab. 1 and Thatcher and McGregor (2011).

291 In our study, model outputs were compared with observational data obtained from the  
292 Australian Gridded Climate Data version 1.0.1 (AGCD; Evans et al. 2020), specifically for  
293 rainfall and surface temperature. Additionally, atmospheric variables from ERA5 reanalysis  
294 data were used for comparison. Prior to the analysis, both the model output and  
295 observational/reanalysis datasets were interpolated to a common grid resolution of  
296  $0.25^{\circ} \times 0.25^{\circ}$  for Australia and  $1^{\circ} \times 1^{\circ}$  for the entire globe, following standard latitudinal and

297 longitudinal grid boxes. Subsequently, the rainfall and surface temperature datasets  
298 underwent further post-processing using Climpact, a tool developed by the World  
299 Meteorological Organization's (WMO's) Expert Team on Sector-Specific Climate Indices  
300 (ET-SCI) (Alexander and Herold 2016). This post-processing derived climate indices based  
301 on daily precipitation data to explore more “extreme” aspects of the precipitation distribution.

## 302 2.2 Evaluation of CCAM's performance

303 In our analysis, we assessed the performance of CCAM's rainfall output within a  
304 benchmarking framework, as proposed by Isphording et al. (2023). To determine whether  
305 CCAM met the minimum standards for rainfall simulations, we adopted the benchmark  
306 metrics recommended by Isphording et al. These metrics included: 1. mean absolute  
307 percentage error (MAPE) of annual mean rainfall 2. spatial correlation (SCor) for annual  
308 mean rainfall, 3. conformity of wet and dry season, and 4. significance of difference in Theil–  
309 Sen trends of annual rainfall in models and in the reference tested by corresponding p-value  
310 from the Mann-Kendall significance test (Hamed 2008). The computation and visualisation  
311 of these metrics are based on Isphording (2023).

312 The calculations for MAPE and SCor were performed following standard procedures  
313 commonly used in the field. To assess the conformity of wet and dry seasons, we determined  
314 whether the wettest and driest periods in each year in the model outputs coincided with those  
315 in the reference data. Theil–Sen trend is calculated by the median of  $\frac{y_j - y_i}{x_j - x_i}$ , where  $i$  and  $j$   
316 represent different time points and  $i \neq j$ , to reduce the effect from outliers. The thresholds of  
317 the minimum standard metrics were set to  $MAPE \leq 0.7$ ,  $SCor \geq 0.75$  and the difference in  
318 Theil–Sen slopes at 0.1 significance level, as in Isphording et al. (2023).

319 In addition to the minimum standard metrics, our analysis encompassed several additional  
320 aspects to gain a comprehensive understanding of CCAM's performance in simulating rainfall  
321 variability (this is related to Isphording's versatility metrics within the benchmarking  
322 framework). These aspects include rainfall seasonality, 12-month Standard Precipitation  
323 Index (SPI), and El Niño-Southern Oscillation (ENSO)/Indian Ocean Dipole (IOD)-rainfall  
324 correlation. For the seasonality, we have evaluated whether the spatial patterns of maximum  
325 rainfall month and seasonal rainfall amplitude in CCAM's runs match with those in AGCD,

326 following Isphording et al. (2023). We have calculated the mean absolute deviation (MAD;  
 327 units: month) of the maximum rainfall month as a metric for the phase, and spatial correlation  
 328 for the amplitude. For ENSO/IOD-rainfall correlation, we focus on the correlation between  
 329 monthly rainfall and the NINO3.4 index (Bamston et al. 1997) or the Dipole Mode Index  
 330 (DMI; Saji et al. 1999). NINO3.4 index is defined as the standardised SST anomaly over the  
 331 central-eastern equatorial Pacific (5°N-5°S, 120-170°W) indicating the ENSO variability;  
 332 while DMI is defined as the standardised difference in SST anomaly between the tropical  
 333 western Indian Ocean (50° E–70° E, 10° S–10° N) and the tropical south-eastern Indian  
 334 Ocean (90° E–110° E, 10° S–0). To quantify the performance of CCAM in simulating  
 335 ENSO/IOD-rainfall correlation, a sign function is introduced:

$$Sgn(r) = \begin{cases} 1, & r > c \\ 0, & -c < r < c, \\ -1, & r < -c \end{cases}$$

336 where  $r$  is the Pearson correlation coefficient between monthly rainfall and the corresponding  
 337 index, and  $c$  is the critical value determined by the significance test for correlation  
 338 coefficients at a 5% significance level. Then, we calculate a hit rate defined as:

$$Hit\ rate = \frac{\sum_i w_i Hit(r_m, r_o)_i}{\sum_i w_i |Sgn(r_o)_i|},$$

339 and  $Hit(r_m, r_o)_i = \begin{cases} 1, & Sgn(r_m)_i \times Sgn(r_o)_i = 1 \\ 0, & otherwise \end{cases}$ , where  $w$  is the area weight, subscripts  $i$   
 340 indicates a grid, while  $m$  and  $o$  represent model outputs and observations respectively. The hit  
 341 rate, expressed on a scale from 0 (0%) to 1 (100%), represents the proportion of the area  
 342 where the model output exhibits a significant correlation with the correct sign when such a  
 343 correlation exists in the observation. Similarly, the false alarm rate, indicating the portion of  
 344 the area where the model gives a significant correlation not found in the observation, is  
 345 defined as follows:

$$\text{False alarm rate} = \frac{\sum_i w_i FA(r_n, r_o)_i}{\sum_i w_i (1 - |Sgn(r_o)_i|)}$$

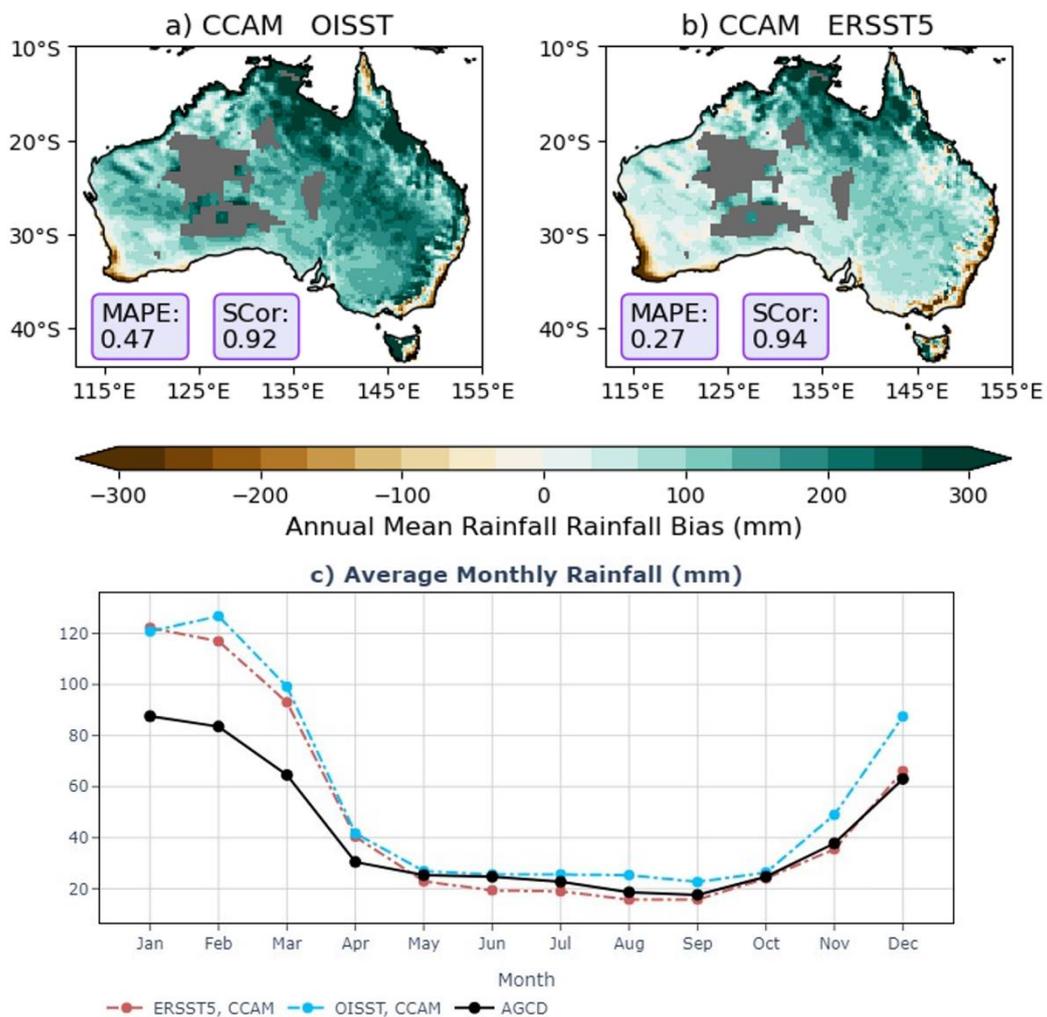
346 and false alarm  $FA(r_n, r_o)_i = \begin{cases} 1, & |Sgn(r_m)_i| - |Sgn(r_o)_i| = 1 \\ 0, & \text{otherwise} \end{cases}$ . Therefore, the hit rate  
347 assesses the percentage of model areas that exhibited the same significant sign of  
348 ENSO/IOD-rainfall correlation as observed. Conversely, the false alarm rate measures the  
349 percentage of model areas that display significant correlations not observed in the reference  
350 data. This additional evaluation allows us to better understand the model's suitability for the  
351 specific application related to interannual rainfall variability.

352

353 3 Results

354 3.1 CCAM performance on Australian rainfall

355 Annual mean rainfall, seasonal cycle, and annual rainfall trend were assessed using the  
356 minimum standard metrics outlined in the benchmarking framework proposed by Ispording  
357 et al. (2023). These assessments were conducted using the Australian Gridded Climate Data  
358 (AGCD) for the period spanning December 1982 to November 2022.



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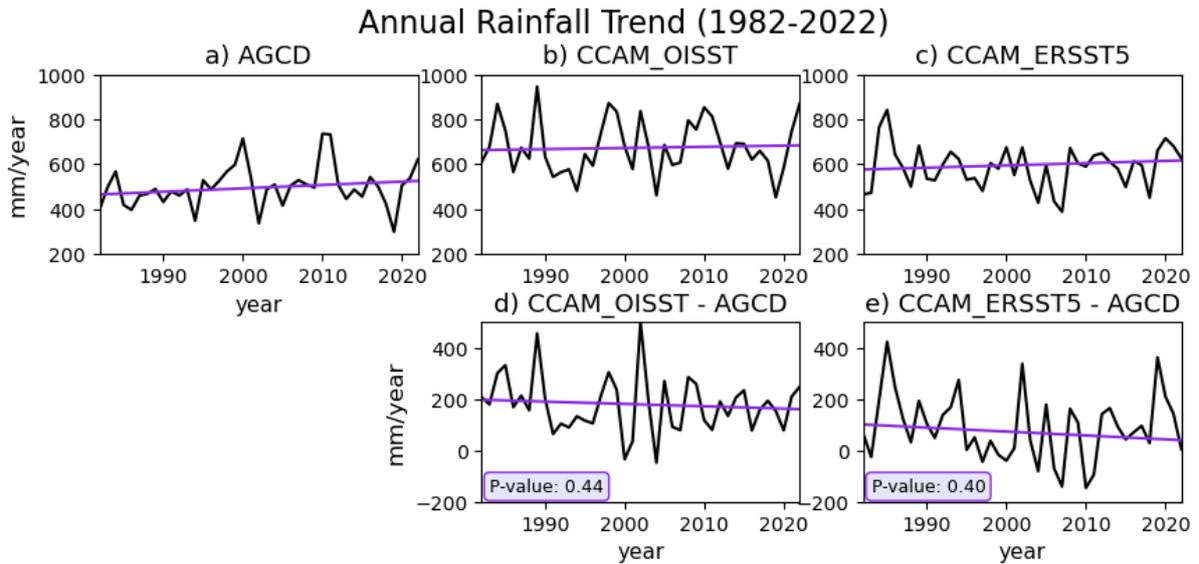
360 **Figure 2. (a and b) Annual mean rainfall bias compared to AGCD (units: mm) for (a)**  
361 **CCAM\_OISST and (b) CCAM\_ERSST5. The mean absolute percentage errors (MAPE)**  
362 **and the weighted spatial correlation of the annual mean rainfall (SCor) are included in**

363 **the purple boxes (details are included in text). Grey shading masks areas without AGCD**  
364 **station data within a 0.5° proximity. Figure c is the monthly mean rainfall across**  
365 **Australia's land area (units: mm) for (black solid line) AGCD, (blue dash line)**  
366 **CCAM\_OISST, and (red dash line) CCAM\_ERSST5. The period of analysis spans from**  
367 **December 1982 to November 2022.**

368

369 Figures 2a and 2b, the illustrate the annual rainfall bias of CCAM\_OISST and  
370 CCAM\_ERSST5, respectively. Generally, CCAM tends to simulate a wetter Australia, with a  
371 noticeable wet bias across most land areas for both model runs. However, it's worth noting  
372 that coastal areas in the southeast and southwest exhibit a dry bias. Importantly, the wet bias  
373 in CCAM\_ERSST5, which is driven by coarser sea surface temperature (SST) data, appears  
374 to be less pronounced compared to CCAM\_OISST. This leads to a smaller Mean Absolute  
375 Percentage Error (MAPE) for CCAM\_ERSST5, although both MAPE values are relatively  
376 low, measuring less than 50%. In contrast to the wet bias, both CCAM\_OISST and  
377 CCAM\_ERSST5 demonstrate a high spatial correlation (SCor) in annual mean rainfall, with  
378 values exceeding 0.9. This high SCor suggests that the spatial pattern of annual rainfall in  
379 CCAM closely matches that observed in AGCD.

380 Figure 2c shows the analysis of average monthly rainfall over Australia in CCAM reveals a  
381 similar annual cycle to that observed in AGCD. In both CCAM runs and AGCD the wet  
382 season runs from November to April (NDJFMA) and the dry season from May to October  
383 (MJJASO). The model tends to overestimate rainfall during the wet season, particularly  
384 during December to March. In addition, CCAM\_OISST shows a peak in average rainfall in  
385 February, while CCAM\_ERSST5 and AGCD exhibit this peak in January.

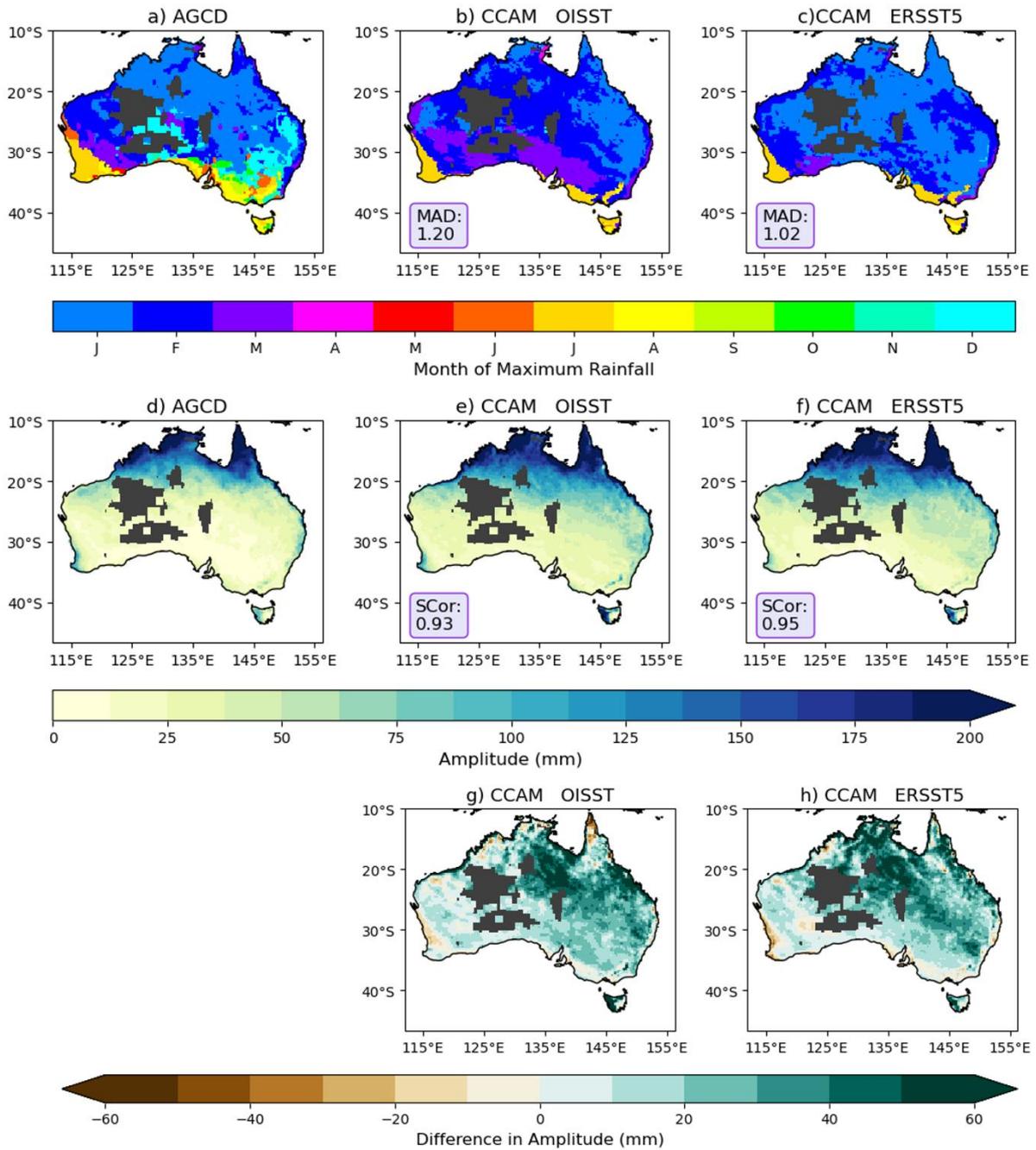


386

387 **Figure 3. (a, b, c) (black solid lines) Annual rainfall time-series from 1982-2022 for (a)**  
 388 **AGCD, (b) CCAM\_OISST, (c) CCAM\_ERSST5. The bottom panel shows the**  
 389 **differences between (d) CCAM\_OISST and (e) CCAM\_ERSST5 and AGCD. The**  
 390 **purple solid lines are the Theil–Sen estimators representing the trends. The p-values of**  
 391 **Theil–Sen trends from Mann-Kendall significance test (Hamed 2008) are provided in**  
 392 **the purple boxes for (d) and (e).**

393

394 Both CCAM runs and AGCD exhibit a slight upward but not significant trend in rainfall over  
 395 Australia (see Figs. 3a, 3b and 3c). Furthermore, despite AGCD giving a slightly stronger  
 396 trend, no statistically significant trends are observed in the differences between the rainfall  
 397 trends derived from CCAM runs and those from AGCD (Figs. 3d and 3e), with p-values  
 398 around 0.4. Therefore, both simulations meet the minimum standard for the annual trend, thus  
 399 satisfying all four minimum standard metrics.



400

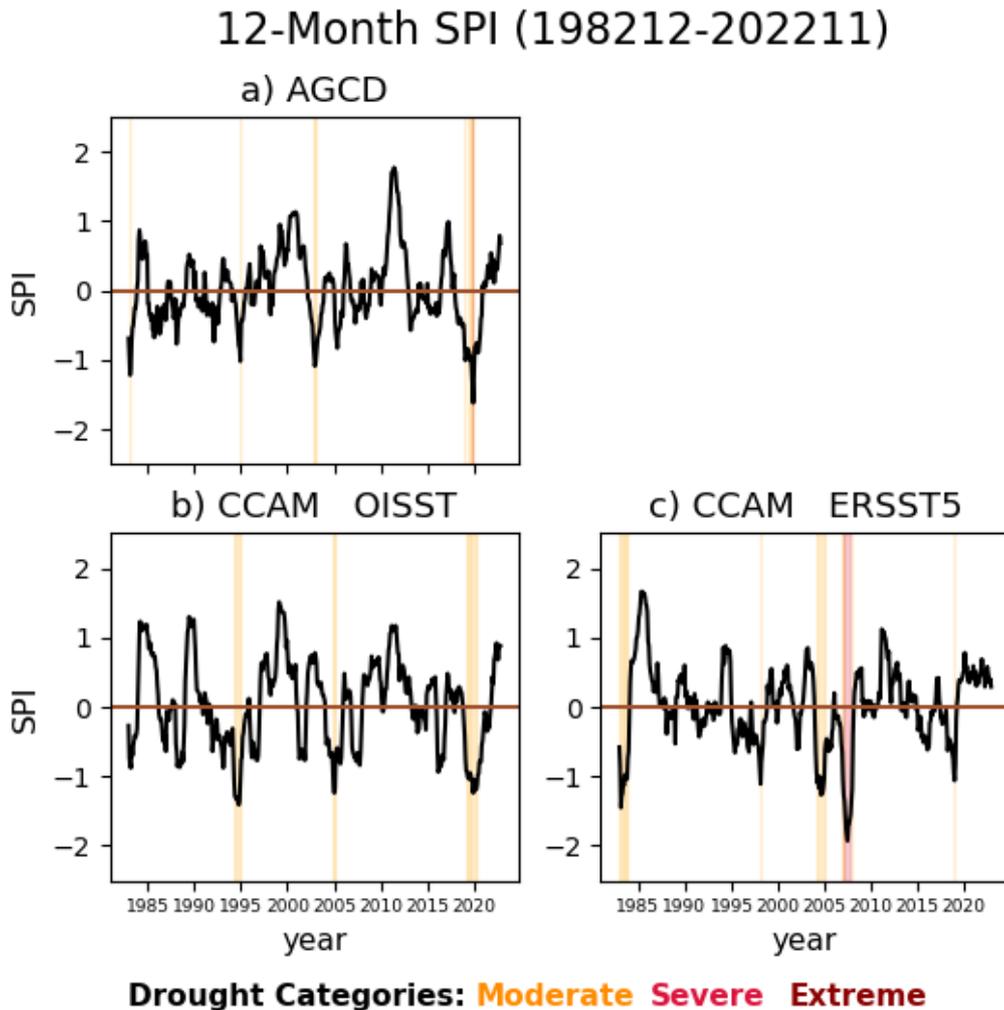
401 **Figure 4. Spatial distribution of maximum rainfall month for (a) AGCD, (b)**  
 402 **CCAM\_OISST, and (c) CCAM\_ERSST5. Each month is represented by a colour on the**  
 403 **colour bar below the figure, from January (J) to December (D), indicating the**  
 404 **corresponding month of maximum rainfall. Purple boxes display spatial weighted mean**  
 405 **absolute deviations (MAD; units: month). Figures d, e and f are the climatological**  
 406 **rainfall amplitude (units: mm), denoted by the range of average monthly mean rainfall,**

407 **for the same set of data. The corresponding weighted spatial correlations (SCor) are**  
408 **shown in the purple boxes. Grey shading masks areas without AGCD station data**  
409 **within a 0.5° proximity. Figures g and h are the differences in amplitudes between**  
410 **CCAM's outputs and AGCD for (g) CCAM\_OISST minus AGCD and (h)**  
411 **CCAM\_ERSST5 minus AGCD.**

412

413 In addition to the minimum standard metrics, our analysis also considered the phase and  
414 amplitude of rainfall seasonality. Figure 4 presents maps of the month with the maximum  
415 average monthly rainfall (phase of rainfall seasonality) in the upper panel and the range of  
416 average monthly rainfall (amplitude) in the lower panel. In the case of AGCD, most regions  
417 in Australia experience their maximum rainfall during DJF, with some exceptions. The  
418 southwestern and southern coastal areas, as well as some inland areas in the southeast and  
419 Tasmania, typically have their rainfall peaks during JJA. The southeastern region exhibits a  
420 diverse distribution, with maximum rainfall occurring from July to December. Both  
421 CCAM\_OISST and CCAM\_ERSST5 generally align with AGCD in most northern and  
422 inland areas of Australia, where the maximum rainfall is correctly simulated to occur during  
423 DJF, consistent with AGCD. However, both model runs fail to reproduce the correct  
424 maximum rainfall month over the southeastern region. While they both show a confined area  
425 that peaks in July, outside this area they show a peak in rainfall in January or February, which  
426 is similar to other regions in Australia. This deviation from AGCD results in an average one-  
427 month displacement in the maximum rainfall month, with CCAM\_OISST having a slightly  
428 larger deviation (1.20 months) than CCAM\_ERSST5 (1.02 months). For the amplitude, both  
429 CCAM\_OISST and CCAM\_ERSST5 tend to overestimate the average monthly rainfall range  
430 across all regions in Australia. This overestimation is primarily due to an overestimation of  
431 DJF rainfall. Despite this, CCAM successfully reproduces a similar spatial pattern of

432 seasonality amplitude compared to AGCD, with a high spatial correlation ( $SCor > 0.9$ ) in  
433 both model runs. Although there are some deviations in the phase and amplitude of rainfall  
434 seasonality, both CCAM\_OISST and CCAM\_ERSST5 demonstrate reasonably good  
435 agreement with AGCD in most regions of Australia, highlighting CCAM's ability to capture  
436 rainfall seasonality irrespective of the driving SST dataset.



437

438 **Figure 5.** 12-month standard precipitation index (SPI; black solid lines) over Australia's  
439 land area (with the grids contain no station within a 0.5 proximity in AGCD masked)  
440 for (a) AGCD, (b) CCAM\_OISST, and (c) CCAM\_ERSST5. Drought categories, as  
441 defined by the World Meteorological Organization (WMO, 2012), are indicated by  
442 coloured vertical bars.

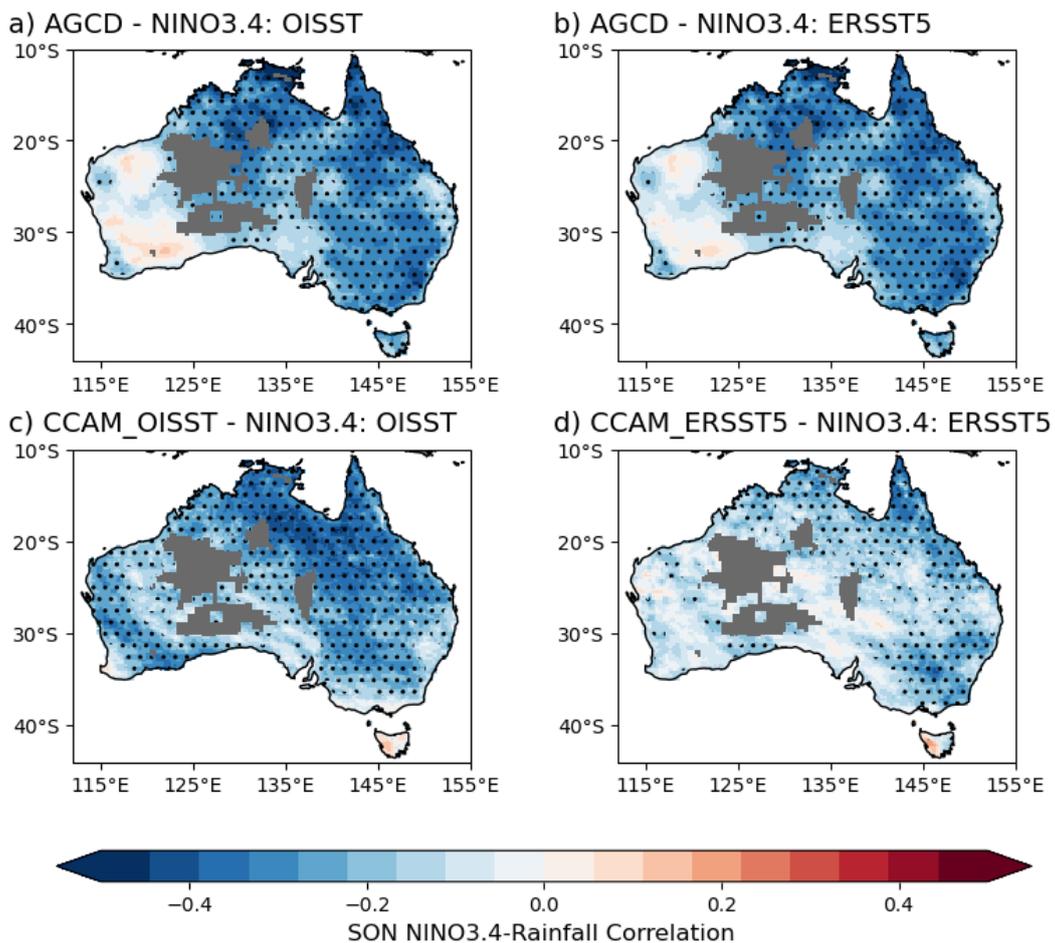
443

444 The evaluation of the 12-month Standard Precipitation Index (SPI), as shown in Figure 5,  
445 provides insights into the model's ability to capture historical drought events. Given that both  
446 runs are driven by observed Sea Surface Temperatures (SSTs), one would expect the timing  
447 of historical droughts to be similar between the simulations and AGCD. CCAM\_OISST  
448 demonstrates the capability to reproduce a 12-month SPI time-series that coincides with  
449 AGCD, especially during events like the 2019 Black Summer (Davey and Sarre 2020). In  
450 contrast, CCAM\_ERSST5 reproduces some drought events that are not present in AGCD,  
451 such as a severe drought in 2006-2007 and two long moderate droughts in 1983-1984 and  
452 2004-2005. The difference observed between the two model runs in the 12-month SPI time-  
453 series suggests that CCAM\_ERSST5 may perform less effectively in capturing interannual  
454 rainfall variability compared to CCAM\_OISST. The interannual rainfall variability in  
455 Australia is largely influenced by teleconnections between large-scale climate drivers, such as  
456 ENSO and IOD, and local rainfall patterns. The divergence in performance between the two  
457 runs highlights the sensitivity of fine-resolution models like CCAM to the quality of the  
458 driving SSTs. Inaccurate representation of these SSTs can impact the model's ability to  
459 accurately simulate the teleconnections between climate drivers and rainfall, leading to  
460 discrepancies in the simulation of historical drought events.

461 In summary, both CCAM\_OISST and CCAM\_ERSST5 meet the minimum standards for  
462 regional rainfall simulations as proposed by Ispording et al. (2023) over Australia. They also  
463 exhibit good performance in capturing rainfall seasonality. However, when it comes to  
464 reproducing the 12-month Standard Precipitation Index (SPI) time-series, it is only  
465 CCAM\_OISST that closely matches AGCD, suggesting a more accurate representation of  
466 historical drought events in higher-resolution SST-forced runs.

467 3.2 ENSO/IOD-related interannual rainfall variability

468 The examination of ENSO and IOD related monthly rainfall variability during the SON  
469 season provides insights into the differences between CCAM\_OISST and CCAM\_ERSST5  
470 in capturing teleconnections between large-scale climate drivers and rainfall in Australia.  
471 Given the significant role of ENSO and IOD in influencing interannual rainfall variability,  
472 this analysis aims to uncover potential disparities between the two model runs and assess  
473 whether fine-resolution climate models are sensitive to the quality of driving SSTs when  
474 simulating these teleconnections, which operate on relatively longer timescales.



475

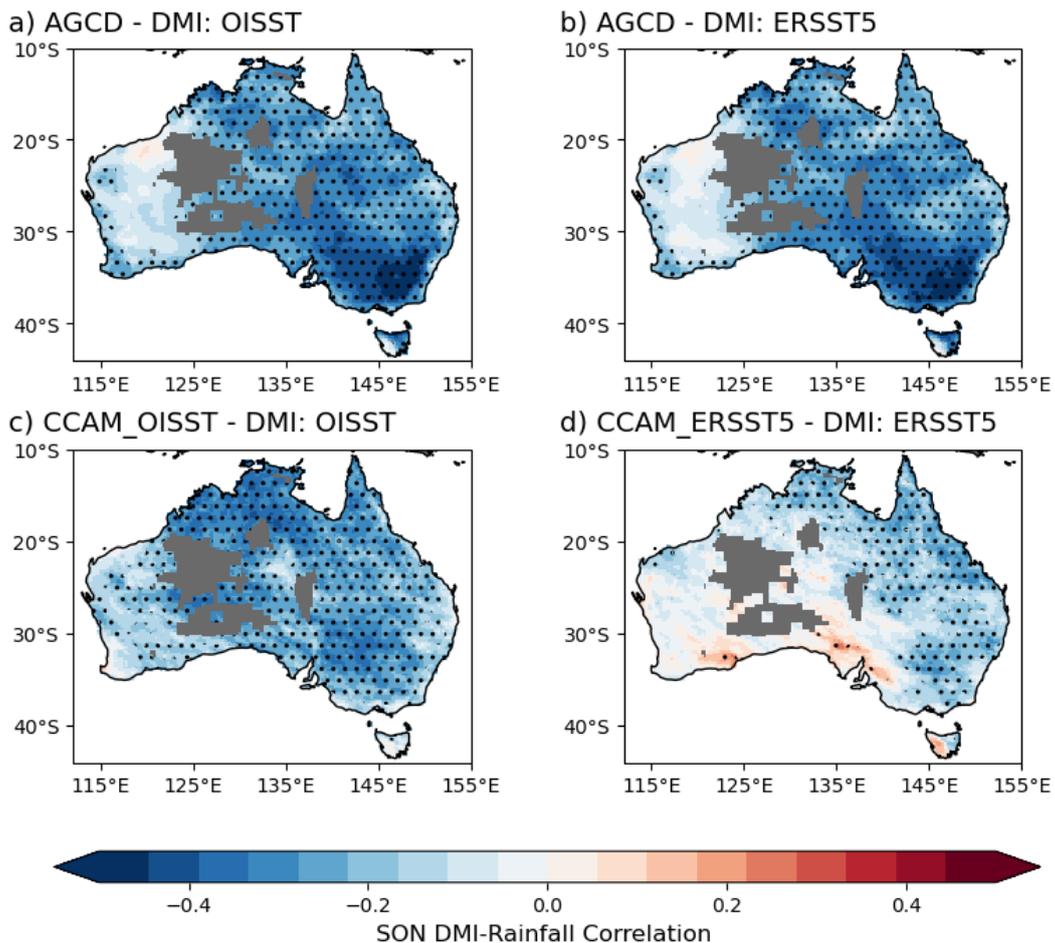
476 **Figure 6. Correlations between linearly detrended SON monthly rainfall from (a, b)**  
477 **AGCD, (c) CCAM\_OISST, (d) CCAM\_ERSST5 and NINO3.4 from (a, c) OISST and (b,**  
478 **d) ERSST5, respectively. Dotted areas in the figure indicate grids with correlations that**

479 **are statistically significant at the 95% confidence level. Grey shading masks areas**  
480 **without AGCD station data within a 0.5° proximity.**

481

482 ENSO and IOD are most effective during SON for Australia's rainfall variability, so the  
483 analysis will focus on this season. Figure 6 displays the correlation between the NINO3.4  
484 index and SON monthly rainfall for AGCD and CCAM runs. In AGCD, rainfall is mostly  
485 negatively correlated with NINO3.4 over the eastern and central regions of Australia, with  
486 correlation values around -0.4. Notably, there is no significant correlation observed over the  
487 western side of the country. CCAM\_OISST generally agrees with AGCD by showing a  
488 negative correlation between NINO3.4 and rainfall over eastern and central Australia.  
489 However, it fails to reproduce the significant negative correlation along the southeastern coast  
490 and Tasmania seen in the observations. Interestingly, CCAM\_OISST exhibits a significant  
491 negative correlation over the western side of Australia, a feature not present in AGCD. In  
492 contrast, CCAM\_ERSST5 does not reproduce the significant negative correlation between  
493 NINO3.4 and rainfall over most land areas, with some exceptions in northern Australia and  
494 the southeast region. This indicates that the teleconnection between rainfall and ENSO is  
495 notably weaker in CCAM\_ERSST5 compared to CCAM\_OISST. The performance of the  
496 NINO3.4-rainfall correlation is quantified using a hit rate and false alarm rate.  
497 CCAM\_OISST achieves a high hit rate of 0.866, while CCAM\_ERSST5 lags behind with a  
498 hit rate of 0.527. However, CCAM\_OISST also incurs a much higher false alarm rate of  
499 0.705 compared to CCAM\_ERSST5's lower false alarm rate of 0.173. This discrepancy is  
500 because CCAM\_OISST tends to yield significant negative correlations across most of  
501 Australia, including regions where AGCD does not exhibit significant correlations.  
502 Conversely, CCAM\_ERSST5 often produces no significant correlation, resulting in a lower  
503 false alarm rate. Given that there is a significant negative correlation over most of the land

504 (>75%) in AGCD, the hit rate takes precedence over the false alarm rate in this context.  
505 Consequently, CCAM\_OISST outperforms CCAM\_ERSST5 in reproducing the SON ENSO-  
506 rainfall correlation, indicating its better ability to capture ENSO's influence on rainfall during  
507 SON.



508

509 **Figure 7. Same as figure 6, but for DMI.**

510

511 The correlation between DMI and SON rainfall is shown in figure 7. AGCD exhibits a DMI-  
512 rainfall correlation pattern that is similar to the NINO3.4-rainfall correlation, with a strong  
513 negative signal ( $< -0.4$ ) observed over the southeast region of Australia. CCAM\_OISST  
514 generally agrees with AGCD in terms of the DMI-rainfall correlation, but it falls short in  
515 reproducing the strong negative correlation over the southeast region. While the correlation is

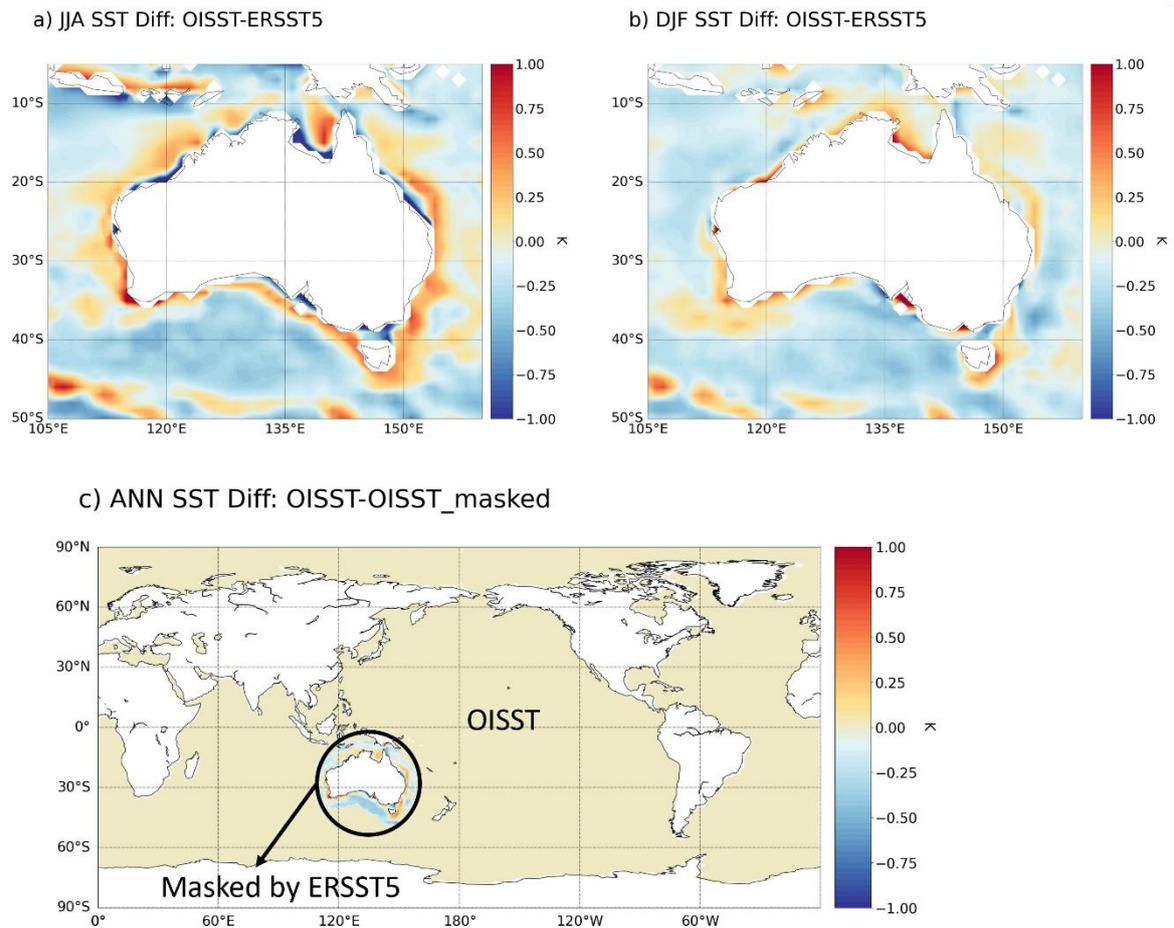
516 significant in many areas, it is weaker than that observed in AGCD. Meanwhile,  
517 CCAM\_ERSST5 fails to produce a significant DMI-rainfall correlation over most of the area.  
518 Only some locations in the northeast and southeast show weak correlations (around 0.2).  
519 CCAM\_OISST achieves a high hit rate of 0.875, while CCAM\_ERSST5 has a lower hit rate  
520 of 0.418. CCAM\_OISST also has a higher false alarm rate (0.536) compared to  
521 CCAM\_ERSST5. However, as with the ENSO-rainfall correlation analysis, the hit rate takes  
522 precedence in quantifying the performance of the IOD-rainfall correlation, given the  
523 significant negative correlation across most of the area in AGCD.

524 These results indicate that CCAM is capable of simulating the DMI-rainfall correlation well  
525 when driven by OISST, a high-resolution Sea Surface Temperature (SST) product. However,  
526 the correlation is significantly underestimated when CCAM is driven by ERSST5, which has  
527 a relatively coarse resolution. This underscores the sensitivity of fine-resolution climate  
528 models like CCAM to the quality and resolution of driving SST data when simulating  
529 teleconnections between climate drivers like IOD and regional rainfall patterns.

### 530 3.3 Sensitivity experiment: testing the impact on Australian rainfall of different 531 driving SSTs

532 In order to understand the deficiency of CCAM\_ERSST5 in reproducing the NINO3.4- and  
533 DMI-rainfall correlation, the difference between OISST and ERSST5 climatology after  
534 applying spatial interpolation by CCAM is examined. Prominent differences can be found  
535 over various regions, including western boundary currents such as the Kuroshio and Gulf  
536 Stream, as well as the Antarctic Circumpolar Current. Notably, there are substantial  
537 differences surrounding the Australian continent, with variations ranging from 0.5K to 1.0K,  
538 especially during JJA (Figs. 8a and 8b). Several factors contribute to these differences,  
539 including variations in data collection and post-processing methods. However, for values

540 close to land, the resolution of the raw product plays an important role. ERSSTv5 has a  
541 resolution of  $2^{\circ}\times 2^{\circ}$ , which is not fine enough to resolve values near the coastline.  
542 Consequently, when fine-resolution models like CCAM are driven by coarse-resolution SSTs,  
543 the model interpolates the SSTs to match its own resolution. This interpolation process  
544 involves statistically estimating SST values close to land based on surrounding SST data.  
545 When the SST gradient from the ocean to the land is strong, the interpolated SST values near  
546 land from a coarse-resolution product (e.g., ERSST5) deviate from the observed/measured  
547 values in a high-resolution product (e.g., OISST) significantly (hereafter referred to as the  
548 “Coastal Effect”). It also illustrates that the sign-switching of the difference between  
549 interpolated OISST and ERSST5 over northern Australia, where it is in general negative  
550 (positive) in JJA (DJF) when land is cooler (warmer) than ocean there, inducing a strong  
551 negative (positive) SST gradient towards land. The “Coastal Effect” might be a possible  
552 cause explaining why CCAM\_OISST tends to produce wetter conditions than  
553 CCAM\_ERSST5 over some regions surrounded by warmer OISST values. Warmer SST  
554 usually increases evaporation and convection, which can lead to enhanced rainfall. It is also  
555 suspected that this local SST difference in OISST and ERSST5 due to their resolution can be  
556 responsible for the distinction of the performance of ENSO/IOD-rainfall teleconnections.



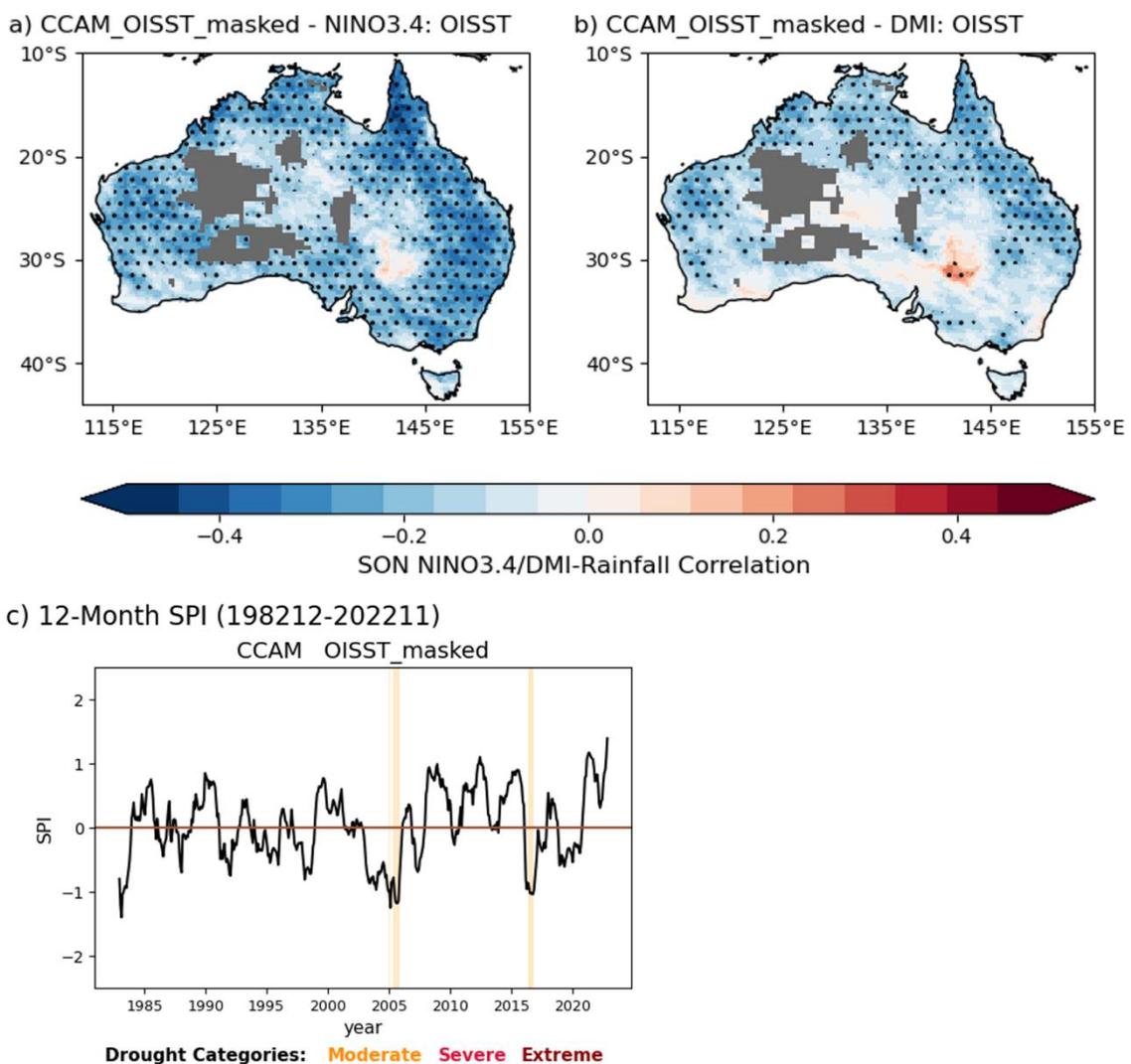
557

558 **Figure 8. (a, b) Difference between annual mean OISST and ERSST5 (units: K) after**  
 559 **applying the spatial interpolation by CCAM in (a) JJA and (b) DJF. (c) is the difference**  
 560 **between OISST and OISST\_masked (units: K), which illustrates the experimental setup**  
 561 **for CCAM\_OISST\_masked. In OISST\_masked, sea surface temperatures surrounding**  
 562 **Australia's land area are masked with temporally and spatially interpolated ERSST5,**  
 563 **while all other regions remain consistent with OISST.**

564

565 An experiment was conducted to investigate the impact of the "Coastal Effect" on the  
 566 ENSO/IOD-rainfall teleconnection using CCAM. Initially, ERSST5 was temporally  
 567 downscaled to daily resolution using the cubic interpolation method employed in CCAM.  
 568 The daily ERSST5 was then spatially interpolated to a cubic grid with the same resolution as

569 that used in CCAM\_OISST and CCAM\_ERSST5. The interpolated ERSST5 data were  
 570 utilized to substitute the spatially interpolated OISST in the cubic grid over Australia. This  
 571 modified SST dataset was employed to drive CCAM\_OISST\_masked (see Fig.8c as an  
 572 illustration). Notably, CCAM\_OISST\_masked maintained all other settings identical to  
 573 CCAM\_OISST, with the sole distinction being the replacement of SST values surrounding  
 574 the Australian continent (including Tasmania) with interpolated ERSST5 data within a 5°  
 575 proximity.



576

577 **Figure 9. Correlations between linearly detrended SON monthly rainfall and (a)**  
 578 **NINO3.4 and (b) DMI, respectively, from CCAM\_OISST\_masked. Dotted areas**

579 **highlight grids with statistically significant correlations at the 95% confidence level.**  
580 **Grey shading masks areas without AGCD station data within a 0.5° proximity. (c) is the**  
581 **12-month SPI over Australia's land area (with the grids contain no station within a 0.5**  
582 **proximity in AGCD masked) for CCAM\_OISST\_masked. Drought categories, defined**  
583 **by the WMO (2012), are indicated by coloured vertical bars.**

584

585 The ENSO- and IOD-rainfall correlations in CCAM\_OISST\_masked during SON are  
586 depicted in Figs. 9a and 9b. CCAM\_OISST\_masked performs similarly to CCAM\_OISST in  
587 terms of ENSO-related rainfall correlations, with regions displaying significant negative  
588 correlations in CCAM\_OISST mostly being replicated in CCAM\_OISST\_masked (see Fig.  
589 6c). The hit rate for CCAM\_OISST\_masked is 0.727, which falls between that of  
590 CCAM\_OISST (0.866) and CCAM\_ERSST5 (0.527) but is much closer to the former (see  
591 Tab. 2). This suggests that CCAM\_OISST\_masked can still produce satisfactory ENSO-  
592 rainfall teleconnections over Australia. The similarity between CCAM\_OISST\_masked and  
593 CCAM\_OISST in SON ENSO-rainfall correlation indicates that the influence of SST on the  
594 ENSO-rainfall teleconnection is likely remote. The "Coastal Effect" and differences in SST  
595 resolutions have limited impact on the performance of ENSO-rainfall teleconnections in  
596 CCAM. In contrast, CCAM\_OISST\_masked exhibits a performance similar to  
597 CCAM\_ERSST5 in IOD-related rainfall correlations. Both simulations yield weaker  
598 correlations compared to AGCD and CCAM\_OISST. Notably, CCAM\_OISST\_masked does  
599 produce some significant negative correlations over the west of 120°E, which are not  
600 observed in CCAM\_ERSST5. When compared to AGCD, CCAM\_OISST\_masked has a low  
601 hit rate of 0.402, which is much closer to CCAM\_ERSST5 (0.418) than CCAM\_OISST  
602 (0.875) (see Tab. 2). This indicates that masking the high-resolution SST data surrounding  
603 Australia with lower-resolution SST data can significantly affect the IOD-rainfall

604 teleconnection in a fine-resolution model, even if conditions elsewhere remain unchanged.  
 605 For the 12-month SPI (Fig. 9c), CCAM\_OISST\_masked fails to reproduce the 2019 drought  
 606 like CCAM\_ERSST5 (Fig. 5c). Conversely, it does not simulate the 2007 severe drought  
 607 observed in CCAM\_ERSST5. The 12-month SPI curve in CCAM\_OISST\_masked deviates  
 608 significantly from both CCAM\_OISST and CCAM\_ERSST5. This suggests that the "Coastal  
 609 Effect" can impact a fine-resolution model's ability to accurately simulate droughts.

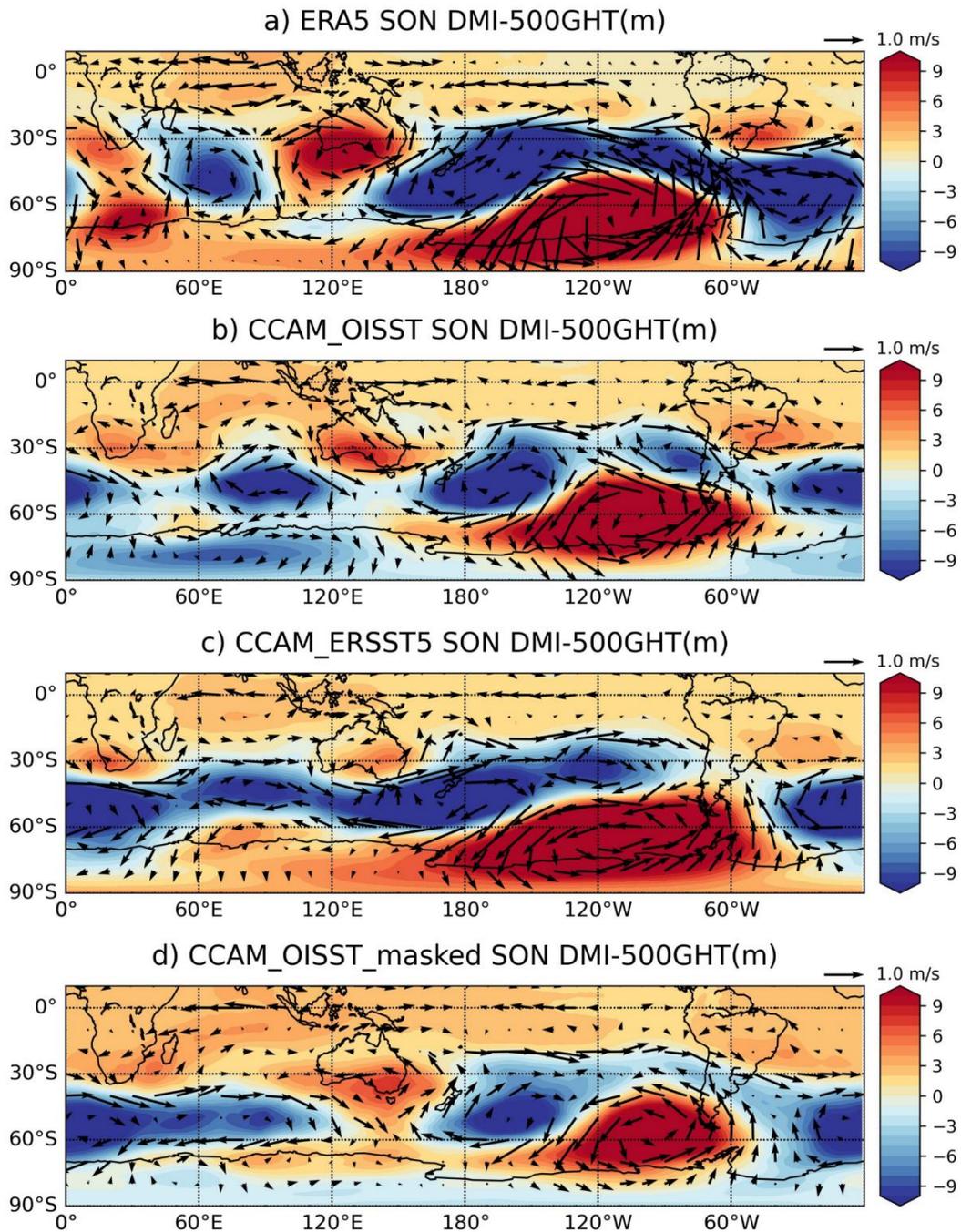
Runs	Hit Rate	
	NINO3.4-Rainfall	DMI-Rainfall
CCAM_OISST	0.866	0.875
CCAM_ERSST5	0.527	0.418
CCAM_OISST_masked	0.727	0.402

610

611 **Table 2. Hit rates for correlation (significant and correct sign) between NINO3.4 or**  
 612 **DMI and SON monthly rainfall in CCAM simulations compared to AGCD.**

613

614 In conclusion, the "Coastal Effect" emerges as an important factor influencing a fine-  
 615 resolution model's ability to capture the IOD-rainfall teleconnection over Australia during  
 616 SON. While ENSO-related rainfall teleconnections appear less affected by this Coastal Effect,  
 617 the impact on IOD-related rainfall teleconnections can be significant, even if conditions  
 618 elsewhere remain unchanged. Additionally, accurate drought simulations can also be affected  
 619 by the Coastal Effect.



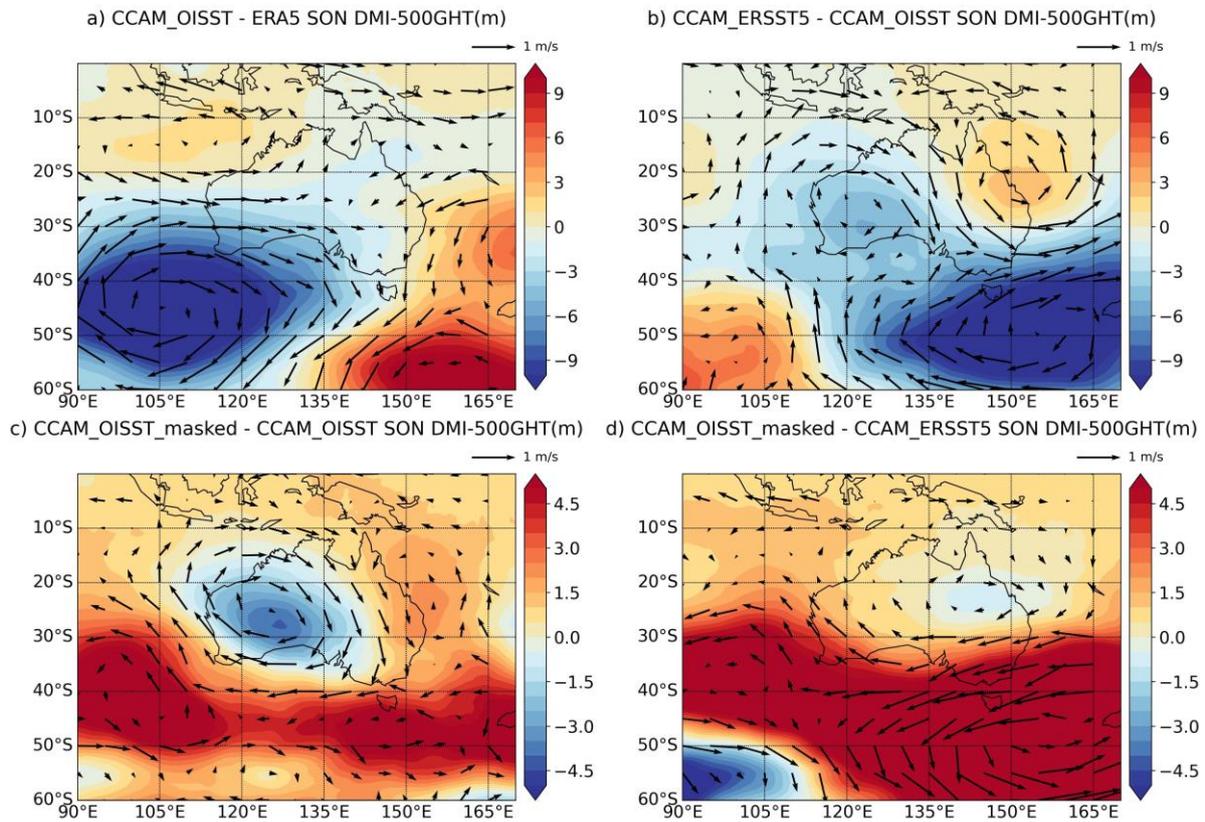
620

621 **Figure 10. Covariance between DMI and linearly detrended 500-hPa geopotential height**  
 622 **(shading, units: m) and wind (arrows, units: m/s) for (a) ERA5, (b) CCAM\_OISST, (c)**  
 623 **CCAM\_ERSST5, and (d) CCAM\_OISST\_masked.**

624

625 To investigate why IOD-rainfall teleconnection is heavily impacted by the “Coastal Effect”,  
626 larger scale circulations associated with IOD are investigated. IOD remotely affects Australia  
627 through equivalent barotropic geopotential anomalies, commonly referred to as equivalent  
628 barotropic Rossby waves. These waves play a crucial role in transmitting IOD’s influences  
629 through atmosphere and impacting regions at higher latitudes, including Australia (Saji and  
630 Yamagata 2003, Cai et al. 2011, Gillett et al. 2022). Figure 10 illustrates the covariance  
631 between DMI and 500hPa geopotential height (GHT) and wind for ERA5 and the three  
632 CCAM runs. A wavenumber 3 wave-like pattern is somewhat evident in ERA5 and CCAM  
633 runs, although it appears weaker in CCAM runs. This indicates that CCAM is capable of  
634 simulating how the IOD signal is transported to the atmosphere and influences regions at  
635 higher latitudes through the equivalent barotropic Rossby wave. The quasi-stationary positive  
636 500hPa GHT anomaly over Australia is responsible for dry conditions during a positive IOD  
637 (pIOD) and wet conditions during a negative IOD (nIOD). However, the high-pressure  
638 anomaly is notably weaker in CCAM runs compared to ERA5, highlighting that CCAM  
639 generally produces a weaker IOD-rainfall correlation than observed. The difference in the  
640 high-pressure anomaly is further explored in Figure 11. CCAM\_OISST exhibits a weaker and  
641 less extensive high-pressure anomaly compared to ERA5, resulting in a weaker IOD-induced  
642 rainfall response over southern Australia. CCAM\_ERSST5, on the other hand, gives a weaker  
643 DMI-500hPa GHT covariance over western and southeast Australia than CCAM\_OISST,  
644 further suppressing the IOD-rainfall response. In the case of CCAM\_OISST\_masked, the  
645 IOD-induced high-pressure anomaly and rainfall response are weaker than in CCAM\_OISST  
646 over most of Australia. However, there is no substantial difference in the magnitude of the  
647 high-pressure anomaly between CCAM\_OISST\_masked and CCAM\_ERSST5, except for  
648 significant positive differences over the southeastern and southwestern corners due to the  
649 displacement of low-pressure anomalies. These differences induce a stronger easterly wind

650 from the ocean to southeastern Australia, transporting more moisture and suppressing the  
 651 IOD's impact. Conversely, in the western part of Australia, stronger winds from the land  
 652 enhance the IOD-rainfall correlation.



653

654 **Figure 11. Differences in covariance between DMI and linearly detrended 500-hPa**  
 655 **geopotential height (shading, units: m) and wind (arrows, units: m/s) for (a)**  
 656 **CCAM\_OISST minus ERA5, (b) CCAM\_ERSST5 minus CCAM\_OISST, (c)**  
 657 **CCAM\_OISST\_masked minus CCAM\_OISST, and (d) CCAM\_OISST\_masked minus**  
 658 **CCAM\_ERSST5.**

659

660 In summary, the "Coastal Effect" primarily affects the location and amplitude of the IOD-  
 661 induced high-pressure anomaly over Australia, which, in turn, influences the local rainfall

662 response. However, the precise dynamical mechanisms through which the "Coastal Effect"  
663 impacts the mid-level high anomaly require further investigation.

## 664 4 Discussion and Recommendation

### 665 4.1 Interpretation of the results within the benchmarking framework

666 This study has shown that CCAM\_ERSST5 produces consistently smaller error metrics than  
667 CCAM\_OISST across all prescribed minimum standard metrics within the benchmarking  
668 framework proposed by Isphording et al. (2023). Notably, OISST, characterized by high  
669 spatial and temporal resolution blending with satellite data, was initially anticipated to yield  
670 improved simulated regional rainfall when employed as a boundary condition for AGCM, in  
671 comparison to ERSST5. Our results have also revealed that CCAM tends to simulate a wet  
672 Australia. Therefore, advanced model evaluations are required to understand why  
673 CCAM\_ERSST5 reduces the overestimation of rainfall amount over Australia.

674 Our findings underscore the inadequacy of relying on minimum standard metrics for ranking  
675 model outputs. While the conventional model evaluation approach favours CCAM\_ERSST5  
676 over CCAM\_OISST based on these metrics, further examination reveals that CCAM\_OISST  
677 outperforms CCAM\_ERSST5 in replicating ENSO- and IOD-driven rainfall. As elucidated in  
678 Isphording et al. (2023), metrics within a benchmarking framework serve as binary indicators,  
679 filtering out simulations that fail to meet predefined performance expectations. For instance,  
680 we established a passing threshold for the mean absolute percentage bias (MAPE) in annual  
681 rainfall climatology at 0.7, designating simulations with a MAPE exceeding this threshold as  
682 unsuitable for further application. Both CCAM\_OISST and CCAM\_ERSST5 surpass the  
683 minimum standard metrics, indicating their capability in capturing basic Australian rainfall  
684 characteristics. However, the application of these models for specific purposes necessitates  
685 more targeted metrics. In our case, the evaluation of ENSO- and IOD-driven rainfall  
686 correlation, as highlighted in this paper, reveals the deficiency of CCAM\_ERSST5 in  
687 reproducing these patterns over Australia. Consequently, CCAM\_ERSST5 may not be

688 suitable for ENSO/IOD-Australian rainfall studies. This result aligns with the perspective  
689 emphasized by Ispording et al. (2023) that the inclusion of more versatile metrics is  
690 essential in benchmarking simulations for specific fields of research. A collaborative effort  
691 within the climate research community is crucial to establish a consensus on prior  
692 performance expectations, or the passing thresholds of metrics, across diverse regions and  
693 aspects. Fostering a more robust and standardized benchmarking framework will help  
694 mitigate inconsistencies and subjectivity in assessing model performance.

695

## 696 4.2 Uncertainty of assessing model performance in IOD-rainfall

697 Some studies have found some disagreement between paleoclimate proxy records and  
698 observed long-term SST products (e.g., Abram et al. 2020, Pfeiffer et al. 2022), suggesting  
699 that considerable uncertainty of IOD variability might exist in long-term SST observations.  
700 This uncertainty is primarily attributed to the limited frequency and spatial coverage of  
701 observations from ships and buoys, particularly in the Southern Hemisphere and over the  
702 Indian Ocean (Freeman et al. 2017, Gopika et al. 2020). The scarcity of in situ observations  
703 introduces ambiguity into our understanding of IOD variability and, consequently, hinders an  
704 accurate representation of the IOD's teleconnection with Australian rainfall. While the  
705 availability of satellite observations since the 1980s offers a valuable means to mitigate the  
706 uncertainty associated with IOD variability, the temporal coverage of satellite products is  
707 relatively short in the context of the IOD's characteristic timescales. This limitation is  
708 exacerbated by the relatively weak impact of IOD on Australian mean rainfall, with a  
709 correlation coefficient in the range of -0.3 to -0.4, as illustrated in Fig. 7. A robust analysis of  
710 the IOD's contribution to regional weather hence necessitates a sufficient number of IOD  
711 cases under diverse conditions. The limitations in data quality and spatiotemporal coverage of  
712 SST records thus add uncertainty in determining whether a climate model can replicate the  
713 observed IOD-rainfall relationship.

714 Apart from that, the overlapping influence of ENSO and IOD adds complexity to rainfall  
715 variability and extremes in Australia. In fact, ENSO and IOD often occur at the same time  
716 with the same phase (Abram et al. 2020). The correlation between monthly NINO3.4 and  
717 DMI in OISST is 0.6 during SON for the 1981-2020 period. The co-occurrence of ENSO and  
718 IOD can affect the remote impacts on local weather (e.g., Ashok et al. 2001, Cai et al. 2011,  
719 Ummenhofer et al. 2011). In the Australian context, the impacts of ENSO and IOD on rainfall  
720 often coexist (see Figs. 6 and 7). Consequently, the observed IOD-induced Australian rainfall

721 may be partially attributed to ENSO. This interdependence implies that the observed  
722 relationship between IOD and rainfall is highly correlated to variations in ENSO, posing a  
723 challenge to the evaluation of model performance in capturing IOD-rainfall teleconnections.

724 IOD and its associated impacts display robust internal variability. Model experiments indicate  
725 that even a minor perturbation in initial conditions can lead to a substantial spread in IOD  
726 patterns and their correlated rainfall outcomes (Ng et al. 2018, Bodman et al. 2020).  
727 Consequently, it is plausible that the observed IOD-rainfall relationship represents just one  
728 realization among multiple potential impacts of the IOD. Moreover, the pronounced  
729 interdecadal variability of the IOD (Lim et al. 2017) introduces additional uncertainty into the  
730 assessment of observed IOD impacts. This is exemplified by the weak correlation between  
731 the DMI and Australian rainfall reported by Cai et al. (2011) for the period 1979-2008,  
732 wherein significant correlations, around -0.3, are primarily confined to Southeast Australia,  
733 with correlations elsewhere seldom stronger than -0.2. Contrastingly, during the period 1982-  
734 2022, as illustrated in Figure 7a, a markedly stronger correlation is observed across most of  
735 Australia. Notably, this intensified IOD-rainfall signal is predominantly contributed by the  
736 post-2000 period. Consequently, the reliability of IOD-rainfall teleconnections remains  
737 highly uncertain in the absence of sufficiently long-term and accurate SST and rainfall  
738 records. This prevailing uncertainty poses challenges in evaluating a model's ability to  
739 replicate the IOD-rainfall relationship, particularly when confidence in the observed IOD  
740 impacts remains low.

741

## 742 5 Conclusion

743 Our investigation utilizes the benchmarking framework proposed by Isphording et al. (2023)  
744 to assess the suitability of the variable-resolution AGCM, CCAM, for ENSO/IOD-rainfall  
745 research over Australia. We examine CCAM simulations driven by high-resolution OISST at  
746  $0.25^\circ$  (CCAM\_OISST) and low-resolution ERSST5 at  $2^\circ$  (CCAM\_ERSST5). Both  
747 CCAM\_OISST and CCAM\_ERSST5 meet prior performance expectations in terms of  
748 minimum standards of basic rainfall characteristics, including rainfall climatology,  
749 seasonality and annual trends. However, both simulations tend to overestimate mean rainfall  
750 across most of Australia, with CCAM\_OISST displaying a more pronounced overestimation  
751 than CCAM\_ERSST5. Further verification of CCAM simulations in SON ENSO/IOD-  
752 rainfall reveals that only CCAM\_OISST can replicate a realistic ENSO/IOD-rainfall  
753 relationship. Large differences in seasonal mean SST values, reaching up to 1K, between  
754 OISST and ERSST5 are found along the Australian coastline after spatial interpolation by  
755 CCAM. One potential contributor to this "Coastal Effect" is the dissimilarity in spatial  
756 resolution between the model and the driving SST. To further investigate this effect, an  
757 additional CCAM experiment, involving the replacement of SST values within a  $5^\circ$  proximity  
758 around the Australian continent in OISST with those from ERSST5 after spatial interpolation,  
759 underscores the sensitivity of IOD-induced rainfall to the "Coastal Effect". As a result, an  
760 accurate representation of local SST is important for model simulations in reproducing  
761 realistic IOD-rainfall responses over Australia. Moreover, climate model simulations with a  
762 considerable discrepancy in spatial resolutions between the model and the driving SST should  
763 be used with caution when analysing the impact of IOD on Australian rainfall.

764

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772 (2023) and Isphording (2023).

## 773 Open Research

774 OISST version 2.1 (Huang et al. 2021) used in this study is available from  
775 <https://www.psl.noaa.gov/data/gridded/data.noaa.oisst.v2.highres.html>. ERSST version 5  
776 (Huang et al. 2017) used in this study is available from  
777 <https://www.ncei.noaa.gov/pub/data/cmb/ersst/v5/netcdf/>. ERA5 (Hersbach et al. 2020) used  
778 in this study is available from <https://doi.org/10.24381/cds.bd0915c6> and the interpolated  
779 data initiating CCAM simulations is available on the National Computational Infrastructure  
780 Australia (NCI Australia) server in project xv83. AGCD (Evans et al. 2020) used in this study  
781 is available on the NCI Australia server in project zv2. CCAM is fully available from  
782 <https://research.csiro.au/ccam/> while the version 2301 used in this study is also available on  
783 the NCI Australia server in project w42. All CCAM post-processed outputs used in this study  
784 are available on NCI Australia server in project w40. Registration for the NCI Australia and  
785 memberships of the corresponding projects are required. All data/model used in this study can  
786 be provided specifically upon request.

787 *Code availability:* Climpact (Alexander and Herold 2016) used in this study is available from  
788 <https://climpact-sci.org/>. Codes for pre-processing driving data and post-processing raw  
789 outputs of CCAM simulations are available from [https://research.csiro.au/ccam/software-and-](https://research.csiro.au/ccam/software-and-model-configuration/)  
790 [model-configuration/](https://research.csiro.au/ccam/software-and-model-configuration/). Codes for benchmarking the CCAM's performance (Isphording 2023)  
791 are available from <https://doi.org/10.5281/zenodo.8365065>.

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